

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## FOOD AND DRUG ADMINISTRATION

### Federal Funds

#### General and special funds:

#### SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; and for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; **[\$1,217,797,000]** \$1,335,389,000, of which not to exceed **[\$149,273,000 in]** \$161,716,000 to be derived from prescription drug user fees authorized by 21 U.S.C. 379(h) **[may]**, including any such fees assessed prior to the current fiscal year but credited during the current year, in accordance with section 736(g)(4), and shall be credited to this appropriation and remain available until expended: *Provided*, **[That fees derived from applications received during fiscal year 2001 shall be subject to the fiscal year 2001 limitation: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701: *Provided further*,]** That of the total amount appropriated: (1) \$285,269,000 shall be for the Center for Food Safety and Applied Nutrition and related field activities in the Office of Regulatory Affairs; (2) \$317,547,000 shall be for the Center for Drug Evaluation and Research and related field activities in the Office of Regulatory Affairs, of which no less than \$12,534,000 shall be available for grants and contracts awarded under section 5 of the Orphan Drug Act (21 U.S.C. 360ee); (3) \$140,489,000 shall be for the Center for Biologics Evaluation and Research and for related field activities in the Office of Regulatory Affairs; (4) \$64,069,000 shall be for the Center for Veterinary Medicine and for related field activities in the Office of Regulatory Affairs; (5) \$165,207,000 shall be for the Center for Devices and Radiological Health and for related field activities in the Office of Regulatory Affairs; (6) \$35,568,000 shall be for the National Center for Toxicological Research; (7) \$25,855,000 shall be for Rent and Related activities, other than the amounts paid to the General Services Administration; (8) \$104,954,000 shall be for payments to the General Services Administration for rent and related costs; and (9) \$78,839,000 shall be for other activities, including the Office of the Commissioner; the Office of Management and Systems; the Office of the Senior Associate Commissioner; the Office of International and Constituent Relations; the Office of Policy, Legislation, and Planning; and central services for these offices: *Provided further*, That funds may be transferred from one specified activity to another with the prior approval of the Committees on Appropriations of both Houses of Congress] \$6,000,000 for costs related to occupancy of new facilities at White Oak, Maryland, to remain available until September 30, 2003. In addition, \$2,950,000, solely for carrying out section 804 of the Federal Food, Drug, and Cosmetic Act, to be available only after the requirements of section 804(l) have been satisfied.

In addition, mammography user fees authorized by 42 U.S.C. 263(b) may be credited to this account, to remain available until expended.

In addition, export certification user fees authorized by 21 U.S.C. 381 may be credited to this account, to remain available until expended.

#### BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **[\$31,350,000]** \$34,281,000, to remain available until expended (7 U.S.C. 2209b). (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a) of P.L. 106-387.*)

#### Unavailable Collections (in millions of dollars)

Identification code 75-9911-0-1-554	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year .....			
Receipts:			
02.20 Cooperative research and development agreements ....	1	1	1
Appropriations:			
05.00 Salaries and expenses .....	-1	-1	-1
07.99 Balance, end of year .....			

#### Program and Financing (in millions of dollars)

Identification code 75-9911-0-1-554	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Foods .....	280	285	306
00.02 Drugs .....	371	390	440
00.03 Devices and radiological products .....	158	165	179
00.04 National center for toxicological research .....	36	35	37
00.05 Tobacco .....	6		
00.06 Other activities .....	67	67	81
00.07 Other rent and rent related activities .....	32	26	32
00.08 Rental payments .....	88	99	99
00.09 Buildings and facilities .....	11	31	34
00.10 CRADAs .....	1	1	1
09.01 Reimbursable program .....	185	196	218
10.00 Total new obligations .....	1,235	1,295	1,427
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	100	96	96
22.00 New budget authority (gross) .....	1,229	1,318	1,430
22.10 Resources available from recoveries of prior year obligations .....	2		
23.90 Total budgetary resources available for obligation	1,331	1,414	1,526
23.95 Total new obligations .....	-1,235	-1,295	-1,427
23.98 Unobligated balance expiring or withdrawn .....		-23	-3
24.40 Unobligated balance carried forward, end of year .....	96	96	96
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	1,052	1,123	1,211
40.76 Reduction pursuant to P.L. 106-113 .....	-3		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent) .....		-2	
43.00 Appropriation (total discretionary) .....	1,049	1,121	1,211
Mandatory:			
60.25 Appropriation (special fund, indefinite) .....	1	1	1
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash) .....	182	196	218
68.10 Change in uncollected customer payments from Federal sources .....	2		
68.15 Adjustments to uncollected customer payments from Federal sources .....	-5		
68.90 Spending authority from offsetting collections (total discretionary) .....	179	196	218
70.00 Total new budget authority (gross) .....	1,229	1,318	1,430
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	346	371	372
72.95 Uncollected customer payments from Federal sources, start of year .....	-11	-13	-13
72.99 Obligated balance, start of year .....	335	358	359
73.10 Total new obligations .....	1,235	1,295	1,427
73.20 Total outlays (gross) .....	-1,205	-1,294	-1,392
73.40 Adjustments in expired accounts (net) .....	-3		
73.45 Recoveries of prior year obligations .....	-2		
74.00 Change in uncollected customer payments from Federal sources .....	-2		

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-9911-0-1-554	2000 actual	2001 est.	2002 est.
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year .....	371	372	407
74.95 Uncollected customer payments from Federal sources, end of year .....	-13	-13	-13
74.99 Obligated balance, end of year .....	358	359	394
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	973	1,002	1,087
86.93 Outlays from discretionary balances .....	231	291	304
86.97 Outlays from new mandatory authority .....	1	1	1
87.00 Total outlays (gross) .....	1,205	1,294	1,392
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-182	-196	-218
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources .....	-2		
88.96 Adjustment to uncollected customer payments from Federal sources .....	5		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,050	1,122	1,212
90.00 Outlays .....	1,023	1,098	1,174

(In millions of dollars)

	2000	2001	2002
<b>Distribution of budget authority by account:</b>			
Salaries and expenses .....	950	991	1078
Rental payments (FDA) .....	88	99	99
Buildings and facilities .....	11	31	34
<b>Distribution of outlays by account:</b>			
Salaries and expenses .....	923	981	1055
Rental payments (FDA) .....	88	99	99
Buildings and facilities .....	12	18	30

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,050	1,122	1,212
Outlays .....	1,023	1,098	1,174
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			
Outlays .....			
<b>Total:</b>			
Budget Authority .....	1,050	1,122	1,212
Outlays .....	1,023	1,098	1,174

The Food and Drug Administration (FDA) administers laws concerning misbranded and adulterated foods, drugs, human biologics, medical devices, cosmetics, and human-made sources of radiation. The Budget provides a \$123.6 million (+10 percent) increase over the FY 2001 enacted program level. The Budget includes \$1,176.6 million for salaries and expenses, which includes funding for the prevention and surveillance of Bovine Spongiform Encephalopathy (BSE); prevention of adverse events related to human drugs, medical devices, and biologic products; protection of human subjects in clinical studies; food safety; inspections of FDA-regulated products and related production processes, as well as funding for the first phase of an integrated financial system, and the first phase of FDA's consolidation into the White Oak Maryland site. Of the salaries and expenses amount, \$98.9 million will be used for payments to the General Services Administration for rent and rent related costs (an additional \$6.2 million will be derived from fees). In addition, the Budget includes \$203.5 million for user fees, an increase of \$33.1 million in user fees over FY 2001, which will be used to

finance FDA activities. The \$203.5 million in user fees includes \$20 million in new user fees for import program operations and food export certificates. The buildings and facilities appropriation of \$34.3 million provides funds for projects related to repair, construction, alteration, and improvement of buildings and facilities of FDA, including a replacement laboratory in Los Angeles, California.

**Object Classification (in millions of dollars)**

Identification code 75-9911-0-1-554	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	457	493	542
11.3 Other than full-time permanent .....	30	31	34
11.5 Other personnel compensation .....	17	18	20
11.9 Total personnel compensation .....	504	542	596
12.1 Civilian personnel benefits .....	119	125	137
21.0 Travel and transportation of persons .....	21	18	21
22.0 Transportation of things .....	4	2	3
23.1 Rental payments to GSA .....	88	99	99
23.2 Rental payments to others .....	5	6	6
23.3 Communications, utilities, and miscellaneous charges .....	18	21	26
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	15	12	16
25.2 Other services .....	54	50	57
25.3 Purchases of goods and services from Government accounts .....	49	45	48
25.4 Operation and maintenance of facilities .....	31	24	26
25.5 Research and development contracts .....	30	23	31
25.7 Operation and maintenance of equipment .....	24	26	27
26.0 Supplies and materials .....	15	17	20
31.0 Equipment .....	35	39	43
32.0 Land and structures .....	8	20	23
41.0 Grants, subsidies, and contributions .....	25	25	25
42.0 Insurance claims and indemnities .....	2	2	2
99.0 Subtotal, direct obligations .....	1,050	1,099	1,209
99.0 Reimbursable obligations .....	185	196	218
99.9 Total new obligations .....	1,235	1,295	1,427

**Personnel Summary**

Identification code 75-9911-0-1-554	2000 actual	2001 est.	2002 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	7,729	7,967	8,269
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	1,138	1,145	1,165
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	17		

**SALARIES AND EXPENSES**

(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-9911-2-1-554	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....			20
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			20
23.95 Total new obligations .....			-20
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....			20
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			20
73.20 Total outlays (gross) .....			-20

<b>Outlays (gross), detail:</b>	
86.90	Outlays from new discretionary authority ..... 20
<b>Offsets:</b>	
Against gross budget authority and outlays:	
88.40	Offsetting collections (cash) from: Non-Federal sources ..... -20
<b>Net budget authority and outlays:</b>	
89.00	Budget authority ..... 20
90.00	Outlays ..... -20

The Budget includes \$203.5 million in user fees, of which \$20.0 million are new user fees to finance FDA activities as reflected in the legislative proposal schedule. The authorizing legislation will be proposed to authorize the collection and spending of the fees subject to appropriations action.

<b>Object Classification (in millions of dollars)</b>			
Identification code 75-9911-2-1-554	2000 actual	2001 est.	2002 est.
99.0	Reimbursable obligations: Subtotal, reimbursable obligations .....		20
99.9	Total new obligations .....		20

<b>Personnel Summary</b>			
Identification code 75-9911-2-1-554	2000 actual	2001 est.	2002 est.
2001	Total compensable workyears: Full-time equivalent employment .....		70

**Public enterprise funds:**

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

**Program and Financing (in millions of dollars)**

Identification code 75-4309-0-3-554	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00	Total new obligations .....	4	4
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance carried forward, start of year .....	3	2
22.00	New budget authority (gross) .....	4	4
23.90	Total budgetary resources available for obligation .....	7	6
23.95	Total new obligations .....	-4	-4
24.40	Unobligated balance carried forward, end of year .....	2	3
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00	Offsetting collections (cash) .....	4	4
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40	Unpaid obligations, start of year .....	1	2
72.99	Obligated balance, start of year .....	1	2
73.10	Total new obligations .....	4	4
73.20	Total outlays (gross) .....	-4	-4
Unpaid obligations, end of year:			
74.40	Unpaid obligations, end of year .....	2	1
74.99	Obligated balance, end of year .....	2	1
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new mandatory authority .....	4	4
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-4	-4
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		
90.00	Outlays .....		

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

**Object Classification (in millions of dollars)**

Identification code 75-4309-0-3-554	2000 actual	2001 est.	2002 est.
11.1	Personnel compensation: Full-time permanent .....	2	2
12.1	Civilian personnel benefits .....	1	1
25.2	Other services .....	1	1
99.9	Total new obligations .....	4	4

**Personnel Summary**

Identification code 75-4309-0-3-554	2000 actual	2001 est.	2002 est.
2001	Total compensable workyears: Full-time equivalent employment .....	33	38

**HEALTH RESOURCES AND SERVICES ADMINISTRATION**

*Federal Funds*

**General and special funds:**

HEALTH RESOURCES AND SERVICES

For carrying out titles II, III, VII, VIII, X, XII, XIX, and XXVI of the Public Health Service Act, section 427(a) of the Federal Coal Mine Health and Safety Act, title V and [section] sections 1128E and 1820 of the Social Security Act, the Health Care Quality Improvement Act of 1986, as amended, the Native Hawaiian Health Care Act of 1988, as amended, and the Poison Control Center Enhancement and Awareness Act, [\$5,525,476,000, of which \$226,224,000 shall be available for the construction and renovation of health care and other facilities, and] \$4,982,593,000, of which [\$25,000,000] \$24,997,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program under section 1820 of such Act: *Provided*, That the Division of Federal Occupational Health may utilize personal services contracting to employ professional management/administrative and occupational health professionals: *Provided further*, That of the funds made available under this heading, \$250,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided further*, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under the Act sufficient to recover the full costs of operating the National Practitioner Data Bank, and shall remain available until expended to carry out that Act: *Provided further*, That fees collected for the full disclosure of information under the "Health Care Fraud and Abuse Data Collection Program," authorized by section 1128E(d)(2) of the Social Security Act, shall be sufficient to recover the full costs of operating the program, and shall remain available until expended to carry out that Act: *Provided further*, That no more than \$5,000,000 is available for carrying out the provisions of Public Law 104-73: *Provided further*, That of the funds made available under this heading, [\$253,932,000] \$254,170,000 shall be for the program under title X of the Public Health Service Act to provide for voluntary family planning projects: *Provided further*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office: *Provided further*, That \$589,000,000 shall be for State AIDS Drug Assistance Programs authorized by section 2616 of the Public Health Service Act: *Provided further*, That of the amount provided under this heading, \$700,000 shall be for the American Federation of Negro Affairs Education and Research Fund of Philadelphia, \$900,000 shall be for the Des Moines University Osteopathic Medical Center, \$250,000 shall be for the University of Alaska,

General and special funds—Continued

HEALTH RESOURCES AND SERVICES—Continued

Anchorage, to train Alaska Natives as psychologists, \$900,000 shall be for Northeastern University in Boston, Massachusetts to train doctors to serve in low-income communities, \$500,000 shall be for the University of Alaska, Anchorage, to recruit and train nurses in rural areas, and \$230,000 shall be for the Illinois Poison Center: **Provided further,** That, notwithstanding section 502(a)(1) of the Social Security Act, not to exceed **[\$113,728,000] \$108,622,000** is available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act, of which \$5,000,000 is for Columbia Hospital for Women Medical Center in Washington, D.C., to support community outreach programs for women, \$5,000,000 is for continuation of the traumatic brain injury State demonstration projects, and \$100,000 is for St. Joseph's Health Services of Rhode Island for the Providence Smiles dental program for low-income children.]

[For special projects of regional and national significance under section 501(a)(2) of the Social Security Act, \$30,000,000, which shall become available on October 1, 2001, and shall remain available until September 30, 2002: **Provided,** That such amount shall not be counted toward compliance with the allocation required in section 502(a)(1) of such Act: **Provided further,** That such amount shall be used only for making competitive grants to provide abstinence education (as defined in section 510(b)(2) of such Act) to adolescents and for evaluations (including longitudinal evaluations) of activities under the grants and for Federal costs of administering the grants: **Provided further,** That grants shall be made only to public and private entities which agree that, with respect to an adolescent to whom the entities provide abstinence education under such grant, the entities will not provide to that adolescent any other education regarding sexual conduct, except that, in the case of an entity expressly required by law to provide health information or services the adolescent shall not be precluded from seeking health information or services from the entity in a different setting than the setting in which the abstinence education was provided: **Provided further,** That the funds expended for such evaluations may not exceed 3.5 percent of such amount]: **Provided further,** \$7,556,000 shall be available under section 751 of the Public Health Service Act and \$1,907,000 shall be available under section 755, notwithstanding section 757(b) of such Act. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 75-0350-0-1-550                      2000 actual      2001 est.      2002 est.

Obligations by program activity:

Program Activity	2000 actual	2001 est.	2002 est.
<b>Direct program:</b>			
00.02 Loan guarantee subsidy .....		1	1
00.07 Reestimates of loan guarantee subsidy .....	3		
00.08 Interest on reestimates of loan guarantee subsidy .....	1		
00.10 Health centers .....	1,013	1,164	1,288
00.11 National Health Service Corps .....	38	42	43
00.12 National Health Service Corps recruitment .....	79	88	84
00.13 Hansen's disease center .....	20	18	18
00.14 Payment to Hawaii for the treatment of Hansen's disease .....	2	2	2
00.15 Black lung clinics .....	6	6	6
00.16 Nursing loan repayment .....	2	2	2
00.17 Health professions .....	300	353	140
00.18 Maternal and child health block grant .....	709	714	709
00.19 Healthy start .....	90	90	90
00.20 Universal newborn hearing .....	3	8	7
00.21 Emergency medical services .....	17	19	16
00.22 Poison control centers .....	3	20	16
00.23 HIV/AIDS .....	1,594	1,808	1,808
00.24 Organ transplantation .....	10	15	20
00.25 Health care facilities .....	115	255	
00.26 Bone marrow donor registry .....	18	22	22
00.27 Rural health policy development .....	33	13	6
00.28 Rural health outreach grants .....	36	58	38
00.29 Rural health flexibility grants .....	25	25	25
00.30 Telehealth .....		36	6
00.31 Program management .....	125	138	147
00.32 Family planning .....	239	254	254
00.33 Abstinence education .....	44	50	50
00.34 State access program .....	15	15	15
00.35 Community access program .....	25	125	
00.36 Health centers tort claim fund .....	12	13	13

00.37 Trauma EMS .....		3	2
00.38 Adoption awareness .....		10	10
00.39 Childrens' GME .....	40	235	200
00.40 Denali commission .....		10	
00.41 Discretionary abstinence grants .....		20	30
00.42 State offices of rural health .....			4
03.00 Total direct programs .....	4,617	5,632	5,072
09.01 Reimbursable program .....	129	147	141
10.00 Total new obligations .....	4,746	5,779	5,213

Budgetary resources available for obligation:

21.40 Unobligated balance carried forward, start of year	51	49	39
22.00 New budget authority (gross) .....	4,751	5,769	5,204
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation	4,803	5,818	5,243
23.95 Total new obligations .....	-4,746	-5,779	-5,213
23.98 Unobligated balance expiring or withdrawn .....	-8		
24.40 Unobligated balance carried forward, end of year .....	49	39	30

New budget authority (gross), detail:

<b>Discretionary:</b>			
40.00 Appropriation .....	4,590	5,551	4,983
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS) .....		-1	
40.76 Reduction pursuant to P.L. 106-113 .....	-21		
41.00 Transferred to other accounts .....	-1		
43.00 Appropriation (total discretionary) .....	4,568	5,550	4,983
55.00 Advance appropriation .....		20	30
<b>Mandatory:</b>			
60.00 Appropriation .....	50	50	50
60.05 Appropriation (indefinite) .....	4		
62.50 Appropriation (total mandatory) .....	54	50	50
<b>Spending authority from offsetting collections:</b>			
<b>Discretionary:</b>			
68.00 Offsetting collections (cash) .....	118	145	133
68.10 Change in uncollected customer payments from Federal sources .....	-31	-53	
68.15 Adjustments to uncollected customer payments from Federal sources .....	41	53	
68.90 Spending authority from offsetting collections (total discretionary) .....	128	145	133
<b>Mandatory:</b>			
69.00 Offsetting collections (cash)(HIPDB) .....	1	4	8
70.00 Total new budget authority (gross) .....	4,751	5,769	5,204

Change in unpaid obligations:

<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year .....	3,162	3,512	4,405
72.95 Uncollected customer payments from Federal sources, start of year .....	-84	-53	
72.99 Obligated balance, start of year .....	3,078	3,459	4,405
73.10 Total new obligations .....	4,746	5,779	5,213
73.20 Total outlays (gross) .....	-4,333	-4,830	-5,279
73.40 Adjustments in expired accounts (net) .....	-62	-55	
73.45 Recoveries of prior year obligations .....	-1		
74.00 Change in uncollected customer payments from Federal sources .....	31	53	
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year .....	3,512	4,405	4,339
74.95 Uncollected customer payments from Federal sources, end of year .....	-53		
74.99 Obligated balance, end of year .....	3,459	4,405	4,339

Outlays (gross), detail:

86.90 Outlays from new discretionary authority .....	1,734	2,217	1,998
86.93 Outlays from discretionary balances .....	2,562	2,564	3,242
86.97 Outlays from new mandatory authority .....	5	17	21
86.98 Outlays from mandatory balances .....	30	32	18
87.00 Total outlays (gross) .....	4,333	4,830	5,279

Offsets:

<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....	-105	-132	-124
88.40 Non-Federal sources .....	-14	-17	-17
88.90 Total, offsetting collections (cash) .....	-119	-149	-141

Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources .....	31	53
88.96	Adjustment to uncollected customer payments from Federal sources .....	-41	-53
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	4,622	5,620
90.00	Outlays .....	4,212	4,681
			5,138

(Dollars in millions)

	2000	2001	2002
Distribution of budget authority by account:			
Health resources and services .....	1013	1164	1288
Health centers malpractice claims .....	5	5	5
Distribution of outlays by account:			
Health resources and services .....	1013	1164	1288
Health centers malpractice claims .....	12	13	13

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 75-0350-0-1-550	2000 actual	2001 est.	2002 est.
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Health centers: Facilities renovation loan guarantee levels .....	1	14	12
2150 Health centers: Managed care network development loan guarantee levels .....			2
2150 Health centers: Managed care plan loan guarantee levels .....	4	18	7
2159 Total loan guarantee levels .....	5	32	21
Guaranteed loan subsidy (in percent):			
2320 Facilities loan subsidy rate .....	4.12	0.43	4.08
2320 Managed care network development loan subsidy rate .....	8.19	7.71	9.27
2320 Managed care plan loan subsidy rate .....	5.39	3.93	5.00
2329 Weighted average subsidy rate .....	5.20	2.11	4.88
Guaranteed loan subsidy budget authority:			
2330 Facilities construction loans .....			1
2330 Managed care network development loans .....			
2330 Managed care plan loan subsidy budget authority .....		1	
2330 Subsidy budget authority—reestimate .....	4		
2339 Total subsidy budget authority .....	4	1	1
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays facilities renovation .....			1
2340 Subsidy outlays managed care networks .....			
2340 Subsidy outlays—managed care plans .....		1	
2340 Subsidy outlays—reestimates .....	4		
2349 Total subsidy outlays .....	4	1	1
Administrative expense data:			
3510 Administrative expenses .....	1	1	1
3590 Outlays for administrative expenses .....	1	1	1

Activities displayed here support categorical health resources and services grants and the Medical malpractice claims fund, which pays malpractice claims filed against employees of federally-supported health centers. The FY 2002 Budget includes a Healthy Communities Innovation Fund Initiative that makes available approximately \$400 million in existing grant activity for innovations in health care delivery at the State and local level. Of the \$400 million total, Health Resources and Services Administration may make available approximately \$220 million to communities to address health care access issues in innovative ways. Funding is also available for the Innovation Fund in the Centers for Disease Control and Prevention and Health Care Financing Administration. HHS will coordinate these funding streams to achieve the goals of the Initiative.

**Object Classification (in millions of dollars)**

Identification code 75-0350-0-1-550	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	103	116	121
11.3 Other than full-time permanent .....	4	4	5

11.5	Other personnel compensation .....	4	5	5
11.9	Total personnel compensation .....	111	125	131
12.1	Civilian personnel benefits .....	29	32	33
21.0	Travel and transportation of persons .....	5	5	5
22.0	Transportation of things .....	1	1	1
23.1	Rental payments to GSA .....	12	12	12
23.3	Communications, utilities, and miscellaneous charges .....	4	5	4
24.0	Printing and reproduction .....	2	2	2
25.1	Advisory and assistance services .....	43	50	52
25.2	Other services .....	68	73	82
25.3	Purchases of goods and services from Government accounts .....	64	73	72
25.4	Operation and maintenance of facilities .....	1	1	1
25.6	Medical care .....	1	1	1
25.7	Operation and maintenance of equipment .....	4	5	5
26.0	Supplies and materials .....	2	2	2
31.0	Equipment .....	6	6	9
41.0	Grants, subsidies, and contributions .....	4,253	5,226	4,647
42.0	Insurance claims and indemnities .....	11	13	13
99.0	Subtotal, direct obligations .....	4,617	5,632	5,072
99.0	Reimbursable obligations .....	129	147	141
99.9	Total new obligations .....	4,746	5,779	5,213

**Personnel Summary**

Identification code 75-0350-0-1-550	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	1,695	1,816	1,792
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	242	272	272

**VACCINE INJURY COMPENSATION**

**Program and Financing (in millions of dollars)**

Identification code 75-0320-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 42.0) .....	74	30	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	115	43	13
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	116	43	13
23.95 Total new obligations .....	-74	-30	
24.40 Unobligated balance carried forward, end of year .....	43	13	13
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	5	3	
72.99 Obligated balance, start of year .....	5	3	
73.10 Total new obligations .....	74	30	
73.20 Total outlays (gross) .....	-75	-33	
73.45 Recoveries of prior year obligations .....	-1		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	3		
74.99 Obligated balance, end of year .....	3		
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	75	33	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	75	33	

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988 are financed from the General Fund and

**General and special funds—Continued**

**VACCINE INJURY COMPENSATION—Continued**

are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 backlog of claims yet to be adjudicated, no appropriation is requested in FY 2002 to cover payment of pre-1988 claims. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine improvement trust fund account.

**PAYMENT TO THE RICKY RAY HEMOPHILIA RELIEF FUND**

[SEC. 150. There is hereby appropriated for payment to the Ricky Ray Hemophilia Relief Fund, as provided by Public Law 105-369, \$105,000,000, of which notwithstanding any other provision of law \$10,000,000 shall be for program management of the Health Resources and Services Administration, to remain available until expended.] (*Division B, Miscellaneous Appropriations Act, 2001, as enacted by section 1(a)(4) of P.L. 106-554.*)

**[SEC. 932. APPROPRIATIONS FOR RICKY RAY HEMOPHILIA RELIEF FUND.]**

[Section 101(e) of the Ricky Ray Hemophilia Relief Fund Act of 1998 (42 U.S.C. 300c-22 note) is amended by adding at the end the following: "There is appropriated to the Fund \$475,000,000 for fiscal year 2001, to remain available until expended.".] (*Medicare, Medicaid, and SCHIP Benefits Improvement and Protection Act of 2000 (H.R. 5561), as enacted by section 1(a)(6) of P.L. 106-554.*)

**Program and Financing (in millions of dollars)**

Identification code 75-0355-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 92.0)		580	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)		580	
23.95 Total new obligations		-580	
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation		580	
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations		580	
73.20 Total outlays (gross)		-580	
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority		580	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority		580	
90.00 Outlays		580	

The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophilic factor between July 1982 and December 1987.

**Credit accounts:**

**HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 75-4442-0-3-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Default claims	4		
10.00 Total new obligations	4		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1		1

22.00 New financing authority (gross)	4	1	1
23.90 Total budgetary resources available for obligation	5	1	2
23.95 Total new obligations	-4		
24.40 Unobligated balance carried forward, end of year		1	3

**New financing authority (gross), detail:**

Discretionary:			
68.00 Spending authority from offsetting collections (gross): (Federal sources: From program account)	4	1	1

**Change in unpaid obligations:**

73.10 Total new obligations	4		
73.20 Total financing disbursements (gross)	-4		
87.00 Total financing disbursements (gross)	4		

**Offsets:**

Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources	-4	-1	-1

**Net financing authority and financing disbursements:**

89.00 Financing authority			
90.00 Financing disbursements		-1	-1

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 75-4442-0-3-551	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	5	32	21
2150 Total guaranteed loan commitments	5	32	21
2199 Guaranteed amount of guaranteed loan commitments	4	26	17
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	4	5	37
2231 Disbursements of new guaranteed loans	5	32	21
2251 Repayments and prepayments			
2263 Adjustments: Terminations for default that result in claim payments	-4		
2290 Outstanding, end of year	5	37	58
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	4	31	49

P.L. 104-299 and P.L. 104-208 authorize HRSA to guarantee up to \$80 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. This program has used \$13.7 million of its available \$160 million in loan guarantee authority, HRSA plans to use approximately \$53 million of the remaining existing loan guarantee limit over FY 2001 and FY 2002. As required by the Federal Credit Reform Act of 1990, this financing account records all cash flows to and from the Government resulting from the Health center loan guarantee program. The program account for this activity is displayed in the Health resources and services account (75-0350) as a line in the program and financing schedule.

**Balance Sheet (in millions of dollars)**

Identification code 75-4442-0-3-551	1999 actual	2000 actual	2001 est.	2002 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury	1	1		1
1999 Total assets	1	1		1
<b>LIABILITIES:</b>				
2204 Non-Federal liabilities: Liabilities for loan guarantees	5	5	1	1
2999 Total liabilities	5	5	1	1
<b>NET POSITION:</b>				
3300 Cumulative results of operations		-4	-1	

3999	Total net position .....	-4	-1	
4999	Total liabilities and net position .....	5	1	1

Administrative expense data:				
3510	Budget authority .....	4	4	4
3580	Outlays from balances .....	1	1	
3590	Outlays from new authority .....	3	4	4

**HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT**

Such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the Public Health Service Act, as amended. For administrative expenses to carry out the guaranteed loan program, including section 709 of the Public Health Service Act, **[\$3,679,000] \$3,792,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

**General Fund Credit Receipt Accounts** (in millions of dollars)

Identification code 75-0340-0-1-552		2000 actual	2001 est.	2002 est.
0101	Health education assistance loans, downward reestimates of subsidies .....		136	

**Program and Financing** (in millions of dollars)

Identification code 75-0340-0-1-552		2000 actual	2001 est.	2002 est.
-------------------------------------	--	-------------	-----------	-----------

**Obligations by program activity:**

00.07	Reestimates of loan guarantee subsidy .....		7	
00.08	Interest on reestimates of loan guarantee subsidy .....		2	
00.09	Administrative expenses subject to limitation .....	4	4	4
10.00	Total new obligations .....	4	13	4

**Budgetary resources available for obligation:**

22.00	New budget authority (gross) .....	4	13	4
23.95	Total new obligations .....	-4	-13	-4

**New budget authority (gross), detail:**

Discretionary:				
40.00	Appropriation .....	4	4	4
Mandatory:				
60.05	Appropriation (indefinite) .....		9	
70.00	Total new budget authority (gross) .....	4	13	4

**Change in unpaid obligations:**

Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year .....	2	1	
72.99	Obligated balance, start of year .....	2	1	
73.10	Total new obligations .....	4	13	4
73.20	Total outlays (gross) .....	-4	-14	-4
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year .....	1		
74.99	Obligated balance, end of year .....	1		

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	3	4	4
86.93	Outlays from discretionary balances .....	1	1	
86.97	Outlays from new mandatory authority .....		9	
87.00	Total outlays (gross) .....	4	14	4

**Net budget authority and outlays:**

89.00	Budget authority .....	4	13	4
90.00	Outlays .....	4	14	4

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

Identification code 75-0340-0-1-552		2000 actual	2001 est.	2002 est.
Guaranteed loan subsidy budget authority:				
2330	Upward Reestimate .....		9	
2330	Downward Reestimate .....		-136	
2339	Total subsidy budget authority .....		-127	
Guaranteed loan subsidy outlays:				
2340	Subsidy outlays—Upward Reestimates .....		9	
2340	Downward Reestimates .....		-136	
2349	Total subsidy outlays .....		-127	

The Health education assistance loan (HEAL) program guarantees loans from private lenders to health professions students to help pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records, for the HEAL program, the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program. The administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

Identification code 75-0340-0-1-552		2000 actual	2001 est.	2002 est.
Direct obligations:				
11.1	Personnel compensation: Full-time permanent .....	2	2	2
25.3	Purchases of goods and services from Government accounts .....		1	1
41.0	Grants, subsidies, and contributions .....		9	
99.0	Subtotal, direct obligations .....	2	12	3
99.5	Below reporting threshold .....	2	1	1
99.9	Total new obligations .....	4	13	4

**Personnel Summary**

Identification code 75-0340-0-1-552		2000 actual	2001 est.	2002 est.
1001	Total compensable workyears: Full-time equivalent employment .....	28	25	25

**HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

Identification code 75-4304-0-3-552		2000 actual	2001 est.	2002 est.
-------------------------------------	--	-------------	-----------	-----------

**Obligations by program activity:**

00.01	Death and disability claims .....	1	1	2
00.02	Default claims .....	15	27	31
00.91	Subtotal .....	16	28	33
08.02	Obligations for downward reestimates .....		79	
08.04	Obligations for interest on the downward reestimate .....		57	
08.91	Subtotal .....		136	
10.00	Total new obligations .....	16	164	33

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year .....	295	301	174
22.00	New financing authority (gross) .....	22	37	37
23.90	Total budgetary resources available for obligation .....	317	338	211
23.95	Total new obligations .....	-16	-164	-33
24.40	Unobligated balance carried forward, end of year .....	301	174	178

**New financing authority (gross), detail:**

Discretionary:				
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	22	37	37

**Change in unpaid obligations:**

73.10	Total new obligations .....	16	164	33
73.20	Total financing disbursements (gross) .....	-16	-164	-33
87.00	Total financing disbursements (gross) .....	16	164	33

**Offsets:**

Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources .....		-9	
88.25	Interest on uninvested funds .....	-20	-24	-32
88.40	Recoveries of defaulted loans .....	-2	-4	-5

Credit accounts—Continued

HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—  
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-4304-0-3-552	2000 actual	2001 est.	2002 est.
88.90 Total, offsetting collections (cash) .....	-22	-37	-37
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....			
90.00 Financing disbursements .....	-6	127	-4

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4304-0-3-552	2000 actual	2001 est.	2002 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders .....			
2150 Total guaranteed loan commitments .....			
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,551	1,535	1,507
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-15	-27	-31
2263 Terminations for default that result in claim payments .....	-1	-1	-2
2290 Outstanding, end of year .....	1,535	1,507	1,474
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,535	1,507	1,474
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	38	53	76
2331 Disbursements for guaranteed loan claims .....	15	27	31
2351 Repayments of loans receivable .....		-4	-5
2390 Outstanding, end of year .....	53	76	102

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed between 1992 and 1998.

Balance Sheet (in millions of dollars)

Identification code 75-4304-0-3-552	1999 actual	2000 actual	2001 est.	2002 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	295	301	174	178
Non-Federal assets:				
1201 Investments in non-Federal securities, net .....	45	20	24	32
1206 Receivables, net .....	3	2	4	5
1999 Total assets .....	343	323	202	215
<b>LIABILITIES:</b>				
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1,551	1,535	1,507	1,474
2999 Total liabilities .....	1,551	1,535	1,507	1,474
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	-1,208	-1,212	-1,305	-1,259
3999 Total net position .....	-1,208	-1,212	-1,305	-1,259
4999 Total liabilities and net position .....	343	323	202	215

HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4305-0-3-552	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Death and disability claims .....	4	5	6
00.02 Defaulted loans .....	21	18	16
00.03 Debt collection .....	3	7	8
10.00 Total new obligations .....	28	30	30
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	52	30	30
22.40 Capital transfer to general fund .....	-24		
23.90 Total budgetary resources available for obligation .....	28	30	30
23.95 Total new obligations .....	-28	-30	-30
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite) .....	32	10	10
69.00 Offsetting collections (cash) .....	20	20	20
70.00 Total new budget authority (gross) .....	52	30	30
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	13	22	
72.99 Obligated balance, start of year .....	13	22	
73.10 Total new obligations .....	28	30	30
73.20 Total outlays (gross) .....	-18	-52	-30
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	22		
74.99 Obligated balance, end of year .....	22		
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	18	30	30
86.98 Outlays from mandatory balances .....		22	
87.00 Total outlays (gross) .....	18	52	30
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-20	-20	-20
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	32	10	10
90.00 Outlays .....	-2	32	10

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4305-0-3-552	2000 actual	2001 est.	2002 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1,338	1,267	1,176
2251 Repayments and prepayments .....	-64	-79	-86
Adjustments:			
2261 Terminations for default that result in loans receivable .....	-5	-9	-8
2263 Terminations for default that result in claim payments .....	-2	-3	-3
2290 Outstanding, end of year .....	1,267	1,176	1,079
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,267	1,176	1,079
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year .....	496	500	495
2331 Disbursements for guaranteed loan claims .....	24	25	24
2351 Repayments of loans receivable .....	-14	-14	-14
2361 Write-offs of loans receivable .....	-16	-16	-16
2364 Other adjustments, net .....	10		
2390 Outstanding, end of year .....	500	495	489

Note.—Includes carryover commitments from prior years.  
Note.—The adjustment to loans receivable represents interest, which had not previously been reflected in cumulative outstanding balances.



This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed prior to 1992.

**Statement of Operations** (in millions of dollars)

Identification code 75-4305-0-3-552	1999 actual	2000 actual	2001 est.	2002 est.
0101 Revenue	45	28	30	30
0102 Expense	-27	-28	-30	-30
0105 Net income or loss (-)	18			

**Balance Sheet** (in millions of dollars)

Identification code 75-4305-0-3-552	1999 actual	2000 actual	2001 est.	2002 est.
<b>ASSETS:</b>				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross	496	500	495	489
1702 Interest receivable	6	6	6	6
1704 Defaulted guaranteed loans and interest receivable, net	502	506	501	495
1799 Value of assets related to loan guarantees	502	506	501	495
1801 Other Federal assets: Cash and other monetary assets	27	28	30	30
1999 Total assets	529	534	531	525
<b>LIABILITIES:</b>				
2104 Federal liabilities: Resources payable to Treasury	2	2	3	3
2201 Non-Federal liabilities: Accounts payable	20	24	25	24
2999 Total liabilities	22	26	28	27
<b>NET POSITION:</b>				
3300 Cumulative results of operations	507	508	503	498
3999 Total net position	507	508	503	498
4999 Total liabilities and net position	529	534	531	525

**Object Classification** (in millions of dollars)

Identification code 75-4305-0-3-552	2000 actual	2001 est.	2002 est.
25.2 Other services	3	7	8
42.0 Insurance claims and indemnities	25	23	22
99.9 Total new obligations	28	30	30

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND**

**FEDERAL INTEREST SUBSIDIES FOR MEDICAL FACILITIES**

**Program and Financing** (in millions of dollars)

Identification code 75-9931-0-3-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Operating expenses: Interest subsidies, private	1		
10.00 Total new obligations (object class 41.0)	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	48	51	53
22.00 New budget authority (gross)	6	5	4
22.60 Portion applied to repay debt	-2	-3	-3
23.90 Total budgetary resources available for obligation	52	53	54
23.95 Total new obligations	-1		
24.40 Unobligated balance carried forward, end of year	51	53	54
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation	1		
69.00 Offsetting collections (cash)	5	5	4
70.00 Total new budget authority (gross)	6	5	4

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	15	15	15
72.99 Obligated balance, start of year	15	15	15
73.10 Total new obligations	1		
73.20 Total outlays (gross)	-1		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year	15	15	15
74.99 Obligated balance, end of year	15	15	15

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority	1		
--	---	--	--

**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Principal repaid on loans not sold	-2	-2	-1
88.40 Principal collections from FFB	-3	-3	-3
88.90 Total, offsetting collections (cash)	-5	-5	-4

**Net budget authority and outlays:**

89.00 Budget authority	1		
90.00 Outlays	-4	-5	-4

**Status of Direct Loans** (in millions of dollars)

Identification code 75-9931-0-3-551	2000 actual	2001 est.	2002 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	15	11	3
1251 Repayments: Repayments and prepayments	-5	-8	-3
1264 Write-offs for default: Accruals and adjustments, net	1		
1290 Outstanding, end of year	11	3	

**Status of Guaranteed Loans** (in millions of dollars)

Identification code 75-9931-0-3-551	2000 actual	2001 est.	2002 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	45	24	3
2251 Repayments and prepayments	-21	-21	-3
2290 Outstanding, end of year	24	3	

**Memorandum:**

2299 Guaranteed amount of guaranteed loans outstanding, end of year	24		
---	----	--	--

Title VII, part C of the Public Health Service Act established a revolving fund from which health professions schools could borrow in order to provide loans to their students.

Public Law 89-751, the Allied Health Professions Personnel Training Act of 1966, amended the Public Health Service Act to authorize the Federal Government to pay the difference between the interest paid by students to the schools and the interest payable by the schools to the Government National Mortgage Association (GNMA) and the Treasury.

Title VI and subsequently title XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans. Unobligated balances will be used to pay defaults and interest subsidy payments.

**Trust Funds**

**VACCINE INJURY COMPENSATION PROGRAM TRUST FUND**

For payments from the Vaccine Injury Compensation Program Trust Fund, such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the Public Health Service Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed \$2,992,000 shall be available from the Trust Fund to the Secretary of Health and Human Services. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Unavailable Collections (in millions of dollars)**

Identification code 20-8175-0-7-551	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	1,398	1,546	1,649
<b>Receipts:</b>			
02.00 Deposits	133	134	137
02.40 Interest income	83	93	100
02.99 Total receipts and collections	216	227	237
04.00 Total: Balances and collections	1,614	1,773	1,886
<b>Appropriations:</b>			
05.00 Vaccine injury compensation program trust fund	-68	-124	-125
05.99 Total appropriations	-68	-124	-125
07.99 Balance, end of year	1,546	1,649	1,761

**Program and Financing (in millions of dollars)**

Identification code 20-8175-0-7-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Compensation: Claims for post—FY 1989 injuries	58	114	115
<b>Administrative expenses:</b>			
01.03 Claims processing (Claims Court)	3	3	3
01.04 Claims processing (Public Health Service)	3	3	3
01.05 Claims processing (Dept. of Justice)	4	4	4
01.91 Total, administrative expenses	10	10	10
10.00 Total new obligations	68	124	125
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	1	1	
22.00 New budget authority (gross)	68	124	125
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	70	125	125
23.95 Total new obligations	-68	-124	-125
24.40 Unobligated balance carried forward, end of year	1		
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.26 Appropriation (trust fund, definite)	10	10	10
<b>Mandatory:</b>			
60.27 Appropriation (trust fund, indefinite)	58	114	115
70.00 Total new budget authority (gross)	68	124	125
<b>Change in unpaid obligations:</b>			
<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year	1		
72.99 Obligated balance, start of year	1		
73.10 Total new obligations	68	124	125
73.20 Total outlays (gross)	-68	-124	-125
73.45 Recoveries of prior year obligations	-1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	10	10	10
86.97 Outlays from new mandatory authority	58	114	115
87.00 Total outlays (gross)	68	124	125
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	68	124	125
90.00 Outlays	68	124	125

**Memorandum (non-add) entries:**

92.01 Total investments, start of year: Federal securities:			
Par value	1,431	1,577	1,680
92.02 Total investments, end of year: Federal securities:			
Par value	1,577	1,680	1,793

The Vaccine improvement program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. This account reflects payments for claims for vaccine related injury or death occurring after October 1, 1988.

**Object Classification (in millions of dollars)**

Identification code 20-8175-0-7-551	2000 actual	2001 est.	2002 est.
25.2 Other services	3	3	3
25.3 Purchases of goods and services from Government accounts	7	7	7
42.0 Insurance claims and indemnities	58	114	115
99.9 Total new obligations	68	124	125

**RICKY RAY HEMOPHILIA RELIEF FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 75-8074-0-7-551	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
<b>Receipts:</b>			
02.40 Payments from the general fund	75	580	
<b>Appropriations:</b>			
05.00 Ricky Ray hemophilia relief fund	-75	-580	
05.99 Total appropriations	-75	-580	
07.99 Balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 75-8074-0-7-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Compensation—claims	39	360	241
00.02 Administrative expenses subject to limitation	5	4	3
10.00 Total new obligations	44	364	244
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year		31	247
22.00 New budget authority (gross)	75	580	
23.90 Total budgetary resources available for obligation	75	611	247
23.95 Total new obligations	-44	-364	-244
24.40 Unobligated balance carried forward, end of year	31	247	3
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.26 Appropriation (trust fund, definite)	75		
<b>Mandatory:</b>			
60.27 Appropriation (trust fund, indefinite)		580	
70.00 Total new budget authority (gross)	75	580	
<b>Change in unpaid obligations:</b>			
<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year		11	
72.99 Obligated balance, start of year		11	
73.10 Total new obligations	44	364	244
73.20 Total outlays (gross)	-33	-375	-244
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year	11		
74.99 Obligated balance, end of year	11		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	33		
86.93 Outlays from discretionary balances		42	
86.97 Outlays from new mandatory authority		333	

86.98	Outlays from mandatory balances .....			244
87.00	Total outlays (gross) .....	33	375	244
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	75	580	
90.00	Outlays .....	33	375	244

The Ricky Ray hemophilia relief fund was established pursuant to Public Law 105-369 and serves as a source of funds to pay claims to eligible individuals on behalf of persons with HIV and a blood clotting disorder, who were treated with an anti-hemophilic factor between July 1982 and December 1987.

**Object Classification** (in millions of dollars)

Identification code 75-8074-0-7-551	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....		2	2
25.2 Other services .....	5	1	1
42.0 Insurance claims and indemnities .....	39	360	241
99.0 Subtotal, direct obligations .....	44	363	244
99.5 Below reporting threshold .....		1	
99.9 Total new obligations .....	44	364	244

**Personnel Summary**

Identification code 75-8074-0-7-551	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment .....		22	22

**INDIAN HEALTH SERVICE**

**Federal Funds**

**General and special funds:**

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, [\$2,240,658,000] \$2,387,014,000, together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That \$15,000,000 shall remain available until expended, for the Indian Catastrophic Health Emergency Fund: *Provided further*, That [\$431,756,000] \$430,776,000 for contract medical care shall remain available for obligation until September 30, [2002] 2003: *Provided further*, That of the funds provided, up to \$22,000,000 shall be used to carry out the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That funds provided in this Act may be used for one-year contracts and grants which are to be performed in two fiscal years, so long as the total obligation is recorded in the year for which the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act (exclusive of planning, design, or construction of new facilities): *Provided further*, That funding contained herein, and in any earlier appropriations Acts for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available for obligation until September 30, [2002] 2003: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving

tribes and tribal organizations until expended: *Provided further*, That, notwithstanding any other provision of law, of the amounts provided herein, not to exceed [\$248,781,000] 288,234,000 shall be for payments to tribes and tribal organizations for contract or grant support costs associated with contracts, grants, self-governance compacts or annual funding agreements between the Indian Health Service and a tribe or tribal organization pursuant to the Indian Self-Determination Act of 1975, as amended, prior to or during fiscal year [2001] 2002, of which [not to exceed \$10,000,000 may be used] up to \$40,000,000 is for such costs associated with the *the Navajo Nation's* new and expanded contracts, grants, self-governance compacts or annual funding agreements: *Provided further*, That funds available for the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

**Program and Financing** (in millions of dollars)

Identification code 75-0390-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Clinical services .....	1,582	1,796	1,861
00.02 Preventive health .....	92	96	100
00.03 Urban health .....	28	30	30
00.04 Indian health professions .....	16	31	31
00.05 Tribal management .....	2	2	2
00.06 Direct operations .....	51	53	65
00.07 Self-governance .....	9	10	10
00.08 Contract support costs .....	229	248	288
00.09 Diabetes funds .....	30	100	100
09.01 Reimbursable program .....	639	707	736
10.00 Total new obligations .....	2,678	3,073	3,223

**Budgetary resources available for obligation:**

21.40 Unobligated balance carried forward, start of year	115	179	179
22.00 New budget authority (gross) .....	2,743	3,073	3,223
23.90 Total budgetary resources available for obligation	2,858	3,252	3,402
23.95 Total new obligations .....	-2,678	-3,073	-3,223
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance carried forward, end of year .....	179	179	179

**New budget authority (gross), detail:**

<b>Discretionary:</b>			
40.00 Appropriation .....	2,079	2,271	2,387
40.76 Reduction pursuant to P.L. 106-113 .....	-5		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent) .....		-5	
43.00 Appropriation (total discretionary) .....	2,074	2,266	2,387
<b>Mandatory:</b>			
60.00 Appropriation .....		70	70
62.00 Transferred from HCFA for diabetes .....	30	30	30
62.50 Appropriation (total mandatory) .....	30	100	100
<b>Discretionary:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	639	707	736
70.00 Total new budget authority (gross) .....	2,743	3,073	3,223

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year .....	481	449	585
72.99 Obligated balance, start of year .....	481	449	585
73.10 Total new obligations .....	2,678	3,073	3,223
73.20 Total outlays (gross) .....	-2,701	-2,937	-3,230
73.40 Adjustments in expired accounts (net) .....	-10		
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year .....	449	585	577
74.99 Obligated balance, end of year .....	449	585	577

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	2,236	2,451	2,573
86.93 Outlays from discretionary balances .....	435	402	558
86.97 Outlays from new mandatory authority .....	23	78	78
86.98 Outlays from mandatory balances .....	7	6	21
87.00 Total outlays (gross) .....	2,701	2,937	3,230

**General and special funds—Continued**

**INDIAN HEALTH SERVICES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0390-0-1-551	2000 actual	2001 est.	2002 est.
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	- 338	- 356	- 372
88.40 Non-Federal sources .....	- 301	- 351	- 364
88.90 Total, offsetting collections (cash) .....	- 639	- 707	- 736
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,104	2,366	2,487
90.00 Outlays .....	2,062	2,230	2,494

The Indian health services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$1,642 million will be administered by tribal governments under self-determination contracts and self-governance compacts in FY 2002.

**Object Classification (in millions of dollars)**

Identification code 75-0390-0-1-551	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	290	309	331
11.3 Other than full-time permanent .....	14	15	16
11.5 Other personnel compensation .....	27	29	31
11.9 Total personnel compensation .....	331	353	378
12.1 Civilian personnel benefits .....	96	102	110
13.0 Benefits for former personnel .....	2	2	3
Travel and transportation of persons:			
21.0 Travel and transportation of persons .....	14	14	14
21.0 Patient Travel .....	15	15	15
22.0 Transportation of things .....	10	10	10
23.1 Rental payments to GSA .....	2	2	2
23.2 Rental payments to others .....	9	9	9
23.3 Communications, utilities, and miscellaneous charges .....	18	18	18
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	7	7	7
25.2 Other services .....	56	91	91
25.3 Purchases of goods and services from Government accounts .....	34	33	33
25.4 Operation and maintenance of facilities .....	1	4	5
25.5 Research and development contracts .....	1	1	1
25.6 Medical care .....	189	230	230
25.7 Operation and maintenance of equipment .....	4	4	4
25.8 Subsistence and support of persons .....	2	3	2
26.0 Supplies and materials .....	96	97	97
31.0 Equipment .....	14	14	14
41.0 Grants, subsidies, and contributions .....	1,136	1,355	1,442
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Subtotal, direct obligations .....	2,039	2,366	2,487
99.0 Reimbursable obligations .....	639	707	736
99.9 Total new obligations .....	2,678	3,073	3,223

**Personnel Summary**

Identification code 75-0390-0-1-551	2000 actual	2001 est.	2002 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	9,998	10,109	10,235
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	3,403	3,403	3,403

**INDIAN HEALTH FACILITIES**

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters

for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$363,904,000] \$319,795,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction or renovation of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land for sites to construct, improve, or enlarge health or related facilities: *Provided further*, That from the funds appropriated herein, \$5,000,000 shall be designated by the Indian Health Service as a contribution to the Yukon-Kuskokwim Health Corporation (YKHC) to start a priority project for the acquisition of land, planning, design and construction of 79 staff quarters at Bethel, Alaska, subject to a negotiated project agreement between the YKHC and the Indian Health Service: *Provided further*, That this project shall not be subject to the construction provisions of the Indian Self-Determination and Education Assistance Act and shall be removed from the Indian Health Service priority list upon completion: *Provided further*, That the Federal Government shall not be liable for any property damages or other construction claims that may arise from YKHC undertaking this project: *Provided further*, That the land shall be owned or leased by the YKHC and title to quarters shall remain vested with the YKHC: *Provided further*, That notwithstanding any provision of law governing Federal construction, \$2,240,000 of the funds provided herein shall be provided to the Hopi Tribe to reduce the debt incurred by the Tribe in providing staff quarters to meet the housing needs associated with the new Hopi Health Center: *Provided further*, That \$5,000,000 shall remain available until expended for the purpose of funding joint venture health care facility projects authorized under the Indian Health Care Improvement Act, as amended: *Provided further*, That priority, by rank order, shall be given to tribes with outpatient projects on the existing Indian Health Services priority list that have Service-approved planning documents, and can demonstrate by March 1, 2001, the financial capability necessary to provide an appropriate facility: *Provided further*, That joint venture funds unallocated after March 1, 2001, shall be made available for joint venture projects on a competitive basis giving priority to tribes that currently have no existing Federally-owned health care facility, have planning documents meeting Indian Health Service requirements prepared for approval by the Service and can demonstrate the financial capability needed to provide an appropriate facility: *Provided further*, That the Indian Health Service shall request additional staffing, operation and maintenance funds for these facilities in future budget requests: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: *Provided further*, That not to exceed \$500,000 shall be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: *Provided further*, That not to exceed \$500,000 shall be placed in a Demolition Fund, available until expended, to be used by the Indian Health Service for demolition of Federal buildings: *Provided further*, That notwithstanding the provisions of title III, section 306, of the Indian Health Care Improvement Act (Public Law 94-437, as amended), construction contracts authorized under title I of the Indian Self-Determination and Education Assistance Act of 1975, as amended, may be used rather than grants to fund small ambulatory facility construction projects: *Provided further*, That if a contract is used, the IHS is authorized to improve municipal, private, or tribal lands, and that at no time, during construction or after completion of the project will the Federal Government have any rights or title to any real or personal property acquired as a part of the contract. (Department of the Interior and Related Agencies Appropriations Act, 2001.)

**Unavailable Collections (in millions of dollars)**

Identification code 75-0391-0-1-551	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year .....			

Receipts:			
02.20 Rent and charges for quarters, Indian health service, HHS .....	5	5	5
Appropriations:			
05.00 Indian health facilities .....	-5	-5	-5
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 75-0391-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Sanitation and health facilities .....	139	180	131
00.02 Maintenance .....	42	51	50
00.03 Facilities and environmental health .....	112	121	127
00.04 Equipment .....	12	16	16
01.00 Total direct program .....	305	368	324
09.01 Reimbursable program .....	7	4	4
10.00 Total new obligations .....	312	372	328
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	72	90	90
22.00 New budget authority (gross) .....	331	372	329
23.90 Total budgetary resources available for obligation	403	462	419
23.95 Total new obligations .....	-312	-372	-328
24.40 Unobligated balance carried forward, end of year .....	90	90	90

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	319	364	320
40.76 Reduction pursuant to P.L. 106-113 .....	-2		
40.77 Reduction pursuant to P.L. 106-554 (0.22 percent) .....		-1	
43.00 Appropriation (total discretionary) .....	317	363	320
Mandatory:			
60.25 Appropriation (special fund, indefinite) .....	5	5	5
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	9	4	4
70.00 Total new budget authority (gross) .....	331	372	329

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	334	321	392
72.99 Obligated balance, start of year .....	334	321	392
73.10 Total new obligations .....	312	372	328
73.20 Total outlays (gross) .....	-325	-302	-343
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	321	392	378
74.99 Obligated balance, end of year .....	321	392	378

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	104	113	100
86.93 Outlays from discretionary balances .....	217	184	238
86.97 Outlays from new mandatory authority .....	5	5	5
87.00 Total outlays (gross) .....	325	302	343

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-9	-4	-4

**Net budget authority and outlays:**

89.00 Budget authority .....	322	368	325
90.00 Outlays .....	317	298	339

The Indian health facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian health services.

**Object Classification** (in millions of dollars)

Identification code 75-0391-0-1-551	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	45	49	53

11.3 Other than full-time permanent .....	4	4	5
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	50	54	59
12.1 Civilian personnel benefits .....	13	15	15
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	11	11	12
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	126	179	131
25.3 Purchases of goods and services from Government accounts .....	1	6	6
25.4 Operation and maintenance of facilities .....	9	9	8
25.7 Operation and maintenance of equipment .....	2	3	2
26.0 Supplies and materials .....	7	7	7
31.0 Equipment .....	8	8	8
32.0 Land and structures .....	8	8	8
41.0 Grants, subsidies, and contributions .....	58	56	56
42.0 Insurance claims and indemnities .....	6	6	6
99.0 Subtotal, direct obligations .....	305	368	324
99.0 Reimbursable obligations .....	7	4	4
99.9 Total new obligations .....	312	372	328

**Personnel Summary**

Identification code 75-0391-0-1-551	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1,244	1,281	1,289

**ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE**

Appropriations in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 but at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and for uniforms or allowances therefore as authorized by 5 U.S.C. 5901-5902; and for expenses of attendance at meetings which are concerned with the functions or activities for which the appropriation is made or which will contribute to improved conduct, supervision, or management of those functions or activities: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651-2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86-121 (the Indian Sanitation Facilities Act) and Public Law 93-638, as amended: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title III of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title III of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*,

**General and special funds—Continued**

**ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE—Continued**

That funds made available in this Act are to be apportioned to the Indian Health Service as appropriated in this Act, and accounted for in the appropriation structure set forth in this Act: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities, on a reimbursable basis, including payment in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account which provided the funding, said amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: *Provided further*, That the appropriation structure for the Indian Health Service may not be altered without advance [approval of] notice submitted to the House and Senate Committees on Appropriations. (*Department of the Interior and Related Agencies Appropriations Act, 2001.*)

**CENTERS FOR DISEASE CONTROL AND PREVENTION**

**Federal Funds**

**General and special funds:**

**DISEASE CONTROL, RESEARCH, AND TRAINING**

To carry out titles II, III, VII, XI, XV, XVII, XIX and XXVI of the Public Health Service Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act of 1977, sections 20, 21, and 22 of the Occupational Safety and Health Act, of 1970, title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980; including insurance of official motor vehicles in foreign countries; and hire, maintenance, and operation of aircraft, [\$3,868,027,000] \$3,878,530,000, of which [\$175,000,000] \$150,000,000 shall remain available until expended for [the facilities master plan for] equipment and construction and renovation of facilities, and in addition, such sums as may be derived from authorized user fees, which shall be credited to this account, of which \$52,000,000 shall remain available until expended for the National Pharmaceutical Stockpile, and of which [\$104,527,000] \$116,527,000 for international HIV/AIDS programs shall remain available until September 30, [2002] 2003: *Provided*, That in addition to amounts provided herein, up to [\$71,690,000] \$126,978,000 shall be available from amounts available under section 241 of the Public Health Service Act to carry out the National Center for Health Statistics Surveys: *Provided further*, That none of the funds made available for injury prevention and control at the Centers for Disease Control and Prevention may be used to advocate or promote gun control: *Provided further*, That the Director may redirect the total amount made available under authority of Public Law 101-502, section 3, dated November 3, 1990, to activities the Director may so designate: *Provided further*, That the Congress is to be notified promptly of any such transfer: *Provided further*, That not to exceed \$10,000,000 may be available for making grants under section 1509 of the Public Health Service Act to not more than 15 States[: *Provided further*, That notwithstanding any other provision of law, a single contract or related contracts for development and construction of facilities may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18: *Provided further*, That funds obligated for influenza vaccine stockpile in fiscal year 2000 and fiscal year 2001 shall be considered as appropriated under Section 3 of Public Law 101-502]. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Unavailable Collections (in millions of dollars)**

Identification code 75-0943-0-1-999	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			

Receipts:			
02.20 Cooperative research and development agreements, Centers for Disease Contr	1	1	1
Appropriations:			
05.00 Disease control, research, and training	-1	-1	-1
07.99 Balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 75-0943-0-1-999	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Preventive health block grant	135	176	
00.02 Prevention centers	18	23	
00.03 Sexually transmitted diseases	136	148	
00.04 Immunization	491	530	
00.05 Infectious diseases	296	308	
00.06 Other chronic and environmental diseases	559	600	
00.07 Tobacco	98	103	
00.08 Occupational safety and health	215	119	
00.10 Epidemic services	104	175	
00.11 Health statistics	33	26	
00.12 HIV	706	767	
00.13 Prevention research	15	14	
00.14 Buildings and facilities	74	175	
00.15 Office of the director	38		
00.16 Violent crime reduction programs	50		
00.17 Eliminating racial and ethnic disparities	29	35	
00.18 Program administration		667	
00.19 Birth defects/developmental disabilities/disability & health			76
00.20 Chronic disease prev & health promotion			575
00.21 Environmental health			137
00.22 Epidemic services and response			80
00.24 HIV/AIDS, STD and TB prevention			1,069
00.25 Immunization			575
00.26 Infectious disease control			332
00.27 Injury prevention and control			144
00.28 Occupational safety and health			266
00.29 Preventive health & health service block grant			135
00.30 Public health improvement			109
00.31 Building and facilities			150
00.32 Office of the Director			49
00.33 Bioterrorism			182
09.01 Reimbursable program	202	205	240
10.00 Total new obligations	3,199	4,071	4,119
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year	43	26	39
22.00 New budget authority (gross)	3,183	4,085	4,123
23.90 Total budgetary resources available for obligation	3,226	4,111	4,162
23.95 Total new obligations	-3,199	-4,071	-4,119
23.98 Unobligated balance expiring or withdrawn	-1	-1	
24.40 Unobligated balance carried forward, end of year	26	39	43
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation	2,923	3,868	3,879
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS)		-2	
40.76 Reduction pursuant to P.L. 106-113	-19		
42.00 Transferred from other accounts	73		
43.00 Appropriation (total discretionary)	2,977	3,866	3,879
Mandatory:			
60.25 Appropriation (special fund, indefinite)	1	1	1
62.00 Transferred from other accounts	3	13	3
62.50 Appropriation (total mandatory)	4	14	4
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash)	130	205	240
68.10 Change in uncollected customer payments from Federal sources	51		
68.15 Adjustments to uncollected customer payments from Federal sources	21		
68.90 Spending authority from offsetting collections (total discretionary)	202	205	240
70.00 Total new budget authority (gross)	3,183	4,085	4,123
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	2,335	2,836	3,532

72.95	Uncollected customer payments from Federal sources, start of year .....	-112	-163	-163
72.99	Obligated balance, start of year .....	2,223	2,673	3,369
73.10	Total new obligations .....	3,199	4,071	4,119
73.20	Total outlays (gross) .....	-2,662	-3,377	-3,907
73.40	Adjustments in expired accounts (net) .....	-36		
74.00	Change in uncollected customer payments from Federal sources .....	-51		
	Unpaid obligations, end of year:			
74.40	Unpaid obligations, end of year .....	2,836	3,532	3,744
74.95	Uncollected customer payments from Federal sources, end of year .....	-163	-163	-163
74.99	Obligated balance, end of year .....	2,673	3,369	3,581
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	1,247	1,558	1,598
86.93	Outlays from discretionary balances .....	1,409	1,813	2,301
86.97	Outlays from new mandatory authority .....	4	6	2
86.98	Outlays from mandatory balances .....	2		6
87.00	Total outlays (gross) .....	2,662	3,377	3,907
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-129	-204	-239
88.40	Non-Federal sources .....	-1	-1	-1
88.90	Total, offsetting collections (cash) .....	-130	-205	-240
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources .....	-51		
88.96	Adjustment to uncollected customer payments from Federal sources .....	-21		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	2,981	3,880	3,883
90.00	Outlays .....	2,531	3,172	3,667

(Dollars in millions)

	2000	2001	2002
Distribution of budget authority by account:			
Disease control, research and training .....	2,931	3,880	3,883
Violent crime reduction programs .....	50	0	0
Distribution of outlays by account:			
Disease control, research and training .....	2,509	3,163	3,665
Violent crime reduction programs .....	22	9	2

**Comparable BA by Activity (dollars in millions)**

	2000	2001	2002
Birth Defects/Developmental/Disabilities/Disability & Health ....	50	71	76
HIV/AIDS, STD, TB .....	854	1,045	1,069
HIV/AIDS (non-add) .....	687	849	872
Immunization .....	475	552	575
Infectious Disease Control .....	254	318	332
Environmental Health .....	88	137	137
Chronic Disease/Health Promotion .....	531	750	575
Preventive Health Block Grant .....	135	135	135
Occupational Safety and Health .....	226	260	266
Injury Prevention .....	132	143	144
Health Statistics BA .....	40	50	0
Program Level .....	112	122	127
Epidemic Services .....	69	78	80
Public Health Improvement .....	92	110	109
Buildings and Facilities .....	57	175	150
Bioterrorism .....	153	181	182
Office of the Director .....	39	42	49
<b>Total .....</b>	<b>3,195</b>	<b>4,047</b>	<b>3,879</b>

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs including grants for immunizations, control of sexually transmitted diseases, HIV prevention, and control of other infectious and chronic diseases. CDC also supports the Preventive health block grant.

For FY 2002, CDC has modified and consolidated several budget categories to simplify its budget, and move towards budgeting its activities by Center. The new budget categories will continue to represent the total costs of a program, including both administrative costs and CDC's centralized services.

This display also includes amounts derived from the Violent crime reduction trust fund in FY 2000. In FY 2001 and FY

2002, the activities formerly supported by the trust fund are funded through the relevant program activity lines (preventive health block grant, injury control).

The FY 2002 display also includes CDC bioterrorism funding (\$182 million), which was previously included in the Public Health and Social Services Emergency Fund in FY 2000 and FY 2001.

The Healthy Communities Innovation Fund Initiative makes available approximately \$180 million in Centers for Disease Control and Prevention's existing grant activities to address health care issues at the State and local level in innovative ways. Funding is also available for the Innovation Fund in the Health Resources and Services Administration and Health Care Financing Administration.

**Object Classification (in millions of dollars)**

Identification code 75-0943-0-1-999	2000 actual	2001 est.	2002 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	320	352	390
11.3	Other than full-time permanent .....	30	33	34
11.5	Other personnel compensation .....	18	19	21
11.8	Special personal services payments .....	1	1	1
11.9	Total personnel compensation .....	369	405	446
12.1	Civilian personnel benefits .....	107	118	124
21.0	Travel and transportation of persons .....	30	36	36
22.0	Transportation of things .....	5	6	6
23.1	Rental payments to GSA .....	24	34	37
23.2	Rental payments to others .....	2	8	8
23.3	Communications, utilities, and miscellaneous charges .....	21	27	27
24.0	Printing and reproduction .....	5	6	6
25.1	Advisory and assistance services .....	82	112	29
25.2	Other services .....	63	69	62
25.3	Purchases of goods and services from Government accounts .....	115	121	93
25.4	Operation and maintenance of facilities .....	31	35	35
25.5	Research and development contracts .....	126	185	167
25.6	Medical care .....	2	4	4
25.7	Operation and maintenance of equipment .....	10	15	15
25.8	Subsistence and support of persons .....	1	1	1
26.0	Supplies and materials .....	24	33	33
31.0	Equipment .....	54	63	61
32.0	Land and structures .....	58	171	146
41.0	Grants, subsidies, and contributions .....	1,867	2,416	2,542
42.0	Insurance claims and indemnities .....	1	1	1
99.0	Subtotal, direct obligations .....	2,997	3,866	3,879
99.0	Reimbursable obligations .....	202	205	240
99.9	Total new obligations .....	3,199	4,071	4,119

**Personnel Summary**

Identification code 75-0943-0-1-999	2000 actual	2001 est.	2002 est.	
<b>Direct:</b>				
1001	Total compensable workyears: Full-time equivalent employment .....	6,562	6,892	7,162
<b>Reimbursable:</b>				
2001	Total compensable workyears: Full-time equivalent employment .....	654	669	673
<b>Allocation account:</b>				
3001	Total compensable workyears: Full-time equivalent employment .....	643	174	

**AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY**

**SALARIES AND EXPENSES**

For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i), 111(c)(4), and 111(c)(14) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended; section 118(f) of the Superfund Amendments and Reauthorization Act of 1986 (SARA), as amended; and section 3019 of the Solid Waste Disposal Act, as amended, **[\$75,000,000]** \$78,235,000, to be derived from the Hazardous Substance Superfund Trust Fund pursuant to section 517(a) of SARA (26 U.S.C. 9507):

**General and special funds—Continued**

**AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY—Continued**

*Provided*, That notwithstanding any other provision of law, in lieu of performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited health care providers: *Provided further*, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: *Provided further*, That none of the funds appropriated under this heading shall be available for the Agency for Toxic Substances and Disease Registry to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year [2001] 2002, and existing profiles may be updated as necessary. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.*)

**Program and Financing (in millions of dollars)**

Identification code 75-8252-0-7-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....		75	78
09.01 Reimbursable program .....		25	26
10.00 Total new obligations .....		100	104
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		100	104
23.95 Total new obligations .....		-100	-104
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund, definite) .....		75	78
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....		25	26
70.00 Total new budget authority (gross) .....		100	104
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....			49
72.99 Obligated balance, start of year .....			49
73.10 Total new obligations .....		100	104
73.20 Total outlays (gross) .....		-51	-85
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....		49	68
74.99 Obligated balance, end of year .....		49	68
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....		51	53
86.93 Outlays from discretionary balances .....			32
87.00 Total outlays (gross) .....		51	85
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....		-25	-26
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		75	78
90.00 Outlays .....		26	59

The Agency for Toxic Substances and Disease Registry (ATSDR) was previously funded through a transfer from the EPA Superfund. In FY 2001, funds were directly appropriated to ATSDR in the VA/HUD appropriations bill, but still financed through the Superfund. The FY 2002 Budget maintains this separate account structure for ATSDR.

**Object Classification (in millions of dollars)**

Identification code 75-8252-0-7-551	2000 actual	2001 est.	2002 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....		21	22

11.3 Other than full-time permanent .....	1	1
11.5 Other personnel compensation .....	1	1
11.9 Total personnel compensation .....	23	24
12.1 Civilian personnel benefits .....	6	6
21.0 Travel and transportation of persons .....	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1
25.1 Advisory and assistance services .....	4	4
25.2 Other services .....	2	2
25.3 Purchases of goods and services from Government accounts .....	8	8
25.5 Research and development contracts .....	6	8
31.0 Equipment .....	2	2
41.0 Grants, subsidies, and contributions .....	21	21
99.0 Subtotal, direct obligations .....	75	78
99.0 Reimbursable obligations .....	25	26
99.9 Total new obligations .....	100	104

**Personnel Summary**

Identification code 75-8252-0-7-551	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....		351	352
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....		76	77

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred in FY 2000 under allocations from other accounts are included in the schedules of the parent appropriation as follows:  
Environmental Protection Agency: "Hazardous substance response trust fund."

**NATIONAL INSTITUTES OF HEALTH**

**Federal Funds**

**General and special funds:**

**NATIONAL CANCER INSTITUTE**

For carrying out section 301 and title IV of the Public Health Service Act with respect to cancer, **[\$3,757,242,000] \$4,177,203,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**NATIONAL HEART, LUNG, AND BLOOD INSTITUTE**

For carrying out section 301 and title IV of the Public Health Service Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$2,299,866,000] \$2,567,429,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH**

For carrying out section 301 and title IV of the Public Health Service Act with respect to dental disease, **[\$306,448,000] \$341,898,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES**

For carrying out section 301 and title IV of the Public Health Service Act with respect to diabetes and digestive and kidney disease, **[\$1,303,385,000] \$1,457,915,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE**

For carrying out section 301 and title IV of the Public Health Service Act with respect to neurological disorders and stroke, **[\$1,176,482,000] \$1,316,448,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)



## NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to allergy and infectious diseases, **[\$2,043,208,000]** \$2,355,325,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to general medical sciences, **[\$1,535,823,000]** \$1,720,206,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the Public Health Service Act with respect to child health and human development, **[\$976,455,000]** \$1,096,650,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to eye diseases and visual disorders, **[\$510,611,000]** \$571,126,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out sections 301 and 311 and title IV of the Public Health Service Act with respect to environmental health sciences, **[\$502,549,000]** \$561,750,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, **[\$63,000,000]** \$70,228,000. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-377.*)

## NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the Public Health Service Act with respect to aging, **[\$786,039,000]** \$879,961,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to arthritis and musculoskeletal and skin diseases, **[\$396,687,000]** \$443,565,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the Public Health Service Act with respect to deafness and other communication disorders, **[\$300,581,000]** \$336,757,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to nursing research, **[\$104,370,000]** \$117,686,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the Public Health Service Act with respect to alcohol abuse and alcoholism, **[\$340,678,000]** \$381,966,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the Public Health Service Act with respect to drug abuse, **[\$781,327,000]** \$907,369,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the Public Health Service Act with respect to mental health, **[\$1,107,028,000]** \$1,238,305,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to human genome research, **[\$382,384,000]** \$426,739,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL INSTITUTE FOR BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the Public Health Service Act with respect to biomedical imaging and bioengineering research, \$40,206,000.

## NATIONAL CENTER FOR RESEARCH RESOURCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to research resources and general research support grants, **[\$817,475,000]** \$974,038,000: *Provided*, That none of these funds shall be used to pay recipients of the general research support grants program any amount for indirect expenses in connection with such grants: *Provided further*, That **[\$75,000,000]** \$97,000,000 shall be for extramural facilities construction grants. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL CENTER FOR COMPLEMENTARY AND ALTERNATIVE MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to complementary and alternative medicine, **[\$89,211,000]** \$100,063,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL CENTER ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the Public Health Service Act with respect to minority health and health disparities research, **[\$130,200,000]** \$158,425,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities at the John E. Fogarty International Center, **[\$50,514,000]** \$56,449,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to health information communications, **[\$246,801,000]** \$275,725,000, of which \$4,000,000 shall be available until expended for improvement of information systems: *Provided*, That in fiscal year 2001, the Library may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

## OFFICE OF THE DIRECTOR

## (INCLUDING TRANSFER OF FUNDS)

For carrying out the responsibilities of the Office of the Director, National Institutes of Health, **[\$213,581,000]** \$232,098,000, of which **[\$48,271,000]** \$53,540,000 shall be for the Office of AIDS Research:

**General and special funds—Continued**

**OFFICE OF THE DIRECTOR—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

*Provided*, That funding shall be available for the purchase of not to exceed [20] 29 passenger motor vehicles for replacement only: *Provided further*, That the Director may direct up to 1 percent of the total amount made available in this or any other Act to all National Institutes of Health appropriations to activities the Director may so designate: *Provided further*, That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer: *Provided further*, That the National Institutes of Health is authorized to collect third party payments for the cost of clinical services that are incurred in National Institutes of Health research facilities and that such payments shall be credited to the National Institutes of Health Management Fund: *Provided further*, That all funds credited to the National Institutes of Health Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: *Provided further*, That up to \$500,000 shall be available to carry out section 499 of the Public Health Service Act: *Provided further*, That, notwithstanding section 499(k)(10) of the Public Health Service Act, funds from the Foundation for the National Institutes of Health may be transferred to the National Institutes of Health. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**BUILDINGS AND FACILITIES**

For the study of, construction of, and acquisition of equipment for, facilities of or used by the National Institutes of Health, including the acquisition of real property, [\$153,790,000] \$306,600,000, to remain available until expended, of which [\$47,300,000] \$26,000,000 shall be for the [National] John Edward Porter Neuroscience Research Center: *Provided*, That notwithstanding any other provision of law, a single contract or related contracts for the development and construction of the first phase of the National Neuroscience Research Center may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18] and \$53,000,000 for the animal vivarium. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Unavailable Collections (in millions of dollars)**

Identification code 75-9915-0-1-552	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year			
Receipts:			
02.20 Cooperative research and development agreements, NIH	10	10	10
Appropriations:			
05.00 National Institutes of Health	-10	-10	-10
07.99 Balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 75-9915-0-1-552	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 National Cancer Institute	3,315	3,754	4,177
00.02 National Heart, Lung, and Blood Institute	2,027	2,299	2,567
00.03 National Institute of Dental and Craniofacial Research	268	306	342
00.04 National Institute of Diabetes and Digestive and Kidney Disease	1,167	1,400	1,555
00.05 National Institute of Neurological Disorders and Stroke	1,028	1,176	1,316
00.06 National Institute of Allergy and Infectious Diseases	1,777	2,042	2,355
00.07 National Institute of General Medical Sciences	1,367	1,535	1,720
00.08 National Institute of Child Health and Human Development	857	976	1,097
00.09 National Eye Institute	450	510	571
00.10 National Institute of Environmental Health Sciences	442	565	632
00.11 National Institute on Aging	686	786	880
00.12 National Institute of Arthritis and Musculoskeletal and Skin Disease	349	397	444

00.13 National Institute on Deafness and Other Communication Disorder	264	300	337
00.14 National Institute of Mental Health	972	1,106	1,238
00.15 National Institute on Drug Abuse	695	781	907
00.16 National Institute on Alcohol Abuse and Alcoholism	292	340	382
00.17 National Institute of Nursing Research	89	104	118
00.18 National Human Genome Research Institute	335	382	427
00.19 National Institute of Biomedical Imaging and Bioengineering			40
00.20 National Center for Research Resources	676	817	974
00.21 National Center for Complementary and Alternative Medicine	78	89	100
00.22 National Center on Minority Health and Health Disparities		130	158
00.23 John E. Fogarty International Center	43	51	57
00.24 National Library of Medicine	214	246	276
00.25 Office of the Director	282	212	232
00.26 Buildings and Facilities	141	154	307
00.27 Cooperative Research and Development Agreements	12	12	12
00.28 Royalties	52		
09.00 Reimbursable program	1,122	1,339	1,453
10.00 Total new obligations	19,000	21,809	24,674

**Budgetary resources available for obligation:**

21.40 Unobligated balance carried forward, start of year	161	173	170
22.00 New budget authority (gross)	19,016	21,807	24,672
23.90 Total budgetary resources available for obligation	19,177	21,980	24,842
23.95 Total new obligations	-19,000	-21,809	-24,674
23.98 Unobligated balance expiring or withdrawn	-4		
24.40 Unobligated balance carried forward, end of year	173	170	168

**New budget authority (gross), detail:**

<b>Discretionary:</b>			
40.00 Appropriation	17,913	20,376	23,112
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS)		-9	
40.76 Reduction pursuant to P.L. 106-113	-100		
41.00 Transferred to other accounts	-23	-6	
42.00 Transferred from other accounts	10		
43.00 Appropriation (total discretionary)	17,800	20,361	23,112
<b>Mandatory:</b>			
60.00 Appropriation		70	70
60.25 Appropriation (special fund, indefinite)	10	10	10
62.00 Transferred from other accounts	27	27	27
62.50 Appropriation (total mandatory)	37	107	107
<b>Spending authority from offsetting collections:</b>			
<b>Discretionary:</b>			
68.00 Offsetting collections (cash)	1,368	1,339	1,453
68.10 Change in uncollected customer payments from Federal sources	-246		
68.15 Adjustments to uncollected customer payments from Federal sources	57		
68.90 Spending authority from offsetting collections (total discretionary)	1,179	1,339	1,453
70.00 Total new budget authority (gross)	19,016	21,807	24,672

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year	14,630	16,684	19,300
72.95 Uncollected customer payments from Federal sources, start of year	-732	-486	-486
72.99 Obligated balance, start of year	13,898	16,198	18,814
73.10 Total new obligations	19,000	21,809	24,674
73.20 Total outlays (gross)	-16,783	-19,193	-22,135
73.40 Adjustments in expired accounts (net)	83		
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year	16,684	19,300	21,839
74.95 Uncollected customer payments from Federal sources, end of year	-486	-486	-486
74.99 Obligated balance, end of year	16,198	18,814	21,353

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority	6,449	6,911	7,843
86.93 Outlays from discretionary balances	10,292	12,237	14,215
86.97 Outlays from new mandatory authority	35	15	15
86.98 Outlays from mandatory balances	7	30	62
87.00 Total outlays (gross)	16,783	19,193	22,135

<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-1,368	-1,339 -1,453
Against gross budget authority only:			
88.95	Change in uncollected customer payments from Federal sources	246	
88.96	Adjustment to uncollected customer payments from Federal sources	-57	
<b>Net budget authority and outlays:</b>			
89.00	Budget authority	17,837	20,468 23,219
90.00	Outlays	15,415	17,854 20,682

Service and Supply Fund/Management Fund	-31		
Subtotal Outlays	15,399	17,844	20,672
Cooperative Research and Development Agreements	16	10	10
<b>Total Outlays, NIH</b>	<b>15,415</b>	<b>17,854</b>	<b>20,682</b>

Note: The total amount for FY 2002 AIDS research is \$2,501,352,000 which was jointly determined by the Director of NIH and the Director of the Office of AIDS Research. Amounts available under section 4921 of P.L. 105-33 and P.L. 106-554 for research on diabetes are included in the National Institute of Diabetes and Digestive and Kidney Disorders.

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

NIH is working to meet the management challenges that can arise when an agency receives a substantial infusion of resources over a short period of time. NIH is in the process of identifying strategies and policies that would be implemented in 2002 and 2003 and beyond to maximize budgetary and management flexibility in the future. Such strategies could include funding the total costs of an increasing number of new grants in the grant's first year and supporting some one-time activities such as high-priority construction and renovation projects.

(Dollars in millions)

Distribution of budget authority by account:	2000	2001	2002
National Cancer Institute	3,311	3,755	4,177
National Heart, Lung, and Blood Institute	2,027	2,299	2,567
National Institute of Dental and Craniofacial Research	269	306	342
National Institute of Diabetes and Digestive and Kidney Diseases	1,167	1,400	1,555
National Institute of Neurological Disorder and Stroke	1,028	1,176	1,316
National Institute of Allergy and Infectious Diseases	1,777	2,042	2,355
National Institute of General Medical Sciences	1,367	1,535	1,720
National Institute of Child Health and Human Development	857	976	1,097
National Eye Institute	450	510	571
National Institute of Environmental Health Sciences	442	565	632
National Institute on Aging	686	786	880
National Institute of Arthritis and Musculoskeletal and Skin Diseases	350	396	444
National Institute on Deafness and Other Communication Disorders	264	300	337
National Institute of Nursing Research	89	104	118
National Institute on Alcohol Abuse and Alcoholism	292	340	382
National Institute on Drug Abuse	695	781	907
National Institute of Mental Health	972	1,106	1,238
National Center for Research Resources	676	817	974
National Human Genome Research Institute	335	382	427
National Institute of Biomedical Imaging and Bioengineering			40
National Center for Complementary and Alternative Medicine	68	89	100
National Center for Minority Health and Health Disparities		130	158
John E. Fogarty International Center	44	51	56
National Library of Medicine	214	246	276
Office of the Director	282	212	232
Buildings and Facilities	165	154	307
<b>Subtotal</b>	<b>17,827</b>	<b>20,458</b>	<b>23,209</b>
Cooperative Research and Development Agreements	10	10	10
<b>Total Budget Authority, NIH</b>	<b>17,837</b>	<b>20,468</b>	<b>23,219</b>

(Dollars in millions)

Distribution of outlays by account:	2000	2001	2002
National Cancer Institute	2,929	3,341	3,813
National Heart, Lung, and Blood Institute	1,696	2,007	2,296
National Institute of Dental and Craniofacial Research	232	271	309
National Institute of Diabetes and Digestive and Kidney Diseases	993	1,186	1,402
National Institute of Neurological Disorders and Stroke	868	1,031	1,186
National Institute of Allergy and Infectious Diseases	1,523	1,789	2,068
National Institute of General Medical Sciences	1,203	1,378	1,559
National Institute of Child Health and Human Development	769	869	998
National Eye Institute	387	451	518
National Institute of Environmental Health Sciences	399	474	578
National Institute on Aging	571	685	785
National Institute of Arthritis and Musculoskeletal and Skin Diseases	295	348	398
National Institute on Deafness and Other Communication Disorders	222	264	303
National Institute of Nursing Research	71	85	103
National Institute on Alcohol Abuse and Alcoholism	253	295	342
National Institute on Drug Abuse	580	681	782
National Institute of Mental Health	821	966	1,108
National Center for Research Resources	493	680	814
National Human Genome Research Institute	374	297	397
National Institute of Biomedical Imaging and Bioengineering			6
National Center for Complementary and Alternative Medicine	11	46	75
National Center for Minority Health and Health Disparities		19	43
John E. Fogarty International Center	29	42	49
National Library of Medicine	184	223	255
Office of the Director	317	239	242
Buildings and Facilities	210	177	243

**Object Classification** (in millions of dollars)

Identification code 75-9915-0-1-552	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent	560	643 701
11.3	Other than full-time permanent	169	194 213
11.5	Other personnel compensation	39	35 38
11.8	Special personal services payments	99	114 124
11.9	<b>Total personnel compensation</b>	<b>867</b>	<b>986 1,076</b>
12.1	Civilian personnel benefits	195	225 246
21.0	Travel and transportation of persons	34	39 43
22.0	Transportation of things	5	5 6
23.1	Rental payments to GSA	6	7 7
23.2	Rental payments to others	21	23 28
23.3	Communications, utilities, and miscellaneous charges	42	48 53
24.0	Printing and reproduction	14	16 17
25.1	Advisory and assistance services	57	58 63
25.2	Other services	329	321 363
25.3	Purchases of goods and services from Government accounts	1,188	1,275 1,554
25.4	Operation and maintenance of facilities	192	293 454
25.5	Research and development contracts	974	1,157 1,339
25.6	Medical care	12	12 14
25.7	Operation and maintenance of equipment	39	39 43
26.0	Supplies and materials	176	194 217
31.0	Equipment	156	168 186
41.0	Grants, subsidies, and contributions	13,571	15,604 17,512
99.0	<b>Subtotal, direct obligations</b>	<b>17,878</b>	<b>20,470 23,221</b>
99.0	Reimbursable obligations	1,122	1,339 1,453
99.9	<b>Total new obligations</b>	<b>19,000</b>	<b>21,809 24,674</b>

**Personnel Summary**

Identification code 75-9915-0-1-552	2000 actual	2001 est.	2002 est.
<b>Direct:</b>			
1001	Total compensable workyears: Full-time equivalent employment	11,581	12,728 13,178
<b>Reimbursable:</b>			
2001	Total compensable workyears: Full-time equivalent employment	4,465	4,706 4,782

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES**

For carrying out titles V and XIX of the Public Health Service Act with respect to substance abuse and mental health services, the Protection and Advocacy for Mentally Ill Individuals Act of 1986, and section 301 of the Public Health Service Act with respect to program management, **[\$2,958,001,000, of which \$24,605,000 shall be available for the projects and in the amounts specified in the statement of the managers on the conference report accompanying this Act] \$3,029,456,000: Provided, That in addition to amounts provided herein, \$29,000,000 shall be available from amounts available under section 241 of the Public Health Service Act, to carry out national data collection activities. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)**

**Program and Financing (in millions of dollars)**

Identification code 75-1362-0-1-551	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
Direct program:				
00.01	Mental health and substance abuse activities .....	635	793	817
00.02	Mental health partnership .....	356	420	420
00.03	Substance abuse partnership .....	1,600	1,665	1,725
00.05	Program management .....	59	79	67
09.01	Reimbursable program .....	32	40	69
10.00	Total new obligations .....	2,682	2,997	3,098
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	2,684	2,997	3,098
23.95	Total new obligations .....	-2,682	-2,997	-3,098
23.98	Unobligated balance expiring or withdrawn .....	-2		
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	2,655	2,958	3,029
40.75	Reduction pursuant to P.L. 106-554 (Labor/HHS) .....		-1	
40.76	Reduction pursuant to P.L. 106-113 .....	-3		
41.00	Transferred to other accounts .....	-1		
43.00	Appropriation (total discretionary) .....	2,651	2,957	3,029
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	33	40	69
70.00	Total new budget authority (gross) .....	2,684	2,997	3,098
<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year .....	1,852	1,985	2,278
72.99	Obligated balance, start of year .....	1,852	1,985	2,278
73.10	Total new obligations .....	2,682	2,997	3,098
73.20	Total outlays (gross) .....	-2,532	-2,704	-2,951
73.40	Adjustments in expired accounts (net) .....	-17		
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year .....	1,985	2,278	2,425
74.99	Obligated balance, end of year .....	1,985	2,278	2,425
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	1,129	1,243	1,305
86.93	Outlays from discretionary balances .....	1,403	1,463	1,646
87.00	Total outlays (gross) .....	2,532	2,704	2,951
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-33	-40	-69
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	2,651	2,957	3,029
90.00	Outlays .....	2,499	2,664	2,882

This program provides Federal support for treatment and prevention in the areas of alcoholism, drug abuse, and mental

health. The Administration has proposed to retain the language in Sec. 214 of the HHS General Provisions dealing with the penalty in the Sec. 1926 of the Public Health Service Act, known as the Synar Amendment. The only penalty allowed under the current law is disproportionately severe, and could penalize those needing substance abuse services rather than states that miss their youth tobacco use reduction targets. The Administration looks forward to working with Congress to achieve a permanent statutory change that would establish a more fair and sustainable penalty structure.

**Object Classification (in millions of dollars)**

Identification code 75-1362-0-1-551	2000 actual	2001 est.	2002 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	36	39	42
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	38	41	44
12.1	Civilian personnel benefits .....	8	9	9
21.0	Travel and transportation of persons .....	2	2	2
23.1	Rental payments to GSA .....	4	6	6
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
24.0	Printing and reproduction .....	4	4	4
25.1	Advisory and assistance services .....	11	14	15
25.2	Other services .....	168	175	180
25.3	Purchases of goods and services from Government accounts .....	74	79	82
26.0	Supplies and materials .....	7	7	7
31.0	Equipment .....	1	2	2
41.0	Grants, subsidies, and contributions .....	2,328	2,614	2,674
42.0	Insurance claims and indemnities .....	2	2	2
99.0	Subtotal, direct obligations .....	2,649	2,957	3,029
99.0	Reimbursable obligations .....	33	40	69
99.9	Total new obligations .....	2,682	2,998	3,098

**Personnel Summary**

Identification code 75-1362-0-1-551	2000 actual	2001 est.	2002 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment .....	541	560	560
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment .....	70	72	72

**AGENCY FOR HEALTHCARE RESEARCH AND QUALITY**

**Federal Funds**

**General and special funds:**

**HEALTHCARE RESEARCH AND QUALITY**

For carrying out titles III and IX of the Public Health Service Act, [and part A of title XI of the Social Security Act, \$104,963,000; in addition,] **\$306,245,000 from amounts designated under section 241 of the Act, together with amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data, which shall be credited to this appropriation and shall remain available until expended: Provided, That the amount made available pursuant to section 926(b) of the Public Health Service Act shall not exceed \$164,980,000]. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)**

**Program and Financing (in millions of dollars)**

Identification code 75-1700-0-1-552	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
Direct program:				
01.01	Research on health costs, quality, and outcomes .....	108	103	.....

01.04	Program support .....	2	2	
09.00	Reimbursable program .....	103	177	318
10.00	Total new obligations .....	213	282	318

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year	2	5	5
22.00	New budget authority (gross) .....	216	282	318
23.90	Total budgetary resources available for obligation	218	287	323
23.95	Total new obligations .....	-213	-282	-318
23.98	Unobligated balance expiring or withdrawn .....	-1		
24.40	Unobligated balance carried forward, end of year .....	5	5	5

**New budget authority (gross), detail:**

Discretionary:

40.00	Appropriation .....	111	105	
40.76	Reduction pursuant to P.L. 106-113 .....	-1		
43.00	Appropriation (total discretionary) .....	110	105	

Spending authority from offsetting collections:

68.00	Offsetting collections (cash) .....	110	177	318
68.10	Change in uncollected customer payments from Federal sources .....	-14		
68.15	Adjustments to uncollected customer payments from Federal sources .....	10		
68.90	Spending authority from offsetting collections (total discretionary) .....	106	177	318
70.00	Total new budget authority (gross) .....	216	282	318

**Change in unpaid obligations:**

Unpaid obligations, start of year:

72.40	Unpaid obligations, start of year .....	170	218	215
72.95	Uncollected customer payments from Federal sources, start of year .....	-20	-6	-6
72.99	Obligated balance, start of year .....	150	212	209
73.10	Total new obligations .....	213	282	318
73.20	Total outlays (gross) .....	-161	-285	-409
73.40	Adjustments in expired accounts (net) .....	-4		
74.00	Change in uncollected customer payments from Federal sources .....	14		

Unpaid obligations, end of year:

74.40	Unpaid obligations, end of year .....	218	215	124
74.95	Uncollected customer payments from Federal sources, end of year .....	-6	-6	-6
74.99	Obligated balance, end of year .....	212	209	118

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	68	188	318
86.93	Outlays from discretionary balances .....	93	97	91
87.00	Total outlays (gross) .....	161	285	409

**Offsets:**

Against gross budget authority and outlays:

88.00	Offsetting collections (cash) from: Federal sources	-110	-177	-318
-------	---	------	------	------

Against gross budget authority only:

88.95	Change in uncollected customer payments from Federal sources .....	14		
88.96	Adjustment to uncollected customer payments from Federal sources .....	-10		

**Net budget authority and outlays:**

89.00	Budget authority .....	110	105	
90.00	Outlays .....	51	108	91

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

**Object Classification** (in millions of dollars)

Identification code 75-1700-0-1-552	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	Full-time permanent .....	12	14
11.3	Other than full-time permanent .....	2	3
11.9	Total personnel compensation .....	14	17

12.1	Civilian personnel benefits .....	3	4	
21.0	Travel and transportation of persons .....		1	
23.1	Rental payments to GSA .....	2	3	
23.3	Communications, utilities, and miscellaneous charges .....	1	1	
24.0	Printing and reproduction .....	1	1	
25.2	Other services .....	7	7	
25.3	Purchases of goods and services from Government accounts .....	6	7	
25.5	Research and development contracts .....	21	35	
26.0	Supplies and materials .....	1	1	
31.0	Equipment .....	1	2	
41.0	Grants, subsidies, and contributions .....	53	26	
99.0	Subtotal, direct obligations .....	110	105	
99.0	Reimbursable obligations .....	103	177	318
99.9	Total new obligations .....	213	282	318

**Personnel Summary**

Identification code 75-1700-0-1-552	2000 actual	2001 est.	2002 est.
<b>Direct:</b>			
1001	Total compensable workyears: Full-time equivalent employment .....	200	228
<b>Reimbursable:</b>			
2001	Total compensable workyears: Full-time equivalent employment .....	66	66
			294

**HEALTH CARE FINANCING ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**GRANTS TO STATES FOR MEDICAID**

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$93,586,251,000] \$106,821,882,000**, to remain available until expended.

For making, after May 31, **[2001] 2002**, payments to States under title XIX of the Social Security Act for the last quarter of fiscal year **[2001] 2002** for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the first quarter of fiscal year **[2002, \$36,207,551,000] 2003, \$46,601,937,000**, to remain available until expended.

Payment under title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing** (in millions of dollars)

Identification code 75-0512-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01	Medicaid vendor payments .....	114,540	122,429
00.02	State and local administration .....	6,718	6,998
00.03	Vaccine purchases .....	550	775
09.00	Medicare Part B premium transfer .....		60
10.00	Total new obligations .....	121,809	130,262
			143,099

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year	1,117	110	
22.00	New budget authority (gross) .....	117,794	130,152	143,099
22.10	Resources available from recoveries of prior year obligations .....	3,007		
23.90	Total budgetary resources available for obligation	121,918	130,262	143,099
23.95	Total new obligations .....	-121,809	-130,262	-143,099
24.40	Unobligated balance carried forward, end of year .....	110		

**New budget authority (gross), detail:**

Mandatory:

60.00	Appropriation .....	86,087	93,586	106,822
60.05	Appropriation (indefinite) .....	2,923	4,678	
62.50	Appropriation (total mandatory) .....	89,010	98,264	106,822

**General and special funds—Continued**

**GRANTS TO STATES FOR MEDICAID—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0512-0-1-551	2000 actual	2001 est.	2002 est.
65.00 Advance appropriation .....	28,734	30,589	36,207
Offsetting collections (cash):			
69.00 Offsetting collections (cash) .....		170	
69.00 Offsetting collections (cash) .....		1,239	70
69.10 Change in uncollected customer payments from Federal sources .....	50	-110	
69.90 Spending authority from offsetting collections (total mandatory) .....	50	1,299	70
70.00 Total new budget authority (gross) .....	117,794	130,152	143,099
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	4,811	5,691	5,691
72.95 Uncollected customer payments from Federal sources, start of year .....	-60	-110	
72.99 Obligated balance, start of year .....	4,751	5,581	5,691
73.10 Total new obligations .....	121,809	130,262	143,099
73.20 Total outlays (gross) .....	-117,921	-130,262	-143,099
73.45 Recoveries of prior year obligations .....	-3,007		
74.00 Change in uncollected customer payments from Federal sources .....	-50	110	
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	5,691	5,691	5,691
74.95 Uncollected customer payments from Federal sources, end of year .....	-110		
74.99 Obligated balance, end of year .....	5,581	5,691	5,691
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	116,715	129,123	142,099
86.98 Outlays from mandatory balances .....	1,206	1,139	1,000
87.00 Total outlays (gross) .....	117,921	130,262	143,099
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Federal sources .....		-170	-70
88.00 Federal sources .....		-1,239	
88.90 Total, offsetting collections (cash) .....		-1,409	-70
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources .....	-50	110	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	117,744	128,853	143,029
90.00 Outlays .....	117,921	128,853	143,029

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Enacted/requested:			
Budget Authority .....	117,744	128,853	143,029
Outlays .....	117,921	128,853	143,029
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-606
Outlays .....			-606
Total:			
Budget Authority .....	117,744	128,853	142,423
Outlays .....	117,921	128,853	142,423

Medicaid assists States in providing medical care to their low-income population by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

**Object Classification (in millions of dollars)**

Identification code 75-0512-0-1-551	2000 actual	2001 est.	2002 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	121,809	130,202	143,029

99.0 Reimbursable obligations: Subtotal, reimbursable obligations .....		60	70
99.9 Total new obligations .....	121,809	130,262	143,099

**GRANTS TO STATES FOR MEDICAID**  
(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-0512-4-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....			-606
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-606
23.95 Total new obligations .....			606
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			-606
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			-606
73.20 Total outlays (gross) .....			606
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			-606
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-606
90.00 Outlays .....			-606

This Administration is proposing to further restrict the Medicaid "upper payment limit" loophole by prohibiting States with new hospital payment plans from accessing a higher upper payment limit permitted by previous regulations. The Administration is also proposing legislation that would permanently extend a Department of Veterans Affairs provision which limits VA pensions to Medicaid recipients in nursing homes.

**STATE GRANTS AND DEMONSTRATIONS**

**Program and Financing (in millions of dollars)**

Identification code 75-0516-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....		62	67
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		62	67
23.95 Total new obligations .....		-62	-67
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....		62	67
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....			46
72.99 Obligated balance, start of year .....			46
73.10 Total new obligations .....		62	67
73.20 Total outlays (gross) .....		-16	-29
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....		46	84
74.99 Obligated balance, end of year .....		46	84
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....		16	17
86.98 Outlays from mandatory balances .....			12
87.00 Total outlays (gross) .....		16	29

<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	62	67
90.00	Outlays .....	16	29

<b>Total:</b>				
	Budget Authority .....	78,213	77,874	89,826
	Outlays .....	75,071	77,874	89,826

The Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106-170), Title II, established two grant programs. Section 203 provides funding for Medicaid infrastructure grants to support the design, establishment and operation of State infrastructures to help working people with disabilities purchase health coverage through Medicaid. Section 204 provides funding for States to establish Demonstrations to Maintain Independence and Employment, which will provide Medicaid benefits and services to working individuals who have a condition that, without medical assistance, will result in disability.

Payments are made (1) to the Federal Supplementary Medical Insurance trust fund; and (2) to the Federal hospital insurance trust fund for individuals aged 65 and over who meet certain transitional insured status requirements, and for civil service employees and annuitants who worked before 1983 and who were employed during January 1983. Since 1992, amounts have been included for non-Medicare activities of HCFA program management.

**PAYMENTS TO HEALTH CARE TRUST FUNDS**

For payment to the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as provided under [sections 217(g) and] 1844 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, **[\$70,381,600,000]** **\$81,924,200,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

**Object Classification** (in millions of dollars)

Identification code 75-0580-0-1-571	2000 actual	2001 est.	2002 est.
41.0 Grants, subsidies, and contributions .....	74,485	77,269	89,410
42.0 Insurance claims and indemnities .....	470	453	442
92.0 Undistributed .....	116	152	150
99.9 Total new obligations .....	75,071	77,874	90,002

**PAYMENTS TO HEALTH CARE TRUST FUNDS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 75-0580-2-1-571	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Supplementary medical insurance (SMI) .....			-70
00.06 Federal Payments from Taxation of OASDI Benefits (HI) .....			-106
10.00 Total new obligations (object class 41.0) .....			-176
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-176
23.95 Total new obligations .....			176
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			-176
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			-176
73.20 Total outlays (gross) .....			176
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			-176
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-176
90.00 Outlays .....			-176

Identification code 75-0580-0-1-571	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Supplementary medical insurance (SMI) .....	65,561	69,777	81,332
00.02 Hospital insurance for uninsured (HI) .....	349	321	292
00.03 Federal uninsured payment (HI) .....	121	132	150
00.04 Program management (HI) .....	116	152	150
00.06 Federal payments from taxation of OASDI benefits (HI) .....	8,787	7,337	7,903
00.08 Fraud and abuse control, FBI .....	76	88	101
00.10 Fraud and abuse control, criminal fines .....	57	63	69
00.14 Fraud and abuse control, administrative fees .....	4	4	5
10.00 Total new obligations .....	75,071	77,874	90,002
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	78,213	77,874	90,002
23.95 Total new obligations .....	-75,071	-77,874	-90,002
23.98 Unobligated balance expiring or withdrawn .....	-3,142		
<b>New budget authority (gross), detail:</b>			
Mandatory:			
Appropriation:			
60.00 Appropriation .....	8,924	7,492	8,078
60.00 Appropriation .....	69,289	70,382	81,924
62.50 Appropriation (total mandatory) .....	78,213	77,874	90,002
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....	75,071	77,874	90,002
73.20 Total outlays (gross) .....	-75,071	-77,874	-90,002
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	75,071	77,874	90,002
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	78,213	77,874	90,002
90.00 Outlays .....	75,071	77,874	90,002

The budget proposes a tax relief package that would reduce marginal tax rates. This change would reduce the receipts from taxing OASDI benefits, and therefore would reduce the amount of the tax transfer to the Hospital Insurance (HI) trust fund. The account reflects this reduction.

The budget proposes new discretionary user fees paid by providers for submitting paper claims and duplicate or unprocessable claims. This account reflects the reduction in payments to HI and SMI as a result of this user fee proposal.

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	2000 actual	2001 est.	2002 est.
Budget Authority .....	78,213	77,874	90,002
Outlays .....	75,071	77,874	90,002
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-176
Outlays .....			-176

**PROGRAM MANAGEMENT**

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the Public Health Service Act, and the Clinical Laboratory Improvement Amendments of 1988, not to exceed **[\$2,246,326,000]** **\$2,351,158,000**, to be transferred from the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as

**General and special funds—Continued**

**PROGRAM MANAGEMENT—Continued**

authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the Public Health Service Act and section 1857(e)(2) of the Social Security Act, and such sums as may be collected from authorized user fees and the sale of data, which shall remain available until expended [I, and together with administrative fees collected relative to Medicare overpayment recovery activities, which shall remain available until expended]: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the Public Health Service Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That \$18,000,000 \$18,200,000 appropriated under this heading for the managed care system redesign shall remain available until expended: *Provided further*, [That \$20,000,000 of the amount available for research, demonstration, and evaluation activities shall be available to continue carrying out demonstration projects on Medicaid coverage of community-based attendant care services for people with disabilities which ensures maximum control by the consumer to select and manage their attendant care services: *Provided further*, That the Secretary of Health and Human Services is directed to enter into an agreement with the Mind-Body Institute of Boston, Massachusetts to conduct a demonstration of a lifestyle modification program: *Provided further*, That \$2,800,000 of the amount available for research, demonstration, and evaluation activities shall be awarded for administration, evaluation, quality monitoring and peer review of this lifestyle modification demonstration: *Provided further*, That \$2,800,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to a joint application from the University of Pittsburgh, Case Western Reserve in Cleveland, Ohio, and Mt. Sinai Hospital in Miami, Florida, to use integrated nursing services and technology to implement daily monitoring of congestive heart failure patients in underserved populations in accordance with established clinical guidelines: *Provided further*, That \$500,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the University of Pittsburgh Medical Center and University of Pennsylvania for a study of the efficacy of surgical versus non-surgical management of abdominal aneurysms: *Provided further*, That \$650,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Vascular Surgery Outcomes Initiative at Dartmouth College: *Provided further*, That up to \$300,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the United States-Mexico Border Counties Coalition for a study to determine the unreimbursed costs incurred to treat undocumented aliens for medical emergencies in southwest border States, their border counties, and hospitals within the jurisdiction of these States and counties: *Provided further*, That \$1,700,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the AIDS Healthcare Foundation in Los Angeles for a demonstration of residential and outpatient treatment facilities: *Provided further*, That \$350,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Cook County, Illinois Bureau of Health for the Asthma Champion Initiative demonstration to reduce morbidity and mortality from asthma in high prevalence areas: *Provided further*, That \$1,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the West Virginia University School of Medicine's Eye Center to test interventions and improve the quality of life for individuals with low vision, with a particular focus on the elderly: *Provided further*, That \$1,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the Iowa Department of Public Health for the establishment and operation of a mercantile prescription drug purchasing cooperative or non-profit corporation demonstration: *Provided further*, That \$691,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Ohio State University to determine the benefits of compliance packaging: *Provided further*, That \$855,000 of the amount available for research, demonstration and evaluation activities shall be awarded to Children's Hospice International for a demonstration project to provide a continuum of care for children with life-threatening conditions and their families: *Provided further*, That \$921,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Equip for Equality for a demonstration project to document the impact of an independent investigative unit that will examine deaths or

other serious allegations of abuse and neglect of people with disabilities at facilities in Illinois: *Provided further*, That \$1,000,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Duke University Medical Center to demonstrate the potential savings in the Medicare program of a reimbursement system based on preventative care: *Provided further*, That \$1,843,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to Bucks County, Pennsylvania, for a health improvement project: *Provided further*, That \$255,000 of the amount available for research, demonstration, and evaluation activities shall be awarded to the LA Care Health Plan in Los Angeles, California for a demonstration program to improve clinical data coordination among Medicaid providers: *Provided further*, That \$646,000 of the amount available for research, demonstration, and evaluation activities shall be for the Shelby County Regional Medical Center to establish a Master Patient Index to determine patient Medicaid/TennCare eligibility: *Provided further*,] That the Secretary of Health and Human Services is directed to collect fees in fiscal year [2001] 2002 from Medicare+Choice organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing (in millions of dollars)**

Identification code 75-0511-0-1-550	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Medicare contractors .....	1,237	1,357	1,522
00.02 Federal administration .....	484	505	532
00.03 State survey and certification .....	209	242	242
00.04 Research, demonstrations, and evaluation projects .....	62	138	55
01.00 Total direct program .....	1,992	2,242	2,351
09.01 CLIA .....	37	43	43
09.03 Other reimbursements .....	11	2	2
09.06 Medicare+Choice .....	92	17	17
09.09 Total reimbursable program .....	140	62	62
10.00 Total new obligations .....	2,132	2,304	2,413
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	88	71	71
22.00 New budget authority (gross) .....	2,086	2,304	2,413
22.10 Resources available from recoveries of prior year obligations .....	30		
23.90 Total budgetary resources available for obligation .....	2,204	2,375	2,484
23.95 Total new obligations .....	-2,132	-2,304	-2,413
24.40 Unobligated balance carried forward, end of year .....	71	71	71
<b>New budget authority (gross), detail:</b>			
Discretionary:			
42.00 Transferred from other accounts .....	3		
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....	1,986	2,242	2,351
68.00 Offsetting collections (cash) .....	140	62	62
68.10 Change in uncollected customer payments from Federal sources .....	111		
68.15 Adjustments to uncollected customer payments from Federal sources .....	-154		
68.90 Spending authority from offsetting collections (total discretionary) .....	2,082	2,304	2,413
70.00 Total new budget authority (gross) .....	2,085	2,304	2,413
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	738	826	826
72.95 Uncollected customer payments from Federal sources, start of year .....	-663	-774	-774
72.99 Obligated balance, start of year .....	75	52	52
73.10 Total new obligations .....	2,132	2,304	2,413
73.20 Total outlays (gross) .....	-2,014	-2,304	-2,413
73.45 Recoveries of prior year obligations .....	-30		
74.00 Change in uncollected customer payments from Federal sources .....	-111		



Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year .....	826	826	826
74.95	Uncollected customer payments from Federal sources, end of year .....	-774	-774	-774
74.99	Obligated balance, end of year .....	52	52	52
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	1,577	2,304	2,413
86.93	Outlays from discretionary balances .....	437		
87.00	Total outlays (gross) .....	2,014	2,304	2,413
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-1,986	-2,242	-2,351
88.40	Non-Federal sources .....	-140	-62	-62
88.90	Total, offsetting collections (cash) .....	-2,126	-2,304	-2,413
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources .....	-111		
88.96	Adjustment to uncollected customer payments from Federal sources .....	154		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	3		
90.00	Outlays .....	-112		

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Enacted/requested:			
Budget Authority .....	2		
Outlays .....	-112		
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Total:			
Budget Authority .....	2		
Outlays .....	-112		

Program management activities include funding for research, Medicare contractors, survey and certification, CLIA, Medicare+Choice, and administrative costs. The Healthy Communities Innovation Fund Initiative makes available approximately \$10 million in Health Care Financing Administration's existing grant activities to address health care issues at the State and local level in innovative ways. Additional funding is available in the Health Resources and Services Administration and Centers for Disease Control and Prevention.

**Object Classification (in millions of dollars)**

Identification code 75-0511-0-1-550	2000 actual	2001 est.	2002 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	271	301	323
11.3	Other than full-time permanent .....	7	8	9
11.5	Other personnel compensation .....	4	4	5
11.9	Total personnel compensation .....	282	313	337
12.1	Civilian personnel benefits .....	58	61	68
21.0	Travel and transportation of persons .....	11	12	11
22.0	Transportation of things .....	1		
23.1	Rental payments to GSA .....	15	21	21
23.3	Communications, utilities, and miscellaneous charges .....	13	4	4
24.0	Printing and reproduction .....	5	8	7
25.1	Advisory and assistance services .....	17	1	1
25.2	Other services .....	336	409	352
25.3	Purchases of goods and services from Government accounts .....	8	9	8
25.6	Medical care .....	1,208	1,353	1,518
26.0	Supplies and materials .....	3	1	1
31.0	Equipment .....	11	3	2
32.0	Land and structures .....	10	10	10
41.0	Grants, subsidies, and contributions .....	14	37	11
99.0	Subtotal, direct obligations .....	1,992	2,242	2,351
99.0	Reimbursable obligations .....	140	62	62

99.9	Total new obligations .....	2,132	2,304	2,413
------	-----------------------------	-------	-------	-------

**Personnel Summary**

Identification code 75-0511-0-1-550	2000 actual	2001 est.	2002 est.	
<b>Direct:</b>				
1001	Total compensable workyears: Full-time equivalent employment .....	4,366	4,528	4,552
<b>Reimbursable:</b>				
2001	Total compensable workyears: Full-time equivalent employment .....	80	82	80

**PROGRAM MANAGEMENT**

(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-0511-2-1-550	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
Direct program:				
00.01	Medicare operations .....			-115
Reimbursable program:				
09.01	Proposed user fees .....			115
10.00	Total new obligations .....			

**Budgetary resources available for obligation:**

23.95	Total new obligations .....			
-------	-----------------------------	--	--	--

**New budget authority (gross), detail:**

Spending authority from offsetting collections:				
Discretionary:				
Offsetting collections (cash):				
68.00	Offsetting collections (appropriation) .....			-115
68.00	Offsetting collections (user fees) .....			115
68.90	Spending authority from offsetting collections (total discretionary) .....			

**Change in unpaid obligations:**

73.10	Total new obligations .....			
-------	-----------------------------	--	--	--

**Offsets:**

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....			115
88.40	Non-Federal sources .....			-115
88.90	Total, offsetting collections (cash) .....			

**Net budget authority and outlays:**

89.00	Budget authority .....			
90.00	Outlays .....			

The Budget includes \$115 million in new user fees to finance HCFA activities. Proposed fees include: a duplicate or unprocessable claims fee and a paper claims fee. If the authorizing legislation is enacted, the amount appropriated from the Federal hospital insurance and Federal supplementary medical insurance trust funds will be reduced by \$115 million. The authorizing legislation will be proposed to authorize the collection and spending of the fee.

**Object Classification (in millions of dollars)**

Identification code 75-0511-2-1-550	2000 actual	2001 est.	2002 est.	
25.6	Direct obligations: Medical care .....			-115
99.0	Reimbursable obligations: Subtotal, reimbursable obligations .....			115
99.9	Total new obligations .....			

**General and special funds—Continued**

**STATE CHILDREN'S HEALTH INSURANCE FUND**

**Program and Financing (in millions of dollars)**

Identification code 75-0515-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Grants, subsidies, and contributions .....	4,249	4,249	3,115
00.02 Advisory and assistance services .....	10		
10.00 Total new obligations (object class 41.0) .....	4,259	4,249	3,115
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	4,259	4,249	3,115
23.95 Total new obligations .....	-4,259	-4,249	-3,115
<b>New budget authority (gross), detail:</b>			
Mandatory:			
Appropriation:			
60.00 Appropriation, BBA .....	4,275	4,275	3,150
60.00 Appropriation, BBRA for territories .....	34	34	25
60.00 Appropriation, BBRA .....	10		
61.00 Transferred to other accounts .....	-60	-60	-60
62.50 Appropriation (total mandatory) .....	4,259	4,249	3,115
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	7,912	10,951	11,168
72.99 Obligated balance, start of year .....	7,912	10,951	11,168
73.10 Total new obligations .....	4,259	4,249	3,115
73.20 Total outlays (gross) .....	-1,220	-4,032	-3,355
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	10,951	11,168	10,928
74.99 Obligated balance, end of year .....	10,951	11,168	10,928
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	195	880	930
86.98 Outlays from mandatory balances .....	1,025	3,152	2,425
87.00 Total outlays (gross) .....	1,220	4,032	3,355
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4,259	4,249	3,115
90.00 Outlays .....	1,220	4,032	3,355

The Balanced Budget Act of 1997 established the State children's health insurance program (SCHIP) under Title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a SCHIP program, a SCHIP Medicaid expansion program, or a combination of both.

**IMMEDIATE HELPING HAND PRESCRIPTION DRUG PLAN**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-9010-4-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....		2,500	11,200
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		2,500	11,200
23.95 Total new obligations .....		-2,500	-11,200
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....		2,500	11,200
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....		2,500	11,200
73.20 Total outlays (gross) .....		-2,500	-11,200

<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	2,500		11,200
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,500		11,200
90.00 Outlays .....	2,500		11,200

The Immediate Helping Hand provides critical assistance to our Nation's most vulnerable senior citizens for the cost of their prescription drugs.

**HEALTH MAINTENANCE ORGANIZATION LOAN AND LOAN GUARANTEE FUND**

For carrying out subsections (d) and (e) of section 1308 of the Public Health Service Act, any amounts received by the Secretary in connection with loans and loan guarantees under title XIII of the Public Health Service Act, to be available without fiscal year limitation for the payment of outstanding obligations. During fiscal year [2001] 2002, no commitments for direct loans or loan guarantees shall be made. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing (in millions of dollars)**

Identification code 75-4420-0-3-551	2000 actual	2001 est.	2002 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	11	10	10
22.00 New budget authority (gross) .....	1		
22.60 Portion applied to repay debt .....	-2	-1	
23.90 Total budgetary resources available for obligation .....	10	9	10
23.95 Total new obligations .....			
24.40 Unobligated balance carried forward, end of year .....	10	10	10
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	1		
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 75-4420-0-3-551	2000 actual	2001 est.	2002 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	3	1	
2251 Repayments and prepayments .....	-2	-1	
2290 Outstanding, end of year .....	1		
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1		

The last loan commitments from the HMO loan fund were made in 1983. The schedule above reflects receipts on outstanding loans and payments to the Federal Financing Bank.

**Trust Funds**

**FEDERAL HOSPITAL INSURANCE TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 20-8005-0-7-571	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year .....	137,807	167,263	196,615
<b>Receipts:</b>			
02.00 Transfers from general fund (FICA taxes) .....	125,909	137,002	143,528

02.01	Transfers from general fund (SECA taxes)	9,200	9,819	10,152
02.02	Receipts from Railroad Retirement Board	418	407	418
02.03	Civil penalties and damages	147	161	178
02.04	Deposits by states	2		
02.20	Premiums collected for uninsured individuals not otherwise eligible	1,392	1,397	1,488
02.21	Other proprietary receipts from the public	1		
02.40	Federal employer contributions (FICA)	1,989	2,036	2,125
02.41	Postal service employer contributions (FICA)	639	655	682
02.42	Payments from the general fund (uninsured and program management)	587	605	592
Offsetting receipts (intragovernmental):				
02.43	Taxation on OASDI benefits	8,787	7,337	7,903
02.43	Taxation on OASDI benefits, legislative proposal not subject to PAYGO			-106
02.44	Interest payments by Railroad Retirement Board	47	37	34
02.45	Interest received by trust funds	10,423	12,248	13,715
02.46	Payments for military service credits	2	2	2
02.47	FBI, Payment from the general fund	76	88	101
02.48	Criminal fines, transfers from the general fund	57	63	69
02.49	Civil monetary penalties, transfers from the general fund	5	6	6
02.50	Transfers from DOD, HI		11	16
02.80	Federal hospital insurance trust fund, offsetting collections	5		
02.99	Total receipts and collections	159,686	171,874	180,903
04.00	Total: Balances and collections	297,493	339,137	377,518
Appropriations:				
Appropriations:				
05.00	Federal hospital insurance trust fund	-129,366	-141,572	-146,197
05.00	Proposed legislation not subject to PAYGO			20
05.01	Health care fraud and abuse control account	-869	-950	-1,010
05.99	Total appropriations	-130,235	-142,522	-147,187
06.10	Unobligated balance returned to receipts	5		
07.99	Balance, end of year	167,263	196,615	230,331

**Program and Financing (in millions of dollars)**

Identification code 20-8005-0-7-571		2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>				
00.01	Benefit payments, HI	125,993	135,092	141,843
00.02	Administration, HI	1,232	1,447	1,548
00.03	Peer review organizations, HI	405	89	312
00.04	Research, HI	30	97	39
00.05	HI partial transfer of home health to SMI	1,706	3,515	2,455
00.06	Quinquennial adjustment		1,332	
10.00	Total new obligations	129,366	141,572	146,197
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross)	129,366	141,572	146,197
23.95	Total new obligations	-129,366	-141,572	-146,197
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.26	Appropriation (trust fund, definite)	1,222	1,504	1,547
Mandatory:				
60.27	Appropriation (trust fund, indefinite)	157,594	169,420	178,451
60.45	Portion precluded from obligation	-29,450	-29,352	-33,801
62.50	Appropriation (total mandatory)	128,144	140,068	144,650
70.00	Total new budget authority (gross)	129,366	141,572	146,197
<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year	465	636	390
72.99	Obligated balance, start of year	465	636	390
73.10	Total new obligations	129,366	141,572	146,197
73.20	Total outlays (gross)	-129,195	-141,818	-146,221
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year	636	390	366
74.99	Obligated balance, end of year	636	390	366
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority	1,222	1,440	1,547
86.97	Outlays from new mandatory authority	127,823	140,011	144,447
86.98	Outlays from mandatory balances	150	367	227
87.00	Total outlays (gross)	129,195	141,818	146,221

<b>Net budget authority and outlays:</b>				
89.00	Budget authority	129,366	141,572	146,197
90.00	Outlays	129,195	141,818	146,221
<b>Memorandum (non-add) entries:</b>				
92.01	Total investments, start of year: Federal securities:			
	Par value	153,767	168,859	197,178
92.02	Total investments, end of year: Federal securities:			
	Par value	168,859	197,178	230,850

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	2000 actual	2001 est.	2002 est.
Budget Authority	129,366	141,572	146,197
Outlays	129,195	141,818	146,221
Legislative proposal, not subject to PAYGO:			
Budget Authority			-20
Outlays			-20
Total:			
Budget Authority	129,366	141,572	146,177
Outlays	129,195	141,818	146,201

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows:

**Status of Funds (in millions of dollars)**

Identification code 20-8005-0-7-571		2000 actual	2001 est.	2002 est.
Unexpended balance, start of year:				
0100	Treasury balance	-15,346	-789	
0101	U.S. Securities: Par value	153,767	168,859	197,178
0199	Total balance, start of year	138,421	168,072	197,178
Cash income during the year:				
Current law:				
Receipts:				
1200	FHI trust fund, transfers from general fund (FICA taxes)	125,909	137,002	143,528
1201	FHI trust fund, transfers from general fund (SECA taxes)	9,200	9,819	10,152
1202	FHI trust fund, receipts from Railroad Retirement Board	418	407	418
1203	HCFAC: Civil penalties and damages	147	161	178
1204	FHI trust fund, Deposits by States	2		
Offsetting receipts (proprietary):				
1220	FHI trust fund, premiums collected for uninsured individuals not otherwise eligible	1,392	1,397	1,488
1221	Other proprietary receipts	1		
Offsetting receipts (intragovernmental):				
1240	FHI trust fund, Federal employer contributions (FICA)	1,989	2,036	2,125
1241	FHI trust fund, Postal Service employer contributions (FICA)	639	655	682
Offsetting receipts (intragovernmental):				
1242	FHI trust fund, Federal payment for transitional coverage for uninsured Federal employees	121	132	150
1242	FHI trust fund, Federal payment for transitional coverage for the uninsured	349	321	292
1242	FHI trust fund, general fund transfer, program management (HI)	116	152	150
1243	FHI trust fund, Federal payments for OASDI taxes	8,787	7,337	7,903
1244	FHI trust fund, interest payment from Railroad Retirement Board	47	37	34
1245	FHI trust fund, interest on investments	10,423	12,248	13,715
1246	FHI trust fund, transfer from Department of Defense for military service credits	2	2	2
1247	HCFAC: FBI	76	88	101
1248	HCFAC: Criminal fines	57	63	69
1249	HCFAC: Civil monetary penalties	5	6	6
1250	Other intragovernmental transactions		11	16
Offsetting collections:				
1280	HCFAC user fees	5		
1299	Income under present law	159,686	171,874	181,009
Proposed legislation:				
Offsetting receipts (intragovernmental):				
2243	Offsetting receipts (intragovernmental)			-106
3299	Total cash income	159,686	171,874	180,903

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

Status of Funds (in millions of dollars)—Continued

Identification code 20-8005-0-7-571	2000 actual	2001 est.	2002 est.
<b>Cash outgo during year:</b>			
<b>Current law:</b>			
Cash outgo during the year (—):			
4500			
Benefit Payments .....	–125,992	–136,424	–141,843
4500			
Administration .....	–1,232	–1,431	–1,521
4500			
Peer Review Organizations .....	–235	–399	–336
4500			
Research .....	–30	–49	–66
4500			
HI Partial Transfer of Home Health to SMI .....	–1,706	–3,515	–2,455
4501			
HCFAC .....	–840	–950	–1,010
4599			
Outgo under current law (—) .....	–130,035	–142,768	–147,231
<b>Proposed legislation:</b>			
5500			
Administration, legislative proposal not subject to PAYGO .....			20
6599			
Total cash outgo (—) .....	–130,035	–142,768	–147,211
<b>Unexpended balance, end of year:</b>			
8700			
Uninvested balance .....	–789		
8701			
Federal securities: Par value .....	168,859	197,178	230,870
8799			
Total balance, end of year .....	168,072	197,178	230,870

Object Classification (in millions of dollars)

Identification code 20-8005-0-7-571	2000 actual	2001 est.	2002 est.
<b>Grants, subsidies, and contributions:</b>			
41.0			
Payment for health insurance experiments and demonstration projects .....	30	97	39
41.0			
Payment for peer review organization (PRO) activities .....	405	89	312
42.0			
Insurance claims and indemnities .....	125,993	136,424	141,843
<b>Undistributed:</b>			
92.0			
HI Partial Transfer of Home Health to SMI .....	1,706	3,515	2,455
92.0			
Reimbursement for administrative expenses for other than SSA LAE .....	50	50	50
92.0			
Purchases of goods and services .....	694	816	864
93.0			
Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration .....	488	581	634
99.9			
Total new obligations .....	129,366	141,572	146,197

FEDERAL HOSPITAL INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8005-2-7-571	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.02			
Administration, HI .....			–20
10.00			
Total new obligations (object class 92.0) .....			–20
<b>Budgetary resources available for obligation:</b>			
22.00			
New budget authority (gross) .....			–20
23.95			
Total new obligations .....			20
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.26			
Appropriation (trust fund, definite) .....			–20
<b>Change in unpaid obligations:</b>			
73.10			
Total new obligations .....			–20
73.20			
Total outlays (gross) .....			20
<b>Outlays (gross), detail:</b>			
86.90			
Outlays from new discretionary authority .....			–20
<b>Net budget authority and outlays:</b>			
89.00			
Budget authority .....			–20
90.00			
Outlays .....			–20
<b>Memorandum (non-add) entries:</b>			
92.02			
Total investments, end of year: Federal securities: Par value .....			20

The budget proposes a tax relief package that would reduce marginal tax rates. This change would reduce the receipts from taxing OASDI benefits, and therefore would reduce the amount of the tax transfer to the Hospital Insurance (HI) trust fund. The account reflects this reduction.

The budget proposes new discretionary user fees paid by providers for submitting paper claims and duplicate or unprocessable claims. This account reflects the reduction in payments to HI and SMI as a result of this user fee proposal.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT  
(FEDERAL HOSPITAL INSURANCE TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 75-8393-0-7-571	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01			
Medicare integrity program .....	630	680	700
00.02			
FBI fraud and abuse control .....	76	88	101
00.03			
Other fraud and abuse control .....	157	182	209
09.01			
Reimbursable program .....	1		
10.00			
Total new obligations .....	864	950	1,010
<b>Budgetary resources available for obligation:</b>			
22.00			
New budget authority (gross) .....	869	950	1,010
23.95			
Total new obligations .....	–864	–950	–1,010
23.98			
Unobligated balance expiring or withdrawn .....	–5		
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
60.26			
Appropriation (trust fund, definite) .....	864	950	1,010
69.00			
Offsetting collections (cash) .....	5		
70.00			
Total new budget authority (gross) .....	869	950	1,010
<b>Change in unpaid obligations:</b>			
<b>Unpaid obligations, start of year:</b>			
72.40			
Unpaid obligations, start of year .....	149	173	173
72.99			
Obligated balance, start of year .....	149	173	173
73.10			
Total new obligations .....	864	950	1,010
73.20			
Total outlays (gross) .....	–840	–950	–1,010
<b>Unpaid obligations, end of year:</b>			
74.40			
Unpaid obligations, end of year .....	173	173	173
74.99			
Obligated balance, end of year .....	173	173	173
<b>Outlays (gross), detail:</b>			
86.97			
Outlays from new mandatory authority .....	840	950	1,010
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
88.00			
Offsetting collections (cash) from: Federal sources .....	–5		
<b>Net budget authority and outlays:</b>			
89.00			
Budget authority .....	864	950	1,010
90.00			
Outlays .....	836	950	1,010

P.L. 104-191 established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal hospital insurance trust fund and appropriated funds, to be available without further appropriation, from the trust fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

Object Classification (in millions of dollars)

Identification code 75-8393-0-7-571	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
25.6			
Medical care (HCFAC) .....	630	680	700
92.0			
Undistributed (FBI) .....	76	88	101
99.0			
Subtotal, direct obligations .....	706	768	801
<b>Allocation Account:</b>			
<b>Personnel compensation:</b>			
<b>Full-time permanent:</b>			
11.1			
Full-time permanent [Other HHS] .....	2	2	1

11.1	Full-time permanent (OIG)	61	74	87
11.1	Full-time permanent (DoJ)	15	15	15
11.1	Full-time permanent (ASMB)			2
11.1	Full-time permanent (OGC)	2	3	4
	Other than full-time permanent:			
11.3	Other than full-time permanent (DOJ)	1	1	1
11.3	Other than full-time permanent (OIG)	1		
11.5	Other personnel compensation (OIG)	1		
11.8	Special personal services payments [DOJ]	1	1	1
11.9	Total personnel compensation	84	96	111
	Civilian personnel benefits:			
12.1	Civilian personnel benefits (OIG)	17	19	24
12.1	Civilian personnel benefits (DoJ)	4	4	4
	Travel and transportation of persons:			
21.0	Travel and transportation of persons (OIG)	5	6	6
21.0	Travel and transportation of persons (DoJ)	1	1	1
22.0	Transportation of things (OIG)	1	1	1
	Rental payments to GSA:			
23.1	Rental payments to GSA (OIG)	8	8	9
23.1	Rental payments to GSA (DoJ)	3	3	3
23.3	Communications, utilities, and miscellaneous charges (OIG)	2	1	2
24.0	Printing and reproduction [DOJ]	1		1
25.1	Advisory and assistance services [DOJ]	1	1	1
	Other services:			
25.2	Other services (DoJ)	6	14	15
25.2	Other services [OIG]	2	2	2
25.2	Other services		1	3
	Purchases of goods and services from Government accounts:			
25.3	Purchases of goods and services from Government accounts (DoJ)	2	3	2
25.3	Purchases of goods and services from Government accounts [OIG]	12	12	12
25.7	Operation and maintenance of equipment (OIG)	1	1	1
26.0	Supplies and materials (OIG)	2	2	2
	Equipment:			
31.0	Equipment (OIG)	5	4	4
31.0	Equipment [DOJ]		1	1
	Grants, subsidies, and contributions:			
41.0	Grants, subsidies, and contributions (AoA)	1		1
41.0	Grants, subsidies, and contributions (HCFA)		2	3
99.0	Subtotal, allocation account	158	182	209
99.9	Total new obligations	864	950	1,010

This schedule reflects estimated distribution of the allocation account. Actual FY 2002 distributions will be determined by the Secretary of HHS and the Attorney General consistent with the President's priorities.

	2000 actual	2001 est.	2002 est.
Department of Justice (DOJ)	35	43	45
Office of the Inspector General, HHS	119	130	150
Health Care Financing Administration, HHS	630	683	704
Office of General Counsel, HHS	2	4	5
Other HHS	2	2	5
Total	788	862	909

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8004-0-7-571	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year	45,615	45,730	39,360
	Receipts:		
	Offsetting receipts (proprietary):		
02.20 Premiums collected for the aged	17,961	19,152	22,116
02.20 Premiums collected for the aged, legislative proposal not subject to PAYGO			-20
02.21 Premiums collected for the disabled	2,554	2,884	3,430
02.22 Other proprietary receipts from the public	4		
	Offsetting receipts (intragovernmental):		
02.40 Federal contributions	65,561	69,777	81,332
02.40 Federal contributions, legislative proposal not subject to PAYGO			-70
02.41 Interest received by trust fund	3,160	3,033	2,733
02.42 Transfers from DOD, SMI		11	15
02.80 Federal supplementary medical insurance trust fund, offsetting collections	1,706	3,515	2,455

02.99 Total receipts and collections	90,946	98,372	111,991
04.00 Total: Balances and collections	136,561	144,102	151,351
	Appropriations:		
	Appropriations:		
05.00 Federal supplementary medical insurance trust fund	-90,831	-104,742	-112,290
05.00 Legislative proposal not subject to PAYGO			95
05.99 Total appropriations	-90,831	-104,742	-112,195
07.99 Balance, end of year	45,730	39,360	39,156

Program and Financing (in millions of dollars)

Identification code 20-8004-0-7-571	2000 actual	2001 est.	2002 est.
	Obligations by program activity:		
00.01 Benefit payments, SMI	87,170	99,183	107,669
00.02 Administration, SMI	1,767	1,810	2,002
00.03 Peer review organizations, SMI	175	22	78
00.04 Research, SMI	13	42	16
00.06 Transfer to Medicaid for payment of SMI premiums		170	70
09.01 HI partial transfer of home health	1,706	3,515	2,455
10.00 Total new obligations	90,831	104,742	112,290

Budgetary resources available for obligation:

22.00 New budget authority (gross)	90,831	104,742	112,290
23.95 Total new obligations	-90,831	-104,742	-112,290

New budget authority (gross), detail:

Discretionary:			
40.26 Appropriation (trust fund, definite)	1,776	1,852	2,014
40.76 Reduction pursuant to P.L. 106-113		-4	
43.00 Appropriation (total discretionary)	1,776	1,848	2,014
	Mandatory:		
60.27 Appropriation (trust fund, indefinite)	87,464	93,009	107,612
60.45 Portion precluded from obligation	-115	6,370	209
62.50 Appropriation (total mandatory)	87,349	99,379	107,821
69.00 Offsetting collections (cash)	1,706	3,515	2,455
70.00 Total new budget authority (gross)	90,831	104,742	112,290

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year	34	166	114
72.99 Obligated balance, start of year	34	166	114
73.10 Total new obligations	90,831	104,742	112,290
73.20 Total outlays (gross)	-90,698	-104,794	-112,288
	Unpaid obligations, end of year:		
74.40 Unpaid obligations, end of year	166	114	116
74.99 Obligated balance, end of year	166	114	116

Outlays (gross), detail:

86.90 Outlays from new discretionary authority	1,776	1,816	2,003
86.97 Outlays from new mandatory authority	88,894	102,879	110,225
86.98 Outlays from mandatory balances	28	99	60
87.00 Total outlays (gross)	90,698	104,794	112,288

Offsets:

88.00 Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources	-1,706	-3,515	-2,455
---	--------	--------	--------

Net budget authority and outlays:

89.00 Budget authority	89,125	101,227	109,835
90.00 Outlays	88,992	101,279	109,833

Memorandum (non-add) entries:

92.01 Total investments, start of year: Federal securities: Par value	26,528	45,075	39,474
92.02 Total investments, end of year: Federal securities: Par value	45,075	39,474	39,177

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	2000 actual	2001 est.	2002 est.
Budget Authority	89,125	101,227	109,835
Outlays	88,992	101,279	109,833

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—  
Continued

Summary of Budget Authority and Outlays—Continued

(in millions of dollars)

	2000 actual	2001 est.	2002 est.
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-95
Outlays .....			-95
Total:			
Budget Authority .....	89,125	101,227	109,740
Outlays .....	88,992	101,279	109,738

The Supplementary Medical Insurance (SMI) program is a voluntary program which affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8004-0-7-571	2000 actual	2001 est.	2002 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	19,120	821	
0101 U.S. Securities: Par value .....	26,528	45,075	39,474
0199 Total balance, start of year .....	45,649	45,896	39,474
Cash income during the year:			
Current law:			
Offsetting receipts (proprietary):			
1220 Premiums collected for the aged, FSMI fund .....	17,961	19,152	22,116
1221 Premiums collected for the disabled, FSMI fund .....	2,554	2,884	3,430
1222 Other proprietary receipts .....	4		
Offsetting receipts (intragovernmental):			
1240 Federal contributions, FSMI fund .....	65,561	69,777	81,332
1241 Interest received by trust fund, FSMI fund .....	3,160	3,033	2,733
1242 Intragovernmental transactions .....		11	15
Offsetting collections:			
1280 HI partial transfer of home health .....	1,706	3,515	2,455
1299 Income under present law .....	90,946	98,372	112,081
Proposed legislation:			
Offsetting receipts (proprietary receipts):			
2220 Offsetting receipts (proprietary receipts) .....			-20
Offsetting receipts (intragovernmental):			
2240 Federal contributions, legislative proposal not subject to PAYGO .....			-70
2299 Income under proposed legislation .....			-90
3299 Total cash income .....	90,946	98,372	111,991
Cash outgo during year:			
Current law:			
Cash outgo during the year (-):			
4500 Benefit payments & ESRD .....	-87,169	-99,183	-107,669
4500 Administration .....	-1,767	-1,799	-1,979
4500 Peer review organizations .....	-43	-106	-87
4500 Research .....	-13	-21	-28
4500 HI partial transfer of home health .....	-1,706	-3,515	-2,455
4500 Transfer to Medicaid for payment of SMI premiums .....		-170	-70
4599 Outgo under current law (-) .....	-90,698	-104,794	-112,288
Proposed legislation:			
5500 Administration, legislative proposal not subject to PAYGO .....			95
6599 Total cash outgo (-) .....	-90,698	-104,794	-112,193
Unexpended balance, end of year:			
8700 Uninvested balance .....	821		
8701 Federal securities: Par value .....	45,075	39,474	39,272
8799 Total balance, end of year .....	45,896	39,474	39,272

Object Classification (in millions of dollars)

Identification code 20-8004-0-7-571	2000 actual	2001 est.	2002 est.
Direct obligations:			
Grants, subsidies, and contributions:			
41.0 Payment for peer review organization (PRO) activity .....	175	22	78

41.0	Payment for health insurance experiments and demonstration projects .....	16	42	16
42.0	Insurance claims and indemnities .....	87,170	99,353	107,739
92.0	Undistributed .....	1,254	1,297	1,442
93.0	Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration .....	510	513	560
99.0	Subtotal, direct obligations .....	89,125	101,227	109,835
99.0	Reimbursable obligations .....	1,706	3,515	2,455
99.9	Total new obligations .....	90,831	104,742	112,290

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8004-2-7-571	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.02	Administration, SMI .....		-95
10.00	Total new obligations (object class 92.0) .....		-95
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....		-95
23.95	Total new obligations .....		95
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26	Appropriation (trust fund, definite) .....		-95
<b>Change in unpaid obligations:</b>			
73.10	Total new obligations .....		-95
73.20	Total outlays (gross) .....		95
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new discretionary authority .....		-95
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		-95
90.00	Outlays .....		-95
<b>Memorandum (non-add) entries:</b>			
92.02	Total investments, end of year: Federal securities: Par value .....		95

The Budget proposes new discretionary user fees paid by providers for submitting paper claims and duplicate or unprocessable claims. This account reflects the reduction in payments to SMI as a result of this user fee proposal.

ALLOCATION RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

ADMINISTRATION FOR CHILDREN AND FAMILIES

Federal Funds

General and special funds:

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)

Identification code 75-1552-0-1-609	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
00.01	State family assistance grant .....	16,489	16,489	16,489
00.02	State penalties .....		-10	-10
00.03	Territories—family assistance grants .....	78	78	78
00.04	Matching grants to territories .....	7	15	15
00.05	Bonus to reward decrease in illegitimacy .....	100	100	100

00.06	Supplemental grants for population increases .....	239	319	.....
00.07	Bonus to reward high performance States .....	200	200	200
00.08	Tribal work programs .....	7	7	7
10.00	Total new obligations (object class 41.0) .....	17,120	17,198	16,879

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year	1,561	1,122	609
22.00	New budget authority (gross) .....	16,689	16,689	16,679

**Change in unpaid obligations:**

72.40	Unpaid obligations, start of year .....	10,136	11,803	11,922
72.99	Obligated balance, start of year .....	10,136	11,803	11,922
73.10	Total new obligations .....	17,120	17,198	16,879
73.20	Total outlays (gross) .....	-15,463	-17,080	-17,260
73.40	Adjustments in expired accounts (net) .....	11	.....	.....
74.40	Unpaid obligations, end of year .....	11,803	11,922	11,542
74.99	Obligated balance, end of year .....	11,803	11,922	11,542

**Outlays (gross), detail:**

86.97	Outlays from new mandatory authority .....	10,344	10,309	10,136
86.98	Outlays from mandatory balances .....	5,120	6,771	7,124
87.00	Total outlays (gross) .....	15,463	17,080	17,260

**Net budget authority and outlays:**

89.00	Budget authority .....	16,689	16,689	16,679
90.00	Outlays .....	15,464	17,080	17,260

This account provides funding for activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). The Temporary assistance for needy families block grant provides funding to States for aid to low-income families with children.

This account also includes Federal loans for State welfare programs, established by section 406 of the Social Security Act as amended.

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

(Legislative proposal, subject to PAYGO)

This legislative proposal will allow states beginning in 2003 to claim as Federal TANF expenditures a portion of the revenue losses associated with enacting a charitable State Tax Credit for contributions to charities designated by States as addressing poverty and its impact.

CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 75-1522-0-1-609

21.40	Unobligated balance carried forward, start of year	1,958	1,958	.....
23.95	Total new obligations .....	.....	.....	.....
23.98	Unobligated balance expiring or withdrawn .....	.....	-1,958	.....
24.40	Unobligated balance carried forward, end of year .....	1,958	.....	.....

**Net budget authority and outlays:**

89.00	Budget authority .....	.....	.....	.....
90.00	Outlays .....	.....	.....	.....

PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

For making payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), **[\$2,441,800,000] \$2,447,800,000**, to remain available until expended; and for such purposes for the first quarter of fiscal year **[2002, \$1,000,000,000] 2003, \$1,100,000,000**, to remain available until expended.

For making payments to each State for carrying out the program of Aid to Families with Dependent Children under title IV-A of the Social Security Act before the effective date of the program of Temporary Assistance to Needy Families (TANF) with respect to such State, such sums as may be necessary: *Provided*, That the sum of the amounts available to a State with respect to expenditures under such title IV-A in fiscal year 1997 under this appropriation and under such title IV-A as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 shall not exceed the limitations under section 116(b) of such Act.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

Program and Financing (in millions of dollars)

Identification code 75-1501-0-1-609

		2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>				
Child support enforcement (CSE):				
Benefit payments:				
00.01	State child support administrative costs .....	2,806	3,248	3,414
00.02	Federal incentive/hold harmless payments to States .....	406	426	460
00.03	Access and visitation grants .....	10	10	10
00.91	Subtotal, child support enforcement .....	3,222	3,684	3,884
01.02	Payments to territories .....	19	23	23
01.03	Repatriation .....	1	1	1
01.91	Subtotal, other payments .....	20	24	24
Aid to families with dependent children (AFDC) payments:				
02.01	AFDC benefit payments .....	94	37	.....
02.03	State and local welfare administration .....	17	1	.....
02.04	AFDC/JOBS child care .....	.....	2	.....
02.91	Subtotal, AFDC programs .....	111	40	.....
10.00	Total new obligations (object class 41.0) .....	3,353	3,748	3,908

**Budgetary resources available for obligation:**

21.40	Unobligated balance carried forward, start of year	1,920	1	.....
22.00	New budget authority (gross) .....	1,416	3,747	3,908
22.10	Resources available from recoveries of prior year obligations .....	18	.....	.....
23.90	Total budgetary resources available for obligation	3,354	3,748	3,908
23.95	Total new obligations .....	-3,353	-3,748	-3,908
24.40	Unobligated balance carried forward, end of year .....	1	.....	.....

**New budget authority (gross), detail:**

Mandatory:				
60.00	Appropriation .....	.....	2,442	2,448
60.05	Appropriation (indefinite) .....	260	229	.....
62.50	Appropriation (total mandatory) .....	260	2,671	2,448
65.00	Advance appropriation .....	750	650	1,000
69.00	Offsetting collections (cash) .....	406	426	460
69.10	Change in uncollected customer payments from Federal sources .....	54	.....	.....
69.15	Adjustments to uncollected customer payments from Federal sources .....	-54	.....	.....
69.90	Spending authority from offsetting collections (total mandatory) .....	406	426	460
70.00	Total new budget authority (gross) .....	1,416	3,747	3,908

**Change in unpaid obligations:**

Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year .....	1,086	1,163	1,046

**General and special funds—Continued**

**PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-1501-0-1-609	2000 actual	2001 est.	2002 est.
72.95 Uncollected customer payments from Federal sources, start of year .....	- 30	- 84	- 84
72.99 Obligated balance, start of year .....	1,056	1,079	962
73.10 Total new obligations .....	3,353	3,748	3,908
73.20 Total outlays (gross) .....	- 3,312	- 3,865	- 3,913
73.40 Adjustments in expired accounts (net) .....	54		
73.45 Recoveries of prior year obligations .....	- 18		
74.00 Change in uncollected customer payments from Federal sources .....	- 54		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	1,163	1,046	1,041
74.95 Uncollected customer payments from Federal sources, end of year .....	- 84	- 84	- 84
74.99 Obligated balance, end of year .....	1,079	962	957
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	1,416	3,235	3,382
86.98 Outlays from mandatory balances .....	1,896	630	531
87.00 Total outlays (gross) .....	3,312	3,865	3,913
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	- 406	- 426	- 460
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources .....	- 54		
88.96 Adjustment to uncollected customer payments from Federal sources .....	54		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,010	3,321	3,448
90.00 Outlays .....	2,906	3,439	3,453

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. Spending authority from the Federal share of child support collections is used to pay incentive payments and, for a limited time, hold harmless payments to States. The remaining net Federal share of collections is returned to the Treasury in a receipt account. The text table below shows the net Federal costs of child support enforcement:

**Net Federal Costs of Child Support Enforcement**

(In millions of dollars)

	2000	2001	2002
Gross Federal share of collections .....	(1,319)	(1,322)	(1,338)
Federal incentive payments to States .....	396	416	450
Hold harmless payments .....	10	10	10
State child support administrative costs .....	2,806	3,248	3,414
Access and visitation grants .....	10	10	10
Total .....	1,903	2,362	2,546

**LOW INCOME HOME ENERGY ASSISTANCE**

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981, [in addition to amounts already appropriated for fiscal year 2001, \$300,000,000] \$1,400,000,000.

For making payments under title XXVI of the Omnibus Reconciliation Act of 1981, \$300,000,000: *Provided*, That these funds are [hereby designated by the Congress to be emergency requirements pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That these funds shall be made available only after submission to the Congress of a formal budget request by the President that includes designation of the entire amount of the request as an emergency requirement

as defined in such Act] for the unanticipated home energy assistance needs of one or more States, as authorized by section 2604(e) of the Act and notwithstanding the designation requirement of section 2602(e) of such Act. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

**Program and Financing (in millions of dollars)**

Identification code 75-1502-0-1-609	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	1,845	1,856	1,559
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		156	
22.00 New budget authority (gross) .....	2,000	1,700	1,700
23.90 Total budgetary resources available for obligation .....	2,000	1,856	1,700
23.95 Total new obligations .....	- 1,845	- 1,856	- 1,559
23.98 Unobligated balance expiring or withdrawn .....			- 141
24.40 Unobligated balance carried forward, end of year .....	156		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		300	1,400
40.15 Appropriation (emergency) .....	900	300	300
43.00 Appropriation (total discretionary) .....	900	600	1,700
55.00 Advance appropriation .....	1,100	1,100	
70.00 Total new budget authority (gross) .....	2,000	1,700	1,700
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	474	822	437
72.99 Obligated balance, start of year .....	474	822	437
73.10 Total new obligations .....	1,845	1,856	1,559
73.20 Total outlays (gross) .....	- 1,495	- 2,241	- 1,525
73.40 Adjustments in expired accounts (net) .....	- 2		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	822	437	471
74.99 Obligated balance, end of year .....	822	437	471
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	1,079	1,336	1,153
86.93 Outlays from discretionary balances .....	416	905	372
87.00 Total outlays (gross) .....	1,495	2,241	1,525
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,000	1,700	1,700
90.00 Outlays .....	1,495	2,241	1,525

This program makes grants to States and Indian tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers. Obligation estimates for the emergency contingency fund are based on average historical obligation rates.

**REFUGEE AND ENTRANT ASSISTANCE**

For making payments for refugee and entrant assistance activities authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 (Public Law 96-422), [\$423,109,000: *Provided*, That funds appropriated pursuant to section 414(a) of the Immigration and Nationality Act for fiscal year 2001 shall be available for the costs of assistance provided and other activities through September 30, 2003] \$435,224,000 to remain available through September 30, 2004: *Provided [further]*, That up to [\$5,000,000] \$10,000,000 is available to carry out the Trafficking Victims Protection Act of 2000.

For carrying out section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), \$10,000,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)



Program and Financing (in millions of dollars)

Identification code 75-1503-0-1-609	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Refugee and entrant assistance .....	443	423	435
00.02 Assistance for treatment of torture victims .....	7	10	10
10.00 Total new obligations .....	450	433	445
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	2	50	62
22.00 New budget authority (gross) .....	498	445	445
23.90 Total budgetary resources available for obligation .....	500	495	507
23.95 Total new obligations .....	-450	-433	-445
24.40 Unobligated balance carried forward, end of year .....	50	62	62
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		423	435
40.05 Appropriation (indefinite) .....	8	10	10
40.15 Appropriation (emergency) .....	419		
40.76 Reduction pursuant to P.L. 106-113 .....	-1		
43.00 Appropriation (total discretionary) .....	426	433	445
50.00 Reappropriation .....	72	12	
70.00 Total new budget authority (gross) .....	498	445	445
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	587	597	578
72.99 Obligated balance, start of year .....	587	597	578
73.10 Total new obligations .....	450	433	445
73.20 Total outlays (gross) .....	-383	-452	-446
73.40 Adjustments in expired accounts (net) .....	-58		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	597	578	577
74.99 Obligated balance, end of year .....	597	578	577
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	124	120	120
86.93 Outlays from discretionary balances .....	259	331	325
87.00 Total outlays (gross) .....	383	452	446
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	498	445	445
90.00 Outlays .....	383	452	446

States are subsidized for administering the refugee assistance program. Funds are also provided to assist in the rehabilitation of victims of torture.

Object Classification (in millions of dollars)

Identification code 75-1503-0-1-609	2000 actual	2001 est.	2002 est.
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	1	1	1
25.3 Purchases of goods and services from Government accounts .....	1	1	1
41.0 Grants, subsidies, and contributions .....	447	430	442
99.9 Total new obligations .....	450	433	445

PROMOTING SAFE AND STABLE FAMILIES

For carrying out section 430 of the Social Security Act, \$305,000,000. In addition, \$67,000,000 shall be made available to the states consistent with the allotment procedures in section 433 of the Social Security Act for carrying out programs to mentor the children of prisoners and probationers. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 75-1512-0-1-506	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Grants to States and Tribes .....	260	289	289
00.02 Training and technical assistance .....	6	6	6
00.03 State court assessment activities .....	10	10	10
00.04 Mentoring children of prisoners .....			67
10.00 Total new obligations .....	276	305	372
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	295	305	372
23.95 Total new obligations .....	-276	-305	-372
23.98 Unobligated balance expiring or withdrawn .....	-19		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....			67
Mandatory:			
60.00 Appropriation .....	295	305	305
70.00 Total new budget authority (gross) .....	295	305	372
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	322	345	328
72.99 Obligated balance, start of year .....	322	345	328
73.10 Total new obligations .....	276	305	372
73.20 Total outlays (gross) .....	-245	-276	-303
73.40 Adjustments in expired accounts (net) .....	-8	-46	
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	345	328	397
74.99 Obligated balance, end of year .....	345	328	397
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			10
86.97 Outlays from new mandatory authority .....	42	46	46
86.98 Outlays from mandatory balances .....	203	230	247
87.00 Total outlays (gross) .....	245	276	303
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	295	305	372
90.00 Outlays .....	245	276	303

Summary of Budget Authority and Outlays

	(in millions of dollars)		
	2000 actual	2001 est.	2002 est.
Enacted/requested:			
Budget Authority .....	295	305	372
Outlays .....	245	276	303
Legislative proposal, subject to PAYGO:			
Budget Authority .....			200
Outlays .....			30
Total:			
Budget Authority .....	295	305	572
Outlays .....	245	276	333

This program provides funds for a broad range of child welfare services, including family preservation and family support services. Includes funding for states to provide competitive grants to faith and community-based groups to mentor the children of prisoners and help rebuild the families of low-income probationers.

Object Classification (in millions of dollars)

Identification code 75-1512-0-1-506	2000 actual	2001 est.	2002 est.
25.1 Advisory and assistance services .....	6	6	7
25.2 Other services .....			1
41.0 Grants, subsidies, and contributions .....	270	299	364
99.9 Total new obligations .....	276	305	372

**General and special funds—Continued**

**PROMOTING SAFE AND STABLE FAMILIES**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 75-1512-4-1-506	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Grants to States and Tribes .....			200
10.00 Total new obligations .....			200
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			200
23.95 Total new obligations .....			-200
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			200
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....			
72.99 Obligated balance, start of year .....			
73.10 Total new obligations .....			200
73.20 Total outlays (gross) .....			-30
73.40 Adjustments in expired accounts (net) .....			
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....			170
74.99 Obligated balance, end of year .....			170
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			30
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			200
90.00 Outlays .....			30

The legislative proposal will reauthorize and increase the funds available for the Promoting Safe and Stable Families program.

**Object Classification** (in millions of dollars)

Identification code 75-1512-4-1-506	2000 actual	2001 est.	2002 est.
21.0 Travel and transportation of persons .....			1
25.1 Advisory and assistance services .....			5
25.2 Other services .....			1
41.0 Grants, subsidies, and contributions .....			193
99.9 Total new obligations .....			200

**JOB OPPORTUNITIES AND BASIC SKILLS TRAINING PROGRAM**

**Program and Financing** (in millions of dollars)

Identification code 75-1509-0-1-504	2000 actual	2001 est.	2002 est.
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	62	43	3
72.99 Obligated balance, start of year .....	62	43	3
73.20 Total outlays (gross) .....	-15	-9	-3
73.40 Adjustments in expired accounts (net) .....	-4	-31	
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	43	3	
74.99 Obligated balance, end of year .....	43	3	
<b>Outlays (gross), detail:</b>			
86.98 Outlays from mandatory balances .....	15	9	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	15	9	3

This activity is replaced by Temporary assistance for needy families.

**STATE LEGALIZATION IMPACT-ASSISTANCE GRANTS**

**Program and Financing** (in millions of dollars)

Identification code 75-1508-0-1-506	2000 actual	2001 est.	2002 est.
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	8		
72.99 Obligated balance, start of year .....	8		
73.40 Adjustments in expired accounts (net) .....	-7		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

**CHILD CARE ENTITLEMENT TO STATES**

**Program and Financing** (in millions of dollars)

Identification code 75-1550-0-1-609	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Mandatory child care .....	1,178	1,177	1,178
00.02 Matching child care .....	1,136	1,332	1,478
00.03 Training and technical assistance .....	6	6	7
00.04 Child Care Tribal Grants .....	47	51	54
10.00 Total new obligations .....	2,367	2,567	2,717
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2,367	2,567	2,717
23.95 Total new obligations .....	-2,367	-2,567	-2,717
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	2,367	2,567	2,717
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	517	643	787
72.99 Obligated balance, start of year .....	517	643	787
73.10 Total new obligations .....	2,367	2,567	2,717
73.20 Total outlays (gross) .....	-2,237	-2,423	-2,555
73.40 Adjustments in expired accounts (net) .....	-4		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	643	787	949
74.99 Obligated balance, end of year .....	643	787	949
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	1,869	1,994	2,046
86.98 Outlays from mandatory balances .....	368	429	509
87.00 Total outlays (gross) .....	2,237	2,423	2,555
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,367	2,567	2,717
90.00 Outlays .....	2,237	2,423	2,555

Identification code 75-1550-0-1-609	2000 actual	2001 est.	2002 est.
<b>Object Classification</b> (in millions of dollars)			
25.1 Advisory and assistance services .....	6	6	7
41.0 Grants, subsidies, and contributions .....	2,361	2,561	2,710
99.9 Total new obligations .....	2,367	2,567	2,717

This account provides child care funding for welfare recipients and low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193).

**Object Classification** (in millions of dollars)

Identification code 75-1550-0-1-609	2000 actual	2001 est.	2002 est.
25.1 Advisory and assistance services .....	6	6	7
41.0 Grants, subsidies, and contributions .....	2,361	2,561	2,710
99.9 Total new obligations .....	2,367	2,567	2,717

PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT  
BLOCK GRANT

For carrying out sections 658A through 658R of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), [in addition to amounts already appropriated for fiscal year 2001, \$817,328,000, such funds] \$2,199,987,000 shall be used to supplement, not supplant state general revenue funds for child care assistance for low-income families: *Provided, That \$400,000,000 shall be available for States to provide parents with certificates to obtain after-school child care with a high-quality education focus for eligible children less than 19 years of age, and such funds shall be used to supplement, not supplant existing State efforts to serve school-age children: Provided further, That [of the funds appropriated for fiscal year 2001,] \$19,120,000 shall be available for child care resource and referral and school-aged child care activities, of which \$1,000,000 shall be for the Child Care Aware toll free hotline: Provided further, That [of the funds appropriated for fiscal year 2001], in addition to the amounts required to be reserved by the States under section 658G, \$272,672,000 shall be reserved by the States for activities authorized under section 658G, of which \$100,000,000 shall be for activities that improve the quality of infant and toddler child care: Provided further, That [of the funds appropriated for fiscal year 2001], \$10,000,000 shall be for use by the Secretary for child care research, demonstration, and evaluation activities. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*

Program and Financing (in millions of dollars)

Identification code 75-1515-0-1-609	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Block grant payments to States .....	1,170	1,985	1,785
00.02 Grants to States for after school certificates .....			400
00.03 Advisory and assistance services .....	3	5	5
00.04 Research and evaluation fund .....	10	10	10
10.00 Total new obligations .....	1,183	2,000	2,200
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1,183	2,000	2,200
23.95 Total new obligations .....	-1,183	-2,000	-2,200
23.98 Unobligated balance expiring or withdrawn .....			
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....		817	2,200
55.00 Advance appropriation .....	1,183	1,183	
70.00 Total new budget authority (gross) .....	1,183	2,000	2,200
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	390	499	813
72.99 Obligated balance, start of year .....	390	499	813
73.10 Total new obligations .....	1,183	2,000	2,200
73.20 Total outlays (gross) .....	-1,070	-1,686	-2,069
73.40 Adjustments in expired accounts (net) .....	-3		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	499	813	944
74.99 Obligated balance, end of year .....	499	813	944
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	758	1,280	1,408
86.93 Outlays from discretionary balances .....	312	406	661
87.00 Total outlays (gross) .....	1,070	1,686	2,069
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,183	2,000	2,200
90.00 Outlays .....	1,070	1,686	2,069

This appropriation helps low-income families pay for child care and related services including certificates for parents to obtain high quality after-school care with an education focus and supports grants to States for child care quality activities.

Object Classification (in millions of dollars)

Identification code 75-1515-0-1-609	2000 actual	2001 est.	2002 est.
25.1 Advisory and assistance services .....	5	6	6
25.2 Other services .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1,177	1,993	2,193
99.9 Total new obligations .....	1,183	2,000	2,200

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, [\$1,725,000,000: *Provided, That notwithstanding section 2003(c) of such Act, as amended, the amount specified for allocation under such section for fiscal year 2001 shall be \$1,725,000,000: Provided further, That, notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX of such Act shall be 10 percent*] \$1,700,000,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

Program and Financing (in millions of dollars)

Identification code 75-1534-0-1-506	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....	1,775	1,725	1,700
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1,775	1,725	1,700
23.95 Total new obligations .....	-1,775	-1,725	-1,700
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	1,775	1,725	1,700
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	927	874	693
72.99 Obligated balance, start of year .....	927	874	693
73.10 Total new obligations .....	1,775	1,725	1,700
73.20 Total outlays (gross) .....	-1,827	-1,906	-1,809
73.40 Adjustments in expired accounts (net) .....	-1		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	874	693	583
74.99 Obligated balance, end of year .....	874	693	583
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	1,481	1,501	1,479
86.98 Outlays from mandatory balances .....	346	406	330
87.00 Total outlays (gross) .....	1,827	1,906	1,809
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,775	1,725	1,700
90.00 Outlays .....	1,827	1,906	1,809

CHILDREN AND FAMILIES SERVICES PROGRAMS  
(INCLUDING RESCISSIONS)

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, the Native American Programs Act of 1974, title II of Public Law 95-266 (adoption opportunities), the Adoption and Safe Families Act of 1997 (Public Law 105-89), the Abandoned Infants Assistance Act of 1988, [the Early Learning Opportunities Act,] part B(1) of title IV and sections 413, 429A, 1110, and 1115 of the Social Security Act, and sections 40155, 40211, and 40241 of Public Law 103-322; for making payments under the Community Services Block Grant Act, section 473A of the Social Security Act, and title IV of Public Law 105-285, and for necessary administrative expenses to carry out said Acts and titles I, IV, X, XI, XIV, XVI,

**General and special funds—Continued**

**CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued**  
(INCLUDING RESCISSIONS)—Continued

and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. ch. 9), the Omnibus Budget Reconciliation Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, section 5 of the Torture Victims Relief Act of 1998 (Public Law 105-320), sections 40155, 40211, and 40241 of Public Law 103-322 and section 126 and titles IV and V of Public Law 100-485, **[\$7,956,345,000]** \$8,117,492,000, of which \$43,000,000, to remain available until September 30, **[2002]** 2003, shall be for grants to States for adoption incentive payments, as authorized by section 473A of title IV of the Social Security Act (42 U.S.C. 670-679) and may be made for adoptions completed in fiscal years **[1999]** 2000 and **[2000]** 2001; of which **[\$682,876,000]** \$655,015,000 shall be for making payments under the Community Services Block Grant Act; and of which **[\$6,200,000,000]** \$6,324,812,000 shall be for making payments under the Head Start Act, **[of which \$1,400,000,000 shall become available October 1, 2001 and remain available through September 30, 2002]** *Provided, That the budget authority (but not the outlays) for \$1,400,000,000 provided under this heading in fiscal year 2001, to be available as an advance appropriation in fiscal year 2002, shall be considered direct spending in fiscal year 2002 for purposes of the Balanced Budget and Emergency Deficit Control Act of 1990, as amended, and section 2(a) of Public Law 106-554: Provided further, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: Provided further, That \$53,739,900 shall be for the Transitional Living Program as authorized by Part B of the Runaway and Homeless Youth Act, of which \$33,000,000 is for Maternity Group Homes: Provided further, That \$89,000,000 is for a compassion capital fund to provide grants to charitable organizations to emulate model social service programs and to encourage research on the best practices of social service organizations: Provided further, That the Secretary shall establish procedures regarding the disposition of intangible property which permits grant funds, or intangible assets acquired with funds authorized under section 680 of the Community Services Block Grant Act, as amended, to become the sole property of such grantees after a period of not more than 12 years after the end of the grant for purposes and uses consistent with the original grant.*

**[Funds appropriated for fiscal year 2001 under section 429A(e), part B of title IV of the Social Security Act shall be reduced by \$6,000,000.**

**Funds appropriated for fiscal year 2001 under section 413(h)(1) of the Social Security Act shall be reduced by \$15,000,000.]** *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*

**Program and Financing (in millions of dollars)**

Identification code 75-1536-0-1-506	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Head Start .....	3,865	6,200	6,325
Social services programs:			
Runaway and homeless youth:			
01.03 Runaway and homeless youth .....	44	48	48
01.04 Transitional living .....	20	21	21
01.05 Maternity group homes .....			33
Child abuse:			
01.07 Child abuse state grants .....	21	21	21
01.08 Child abuse discretionary grants .....	18	34	18
01.09 Community based resource centers .....	33	33	33
Child welfare programs:			
01.11 Abandoned infants assistance .....	12	12	12
01.14 Child welfare services .....	292	292	292
01.15 Child welfare training .....	7	7	7
01.16 Adoption initiative .....	42	43	43
01.17 Adoption opportunities .....	27	27	27
01.18 Family violence grants—battered women .....	18		
01.19 Social services and income maintenance research .....	39	38	6
01.20 Native American programs .....	35	46	44
01.21 Compassion capital fund .....			89
01.23 Early learning fund .....		20	

Developmental disabilities:			
01.24 Protection and advocacy .....	28	33	33
01.25 Special projects .....	10	11	11
01.26 University affiliated projects .....	18	22	22
01.27 State grants .....	66	68	68
01.91 Subtotal social services programs .....	730	776	828
02.01 ACF Federal administration .....	147	164	172
02.22 Faith based center .....			3
02.88 Violent crime reductions programs .....		134	134
02.91 Subtotal, Federal administration .....	147	298	309
02.93 Total Head Start, social services programs, Federal administration .....	4,742	7,274	7,462
Community services programs:			
03.01 Community services block grants .....	519	600	600
03.03 Community food and nutrition .....	6	6	
03.04 Community services discretionary .....	37	35	30
03.05 National youth sports .....	15	16	
03.06 Individual development accounts .....	7	25	25
03.91 Subtotal, community services .....	584	682	655
04.00 Total direct programs .....	5,326	7,956	8,117
09.01 Reimbursable program .....	15	15	15
10.00 Total new obligations .....	5,341	7,971	8,132
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1		
22.00 New budget authority (gross) .....	5,344	7,971	9,532
23.90 Total budgetary resources available for obligation .....	5,345	7,971	9,532
23.95 Total new obligations .....	-5,341	-7,971	-8,132
23.98 Unobligated balance expiring or withdrawn .....	-3		
24.40 Unobligated balance carried forward, end of year .....			1,400

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	3,634	6,556	8,117
40.15 Appropriation (emergency) .....	1,700		
40.76 Reduction pursuant to P.L. 106-113 .....	-6		
41.00 Transferred to other accounts .....	-1		
43.00 Appropriation (total discretionary) .....	5,327	6,556	8,117
55.00 Advance appropriation .....		1,400	
Mandatory:			
65.00 Advance appropriation .....			1,400
Spending authority from offsetting collections:			
Discretionary:			
68.00 Offsetting collections (cash) .....	15	15	15
68.10 Change in uncollected customer payments from Federal sources .....	2		
68.90 Spending authority from offsetting collections (total discretionary) .....	17	15	15
70.00 Total new budget authority (gross) .....	5,344	7,971	9,532

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	4,261	3,396	4,671
72.95 Uncollected customer payments from Federal sources, start of year .....		-2	-2
72.99 Obligated balance, start of year .....	4,261	3,394	4,669
73.10 Total new obligations .....	5,341	7,971	8,132
73.20 Total outlays (gross) .....	-6,167	-6,657	-7,809
73.40 Adjustments in expired accounts (net) .....	-39	-41	
74.00 Change in uncollected customer payments from Federal sources .....	-2		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	3,396	4,671	4,994
74.95 Uncollected customer payments from Federal sources, end of year .....	-2	-2	-2
74.99 Obligated balance, end of year .....	3,394	4,669	4,992

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	2,671	3,825	3,867
86.93 Outlays from discretionary balances .....	3,495	2,832	3,942
87.00 Total outlays (gross) .....	6,167	6,657	7,809

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-15	-15	-15

Against gross budget authority only:

88.95	Change in uncollected customer payments from Federal sources .....	-2		
-------	--	----	--	--

**Net budget authority and outlays:**

89.00	Budget authority .....	5,327	7,956	9,517
90.00	Outlays .....	6,152	6,642	7,794

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	2000 actual	2001 est.	2002 est.
Budget Authority .....	5,327	7,956	9,517
Outlays .....	6,151	6,642	7,794
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			64
Outlays .....			3
<b>Total:</b>			
Budget Authority .....	5,327	7,956	9,581
Outlays .....	6,151	6,642	7,797

Includes funding for grants to provide young pregnant and parenting women with access to community and faith-based Maternity Group Homes. Additionally, provides funding for a Compassion Capital Fund to support public and private partnerships in funding community and faith-based charitable organizations that expand upon or emulate model social service programs. Finally, funds are included for Best Practices to fund research on the effectiveness of social service organizations.

The Administration proposes to reverse the misleading budget practice of using advance appropriations simply to avoid spending limitations. In order to avoid overstating discretionary budget authority in 2002, language is proposed to exclude the advance appropriation budget authority, appropriated in 2001, from discretionary budget authority.

**Object Classification (in millions of dollars)**

Identification code 75-1536-0-1-506	2000 actual	2001 est.	2002 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	94	104	113
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	96	106	115
12.1	Civilian personnel benefits .....	18	20	22
21.0	Travel and transportation of persons .....	3	4	5
23.1	Rental payments to GSA .....	13	15	16
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
24.0	Printing and reproduction .....	1	2	3
25.1	Advisory and assistance services .....	80	77	124
25.2	Other services .....	8	8	41
25.3	Purchases of goods and services from Government accounts .....	35	29	30
25.5	Research and development contracts .....	1	1	1
25.7	Operation and maintenance of equipment .....	1	1	1
26.0	Supplies and materials .....	1	1	2
31.0	Equipment .....	1	1	1
41.0	Grants, subsidies, and contributions .....	5,068	7,689	7,754
99.0	Subtotal, direct obligations .....	5,326	7,956	8,117
99.0	Reimbursable obligations .....	15	15	15
99.9	Total new obligations .....	5,341	7,971	8,132

**Personnel Summary**

Identification code 75-1536-0-1-506	2000 actual	2001 est.	2002 est.	
<b>Direct:</b>				
1001	Total compensable workyears: Full-time equivalent employment .....	1,468	1,532	1,550
<b>Allocation account:</b>				
3001	Total compensable workyears: Full-time equivalent employment .....	2		

**CHILDREN AND FAMILIES SERVICES PROGRAMS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-1536-2-1-506	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
00.04	Strengthening fatherhood .....			64
10.00	Total new obligations .....			64
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....			64
23.95	Total new obligations .....			-64
<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....			64
<b>Change in unpaid obligations:</b>				
73.10	Total new obligations .....			64
73.20	Total outlays (gross) .....			-3
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year .....			61
74.99	Obligated balance, end of year .....			61
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....			3
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			64
90.00	Outlays .....			3

This legislative proposal includes funds to establish a new discretionary grant program for community and faith-based organizations that promote responsible fatherhood, successful parenting and stronger marriages.

**Object Classification (in millions of dollars)**

Identification code 75-1536-2-1-506	2000 actual	2001 est.	2002 est.	
25.2	Other services .....			4
41.0	Grants, subsidies, and contributions .....			60
99.9	Total new obligations .....			64

**VIOLENT CRIME REDUCTION PROGRAMS**

**Program and Financing (in millions of dollars)**

Identification code 75-8605-0-1-754	2000 actual	2001 est.	2002 est.	
<b>Obligations by program activity:</b>				
00.01	Family violence/battered women's shelters and domestic violence hotline .....	86		
00.02	Education and prevention grants to reduce sexual abuse of runaway, homeless and street youth .....	15		
10.00	Total new obligations .....	101		
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	101		
23.95	Total new obligations .....	-101		
<b>New budget authority (gross), detail:</b>				
Discretionary:				
42.00	Transferred from other accounts .....	101		
<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year:				
72.40	Unpaid obligations, start of year .....	116	117	33
72.99	Obligated balance, start of year .....	116	117	33
73.10	Total new obligations .....	101		
73.20	Total outlays (gross) .....	-101	-84	-29
73.40	Adjustments in expired accounts (net) .....	1		
Unpaid obligations, end of year:				
74.40	Unpaid obligations, end of year .....	117	33	4

**General and special funds—Continued**

**VIOLENT CRIME REDUCTION PROGRAMS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-8605-0-1-754	2000 actual	2001 est.	2002 est.
74.99 Obligated balance, end of year .....	117	33	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	11		
86.93 Outlays from discretionary balances .....	90	84	29
87.00 Total outlays (gross) .....	101	84	29
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	101		
90.00 Outlays .....	101	84	29

**Object Classification (in millions of dollars)**

Identification code 75-8605-0-1-754	2000 actual	2001 est.	2002 est.
25.1 Advisory and assistance services .....	3		
41.0 Grants, subsidies, and contributions .....	98		
99.9 Total new obligations .....	101		

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE**

**Program and Financing (in millions of dollars)**

Identification code 75-1553-0-1-609	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Training and technical assistance .....	15	14	14
00.02 Federal parent locator service .....	34	27	27
00.03 Child welfare study .....			6
00.04 Welfare research .....			15
09.01 Reimbursable program .....	4	9	9
10.00 Total new obligations .....	53	50	71
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	8		
22.00 New budget authority (gross) .....	43	49	71
22.10 Resources available from recoveries of prior year obligations .....	2	1	
23.90 Total budgetary resources available for obligation .....	53	50	71
23.95 Total new obligations .....	-53	-50	-71

**New budget authority (gross), detail:**

<b>Mandatory:</b>			
60.00 Appropriation .....	39	40	62
69.00 Offsetting collections (cash) .....	4	9	9
70.00 Total new budget authority (gross) .....	43	49	71

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year .....	60	36	31
72.99 Obligated balance, start of year .....	60	36	31
73.10 Total new obligations .....	53	50	71
73.20 Total outlays (gross) .....	-75	-54	-53
73.45 Recoveries of prior year obligations .....	-2	-1	
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year .....	36	31	49
74.99 Obligated balance, end of year .....	36	31	49

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	23	26	26
86.98 Outlays from mandatory balances .....	52	28	26
87.00 Total outlays (gross) .....	75	54	53

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-4	-9	-9

**Net budget authority and outlays:**

89.00 Budget authority .....	39	40	62
90.00 Outlays .....	71	45	44

This account provides funding for research and technical assistance activities established in P.L. 104-193. Amounts for child welfare study and welfare research are in addition to research amounts in the Children and families services program account.

**Object Classification (in millions of dollars)**

Identification code 75-1553-0-1-609	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
21.0 Travel and transportation of persons .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....		1	1
25.1 Advisory and assistance services .....	23	17	29
25.2 Other services .....	4	1	1
25.3 Purchases of goods and services from Government accounts .....	18	15	24
26.0 Supplies and materials .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	4	4
99.0 Subtotal, direct obligations .....	49	41	62
99.0 Reimbursable obligations .....	4	9	9
99.9 Total new obligations .....	53	50	71

**PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE**

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, **[\$4,863,100,000] \$4,885,600,000.**

For making payments to States or other non-Federal entities under title IV-E of the Social Security Act, for the first quarter of fiscal year **【2002, \$1,735,900,000】 2003, \$1,754,000,000.** (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing (in millions of dollars)**

Identification code 75-1545-0-1-506	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Foster care .....	4,536	5,063	5,055
00.02 Independent living .....	1,008	140	140
00.03 Adoption assistance .....	134	1,198	1,426
10.00 Total new obligations .....	5,678	6,401	6,621

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	5,697	6,401	6,621
23.95 Total new obligations .....	-5,678	-6,401	-6,621
23.98 Unobligated balance expiring or withdrawn .....	-19		

**New budget authority (gross), detail:**

<b>Mandatory:</b>			
60.00 Appropriation .....	4,342	4,863	4,886
65.00 Advance appropriation .....	1,355	1,538	1,736
70.00 Total new budget authority (gross) .....	5,697	6,401	6,622

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year .....	853	1,071	1,417
72.99 Obligated balance, start of year .....	853	1,071	1,417
73.10 Total new obligations .....	5,678	6,401	6,621
73.20 Total outlays (gross) .....	-5,453	-6,055	-6,540
73.40 Adjustments in expired accounts (net) .....	-7		
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year .....	1,071	1,417	1,498
74.99 Obligated balance, end of year .....	1,071	1,417	1,498

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	4,779	5,160	5,637
86.98 Outlays from mandatory balances .....	674	895	903

87.00	Total outlays (gross) .....	5,453	6,055	6,540
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	5,697	6,401	6,621
90.00	Outlays .....	5,453	6,055	6,540

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	2000 actual	2001 est.	2002 est.
Budget Authority .....	5,697	6,401	6,622
Outlays .....	5,453	6,055	6,540
Legislative proposal, subject to PAYGO:			
Budget Authority .....			60
Outlays .....			9
<b>Total:</b>			
Budget Authority .....	5,697	6,401	6,682
Outlays .....	5,453	6,055	6,549

**Foster care.**—The proposed level will support eligible low-income children who must be placed outside the home. An average of 290,700 children per month will be served in 2002.

**Adoption assistance.**—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 301,600 children per month will be served in 2002.

**Object Classification** (in millions of dollars)

Identification code 75-1545-0-1-506	2000 actual	2001 est.	2002 est.
25.1 Advisory and assistance services .....	3	3	3
41.0 Grants, subsidies, and contributions .....	5,675	6,398	6,618
99.9 Total new obligations .....	5,678	6,401	6,621

**PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

Identification code 75-1545-4-1-506	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.02 Independent living .....			60
10.00 Total new obligations (object class 41.0) .....			60
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			60
23.95 Total new obligations .....			-60
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			60
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			60
73.20 Total outlays (gross) .....			-9
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....			51
74.99 Obligated balance, end of year .....			51
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			9
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			60
90.00 Outlays .....			9

This proposal will provide vouchers to youth who age out of foster care to cover the costs of college tuition or vocational training.

**ADMINISTRATION ON AGING**

**Federal Funds**

**General and special funds:**

**AGING SERVICES PROGRAMS**

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965, as amended, and section 398 of the Public Health Service Act, **[\$1,103,135,000] \$1,097,718,000**, of which \$5,000,000 shall be available for activities regarding medication management, screening, and education to prevent incorrect medication and adverse drug reactions: *Provided*, That notwithstanding section 308(b)(1) of the Older Americans Act of 1965, as amended, the amounts available to each State for administration of the State plan under title III of such Act shall be reduced not more than 5 percent below the amount that was available to such State for such purpose for fiscal year 1995]. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing** (in millions of dollars)

Identification code 75-0142-0-1-506	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
01.01 Supportive services and centers .....	310	325	327
01.02 Preventive health .....	16	21	21
01.03 National family caregiver support program .....		125	127
01.05 Congregate meals .....	374	378	378
01.06 Home-delivered meals .....	147	152	158
01.08 Grants to Indian tribes .....	18	23	25
01.09 Training, research, and discretionary projects .....	29	36	18
01.10 Aging network support activities .....		2	2
01.11 Federal administration .....	16	17	18
01.13 Alzheimer's disease demonstration grants to States .....	6	9	9
01.14 Grants to States for the protection of vulnerable older Americans .....	13	14	14
10.00 Total new obligations .....	928	1,103	1,098
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....		2	2
22.00 New budget authority (gross) .....	934	1,103	1,098
23.90 Total budgetary resources available for obligation .....	934	1,105	1,100
23.95 Total new obligations .....	-928	-1,103	-1,098
23.98 Unobligated balance expiring or withdrawn .....	-3		
24.40 Unobligated balance carried forward, end of year .....	2	2	
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	934	1,103	1,098
40.76 Reduction pursuant to P.L. 106-113 .....	-1		
43.00 Appropriation (total discretionary) .....	933	1,103	1,098
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	260	303	389
72.99 Obligated balance, start of year .....	260	303	389
73.10 Total new obligations .....	928	1,103	1,098
73.20 Total outlays (gross) .....	-884	-1,017	-1,086
73.40 Adjustments in expired accounts (net) .....	-1		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	303	389	401
74.99 Obligated balance, end of year .....	303	389	401
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	648	742	746
86.93 Outlays from discretionary balances .....	237	275	340
87.00 Total outlays (gross) .....	884	1,017	1,086
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	934	1,103	1,098
90.00 Outlays .....	884	1,017	1,086

**Administration on Aging.**—The proposed level will provide continued funding for core formula grant programs that provide nutrition, supportive services and caregiver support services through the aging network. AoA programs are part of

**General and special funds—Continued**

AGING SERVICES PROGRAMS—Continued

a comprehensive system of support for older people and their families.

**Object Classification** (in millions of dollars)

Identification code 75-0142-0-1-506	2000 actual	2001 est.	2002 est.
11.1 Personnel compensation: Full-time permanent .....	8	9	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	2	2
25.2 Other services .....	7	8	9
41.0 Grants, subsidies, and contributions .....	910	1,082	1,076
99.9 Total new obligations .....	928	1,103	1,098

**Personnel Summary**

Identification code 75-0142-0-1-506	2000 actual	2001 est.	2002 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	114	117	120
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment .....	5	5	5

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

**General and special funds:**

GENERAL DEPARTMENTAL MANAGEMENT

For necessary expenses, not otherwise provided, for general departmental management, including hire of six sedans, and for carrying out titles III, XVII, and XX of the Public Health Service Act, and the United States-Mexico Border Health Commission Act, **[\$285,224,000] \$415,348,000**, together with \$5,851,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund: *Provided further*, That of the funds made available under this heading for carrying out title XX of the Public Health Service Act, **[\$10,377,000] \$11,885,000** shall be for activities specified under section 2003(b)(2), of which \$10,157,000 shall be for prevention service demonstration grants under section 510(b)(2) of title V of the Social Security Act, as amended, without application of the limitation of section 2010(c) of said title XX: *Provided further*, That [no funds shall be obligated for minority AIDS prevention and treatment activities until the Department of Health and Human Services submits an operating plan to the House and Senate Committees on Appropriations] *of this amount, \$68,700,000 shall be available to support activities to counter potential biological disease, and chemical threats to civilian populations; \$50,000,000 is for minority AIDS prevention and treatment activities; and \$30,000,000 shall be for an Information Technology Security and Innovation Fund for department-wide activities involving cybersecurity, information technology security, and related innovation projects. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, **[\$24,742,000] \$28,691,000**, together with not to exceed \$3,314,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*

POLICY RESEARCH

For carrying out, to the extent not otherwise provided, research studies under section 1110 of the Social Security Act and title III of the Public Health Service Act, **[\$16,738,000] \$2,500,000**: *Provided, That in addition to amounts provided herein, \$18,000,000 shall be*

*available from amounts available under section 241 of the Public Health Services Act, to carry out any national health or human services research and evaluation activities. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*

**[PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND]**

**[For expenses necessary to support activities related to countering potential biological, disease and chemical threats to civilian populations, \$241,231,000: *Provided*, That this amount is distributed as follows: Centers for Disease Control and Prevention, \$181,131,000, of which \$32,000,000 shall be for the Health Alert Network and \$18,040,000 shall be for the continued study of the anthrax vaccine; and Office of Emergency Preparedness, \$60,100,000.] (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)**

**Program and Financing** (in millions of dollars)

Identification code 75-9912-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
Direct activities:			
00.01 General departmental management .....	225	296	421
00.02 Office for Civil Rights .....	23	28	32
00.03 Policy research .....	17	17	3
00.04 Public health and social services emergency fund .....	471	265	.....
09.01 Reimbursable program .....	83	115	130
10.00 Total new obligations .....	819	721	586

**Budgetary resources available for obligation:**

21.40 Unobligated balance carried forward, start of year	103	56	32
22.00 New budget authority (gross) .....	900	697	586
22.22 Unobligated balance transferred from other accounts	2	.....	.....
23.90 Total budgetary resources available for obligation	1,005	753	618
23.95 Total new obligations .....	-819	-721	-586
23.98 Unobligated balance expiring or withdrawn .....	-130	.....	.....
24.40 Unobligated balance carried forward, end of year .....	56	32	32

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	248	568	447
40.15 Appropriation (emergency) .....	566	.....	.....
40.75 Reduction pursuant to P.L. 106-554 (Labor/HHS) .....	.....	-1	.....
40.76 Reduction pursuant to P.L. 106-113 .....	-2	.....	.....
41.00 Transferred to other accounts .....	-5	.....	.....
42.00 Transferred from other accounts .....	12	6	.....
43.00 Appropriation (total discretionary) .....	819	573	447
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	111	124	139
68.10 Change in uncollected customer payments from Federal sources .....	-30	.....	.....
68.90 Spending authority from offsetting collections (total discretionary) .....	81	124	139
70.00 Total new budget authority (gross) .....	900	697	586

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	398	607	680
72.95 Uncollected customer payments from Federal sources, start of year .....	-119	-89	-89
72.99 Obligated balance, start of year .....	279	518	591
73.10 Total new obligations .....	819	721	586
73.20 Total outlays (gross) .....	-843	-648	-749
73.40 Adjustments in expired accounts (net) .....	232	.....	.....
74.00 Change in uncollected customer payments from Federal sources .....	30	.....	.....
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	607	680	517
74.95 Uncollected customer payments from Federal sources, end of year .....	-89	-89	-89
74.99 Obligated balance, end of year .....	518	591	428

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	575	355	347
86.93 Outlays from discretionary balances .....	268	293	402



87.00	Total outlays (gross) .....	843	648	749
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-111	-124	-139
Against gross budget authority only:				
88.95	Change in uncollected customer payments from Federal sources .....	30		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	819	573	447
90.00	Outlays .....	732	524	610

(Dollars in millions)

	2000 actual	2001 est.	2002 est.
<b>Distribution of budget authority by account:</b>			
General Departmental Management .....	220	291	415
Office for Civil Rights .....	19	24	29
Policy Research .....	17	17	3
Public Health and Social Services Emergency Fund .....	565	241	
<b>Distribution of outlays by account:</b>			
General Departmental Management .....	248	205	306
Office for Civil Rights .....	20	24	28
Policy research .....	-3	21	12
Public Health and Social Services Emergency Fund .....	467	274	263

Departmental management (DM) is a consolidated display of accounts that fund activities which provide leadership, policy, legal, and administrative guidance to HHS components; carry out the Department's civil rights and nondiscrimination enforcement programs; and support research to develop policy initiatives and improve existing HHS programs. DM also includes the activities of the Office of Public Health and Science, including adolescent family life, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, emergency preparedness and programs to counter bioterrorist threats. In FY 2002, General Departmental Management (GDM) funding would increase substantially to create a new information security and innovation fund to coordinate and better manage the department-wide information technology infrastructure. In addition, the GDM would receive increased funding to support women's health and to improve HHS headquarters' facilities.

In FY 2002, no funding is proposed for the Public Health and Social Services Emergency Fund (PHSSEF), which will be reserved for true emergency funding. Bioterrorism funding currently reflected in the PHSSEF account in FY 2001, will be shifted in FY 2002 and is proposed to be funded as follows: bioterrorism programs to be carried out by the Centers for Disease Control (CDC) would be funded from CDC parent accounts and bioterrorism programs in the Office of the Secretary would be funded as part of "General Departmental Management" activities.

**Object Classification** (in millions of dollars)

Identification code 75-9912-0-1-551	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	90	107	119
11.3 Other than full-time permanent .....	3	3	4
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	95	112	125
12.1 Civilian personnel benefits .....	20	25	27
21.0 Travel and transportation of persons .....	3	4	7
22.0 Transportation of things .....		1	
23.1 Rental payments to GSA .....	13	15	15
23.3 Communications, utilities, and miscellaneous charges .....	4	4	5
24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	8	1	29
25.2 Other services .....	28	43	61
25.3 Purchases of goods and services from Government accounts .....	113	30	32
25.4 Operation and maintenance of facilities .....	4	3	7
25.5 Research and development contracts .....	8	36	8
25.7 Operation and maintenance of equipment .....	5	5	5
26.0 Supplies and materials .....	4	4	6

31.0	Equipment .....	10	8	22
41.0	Grants, subsidies, and contributions .....	59	113	105
99.0	Subtotal, direct obligations .....	376	406	456
99.0	Reimbursable obligations .....	83	115	130
<b>Allocation Account:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	23	18	
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	24	18	
12.1	Civilian personnel benefits .....	7	6	
21.0	Travel and transportation of persons .....	2		
23.1	Rental payments to GSA .....	1	1	
25.1	Advisory and assistance services .....	1		
25.2	Other services .....	31	50	
25.3	Purchases of goods and services from Government accounts .....	95	14	
25.5	Research and development contracts .....	19		
25.6	Medical care .....	5		
31.0	Equipment .....	18	10	
41.0	Grants, subsidies, and contributions .....	157	101	
99.0	Subtotal, allocation account .....	360	200	
99.9	Total new obligations .....	819	721	586

**Personnel Summary**

Identification code 75-9912-0-1-551	2000 actual	2001 est.	2002 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	1,322	1,488	1,575
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	196	199	227
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	55	71	79

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

**PROGRAM SUPPORT CENTER**

**General and special funds:**

**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS**

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, for medical care of dependents and retired personnel under the Dependents' Medical Care Act (10 U.S.C. ch. 55), and for payments pursuant to section 229(b) of the Social Security Act (42 U.S.C. 429(b)), such amounts as may be required during the current fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.*)

**Program and Financing** (in millions of dollars)

Identification code 75-0379-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Retirement payments .....	158	176	196
00.02 Survivors' benefits .....	11	12	13
00.03 Medical care .....	32	31	32
00.04 Military service credits .....	1	1	1
10.00 Total new obligations .....	202	220	242
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	215	220	242
23.95 Total new obligations .....	-202	-220	-242
23.98 Unobligated balance expiring or withdrawn .....	-13		

**General and special funds—Continued**

**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0379-0-1-551	2000 actual	2001 est.	2002 est.
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite) .....	215	220	242
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	17	6	17
72.99 Obligated balance, start of year .....	17	6	17
73.10 Total new obligations .....	202	220	242
73.20 Total outlays (gross) .....	-217	-209	-240
73.40 Adjustments in expired accounts (net) .....	4		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	6	17	19
74.99 Obligated balance, end of year .....	6	17	19
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	200	204	225
86.98 Outlays from mandatory balances .....	17	3	14
87.00 Total outlays (gross) .....	217	209	240
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	215	220	242
90.00 Outlays .....	217	209	240

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical care to active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

**Object Classification (in millions of dollars)**

Identification code 75-0379-0-1-551	2000 actual	2001 est.	2002 est.
13.0 Benefits for former personnel .....	169	188	209
25.2 Other services .....	32	31	32
25.3 Purchases of goods and services from Government accounts .....	1	1	1
99.9 Total new obligations .....	202	220	242

**HEALTH ACTIVITIES FUNDS**

**Program and Financing (in millions of dollars)**

Identification code 75-9913-0-1-552	2000 actual	2001 est.	2002 est.
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	12	11	11
72.95 Uncollected customer payments from Federal sources, start of year .....	-2	-2	-2
72.99 Obligated balance, start of year .....	10	10	10
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	11	11	11
74.95 Uncollected customer payments from Federal sources, end of year .....	-2	-2	-2
74.99 Obligated balance, end of year .....	10	10	10
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

This display shows activities in support of St. Elizabeths Hospital and scientific activities overseas that were supported by foreign currencies by the United States abroad.

**Intragovernmental funds:**

**HHS SERVICE AND SUPPLY FUND**

**Program and Financing (in millions of dollars)**

Identification code 75-9941-0-4-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
09.01 Program support center .....	249	294	308
09.02 Federal employee occupational health .....	95	115	116
09.03 OS activities .....	7	7	7
10.00 Total new obligations .....	351	416	431
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	70	56	56
22.00 New budget authority (gross) .....	314	416	431
22.10 Resources available from recoveries of prior year obligations .....	23		
23.90 Total budgetary resources available for obligation .....	407	472	487
23.95 Total new obligations .....	-351	-416	-431
24.40 Unobligated balance carried forward, end of year .....	56	56	56
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....	447	416	431
69.10 Change in uncollected customer payments from Federal sources .....	-108		
69.15 Adjustments to uncollected customer payments from Federal sources .....	-25		
69.90 Spending authority from offsetting collections (total mandatory) .....	314	416	431
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....	129	126	126
72.95 Uncollected customer payments from Federal sources, start of year .....	-237	-129	-129
72.99 Obligated balance, start of year .....	-108	-3	-3
73.10 Total new obligations .....	351	416	431
73.20 Total outlays (gross) .....	-357	-416	-431
73.40 Adjustments in expired accounts (net) .....	25		
73.45 Recoveries of prior year obligations .....	-23		
74.00 Change in uncollected customer payments from Federal sources .....	108		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	126	126	126
74.95 Uncollected customer payments from Federal sources, end of year .....	-129	-129	-129
74.99 Obligated balance, end of year .....	-3	-3	-3
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	314	416	431
86.98 Outlays from mandatory balances .....	43		
87.00 Total outlays (gross) .....	357	416	431
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-447	-416	-431
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources .....	108		
88.96 Adjustment to uncollected customer payments from Federal sources .....	25		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-90		

The HHS Service and supply fund (SSF) provides common centralized services to HHS components. The Program support center (PSC) line includes activities such as personnel and payroll administration, financial management operations, and a wide array of administrative services, including acquisitions management, building and property management, and technical support. The Office of the Secretary (OS) activities line includes the fund manager, departmental contracts and grants, audit resolution, OS graphics, and the regional health

administrators. The Federal employee occupational health (FOH) program is also funded through the SSF. FOH includes clinical services, environmental health services and employee assistance programs.

**Object Classification** (in millions of dollars)

Identification code 75-9941-0-4-551	2000 actual	2001 est.	2002 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	64	71	74
11.3 Other than full-time permanent .....	2	4	4
11.5 Other personnel compensation .....	3	4	4
11.9 Total personnel compensation .....	69	79	82
12.1 Civilian personnel benefits .....	15	15	16
21.0 Travel and transportation of persons .....	2	3	3
22.0 Transportation of things .....	2	2	3
23.1 Rental payments to GSA .....	12	12	13
23.3 Communications, utilities, and miscellaneous charges .....	7	9	9
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	16	24	25
25.2 Other services .....	105	108	110
25.3 Purchases of goods and services from Government accounts .....	27	36	36
25.4 Operation and maintenance of facilities .....	10	10	10
25.6 Medical care .....	15	17	17
26.0 Supplies and materials .....	61	91	97
31.0 Equipment .....	9	9	9
99.9 Total new obligations .....	351	416	431

**Personnel Summary**

Identification code 75-9941-0-4-551	2000 actual	2001 est.	2002 est.
2001 Total compensable workyears: Full-time equivalent employment .....	1,197	1,290	1,291

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS**

**Unavailable Collections** (in millions of dollars)

Identification code 75-9971-0-7-551	2000 actual	2001 est.	2002 est.
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.00 Gifts and contributions, miscellaneous trust funds .....	29	27	27
02.20 Contributions, Indian health facilities, Health Services Administration .....	42	43	43
02.40 Interest, Miscellaneous trust funds .....	1	1	1
02.99 Total receipts and collections .....	72	71	71
<b>Appropriations:</b>			
05.00 Miscellaneous trust funds .....	-72	-71	-71
07.99 Balance, end of year .....			

**Program and Financing** (in millions of dollars)

Identification code 75-9971-0-7-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.02 Gifts .....	27	28	28
00.03 Contributions, Indian health facilities .....	38	43	43
10.00 Total new obligations .....	65	71	71
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	47	58	58
22.00 New budget authority (gross) .....	72	71	71
22.10 Resources available from recoveries of prior year obligations .....	4		
23.90 Total budgetary resources available for obligation .....	123	129	129
23.95 Total new obligations .....	-65	-71	-71
24.40 Unobligated balance carried forward, end of year .....	58	58	58

**New budget authority (gross), detail:**

<b>Mandatory:</b>			
60.27 Appropriation (trust fund, indefinite) .....	72	71	71

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>			
72.40 Unpaid obligations, start of year .....	68	74	62
72.99 Obligated balance, start of year .....	68	74	62
73.10 Total new obligations .....	65	71	71
73.20 Total outlays (gross) .....	-54	-83	-69
73.45 Recoveries of prior year obligations .....	-4		
<b>Unpaid obligations, end of year:</b>			
74.40 Unpaid obligations, end of year .....	74	62	64
74.99 Obligated balance, end of year .....	74	62	64

**Outlays (gross), detail:**

86.97 Outlays from new mandatory authority .....	38	28	28
86.98 Outlays from mandatory balances .....	16	55	41
87.00 Total outlays (gross) .....	54	83	69

**Net budget authority and outlays:**

89.00 Budget authority .....	72	71	71
90.00 Outlays .....	54	83	69

**Memorandum (non-add) entries:**

<b>92.01 Total investments, start of year: Federal securities:</b>			
Par value .....	15	20	20
<b>92.02 Total investments, end of year: Federal securities:</b>			
Par value .....	20	20	20

(Dollars in millions)

	2000	2001	2002
<b>Distribution of budget authority by account:</b>			
Gifts .....	30	28	28
Contributions, Indian health facilities .....	42	43	43
<b>Distribution of outlays by account:</b>			
Gifts .....	17	50	42
Contributions, Indian health facilities .....	37	33	27

Gifts to the Public health service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

**Object Classification** (in millions of dollars)

Identification code 75-9971-0-7-551	2000 actual	2001 est.	2002 est.
11.3 Personnel compensation: Other than full-time permanent .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....		1	1
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	17	17	17
25.4 Operation and maintenance of facilities .....	4	5	5
25.5 Research and development contracts .....	3	4	4
25.7 Operation and maintenance of equipment .....	10	10	10
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	1	2	2
32.0 Land and structures .....	1	2	2
41.0 Grants, subsidies, and contributions .....	25	25	25
99.9 Total new obligations .....	65	71	71

**Personnel Summary**

Identification code 75-9971-0-7-551	2000 actual	2001 est.	2002 est.
1001 Total compensable workyears: Full-time equivalent employment .....	34	34	34

**OFFICE OF THE INSPECTOR GENERAL**

**General and special funds:**

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the Inspector General Act of 1978, as amended, [\$33,849,000] \$35,786,000: Provided, That of such amount, necessary sums are available for providing protective services to the Secretary

**General and special funds—Continued**

OFFICE OF INSPECTOR GENERAL—Continued

and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228, each of which activities is hereby authorized in this and subsequent fiscal years. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)

Program and Financing (in millions of dollars)			
Identification code 75-0128-0-1-551	2000 actual	2001 est.	2002 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	31	34	36
09.01 Reimbursable program .....	20	19	17
10.00 Total new obligations .....	51	53	53
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance carried forward, start of year .....	1	1	1
22.00 New budget authority (gross) .....	51	53	53
23.90 Total budgetary resources available for obligation .....	52	54	54
23.95 Total new obligations .....	-51	-53	-53
24.40 Unobligated balance carried forward, end of year .....	1	1	1
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	31	34	36
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	13	19	17
68.10 Change in uncollected customer payments from Federal sources .....	18		
68.15 Adjustments to uncollected customer payments from Federal sources .....	-11		
68.90 Spending authority from offsetting collections (total discretionary) .....	20	19	17
70.00 Total new budget authority (gross) .....	51	53	53
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Unpaid obligations, start of year .....		16	26
72.95 Uncollected customer payments from Federal sources, start of year .....	-4	-22	-22
72.99 Obligated balance, start of year .....	-4	-6	4
73.10 Total new obligations .....	51	53	53
73.20 Total outlays (gross) .....	-49	-43	-53
73.40 Adjustments in expired accounts (net) .....	12		
74.00 Change in uncollected customer payments from Federal sources .....	-18		
Unpaid obligations, end of year:			
74.40 Unpaid obligations, end of year .....	16	26	26
74.95 Uncollected customer payments from Federal sources, end of year .....	-22	-22	-22
74.99 Obligated balance, end of year .....	-6	4	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	41	49	49
86.93 Outlays from discretionary balances .....	8	-6	4
87.00 Total outlays (gross) .....	49	43	53
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-13	-19	-17
Against gross budget authority only:			
88.95 Change in uncollected customer payments from Federal sources .....	-18		
88.96 Adjustment to uncollected customer payments from Federal sources .....	11		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	31	34	36
90.00 Outlays .....	36	24	36

The Office of Inspector General (OIG) identifies and recommends actions to correct fraud, waste, and abuse in HHS administered and assisted programs and operations through audits and investigations.

In addition to the discretionary resources appropriated to the OIG, the Health Insurance Portability and Accountability Act of 1996 makes available mandatory funding for use by the OIG to combat Medicare fraud and abuse through a coordinated Health care fraud and abuse control (HCFAC) program with the Department of Justice. The following table shows total funding resources for the OIG:

(In millions of dollars)			
	2000 actual	2001 est.	2002 est.
<b>Budget Authority:</b>			
Discretionary appropriations .....	31	34	36
Mandatory (HCFAC Account) .....	119	130	150
Total .....	150	164	186
<b>Outlays:</b>			
Discretionary appropriations .....	36	24	36
Mandatory (HCFAC Account) .....	116	136	146
Total .....	152	160	182

This schedule reflects distribution of mandatory funds from the HCFAC account in FY 2001. Actual FY 2002 distribution will be determined by the Secretary of HHS and the Attorney General.

Object Classification (in millions of dollars)			
Identification code 75-0128-0-1-551	2000 actual	2001 est.	2002 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	17	20	22
12.1 Civilian personnel benefits .....	4	5	5
21.0 Travel and transportation of persons .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.3 Purchases of goods and services from Government accounts .....	4	4	4
26.0 Supplies and materials .....	1		
31.0 Equipment .....	1	1	1
99.0 Subtotal, direct obligations .....	31	34	36
99.0 Reimbursable obligations .....	20	19	17
99.9 Total new obligations .....	51	53	53

Personnel Summary			
Identification code 75-0128-0-1-551	2000 actual	2001 est.	2002 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	261	306	309
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	95	73	56
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	1,018	1,145	1,315

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)			
	2000 actual	2001 est.	2002 est.
<b>Offsetting receipts from the public:</b>			
75-274530 Health education assistance loans, downward reestimates of subsidies .....		136	
75-310700 Federal share of child support collections .....	913	896	878
General Fund Offsetting receipts from the public .....	913	1,032	878
<b>Intragovernmental payments:</b>			
20-310530 Quinquennial adjustment for military service credits, Federal hospital insurance .....		1,332	
General Fund Intragovernmental payments .....		1,332	

## GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$37,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. 203. None of the funds appropriated under this Act may be used to implement section 399L(b) of the Public Health Service Act or section 1503 of the National Institutes of Health Revitalization Act of 1993, Public Law 103-43.

SEC. 204. None of the funds appropriated in this Act for the National Institutes of Health and the Substance Abuse and Mental Health Services Administration shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level [I] II.

[SEC. 205. None of the funds appropriated in this Act may be expended pursuant to section 241 of the Public Health Service Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in the Department of Health and Human Services, prior to the Secretary's preparation and submission of a report to the Committee on Appropriations of the Senate and of the House detailing the planned uses of such funds.]

SEC. 205. *Notwithstanding section 241(a) of the Public Health Service Act, such portion as the Secretary shall determine, but not more than 2 percent, of any amounts appropriated for programs authorized under the PHS Act shall be made available for the evaluation (directly, or by grants or contracts) of the implementation and effectiveness of such programs.*

### (TRANSFER OF FUNDS)

[SEC. 206. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended) which are appropriated for the current fiscal year for the Department of Health and Human Services in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer.]

SEC. 206. *Not to exceed 6 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended), which are appropriated for the current fiscal year for the Department of Health and Human Services in this or any other Act may be transferred between appropriations: Provided, That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer.*

SEC. 207. The Director of the National Institutes of Health, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes, centers, and divisions from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Congress is promptly notified of the transfer.

SEC. 208. Of the amounts made available in this Act for the National Institutes of Health, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of the National Institutes of Health and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the Public Health Service Act.

SEC. 209. None of the funds appropriated in this Act may be made available to any entity under title X of the Public Health Service Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. 210. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare+Choice program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary

that it will not provide, pay for, provide coverage of, or provide referrals for abortions: *Provided*, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): *Provided further*, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare+Choice organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. 211. Notwithstanding any other provision of law, no provider of services under title X of the Public Health Service Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

[SEC. 212. The Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101-167) is amended—

(1) in section 599D (8 U.S.C. 1157 note)—

(A) in subsection (b)(3), by striking "1997, 1998, 1999, and 2000" and inserting "1997, 1998, 1999, 2000 and 2001"; and

(B) in subsection (e), by striking "October 1, 2000" each place it appears and inserting "October 1, 2001"; and

(2) in section 599E (8 U.S.C. 1255 note) in subsection (b)(2), by striking "September 30, 2000" and inserting "September 30, 2001".]

[SEC. 213. None of the funds provided in this Act or in any other Act making appropriations for fiscal year 2001 may be used to administer or implement in Arizona or in the Kansas City, Missouri or in the Kansas City, Kansas area the Medicare Competitive Pricing Demonstration Project (operated by the Secretary of Health and Human Services).]

SEC. [214] 212. (a) Except as provided by subsection (e) none of the funds appropriated by this Act may be used to withhold substance abuse funding from a State pursuant to section 1926 of the Public Health Service Act (42 U.S.C. 300x-26) if such State certifies to the Secretary of Health and Human Services by [March 1, 2001] May 1, 2002 that the State will commit additional State funds, in accordance with subsection (b), to ensure compliance with State laws prohibiting the sale of tobacco products to individuals under 18 years of age.

(b) The amount of funds to be committed by a State under subsection (a) shall be equal to 1 percent of such State's substance abuse block grant allocation for each percentage point by which the State misses the retailer compliance rate goal established by the Secretary of Health and Human Services under section 1926 of such Act.

(c) The State is to maintain State expenditures in fiscal year [2001] 2002 for tobacco prevention programs and for compliance activities at a level that is not less than the level of such expenditures maintained by the State for fiscal year [2000] 2001, and adding to that level the additional funds for tobacco compliance activities required under subsection (a). The State is to submit a report to the Secretary on all fiscal year [2000] 2001 State expenditures and all fiscal year [2001] 2002 obligations for tobacco prevention and compliance activities by program activity by July 31, [2001] 2002.

(d) The Secretary shall exercise discretion in enforcing the timing of the State obligation of the additional funds required by the certification described in subsection (a) as late as July 31, [2001] 2002.

(e) None of the funds appropriated by this Act may be used to withhold substance abuse funding pursuant to section 1926 from a territory that receives less than \$1,000,000.

[SEC. 215. Section 448 of the Public Health Service Act (42 U.S.C. 285g) is amended by inserting "gynecologic health," after "with respect to".]

[SEC. 216. None of the funds appropriated under this Act shall be expended by the National Institutes of Health on a contract for the care of the 288 chimpanzees acquired by the National Institutes of Health from the Coulston Foundation, unless the contractor is accredited by the Association for the Assessment and Accreditation of Laboratory Animal Care International or has a Public Health Services assurance, and has not been charged multiple times with egregious violations of the Animal Welfare Act: *Provided*, That the requirements of section 481(A)(e)(1) shall not apply to funds awarded to nonhuman primate research facilities of special interest to NIH.]

[SEC. 217. No grants may be awarded under the first paragraph under the heading "Department of Health and Human Services, Health Resources and Services Administration, Health Resources and

## (TRANSFER OF FUNDS)—Continued

Services” in chapter 4 of title II of the Emergency Supplemental Act, 2000 (Public Law 106–246, division B) until March 1, 2001.]

【SEC. 218. (a) The second sentence of section 5948(d) of title 5, United States Code, is amended to read as follows: “No agreement shall be entered into under this section later than September 30, 2005, nor shall any agreement cover a period of service extending beyond September 30, 2007.”.

(b) Section 3 of the Federal Physicians Comparability Allowance Act of 1978 (5 U.S.C. 5948 note) is amended by striking “September 30, 2002” and inserting “September 30, 2007”.]

【SEC. 219. (a) Congress makes the following findings:

(1) Organ procurement organizations play an important role in the effort to increase organ donation in the United States.

(2) The current process for the certification and recertification of organ procurement organizations conducted by the Department of Health and Human Services has created a level of uncertainty that is interfering with the effectiveness of organ procurement organizations in raising the level of organ donation.

(3) The General Accounting Office, the Institute of Medicine, and the Harvard School of Public Health have identified substantial limitations in the organ procurement organization certification and recertification process and have recommended changes in that process.

(4) The limitations in the recertification process include:

(A) An exclusive reliance on population-based measures of performance that do not account for the potential in the population for organ donation and do not permit consideration of other outcome and process standards that would more accurately reflect the relative capability and performance of each organ procurement organization.

(B) A lack of due process to appeal to the Secretary of Health and Human Services for recertification on either substantive or procedural grounds.

(5) The Secretary of Health and Human Services has the authority under section 1138(b)(1)(A)(i) of the Social Security Act (42 U.S.C. 1320b–8(b)(1)(A)(i)) to extend the period for recertification of an organ procurement organization from 2 to 4 years on the basis of its past practices in order to avoid the inappropriate disruption of the nation’s organ system.

(6) The Secretary of Health and Human Services can use the extended period described in paragraph (5) for recertification of all organ procurement organizations to—

(A) develop improved performance measures that would reflect organ donor potential and interim outcomes, and to test these measures to ensure that they accurately measure performance differences among the organ procurement organizations; and

(B) improve the overall certification process by incorporating process as well as outcome performance measures, and developing equitable processes for appeals.

(b) Section 371(b)(1) of the Public Health Service Act (42 U.S.C. 273(b)(1)) is amended—

(1) by redesignating subparagraphs (D) through (G) as subparagraphs (E) through (H), respectively;

(2) by realigning the margin of subparagraph (F) (as so redesignated) so as to align with subparagraph (E) (as so redesignated); and

(3) by inserting after subparagraph (C) the following:

“(D) notwithstanding any other provision of law, has met the other requirements of this section and has been certified or recertified by the Secretary within the previous 4-year period as meeting the performance standards to be a qualified organ procurement organization through a process that either—

“(i) granted certification or recertification within such 4-year period with such certification or recertification in effect as of January 1, 2000, and remaining in effect through the earlier of—

“(I) January 1, 2002; or

“(II) the completion of recertification under the requirements of clause (ii); or

“(ii) is defined through regulations that are promulgated by the Secretary by not later than January 1, 2002, that—

“(I) require recertifications of qualified organ procurement organizations not more frequently than once every 4 years;

“(II) rely on outcome and process performance measures that are based on empirical evidence, obtained through reasonable efforts, of organ donor potential and other related factors in each service area of qualified organ procurement organizations;

“(III) use multiple outcome measures as part of the certification process; and

“(IV) provide for a qualified organ procurement organization to appeal a decertification to the Secretary on substantive and procedural grounds.”.]

SEC. 【220】 213. (a) In order for the Centers for Disease Control and Prevention to carry out international HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year 【2001】 2002, the Secretary of Health and Human Services is authorized to—

(1) utilize the authorities contained in subsection 2(c) of the State Department Basic Authorities Act of 1956, as amended, subject to the limitations set forth in subsection (b), and

(2) enter into reimbursable agreements with the Department of State using any funds appropriated to the Department of Health and Human Services, for the purposes for which the funds were appropriated in accordance with authority granted to the Secretary of Health and Human Services or under authority governing the activities of the Department of State.

(b) In exercising the authority set forth in subsection (a)(1), the Secretary of Health and Human Services—

(1) shall not award contracts for performance of an inherently governmental function; and

(2) shall follow otherwise applicable Federal procurement laws and regulations to the maximum extent practicable.

【SEC. 221. Notwithstanding any other provision of law, the Director, National Institutes of Health, may enter into and administer a long-term lease for facilities for the purpose of providing laboratory, office and other space for biomedical and behavioral research at the Bayview Campus in Baltimore, Maryland: *Provided*, That the House and Senate Appropriations Committees will be notified of the terms and conditions of the lease upon its execution.】

【SEC. 222. Of the funds appropriated in this Act for the National Institutes of Health, \$5,800,000 shall be transferred to the Office of the Secretary, General Departmental Management to support the newly established Office for Human Research Protections.】

【SEC. 223. Section 487E(a)(1) of the Public Health Service Act is amended by striking “as employees of the National Institutes of Health”.】

【SEC. 224. Notwithstanding any other provision of law relating to vacancies in offices for which appointments must be made by the President, including any time limitation on serving in an acting capacity, the Acting Director of the National Institutes of Health as of January 12, 2000, may serve in that position until a new Director of the National Institutes of Health is confirmed by the Senate.】

【SEC. 225. The National Neuroscience Research Center to be constructed on the National Institutes of Health Bethesda campus is hereby named the John Edward Porter Neuroscience Research Center】

SEC. 214. *Of funds appropriated in this Act and awarded to a State under the Public Health Service Act and Title V of the Social Security Act, each State may transfer up to 20 percent of such funds between such grants: Provided, That funds for a single grant shall not be increased by more than 50 percent above the original grant award amount: Provided further, That a State exercising this transfer authority must notify the Secretary of Health and Human Services in writing at least 30 days prior to implementing such a transfer: Provided further, That the Secretary of Health and Human Services shall issue guidance in order to implement this transfer authority.*

SEC. 215. *With the funds appropriated to the National Institutes of Health in this Act, NIH is authorized to obligate in fiscal year 2002 the full multi-year cost of a grant or contract that is awarded in that year, and such obligated funds shall remain available until expended. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2001, as enacted by section 1(a)(1) of P.L. 106-554.)*