

# DEPARTMENT OF LABOR

## EMPLOYMENT AND TRAINING ADMINISTRATION

*by section 1000(a)(4) of the Consolidated Appropriations Act, 2000  
(P.L. 106-113.)*

### Federal Funds

#### General and special funds:

#### TRAINING AND EMPLOYMENT SERVICES

For necessary expenses of the Workforce Investment Act, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the Workforce Investment Act; the Stewart B. McKinney Homeless Assistance Act; the Women in Apprenticeship and Nontraditional Occupations Act; and the National Skill Standards Act of 1994; [and the School-to-Work Opportunities Act; \$3,002,618,000] \$3,643,062,000 plus reimbursements, of which [\$1,650,153,000] \$2,225,222,000 is available for obligation for the period July 1, [2000] 2001 through June 30, [2001] 2002, including \$42,000,000 under section 132(a)(2)(A) of chapter 5 of the Workforce Investment Act for competitive grants for dislocated worker employment and training activities; of which [\$1,250,965,000] \$1,397,465,000 is available for obligation for the period April 1, [2000] 2001 through June 30, [2001] 2002, including \$1,022,465,000 to carry out chapter 4 of the Workforce Investment Act and \$375,000,000 to carry out section 169 of such Act; and of which [\$35,500,000] \$20,375,000 is available for the period July 1, [2000] 2001 through June 30, [2003 including \$34,000,000] 2004 for necessary expenses of construction, rehabilitation, and acquisition of Job Corps centers; and \$1,500,000 under authority of section 171(d) of the Workforce Investment Act for use by the Organizing Committee for the 2001 Special Olympics World Winter Games in Alaska to promote employment opportunities for individuals with disabilities and other staffing needs; and of which \$55,000,000 shall be available from July 1, 2000 through September 30, 2001, for carrying out activities of the School-to-Work Opportunities Act: *Provided*, That [\$58,800,000;] \$12,098,000 shall be for carrying out section [166] 172 of the Workforce Investment Act, [including \$5,000,000 for carrying out section 166(j)(1) of the Workforce Investment Act, including the provision of assistance to American Samoans who reside in Hawaii for the co-location of federally funded and State-funded workforce investment activities,] and [\$7,000,000] \$3,500,000 shall be for carrying out the National Skills Standards Act of 1994: *Provided further*, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers: *Provided further*, That funds provided to carry out section 171(d) of such Act may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: *Provided further*, That funding provided to carry out projects under section 171 of the Workforce Investment Act of 1998 that are identified in the Conference Agreement, shall not be subject to the requirements of section 171(b)(2)(B) of such Act, the requirements of section 171(c)(4)(D) of such Act, or the joint funding requirements of sections 171(b)(2)(A) and 171(c)(4)(A) of such Act: *Provided further*, That funding appropriated herein for Dislocated Worker Employment and Training Activities under section 132(a)(2)(A) of the Workforce Investment Act of 1998 may be distributed for Dislocated Worker Projects under section 171(d) of the Act without regard to the 10 percent limitation contained in section 171(d) of the Act].

For necessary expenses of the Workforce Investment Act, including the purchase and hire of passenger motor vehicles, the construction, alteration, and repair of buildings and other facilities, and the purchase of real property for training centers as authorized by the Workforce Investment Act; \$2,463,000,000 plus reimbursements, of which \$2,363,000,000 is available for obligation for the period October 1, [2000] 2001 through June 30, [2001] 2002, including \$63,000,000 under section 132(a)(2)(A) of chapter 5 of the Workforce Investment Act for competitive grants for dislocated worker employment and training activities; and of which \$100,000,000 is available for the period October 1, [2000] 2001 through June 30, [2003] 2004, for necessary expenses of construction, rehabilitation, and acquisition of Job Corps centers. (*Department of Labor Appropriations Act, 2000, as enacted*

### Program and Financing (in millions of dollars)

Identification code 16-0174-0-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Adult employment and training activities .....	955	238	950
00.02 Fathers work/families win .....			25
00.03 Dislocated worker employment and training activities .....	1,419	668	1,740
00.04 Incumbent workers .....			3
00.05 Youth activities .....		1,001	1,022
00.06 Youth opportunity grants .....		250	250
00.07 Job corps .....	1,253	1,003	1,399
00.08 Responsible reintegration for young offenders .....			7
00.09 Safe schools/healthy students .....			4
00.10 Native Americans .....	55	58	55
00.11 Migrant and seasonal farmworkers .....	80	74	74
00.12 Veterans workforce investment program .....	7	7	2
00.13 National programs .....	108	148	140
00.14 Expired programs .....	1,257	113	45
09.01 Reimbursable program .....	1	4	4
10.00 Total new obligations .....	5,135	3,564	5,720
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	1,183	1,486	967
22.00 New budget authority (gross) .....	5,320	3,045	6,158
22.10 Resources available from recoveries of prior year obligations .....	61		
22.21 Unobligated balance transferred to other accounts .....	-5		
22.22 Unobligated balance transferred from other accounts .....	64		
23.90 Total budgetary resources available for obligation .....	6,623	4,531	7,125
23.95 Total new obligations .....	-5,135	-3,564	-5,720
23.98 Unobligated balance expiring or withdrawn .....	-2		
24.40 Unobligated balance available, end of year .....	1,486	967	1,405
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	5,279	3,003	3,643
40.75 Reduction pursuant to P.L. 106-51 .....	-1		
40.76 Reduction pursuant to P.L. 106-113 .....		-12	
41.00 Transferred to other accounts .....	-2		
42.00 Transferred from other accounts .....	2		
43.00 Appropriation (total discretionary) .....	5,278	2,991	3,643
55.00 Advance appropriation .....			2,463
Mandatory:			
60.25 Appropriation (special fund, indefinite) .....	41	50	48
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	4	4
70.00 Total new budget authority (gross) .....	5,320	3,045	6,158
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	4,293	4,669	2,860
73.10 Total new obligations .....	5,135	3,564	5,720
73.20 Total outlays (gross) .....	-4,676	-5,373	-5,725
73.40 Adjustments in expired accounts (net) .....	-22		
73.45 Adjustments in unexpired accounts .....	-61		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	4,669	2,860	2,855
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	644	452	2,482
86.93 Outlays from discretionary balances .....	4,032	4,899	3,202
86.98 Outlays from mandatory balances .....		22	41
87.00 Total outlays (gross) .....	4,676	5,373	5,725

**General and special funds—Continued**

## TRAINING AND EMPLOYMENT SERVICES—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 16-0174-0-1-504	1999 actual	2000 est.	2001 est.	
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-1	-2	-2
88.40	Non-Federal sources .....	-2	-2	-2
88.90	Total, offsetting collections (cash) .....	-1	-4	-4
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	5,319	3,041	6,154
90.00	Outlays .....	4,675	5,369	5,721

Note: Excludes budget authority for veterans' workforce investment programs and the homeless veterans reintegration project transferred to the Assistant Secretary for Veterans Employment and Training. Comparable amounts for 1999 (\$10.3 million) and 2000 (\$16.9 million) are included above.

## Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	5,319	3,041	6,154
Outlays .....	4,675	5,369	5,721
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-105
Outlays .....			-3
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			-102
<b>Total:</b>			
Budget Authority .....	5,319	3,041	6,049
Outlays .....	4,675	5,369	5,616

Enacted in 1998, the Workforce Investment Act (WIA), is the primary authorization for this appropriation account. The act revitalized the Nation's job training system to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs, and provides employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, but beginning in FY 2000, substantial advance appropriation amounts were provided.

**Adult employment and training activities.**—Grants to provide financial assistance to States and territories to design and operate training programs for adults, including low-income individuals and public assistance recipients.

**Fathers work/families win.**—This new program, operated under WIA National Programs authority, will provide competitive grants to state and local areas to: (1) help low-income non-custodial fathers increase their employment and earnings and pay more child support and (2) provide resources for case management and skill training for low-income families to help them move up the career ladder and remain off cash assistance.

**Dislocated worker employment and training activities.**—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment. Also included in this activity is funding for competitive grants for dislocated worker employment and training activities, to be financed through fees paid by employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act as included in proposed legislation.

**Incumbent workers.**—This new program, operated under WIA National Programs authority, will provide competitive grants to States to train and upgrade the skills of incumbent workers to boost skills and wages of the U.S. workforce. Applicants would be required to provide non-Federal matching resources, and employers that receive grant assistance would be expected to demonstrate that training increased participants' earnings.

**Youth activities.**—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer jobs. The program links academic and occupational learning with youth development activities.

**Youth opportunity grants.**—Competitive grants to increase the long-term employment of youth who live in empowerment zones, enterprise communities, and similar high poverty areas. This activity includes funding for the Rewarding Achievement in Youth program for competitive grants to high poverty areas to provide low income youth with extended summer employment opportunities and end-of-summer bonuses for high academic achievement and job performance.

**Job corps.**—A system of primarily residential centers offering basic education, training, work experience, and other support, typically to economically disadvantaged youth.

**Responsible reintegration for young offenders.**—This program, operated under WIA National Programs authority, is a continuation of the Youth Violence initiative begun in FY 2000. It will link offenders under the age of 35 with essential services that can help make a difference in their choices in the future, such as education, training, job placement, drug counseling, and mentoring, in order to reintegrate them into mainstream society. Through states and local competitive grants, this program would establish partnerships between the criminal justice and local workforce investment systems, complementing a similar program in the Department of Justice.

**Safe schools/healthy students.**—This program, under WIA National Programs authority, will be operated in conjunction with the Departments of Education, Health and Human Services, and Justice, and will provide competitive grants to local communities. The grants will strengthen connections among high schools, post-secondary schools, alternative schools, out-of-school youth programs, and work-based learning programs, in order to promote safe communities and healthy child development. They also will help build local partnerships among Youth Councils, business and community organizations, and schools to improve opportunities for at-risk youth.

**Native Americans.**—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

**Migrant and seasonal farmworkers.**—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

**Veterans workforce investment programs.**—This program of grants or contracts to provide disabled, Vietnam-era, and recently separated veterans with job training and related assistance to meet their unique employment and training needs will be transferred to the Assistant Secretary for Veterans Employment and Training in FY 2001.

**National programs.**—Provides program support for WIA activities and nationally administered programs for segments of the population that have special disadvantages in the labor market. In addition, this activity includes a demonstration program of grants to regional and local entities to provide technical skills training for unemployed and incumbent workers and is supported by fees paid by employers applying for foreign workers under the H-1b temporary alien labor certification program. This program is authorized by the American Competitiveness and Workforce Improvement Act of 1998.

**Expired programs.**—Includes programs for which no funding is requested in FY 2001, including Job Training Partnership Act Summer Youth Employment and Training Grants, Youth Training Grants, and School-to-Work.

Object Classification (in millions of dollars)

Identification code 16-0174-0-1-504	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
23.1 Rental payments to GSA .....	1	1	1
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	25	18	34
25.3 Purchases of goods and services from Government accounts .....	7	6	7
25.5 Research and development contracts .....	3	3	3
31.0 Equipment .....	14	9	14
41.0 Grants, subsidies, and contributions .....	4,925	3,365	5,494
92.0 Undistributed .....	1	1	1
99.0 Subtotal, direct obligations .....	4,977	3,404	5,555
99.0 Reimbursable obligations .....	1	4	4
<b>Allocation Account:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	53	55	59
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	3	2
11.9 Total personnel compensation .....	58	60	63
12.1 Civilian personnel benefits .....	14	15	16
21.0 Travel and transportation of persons .....	2	2	2
22.0 Transportation of things .....	2	2	1
23.3 Communications, utilities, and miscellaneous charges .....	6	6	5
25.2 Other services .....	35	33	35
26.0 Supplies and materials .....	34	32	33
31.0 Equipment .....	2	2	2
32.0 Land and structures .....	3	1	1
41.0 Grants, subsidies, and contributions .....	1	3	3
92.0 Undistributed .....	2	1	1
99.0 Subtotal, allocation account .....	157	156	161
99.9 Total new obligations .....	5,135	3,564	5,720
<b>Obligations are distributed as follows:</b>			
Department of Labor .....	4,978	3,408	5,558
Department of Agriculture .....	95	99	100
Department of the Interior .....	62	57	62

**TRAINING AND EMPLOYMENT SERVICES**  
**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 16-0174-2-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.03 Dislocated worker employment and training activities .....			-16
10.00 Total new obligations (object class 41.0) .....			-16
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-105
23.95 Total new obligations .....			16
24.40 Unobligated balance available, end of year .....			-89
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....			-105
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			-16
73.20 Total outlays (gross) .....			3
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....			-13
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			-3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-105
90.00 Outlays .....			-3

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certi-

fication of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on discretionary spending. For more detail see the legislative proposal for the Program Administration account.

**TRAINING AND EMPLOYMENT SERVICES**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 16-0174-4-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
09.01 Reimbursable program .....			16
10.00 Total new obligations (object class 41.0) .....			16
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			105
23.95 Total new obligations .....			-16
24.40 Unobligated balance available, end of year .....			89
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
69.00 Offsetting collections (cash) .....			105
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			16
73.20 Total outlays (gross) .....			-3
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....			13
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			3
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			-105
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			105
90.00 Outlays .....			-102

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on mandatory spending. For more detail see the legislative proposal for the Program Administration account.

**WELFARE-TO-WORK JOBS**

**Program and Financing (in millions of dollars)**

Identification code 16-0177-0-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Formula grants .....	957		
00.02 Competitive grants .....	519		
00.03 Performance grants .....		50	
10.00 Total new obligations .....	1,476	50	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	248	187	
22.00 New budget authority (gross) .....	1,488	-137	
22.10 Resources available from recoveries of prior year obligations .....	6		
23.90 Total budgetary resources available for obligation .....	1,742	50	
23.95 Total new obligations .....	-1,476	-50	
23.98 Unobligated balance expiring or withdrawn .....	-79		
24.40 Unobligated balance available, end of year .....	187		

**General and special funds—Continued**

**WELFARE-TO-WORK JOBS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 16-0177-0-1-504	1999 actual	2000 est.	2001 est.
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	1,488		
60.36 Unobligated balance rescinded .....		-137	
62.50 Appropriation (total mandatory) .....	1,488	-137	
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	1,224	2,426	1,516
73.10 Total new obligations .....	1,476	50	
73.20 Total outlays (gross) .....	-267	-960	-1,370
73.45 Adjustments in unexpired accounts .....	-6		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	2,426	1,516	146
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	12		
86.98 Outlays from mandatory balances .....	255	960	1,370
87.00 Total outlays (gross) .....	267	960	1,370
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,488	-137	
90.00 Outlays .....	267	960	1,370

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,488	-137	
Outlays .....	267	960	1,370
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....		-50	
Outlays .....		-100	-465
<b>Total:</b>			
Budget Authority .....	1,488	-187	
Outlays .....	267	860	905

This account provides funding for activities of the Welfare-to-Work Grants program, which was established by the Balanced Budget Act of 1997 (P.L. 105-33) appropriating funding for 1998 and 1999. Funds are available for expenditure for up to 3 years after they are provided. This program provides formula grants to States and federally administered competitive grants to Private Industry Councils, political subdivisions of States, and private entities to assist hard-to-employ welfare recipients to secure lasting, unsubsidized employment.

**Object Classification (in millions of dollars)**

Identification code 16-0177-0-1-504	1999 actual	2000 est.	2001 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1,473	50	
41.0 Allocation Account: Grants, subsidies, and contributions .....	3		
99.9 Total new obligations .....	1,476	50	

**WELFARE-TO-WORK JOBS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 16-0177-4-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.03 Performance grants .....		-50	
10.00 Total new obligations (object class 41.0) .....		-50	

<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		-50	
23.95 Total new obligations .....		50	

**New budget authority (gross), detail:**

Mandatory:			
60.36 Unobligated balance rescinded .....		-50	

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....			50
73.10 Total new obligations .....	-50		
73.20 Total outlays (gross) .....	100		465
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....		50	515

**Outlays (gross), detail:**

86.98 Outlays from mandatory balances .....	-100		-465
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**Net budget authority and outlays:**

89.00 Budget authority .....	-50		
90.00 Outlays .....	-100		-465

Legislation will be proposed to rescind the welfare-to-work successful performance bonus and to extend by two years the period of time in which grant recipients may expend funds.

**COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS**

To carry out the activities for national grants or contracts with public agencies and public or private nonprofit organizations under paragraph (1)(A) of section 506(a) of title V of the Older Americans Act of 1965, as amended, or to carry out older worker activities as subsequently authorized, \$343,356,000.

To carry out the activities for grants to States under paragraph (3) of section 506(a) of title V of the Older Americans Act of 1965, as amended, or to carry out older worker activities as subsequently authorized, \$96,844,000. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 16-0175-0-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 National programs .....	343	343	343
00.02 State programs .....	97	97	97
10.00 Total new obligations (object class 41.0) .....	440	440	440

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	440	440	440
23.95 Total new obligations .....	-440	-440	-440

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	440	440	440

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	373	369	369
73.10 Total new obligations .....	440	440	440
73.20 Total outlays (gross) .....	-441	-440	-440
73.40 Adjustments in expired accounts (net) .....	-3		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	369	369	369

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	83	84	84
86.93 Outlays from discretionary balances .....	358	356	356
87.00 Total outlays (gross) .....	441	440	440

**Net budget authority and outlays:**

89.00 Budget authority .....	440	440	440
90.00 Outlays .....	441	440	440

This program provides part-time work experience in community service activities to unemployed, low-income persons aged 55 and over.

#### FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

For payments during the current fiscal year of trade adjustment benefit payments and allowances under part I; and for training, allowances for job search and relocation, and related State administrative expenses under part II, subchapters B and D, chapter 2, title II of the Trade Act of 1974, as amended, **[\$415,150,000]** \$406,550,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15 of the current year. (*Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

#### Program and Financing (in millions of dollars)

Identification code 16-0326-0-1-999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Trade adjustment assistance benefits .....	226	233	248
00.02 Trade adjustment assistance training .....	94	95	95
00.03 North American Free Trade Agreement adjustment assistance benefits .....	19	26	27
00.04 North American Free Trade Agreement adjustment assistance training .....	37	37	37
09.01 Reimbursable program .....	38	40	40
10.00 Total new obligations .....	414	431	447
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	422	455	447
23.95 Total new obligations .....	-414	-431	-447
23.98 Unobligated balance expiring or withdrawn .....	-8	-24	
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....	361	415	407
69.00 Offsetting collections (cash) .....	61	40	40
70.00 Total new budget authority (gross) .....	422	455	447
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	196	202	203
73.10 Total new obligations .....	414	431	447
73.20 Total outlays (gross) .....	-387	-429	-446
73.40 Adjustments in expired accounts (net) .....	-21		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	202	203	203
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	316	339	355
86.98 Outlays from mandatory balances .....	71	90	91
87.00 Total outlays (gross) .....	387	429	446
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-61	-40	-40
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	361	415	407
90.00 Outlays .....	326	389	406

#### Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	361	415	407
Outlays .....	326	389	406
Legislative proposal, subject to PAYGO:			
Budget Authority .....			47
Outlays .....			31
<b>Total:</b>			
Budget Authority .....	361	415	454
Outlays .....	326	389	437

*Trade adjustment assistance.*—Adjustment assistance, including cash weekly benefits, training, job search and relocation allowances, is paid to workers as authorized by the Trade Act of 1974, as amended.

*North American Free Trade Agreement (NAFTA) transitional adjustment assistance.*—Adjustment assistance, including weekly cash benefits, training, job search and relocation allowances, is paid to workers determined to be adversely affected as a result of trade with Canada and Mexico as authorized by the Trade Act of 1974, as amended.

#### Object Classification (in millions of dollars)

Identification code 16-0326-0-1-999	1999 actual	2000 est.	2001 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	376	391	407
99.0 Reimbursable obligations: Subtotal, reimbursable obligations .....	38	40	40
99.9 Total new obligations .....	414	431	447

#### FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

#### (Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

Identification code 16-0326-4-1-999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Trade adjustment assistance benefits .....			24
00.02 Trade adjustment assistance training .....			23
10.00 Total new obligations (object class 41.0) .....			47
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			47
23.95 Total new obligations .....			-47
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.00 Appropriation .....			47
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			47
73.20 Total outlays (gross) .....			-31
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....			16
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			31
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			47
90.00 Outlays .....			31

Legislation will be proposed that would consolidate and reform the Trade Adjustment Assistance (TAA) and the NAFTA-Transitional Adjustment Assistance (NAFTA-TAA) programs and extend the combined program through September 30, 2005. As part of the consolidation, the proposed legislation would extend eligibility for TAA to those who lose their jobs due to shifts in production abroad, similar to the current provision under NAFTA-TAA for shifts in production to Canada and Mexico. The legislative cap on TAA training expenditures would be raised to support the expected increase in program participants. The consolidated program would harmonize existing requirements linking training and income support and would provide supportive services as needed. Finally, the proposed legislation would create a contingency funding provision to assure that resources are available to pay for any unexpected increase in benefits costs for eligible workers.

**General and special funds—Continued**

**STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS**

For authorized administrative expenses, **[\$163,452,000]** \$197,452,000, together with not to exceed **[\$3,090,288,000]** \$3,191,746,000 (including not to exceed \$1,228,000 which may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980), which may be expended from the Employment Security Administration account in the Unemployment Trust Fund including the cost of administering [section 1201 of the Small Business Job Protection Act of 1996,] *section 51 of the Internal Revenue Code of 1986, as amended*, section 7(d) of the Wagner-Peyser Act, as amended, the Trade Act of 1974, as amended, the Immigration Act of 1990, and the Immigration and Nationality Act, as amended, and of which the sums available in the allocation for activities authorized by title III of the Social Security Act, as amended (42 U.S.C. 502–504), and the sums available in the allocation for necessary administrative expenses for carrying out 5 U.S.C. 8501–8523, shall be available for obligation by the States through December 31, **[2000]** 2001, except that funds used for automation acquisitions shall be available for obligation by the States through September 30, **[2002]** 2003; and of which **[\$163,452,000]** \$197,452,000, together with not to exceed **[\$738,283,000]** \$788,283,000 of the amount which may be expended from said trust fund, shall be available for obligation for the period July 1, **[2000]** 2001 through June 30, **[2001]** 2002, to fund activities under the Act of June 6, 1933, as amended, including the cost of penalty mail authorized under 39 U.S.C. 3202(a)(1)(E) made available to States in lieu of allotments for such purpose, and of which \$125,000,000 shall be available only to the extent necessary for additional State allocations to administer unemployment compensation laws to finance increases in the number of unemployment insurance claims filed and claims paid or changes in a State law: *Provided*, That to the extent that the Average Weekly Insured Unemployment (AWIU) for fiscal year **[2000]** 2001 is projected by the Department of Labor to exceed **[2,638,000]** 2,396,000, an additional \$28,600,000 shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) from the Employment Security Administration Account of the Unemployment Trust Fund: *Provided further*, That funds appropriated in this Act which are used to establish a national one-stop career center **[network]** system, or which are used to support the Federal-State unemployment insurance programs, may be obligated in contracts, grants or agreements with non-State entities: *Provided further*, That funds appropriated under this Act for activities authorized under the Wagner-Peyser Act, as amended, and title III of the Social Security Act, may be used by the States to fund integrated Employment Service and Unemployment Insurance automation efforts, notwithstanding cost allocation principles prescribed under Office of Management and Budget Circular A–87. (*Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106–113).*)

**Program and Financing (in millions of dollars)**

Identification code	16–0179–0–1–999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
Direct program:				
Unemployment compensation:				
00.01	State administration .....	2,328	2,256	2,349
00.02	National activities .....	10	10	10
Employment service:				
00.10	Grants to States .....	790	762	812
00.11	National activities .....	60	67	44
00.12	One-stop career centers .....	179	113	134
00.13	Work incentive assistance grants .....	8	8	20
09.01	Reimbursable program .....	4	10	10
10.00	Total new obligations .....	3,371	3,226	3,379
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year .....	154	94	107
22.00	New budget authority (gross) .....	3,311	3,239	3,392
23.90	Total budgetary resources available for obligation .....	3,465	3,333	3,499
23.95	Total new obligations .....	–3,371	–3,226	–3,379
24.40	Unobligated balance available, end of year .....	94	107	121

<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	162	163	197
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	3,149	3,076	3,195
70.00	Total new budget authority (gross) .....	3,311	3,239	3,392
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	143	274	239
73.10	Total new obligations .....	3,371	3,226	3,379
73.20	Total outlays (gross) .....	–3,194	–3,261	–3,359
73.40	Adjustments in expired accounts (net) .....	–46		
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	274	239	258
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	3,128	3,088	3,208
86.93	Outlays from discretionary balances .....	66	173	151
87.00	Total outlays (gross) .....	3,194	3,261	3,359
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Federal sources .....	–4	–10	–10
88.00	Trust Fund sources .....	–3,145	–3,066	–3,185
88.90	Total, offsetting collections (cash) .....	–3,149	–3,076	–3,195
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	162	163	197
90.00	Outlays .....	45	185	164

Note.—Excludes budget authority for occupational employment statistics activities transferred to the Bureau of Labor Statistics. Comparable amounts for 1999 (\$20.7 million) and 2000 (\$20.7 million) are included above.

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	162	163	197
Outlays .....	45	185	164
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Total:			
Budget Authority .....	162	163	197
Outlays .....	45	185	164

**Unemployment compensation.**—State administration amounts provide administrative grants to State agencies which pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay unemployment benefits to former Federal personnel as well as trade adjustment assistance to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive program, UI Performs, to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-state entities. Contingency funds included in State administration are available to meet increases in the costs of administration resulting from changes in State law, or increases in the number of claims filed and claims paid.

**PROGRAM STATISTICS**

	1998 actual	1999 estimate	2000 estimate <sup>1</sup>	2001 estimate <sup>1</sup>
Staff years .....	36,257	35,333	42,059	44,023

Basic workload (in thousands):

Employer tax accounts .....	6,425	6,562	6,642	6,552
Employee wage items recorded .....	518,513	532,107	578,122	601,406
Initial claims taken .....	17,372	16,740	17,134	18,598
Eligibility interviews .....	2,497	2,449	8,915	9,639
Weeks claimed .....	118,656	117,407	115,221	124,575
Nonmonetary determinations .....	7,430	7,331	7,265	7,384
Appeals .....	1,120	1,054	1,002	978
Covered employment .....	121,610	124,160	125,530	126,610

**Employment service.**—The public employment service is a nationwide system providing no-fee employment services to individuals who are seeking employment and employers who are seeking workers. State employment service activities are financed by allotment to States distributed under a demographically based funding formula established under the Wagner-Peyser Act, as amended. Employment service allotments are funded on a program year basis running from July 1 through June 30 of the following year. The reemployment needs of unemployed workers who lose their jobs through no fault of their own and who need extra job finding help are financed by Reemployment Services grants. These funds will be distributed to States on a program year basis running from July 1 through June 30 the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended and other legislation. Funding is also provided for amortization payments for States which had independent retirement plans prior to 1980 in their State employment service agencies.

**One-stop career centers.**—These funds will be used to support voluntary State efforts to create a comprehensive system of One-Stop Career Centers which will provide workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. In this activity, funds are provided for America's Agricultural Labor Network (AgNet), a new information system that allows growers to find workers and workers to find employment opportunities that meet their needs. Funding for this activity is on a program year basis, running from July 1 through June 30 of the following year.

**Work incentive assistance grants.**—These funds will provide competitive grants to improve access to and coordination of information, benefits, and services to enable individuals with disabilities to return to work.

PROGRAM STATISTICS

	[In thousands]			
	1998 actual <sup>1</sup>	1999 estimate <sup>2</sup>	2000 estimate <sup>3</sup>	2001 estimate <sup>4</sup>
Total applicants .....	17,288	17,100	17,000	17,000
Entered employment .....	3,265	3,400	3,500	3,700

<sup>1</sup> For the program year, July 1, 1998–June 30, 1999.  
<sup>2</sup> For the program year, July 1, 1999–June 30, 2000.  
<sup>3</sup> For the program year, July 1, 2000–June 30, 2001.  
<sup>4</sup> For the program year, July 1, 2001–June 30, 2002.

Object Classification (in millions of dollars)

Identification code 16-0179-0-1-999	1999 actual	2000 est.	2001 est.
Direct obligations:			
23.3 Communications, utilities, and miscellaneous charges .....	99	102	102
41.0 Grants, subsidies, and contributions .....	3,268	3,114	3,267
99.0 Subtotal, direct obligations .....	3,367	3,216	3,369
99.0 Reimbursable obligations .....	4	10	10
99.9 Total new obligations .....	3,371	3,226	3,379

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0179-2-1-999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 23.3) .....			-17
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-17
23.95 Total new obligations .....			17
<b>New budget authority (gross), detail:</b>			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....			-17
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			-17
73.20 Total outlays (gross) .....			17
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			-17
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Trust Fund sources .....			17
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on discretionary spending. For more detail see the legislative proposal for the Program Administration account.

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0179-4-1-999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 41.0) .....			17
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			17
23.95 Total new obligations .....			-17
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash) .....			17
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			17
73.20 Total outlays (gross) .....			-17
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			17
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			-17
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

**General and special funds—Continued**

**STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued**

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on mandatory spending. For more detail see the legislative proposal for the Program Administration account.

**PAYMENTS TO THE UNEMPLOYMENT TRUST FUND  
Program and Financing (in millions of dollars)**

Identification code 16-0178-0-1-603	1999 actual	2000 est.	2001 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	5	5	5
23.95 Total new obligations			
24.40 Unobligated balance available, end of year	5	5	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays			

This account was initiated as a result of the amendments to the Emergency Unemployment Compensation law (P.L. 102-164, as amended) which currently provides for general fund financing for administrative costs related to extended benefits under the optional, total unemployment rate trigger. These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) in order that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs.

**ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS**

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, as amended, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1954, as amended; and for nonrepayable advances to the Unemployment Trust Fund as authorized by section 8509 of title 5, United States Code, and to the "Federal unemployment benefits and allowances" account, to remain available until September 30, [2001] 2002, [\$356,000,000] \$435,000,000.

In addition, for making repayable advances to the Black Lung Disability Trust Fund in the current fiscal year after September 15, [2000] 2001, for costs incurred by the Black Lung Disability Trust Fund in the current fiscal year, such sums as may be necessary. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 16-0327-0-1-600	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 92.0)	23		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year			
22.00 New budget authority (gross)	23		
23.90 Total budgetary resources available for obligation	23		
23.95 Total new obligations	-23		
23.98 Unobligated balance expiring or withdrawn			
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite)	23		
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations	23		

73.20 Total outlays (gross)	-23		
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority	23		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	23		
90.00 Outlays	23		

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority	23		
Outlays	23		
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority			1,468
Outlays			1,468
<b>Total:</b>			
Budget Authority	23		1,468
Outlays	23		1,468

This account provides repayable advances to the Black Lung Disability Trust Fund for making payments from that fund whenever its balances prove insufficient. The funding requested in this appropriation for FY 2001 is entirely for Black Lung. This spending authority is presented as authority to borrow in the Black Lung Disability Trust Fund. FY 1999 funding shows an advance to the Federal unemployment benefits and allowances account.

This account also provides advances to several other accounts to pay unemployment compensation to eligible individuals under various Federal and State unemployment compensation laws whenever the balances in the funds prove insufficient or whenever reimbursements to certain accounts, as allowed by law, are to be made. Advances made to the Federal employees compensation account in the Unemployment Trust Fund and to the Federal unemployment benefits and allowances account are nonrepayable. All other advances made to the Federal unemployment account and to the Extended unemployment compensation account (both in the Unemployment Trust Fund) are repaid, with interest, to the general fund of the Treasury.

**ADVANCES TO THE UNEMPLOYMENT TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 16-0327-2-1-600	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 92.0)			1,468
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			1,468
23.95 Total new obligations			-1,468
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.05 Appropriation (indefinite)			1,468
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations			1,468
73.20 Total outlays (gross)			-1,468
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority			1,468
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			1,468
90.00 Outlays			1,468

The Black Lung Disability Trust Fund (BLDTF) revenues, which consist primarily of excise taxes on coal, are not suffi-



cient to repay its \$7 billion debt to the Treasury or to service the interest on that debt. See discussion in the Black Lung Disability Trust Fund for information on the Administration's proposal to remedy this problem. As a part of this proposal, the Administration will propose legislation that will provide for a \$1.5 billion appropriation to permit the BLDTF to compensate the General Fund for the forgone prepayment premium.

**PROGRAM ADMINISTRATION**

For expenses of administering employment and training programs, **[\$100,944,000] \$111,276,000**, including **[\$6,431,000] \$6,655,000** to support up to 75 full-time equivalent staff, the majority of which will be term Federal appointments lasting no more than 1 year, to administer welfare-to-work grants, together with not to exceed **[\$45,056,000] \$48,035,000**, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (*Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing (in millions of dollars)**

Identification code 16-0172-0-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Adult services .....	31	33	36
00.02 Youth services .....	34	34	37
00.03 Workforce security .....	48	46	49
00.04 Apprenticeship training, employer and labor services .....	18	19	22
00.05 Executive direction .....	7	8	8
00.06 Welfare-to-work .....	6	6	7
10.00 Total new obligations .....	144	146	159
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	144	146	159
23.95 Total new obligations .....	-144	-146	-159
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	94	101	111
42.00 Transferred from other accounts .....	3		
43.00 Appropriation (total discretionary) .....	97	101	111
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....	43	45	48
68.00 Trust Fund sources (16-0179) .....	4		
68.90 Spending authority from offsetting collections (total discretionary) .....	47	45	48
70.00 Total new budget authority (gross) .....	144	146	159
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	15	19	14
73.10 Total new obligations .....	144	146	159
73.20 Total outlays (gross) .....	-138	-152	-160
73.40 Adjustments in expired accounts (net) .....	-2		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	19	14	12
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	127	132	148
86.93 Outlays from discretionary balances .....	11	19	14
87.00 Total outlays (gross) .....	138	152	160
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Trust fund sources .....	-43	-45	-48
88.00 Trust Fund sources (Transfer 16-0179) .....	-4		
88.90 Total, offsetting collections (cash) .....	-47	-45	-48

Net budget authority and outlays:			
89.00 Budget authority .....	97	101	111
90.00 Outlays .....	91	106	114

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	97	101	111
Outlays .....	91	106	114
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			
<b>Total:</b>			
Budget Authority .....	97	101	111
Outlays .....	91	106	114

**Adult services.**—Provides leadership, policy direction and administration for a decentralized system of grants to States and locals as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; oversees programs helping non-custodial parents and low-income families find and keep jobs; and includes related program operations support activities.

**Youth services.**—Provides leadership, policy direction and administration for a decentralized system of grants to States and locals as well as federally administered programs for job training and employment assistance for youth, including youth grants, the Job Corps, and Youth Opportunity Grants; tests ways to help young offenders return to work and reduce anti-social or violent behavior; and includes related program operations support activities.

**Workforce security.**—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; unemployment insurance programs in each State; and for a one-stop career center network, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

**Apprenticeship training, employer and labor services.**—Promotes and provides leadership and policy direction for the administration of apprenticeship as a method of skill acquisition through a Federal-State apprenticeship structure. Employer and labor services will facilitate the understanding and responsiveness of workforce development systems to the training needs of employers and the interest of labor organizations in training programs. It provides for the Child Care Apprenticeship Program for increased training of child care providers and for demonstrations of women in non-traditional apprenticeships.

**Executive direction.**—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, demonstrations and performance standards.

**Welfare-to-work.**—Provides leadership, policy direction, technical assistance, and administration for a decentralized system of grants to States and federally administered competitive grants to Workforce Investment Boards, political subdivisions of States, and private entities to assist hard-to-employ welfare recipients and certain noncustodial parents to secure lasting, unsubsidized employment.

**Object Classification (in millions of dollars)**

Identification code 16-0172-0-1-504	1999 actual	2000 est.	2001 est.
Personnel compensation:			
11.1 Full-time permanent .....	76	79	83
11.3 Other than full-time permanent .....	3	3	3

**General and special funds—Continued**

**PROGRAM ADMINISTRATION—Continued**

**Object Classification (in millions of dollars)—Continued**

Identification code 16-0172-0-1-504	1999 actual	2000 est.	2001 est.
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	81	84	88
12.1 Civilian personnel benefits .....	17	18	19
21.0 Travel and transportation of persons .....	5	5	5
23.1 Rental payments to GSA .....	10	11	11
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	3	3	5
25.3 Purchases of goods and services from Government accounts .....	12	13	14
25.7 Operation and maintenance of equipment .....	7	6	10
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	4	2	3
99.9 Total new obligations .....	144	146	159

**Personnel Summary**

Identification code 16-0172-0-1-504	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	1,346	1,368	1,408
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	4	3	3

**PROGRAM ADMINISTRATION**

**(Legislative proposal, not subject to PAYGO)**

*Upon enactment of legislation enabling the Secretary to charge a fee for permanent labor certifications of aliens as eligible workers, the amount appropriated for Program Administration from the Employment Security Administration account in the Unemployment Trust Fund shall be reduced by \$4,641,000; the amount appropriated for Training and Employment Services from the General Fund shall be reduced by \$105,100,000; the amount appropriated for the Bureau of Labor Statistics from the Employment Security Administration account in the Unemployment Trust Fund shall be reduced by \$10,700,000; and the amount appropriated for State Unemployment Insurance and Employment Services Operations from the Employment Security Administration account in the Unemployment Trust Fund shall be reduced by \$16,600,000: Provided, That fees collected shall be deposited in each of the above accounts in the stated amounts.*

**Program and Financing (in millions of dollars)**

Identification code 16-0172-2-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....			-5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-5
23.95 Total new obligations .....			5
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....			-5
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			-5
73.20 Total outlays (gross) .....			5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			-5
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
88.00 Offsetting collections (cash) from: Trust fund sources .....			5

**Net budget authority and outlays:**

89.00 Budget authority .....			
90.00 Outlays .....			

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on discretionary spending. For more detail see the PAYGO legislative proposal for this account, as described below.

**Object Classification (in millions of dollars)**

Identification code 16-0172-2-1-504	1999 actual	2000 est.	2001 est.
11.1 Personnel compensation: Full-time permanent .....			-3
12.1 Civilian personnel benefits .....			-1
99.0 Subtotal, direct obligations .....			-4
99.5 Below reporting threshold .....			-1
99.9 Total new obligations .....			-5

**Personnel Summary**

Identification code 16-0172-2-1-504	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment .....			-55

**PROGRAM ADMINISTRATION**

**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 16-0172-4-1-504	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations .....			5
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			5
23.95 Total new obligations .....			-5
<b>New budget authority (gross), detail:</b>			
<b>Mandatory:</b>			
69.00 Offsetting collections (cash) .....			5
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			5
73.20 Total outlays (gross) .....			-5
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			5
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			-5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. The fee proceeds will offset the costs of administering and enforcing the alien labor program, and provide reemployment and training assistance to U.S. workers who have been dislocated from their jobs.

The additional proviso to be included in appropriation language is being proposed in anticipation of the enactment of authorizing legislation. If the authorizing legislation is enacted, the proviso will reduce the amounts available under the Program Administration heading so that total resources

will not exceed the amount allowed under the discretionary spending caps. The proviso allows agencies to spend whatever fees are collected, possibly more or possibly less than the estimates in the schedule, or the specified reduction in Program Administration expenditures.

**Object Classification (in millions of dollars)**

Identification code 16-0172-4-1-504	1999 actual	2000 est.	2001 est.
99.0 Reimbursable obligations: Subtotal, reimbursable obligations .....			4
99.5 Below reporting threshold .....			1
99.9 Total new obligations .....			5

**Personnel Summary**

Identification code 16-0172-4-1-504	1999 actual	2000 est.	2001 est.
2001 Total compensable workyears: Full-time equivalent employment .....			55

**UNEMPLOYMENT TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 20-8042-0-7-999	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....	70,220	76,921	85,105
Receipts:			
02.01 General taxes, FUTA .....	6,475	6,668	6,873
02.02 Deposits by Federal agencies to the Federal Employees Compensation Account .....	403	399	454
02.05 Interest and profits on investments in public debt securities .....	4,795	4,935	5,306
02.06 State accounts, deposits by States .....	19,894	21,453	23,327
02.08 Deposits by Railroad Retirement Board .....	111	67	54
02.09 CMA interest, Unemployment trust fund .....	3	2	2
02.99 Total receipts .....	31,681	33,524	36,016
04.00 Total: Balances and collections .....	101,901	110,445	121,121
Appropriation:			
05.01 Unemployment trust fund .....	-24,892	-25,242	-28,207
05.02 Legislative proposal not subject to PAYGO .....			33
05.04 Railroad unemployment insurance trust fund .....	-110	-117	-123
05.99 Subtotal appropriation .....	-25,002	-25,359	-28,297
06.10 Unobligated balance returned to receipts .....	22		
06.20 Reduction pursuant to Public Law 106-113 .....		19	
07.99 Total balance, end of year .....	76,921	85,105	92,824

**Program and Financing (in millions of dollars)**

Identification code 20-8042-0-7-999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Federal-State unemployment insurance:			
Withdrawals:			
00.01 Benefit payments by States .....	21,027	21,377	24,143
00.02 Federal employees' unemployment compensation .....	417	393	474
00.03 State administrative expenses .....	3,154	3,127	3,259
Federal administrative expenses:			
00.10 Direct expenses .....	51	50	53
00.11 Reimbursements to the Department of the Treasury .....	34	88	88
00.20 Veterans employment and training .....	183	184	186
00.21 Interest on refunds .....	4	4	4
10.00 Total new obligations .....	24,870	25,223	28,207
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	24,870	25,223	28,207
23.95 Total new obligations .....	-24,870	-25,223	-28,207
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund, definite) .....	3,410	3,378	3,499
40.35 Appropriation rescinded .....	-22		
40.76 Reduction pursuant to P.L. 106-113 .....		-19	

43.00	Appropriation (total discretionary) .....	3,388	3,359	3,499
55.26	Advance appropriation (trust fund, definite) .....	40		
	<b>Mandatory:</b>			
60.27	Appropriation (trust fund, indefinite) .....	31,681	33,524	36,016
60.45	Portion precluded from obligation .....	-10,239	-11,660	-11,308
62.50	Appropriation (total mandatory) .....	21,442	21,864	24,708
70.00	Total new budget authority (gross) .....	24,870	25,223	28,207

**Change in unpaid obligations:**

72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	776	776	749
73.10	Total new obligations .....	24,870	25,223	28,207
73.20	Total outlays (gross) .....	-24,870	-25,250	-28,173
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	776	749	783

**Outlays (gross), detail:**

86.90	Outlays from new discretionary authority .....	2,662	2,751	2,853
86.93	Outlays from discretionary balances .....	766	635	612
86.97	Outlays from new mandatory authority .....	21,442	21,864	24,708
87.00	Total outlays (gross) .....	24,870	25,250	28,173

**Net budget authority and outlays:**

89.00	Budget authority .....	24,870	25,223	28,207
90.00	Outlays .....	24,870	25,250	28,173

**Memorandum (non-add) entries:**

92.01	Total investments, start of year: U.S. securities: Par value .....	70,641	77,358	85,400
92.02	Total investments, end of year: U.S. securities: Par value .....	77,358	85,400	93,116

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....	24,870	25,223	28,207
Outlays .....	24,870	25,250	28,173
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			-33
Outlays .....			-33
<b>Total:</b>			
Budget Authority .....	24,870	25,223	28,174
Outlays .....	24,870	25,250	28,140

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund. All State and Federal unemployment tax receipts are deposited in the trust fund and invested in Government securities until needed for benefit payments or administrative costs. States may receive repayable advances from the fund when their balances in the fund are insufficient to pay benefits. The fund may receive repayable advances from the general fund when it has insufficient balances to make advances to States or to pay the Federal share of extended benefits.

State payroll taxes pay for all regular State benefits. During periods of high State unemployment, extended benefits, financed one-half by State payroll taxes and one-half by the Federal unemployment payroll tax, are also paid. The Federal tax pays the costs of Federal and State administration of unemployment insurance and veterans employment services and 97% of the costs of the employment service.

The Federal employees compensation account provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. Benefits paid are reimbursed to the Federal employees compensation account by the various Federal agencies. Any additional resources necessary to assure that the account can make the required payments to States will be provided from the Advances to the Unemployment Trust Fund and other funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the unemployment trust fund and

**General and special funds—Continued****UNEMPLOYMENT TRUST FUND—Continued**

receipts from the tax on railroad payrolls are deposited in the fund to meet expenses.

**Status of Funds (in millions of dollars)**

Identification code 20-8042-0-7-999	1999 actual	2000 est.	2001 est.
<b>Unexpended balance, start of year:</b>			
0100 Treasury balance .....	330	341	456
0101 U.S. Securities: Par value .....	70,641	77,358	85,400
0199 Total balance, start of year .....	70,971	77,699	85,856
<b>Cash income during the year:</b>			
<b>Governmental receipts:</b>			
0200 General taxes, FUTA, Unemployment trust fund .....	6,475	6,668	6,873
0201 Unemployment trust fund, State accounts, Deposits by States .....	19,894	21,453	23,327
0202 Deposits by Railroad Retirement Board .....	111	67	54
<b>Proprietary receipts:</b>			
0221 CMA interest, Unemployment trust fund .....	3	2	2
<b>Intragovernmental transactions:</b>			
0240 Deposits by Federal agencies to the Federal Employees Compensation Account, Unemployment trust fund .....	403	399	454
0244 Unemployment trust fund, Interest and profits on investments in public debt securities .....	4,795	4,935	5,306
0299 Total cash income .....	31,681	33,524	36,016
<b>Cash outgo during year:</b>			
0500 Unemployment trust fund .....	-24,870	-25,250	-28,173
0503 Railroad unemployment insurance trust fund .....	-68	-101	-107
0506 Legislative proposal not subject to PAYGO .....			33
0597 Outgo under present law (-) .....	-24,938	-25,351	-28,280
0598 Outgo under proposed legislation (-) .....			33
0599 Total cash outgo (-) .....	-24,938	-25,351	-28,247
0645 Balance transferred, net .....	-15	-16	-16
<b>Unexpended balance, end of year:</b>			
0700 Uninvested balance .....	341	456	493
0701 U.S. Securities: Par value .....	77,358	85,400	93,116
0799 Total balance, end of year .....	77,699	85,856	93,609

**Object Classification (in millions of dollars)**

Identification code 20-8042-0-7-999	1999 actual	2000 est.	2001 est.
25.3 Reimbursements to Department of the Treasury .....	34	88	88
<b>Insurance claims and indemnities:</b>			
42.0 Federal unemployment benefits .....	417	393	474
42.0 State unemployment benefits .....	20,766	21,377	24,143
43.0 Interest and dividends .....	4	4	4
<b>Undistributed:</b>			
92.0 Payments to States for administrative expenses .....	3,407	3,127	3,259
92.0 One-Stop, LMI .....	8		
92.0 Departmental management .....	4	5	5
92.0 Employment & Training Administration .....	47	45	48
92.0 Veterans employment and training .....	183	184	186
99.9 Total new obligations .....	24,870	25,223	28,207

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 20-8042-2-7-999	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 92.0) .....			-33
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-33
23.95 Total new obligations .....			33
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.26 Appropriation (trust fund, definite) .....			-33
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			-33

73.20 Total outlays (gross) .....			33
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....			-33
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-33
90.00 Outlays .....			-33

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on discretionary spending in the Unemployment Trust Fund attributable to the State Unemployment Insurance and Employment Service Operations, the Bureau of Labor Statistics, and the Program Administration accounts. For more detail see the legislative proposal for the Program Administration account.

**PENSION AND WELFARE BENEFITS ADMINISTRATION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses for the Pension and Welfare Benefits Administration, **[\$99,000,000] \$107,832,000.** (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 16-1700-0-1-601	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Enforcement and compliance .....	74	78	84
00.02 Policy, regulations, and public services .....	15	17	20
00.03 Program oversight .....	4	4	4
09.01 Reimbursable program .....		6	7
10.00 Total new obligations .....	93	105	115
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	3		
22.00 New budget authority (gross) .....	90	105	115
23.90 Total budgetary resources available for obligation .....	93	105	115
23.95 Total new obligations .....	-93	-105	-115
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
40.00 Appropriation .....	89	99	108
42.00 Transferred from other accounts .....	1		
43.00 Appropriation (total discretionary) .....	90	99	108
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....		6	7
70.00 Total new budget authority (gross) .....	90	105	115
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	20	22	24
73.10 Total new obligations .....	93	105	115
73.20 Total outlays (gross) .....	-92	-102	-114
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	22	24	25
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	73	84	93
86.93 Outlays from discretionary balances .....	19	18	21
87.00 Total outlays (gross) .....	92	102	114
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
88.00 Offsetting collections (cash) from: Federal sources .....		-6	-7

Net budget authority and outlays:				
89.00	Budget authority .....	90	99	108
90.00	Outlays .....	92	96	107

**Enforcement and compliance.**—Conducts criminal and civil investigations and performs reviews to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act of 1986. Assures compliance with applicable reporting requirements, as well as accounting, auditing and actuarial standards. Provides compliance assistance to the public. The 2001 estimates include: (1) expanded compliance and technical assistance capabilities to help ensure employers, practitioners, participants, and beneficiaries understand their rights and responsibilities under ERISA; (2) expanded protection of benefit plan assets in instances where plan sponsors face bankruptcy; (3) enhanced information technology support; and (4) expanded auditing to help implement new law for the Federal employee Thrift Savings Program.

	1999 actual	2000 est.	2001 est.
Plan reviews and investigations conducted .....	6,934	7,158	7,227
Investigations closed that restored or protected assets .....	1,347	1,120	1,148
Benefit recoveries from customer assistance:			
Field offices .....	\$55,000,000	\$46,000,000	\$47,000,000
Inquiries received:			
Field offices .....	121,787	129,197	131,540

**Policy, regulation and public service.**—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Promulgates regulations and interpretations. Issues individual and class exemptions from regulations. Discloses government-required reports and provides compliance assistance to the public. The 2001 estimates include enhanced education and outreach on pension and health plans as well as implementing the law mandating retirement "savings summit" meetings.

	1999 actual	2000 est.	2001 est.
Exemptions, variances, determinations, interpretations, and regulations issued .....	1,042	1,292	1,312
Average days to process exemption requests .....	242	207	200
Benefit recoveries from customer assistance:			
National office .....	\$7,000,000	\$7,000,000	\$7,000,000
Inquiries received:			
National office .....	33,839	35,000	35,000

**Program oversight.**—Provides leadership, policy direction, strategic planning, and management of the pension and welfare benefits program. Provides administrative support for budget, debt collection, personnel, labor/employee relations, and other administrative activities, as well as technical program training related to the agency's enforcement, policy, legislative and regulatory functions.

Object Classification (in millions of dollars)			
Identification code 16-1700-0-1-601	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	40	47	50
12.1 Civilian personnel benefits .....	9	10	11
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....		1	1
24.0 Printing and reproduction .....	1		1
25.2 Other services .....	2	5	6
25.3 Purchases of goods and services from Government accounts .....	17	15	7
25.5 Research and development contracts .....	2	2	3
25.7 Operation and maintenance of equipment .....	14	10	17
26.0 Supplies and materials .....			1
31.0 Equipment .....	1	1	3
99.0 Subtotal, direct obligations .....	93	99	108
99.0 Reimbursable obligations .....		6	7
99.9 Total new obligations .....	93	105	115

Personnel Summary

Identification code 16-1700-0-1-601	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment .....	703	823	850

PENSION BENEFIT GUARANTY CORPORATION

Federal Funds

Public enterprise funds:

PENSION BENEFIT GUARANTY CORPORATION FUND

The Pension Benefit Guaranty Corporation is authorized to make such expenditures, including financial assistance authorized by section 104 of Public Law 96-364, within limits of funds and borrowing authority available to such Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program through September 30, [2000] 2001, for such Corporation: *Provided*, That not to exceed [\$11,155,000] \$11,871,000 shall be available for administrative expenses of the Corporation: *Provided further*, That expenses of such Corporation in connection with the termination of pension plans, for the acquisition, protection or management, and investment of trust assets, and for benefits administration services shall be considered as non-administrative expenses for the purposes hereof, and excluded from the above limitation. (*Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

Program and Financing (in millions of dollars)

Identification code 16-4204-0-3-601	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
09.01 Single employer program benefits payments .....	1,207	963	988
09.02 Multi-employer program financial assistance .....	6	94	6
09.03 Administrative expenses .....	11	11	12
09.04 Services related to terminations .....	148	154	165
10.00 Total new obligations .....	1,372	1,222	1,171
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	8,721	9,215	10,430
22.00 Budget authority from offsetting collections .....	1,866	2,437	2,675
23.90 Total budgetary resources available for obligation .....	10,587	11,652	13,105
23.95 Total new obligations .....	-1,372	-1,222	-1,171
24.40 Unobligated balance available, end of year .....	9,215	10,430	11,934
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	11	11	12
<b>Mandatory:</b>			
69.00 Offsetting collections (cash) .....	1,855	2,426	2,663
70.00 Total new budget authority (gross) .....	1,866	2,437	2,675
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	-17	154	63
73.10 Total new obligations .....	1,372	1,222	1,171
73.20 Total outlays (gross) .....	-1,201	-1,313	-1,173
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	154	63	61
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	11	11	12
86.97 Outlays from new mandatory authority .....	1,190	1,302	1,162
87.00 Total outlays (gross) .....	1,201	1,313	1,173
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.20 Interest on U.S. securities .....	-513	-789	-892
<b>Non-Federal sources:</b>			
88.40 Premium income .....	-681	-968	-936

**Public enterprise funds—Continued****PENSION BENEFIT GUARANTY CORPORATION FUND—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 16-4204-0-3-601		1999 actual	2000 est.	2001 est.
88.40	Benefit payment reimbursements .....	-490	-528	-680
88.40	Reimbursements from trust funds for services related to terminations .....	-120	-152	-167
88.40	Other Income .....	-62		
88.90	Total, offsetting collections (cash) .....	-1,866	-2,437	-2,675
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-665	-1,124	-1,502
<b>Memorandum (non-add) entries:</b>				
92.01	Total investments, start of year: U.S. securities: Par value .....	8,697	9,296	10,417
92.02	Total investments, end of year: U.S. securities: Par value .....	9,296	10,417	11,921

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1999 actual	2000 est.	2001 est.
Budget Authority .....			
Outlays .....	-665	-1,124	-1,501
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			1
<b>Total:</b>			
Budget Authority .....			
Outlays .....	-665	-1,124	-1,500

**Status of Direct Loans (in millions of dollars)**

Identification code 16-4204-0-3-601	1999 actual	2000 est.	2001 est.
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements .....	6	94	6
1263 Write-offs for default: Direct loans .....	-6	-94	-6

This wholly owned government corporation administers programs of mandatory insurance to prevent loss of pension benefits under covered private, defined-benefit pension plans if single-employer plans terminate or if multiemployer plans are unable to pay benefits.

**Single-employer program.**—The single-employer program protects about 33 million participants in about 42,000 pension plans. Under this program, a company may voluntarily seek to terminate its plan, or the Pension Benefit Guaranty Corporation (PBGC) may seek termination under certain circumstances. The PBGC must seek termination when a plan cannot pay current benefits.

In a "standard" termination, plan assets must be sufficient to pay all benefits before the plan is allowed to end. That payment is in the form of an annuity purchased from an insurance company or a lump sum payment. After the payment is made, the PBGC guarantee ends. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress; for example, the likelihood that continuing the plan would force the company to shut down. If the terminated plan cannot pay at least the PBGC-guaranteed benefits, the PBGC uses its funds to ensure guaranteed benefits are paid.

	1999 actual	2000 est.	2001 est.
Government trusteeships at end of year .....	2,737	2,847	2,957
Participants in government trusteeships owed benefits .....	532,000	572,000	612,000
Retirees receiving monthly benefits .....	214,890	228,800	244,800

**Multiemployer program.**—The multiemployer insurance program protects about 8.7 million participants in about 2,000 plans. Multiemployer pension plans are maintained under collectively bargained agreements involving unrelated employers,

generally in the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance to continue paying guaranteed benefits, ordinarily in the form of a loan to the plan. Twenty-five plans are expected to receive assistance in 2001.

**Administrative expenses subject to limitation.**—Provides for collection of nearly \$1 billion in premiums, accounting and auditing services, asset management, executive direction, and other support functions.

**Services related to terminations.**—This activity provides for needed, but unpredictable, costs related to benefits administration, actuarial services, managing the assets of trustee plans, and a share of other costs arising from plan termination. Funding includes enhancement in customer services to process final benefit determinations faster.

Plans terminated during the year:	1999 actual	2000 est.	2001 est.
With sufficient assets .....	1,969	2,000	2,000
Without sufficient assets .....	122	110	110
Time to replace initial with final benefit levels .....	5.7 yrs	4-5 yrs	3-4 yrs

**Financing.**—The primary source of financing is annual premiums paid by sponsors of ongoing covered plans, which vary according to the plans' funding level. Other sources of financing include assets from terminated plans, investment income, and amounts due PBGC from the sponsors of terminating plans. Also, PBGC is authorized to borrow up to \$100 million from the U.S. Treasury.

**Operating results.**—The following tables show the status of PBGC's trust funds and PBGC's operating results.

**STATUS OF TRUST FUNDS**

(In thousands of dollars)

	1998 actual	1999 actual	2000 est.	2001 est.
<b>Assets:</b>				
Cash .....	381,650	179,180	179,180	179,180
Investments .....	6,148,710	7,675,660	8,036,286	8,443,222
Receivables:				
Due from Pension Benefit Guaranty Corporation .....	5,754,000	3,301,510	3,875,207	4,471,355
Due from employers—terminated plans .....	1,903,550	1,782,490	1,304,195	1,218,298
Assets of pretrusteed plans .....	30,200	41,070	315,278	300,008
Other assets .....	34,540	56,680	56,680	56,680
Total assets .....	14,252,650	13,036,590	13,766,826	14,668,743
<b>Liabilities:</b>				
Estimate of future benefits—terminated plans .....	10,891,070	10,033,160	11,610,189	12,681,725
Estimate of probable terminations (net claims for) .....	3,274,540	2,850,780	2,080,206	1,910,589
Other liabilities .....	87,040	152,650	76,430	76,430
Total liabilities .....	14,252,650	13,036,590	13,766,826	14,668,743

**CHANGE IN PBGC's LIABILITY UNDER TERMINATED PLANS**

(In thousands of dollars)

	1998 actual	1999 actual	2000 est.	2001 est.
Liability, beginning of year .....	3,720,600	4,361,140	2,214,000	3,060,886
Liability incurred due to plan terminations .....	265,150	389,600	762,195	566,164
(New liabilities assumed) .....	463,990	664,600	1,786,213	1,237,058
(Plan assets acquired) .....	-199,670	-275,060	-969,688	-615,394
(Recoveries from employers, net) .....	830	60	-54,330	-55,500
Operating loss of trust fund .....	767,260	-2,014,600	359,346	404,670
Benefit payments .....	-391,870	-522,140	-274,654	-308,071
Liability, end of year .....	4,361,140	2,214,000	3,060,886	3,723,648

**Statement of Operations (in millions of dollars)**

Identification code 16-4204-0-3-601	1998 actual	1999 actual	2000 est.	2001 est.
<b>Revenue:</b>				
0101 Premium income .....	989	925	1,053	888
0101 Investment income .....	2,071	-893	790	892
0101 Other income .....	5	1		
<b>Expense:</b>				
0102 Trust fund operating loss .....	-767	2,015	-359	-405

0102	Net liability due to plan terminations	-265	-384	-762	-566
0102	Provision for probable terminations .....	-284	340	273	67
0102	Change in allowance for uncollectible financial assistance .....	-34	-109	-30	-28
0102	Administrative expenses .....	-26	-12	-12	-12
0105	Net income or loss (-) .....	1,689	1,883	953	836
0191	Total revenues .....	3,065	33	1,843	1,780
0192	Total expenses .....	-1,376	1,850	-890	-944
0199	Total comprehensive income .....	1,689	1,883	953	836

**Balance Sheet (in millions of dollars)**

Identification code 16-4204-0-3-601		1998 actual	1999 actual	2000 est.	2001 est.
<b>ASSETS:</b>					
Federal assets:					
1101	Fund balances with Treasury .....	1	1	1	1
Investments in US securities:					
Treasury securities, par:					
1102	Treasury securities, par .....	8,697	9,296	10,417	11,921
1102	Treasury securities, unamortized discount (-)/premium (+) .....	2,577	1,329	1,662	1,684
1106	Receivables, net .....	127	162	162	162
1206	Non-Federal assets: Receivables, net .....	104	317	245	173
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
1601	Direct loans, gross .....	37	43		
1602	Interest receivable .....	29	33		
1603	Allowance for estimated uncollectible loans and interest (-) .....	-66	-76		
1699	Value of assets related to direct loans .....				
Other Federal assets:					
1801	Cash and other monetary assets .....	332	164	164	164
1803	Property, plant and equipment, net .....	4		2	2
1999	Total assets .....	11,842	11,269	12,653	14,107
<b>LIABILITIES:</b>					
Non-Federal liabilities:					
2201	Accounts payable .....	311	194	191	191
2206	Pension and other actuarial liabilities .....	6,143	3,840	4,274	4,891
2999	Total liabilities .....	6,454	4,034	4,465	5,082
<b>NET POSITION:</b>					
3300	Cumulative results of operations .....	5,388	7,235	8,188	9,025
3999	Total net position .....	5,388	7,235	8,188	9,025
4999	Total liabilities and net position .....	11,842	11,269	12,653	14,107

**Object Classification (in millions of dollars)**

Identification code 16-4204-0-3-601		1999 actual	2000 est.	2001 est.
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	44	47	49
11.3	Other than full-time permanent .....	2	2	2
11.5	Other personnel compensation .....	1	1	2
11.9	Total personnel compensation .....	47	50	53
12.1	Civilian personnel benefits .....	10	11	11
21.0	Travel and transportation of persons .....	1	1	1
23.2	Rental payments to others .....	12	12	13
23.3	Communications, utilities, and miscellaneous charges .....	3	3	3
24.0	Printing and reproduction .....	1	1	1
25.2	Other services .....	80	81	88
25.3	Purchases of goods and services from Government accounts .....	1	1	1
26.0	Supplies and materials .....	1	2	2
31.0	Equipment .....	3	3	5
33.0	Investments and loans .....	6	94	6
42.0	Insurance claims and indemnities .....	1,207	963	987
99.0	Subtotal, reimbursable obligations .....	1,371	1,222	1,171
99.5	Below reporting threshold .....	1		
99.9	Total new obligations .....	1,372	1,222	1,171

**Personnel Summary**

Identification code 16-4204-0-3-601		1999 actual	2000 est.	2001 est.
2001	Total compensable workyears: Full-time equivalent employment .....	729	754	754

**PENSION BENEFIT GUARANTY CORPORATION  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 16-4204-4-3-601		1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
10.00	Total new obligations (object class 33.0) .....			1
<b>Budgetary resources available for obligation:</b>				
23.95	Total new obligations .....			-1
24.40	Unobligated balance available, end of year .....			-1
<b>Change in unpaid obligations:</b>				
73.10	Total new obligations .....			1
73.20	Total outlays (gross) .....			-1
<b>Outlays (gross), detail:</b>				
86.98	Outlays from mandatory balances .....			1
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....			1

**Status of Direct Loans (in millions of dollars)**

Identification code 16-4204-4-3-601		1999 actual	2000 est.	2001 est.
<b>Cumulative balance of direct loans outstanding:</b>				
1231	Disbursements: Direct loan disbursements .....			1
1263	Write-offs for default: Direct loans .....			-1

The Administration will propose legislation to expand pension coverage, improve benefit portability, and strengthen retirement security. Included in the package will be: (1) a new, simplified, defined-benefit pension plan for small businesses that is insured by PBGC at a reduced rate; (2) raising the current guarantee cap on multiemployer pension benefits; (3) extending PBGC's "missing participants" program to terminating defined-contribution and multiemployer plans; (4) eliminating the "partial termination" rules for multiemployer plans; (5) reducing premium charges for certain new plans; and (6) simplifying the guarantee and allocation rules for owners of small businesses.

**EMPLOYMENT STANDARDS ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Employment Standards Administration, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, **[\$337,260,000]** \$361,491,000, together with **[\$1,740,000]** \$1,985,000 which may be expended from the Special Fund in accordance with sections 39(c), 44(d) and 44(j) of the Longshore and Harbor Workers' Compensation Act: *Provided*, That \$2,000,000 shall be for the development of an alternative system for the electronic submission of reports [as] required to be filed under the Labor-Management Reporting and Disclosure Act of 1959, as amended, and for a computer database of the information for each submission by whatever means, that is indexed and easily searchable by the public via the Internet: *Provided further*, That the Secretary of Labor is authorized to accept, retain, and spend, until expended, in the name of the Department of Labor, all sums of money ordered to be paid to the Secretary of Labor, in accordance with the terms of the Consent Judgment in Civil Action

**General and special funds—Continued****SALARIES AND EXPENSES—Continued**

No. 91-0027 of the United States District Court for the District of the Northern Mariana Islands (May 21, 1992): *Provided further*, That the Secretary of Labor is authorized to establish and, in accordance with 31 U.S.C. 3302, collect and deposit in the Treasury fees for processing applications and issuing certificates under sections 11(d) and 14 of the Fair Labor Standards Act of 1938, as amended (29 U.S.C. 211(d) and 214) and for processing applications and issuing registrations under title I of the Migrant and Seasonal Agricultural Worker Protection Act (29 U.S.C. 1801 et seq.). (*Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing (in millions of dollars)**

Identification code 16-0105-0-1-505	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Enforcement of wage and hour standards .....	132	147	158
00.02 Federal contractor EEO standards enforcement .....	65	73	76
00.03 Federal programs for workers' compensation .....	107	110	121
00.04 Program direction and support .....	12	13	13
00.05 Labor-management standards .....	28	29	31
09.01 Reimbursable program .....	5	5	5
10.00 Total new obligations .....	349	377	404
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....		3	3
22.00 New budget authority (gross) .....	352	377	404
23.90 Total budgetary resources available for obligation .....	352	380	407
23.95 Total new obligations .....	-349	-377	-404
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance available, end of year .....	3	3	3
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	312	337	361
40.75 Reduction pursuant to P.L. 106-51 .....	-1		
42.00 Transferred from other accounts .....	1		
43.00 Appropriation (total discretionary) .....	312	337	361
Mandatory:			
60.25 Appropriation (special fund, indefinite) .....	5	5	5
Discretionary:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	35	35	38
70.00 Total new budget authority (gross) .....	352	377	404
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	27	33	43
73.10 Total new obligations .....	349	377	404
73.20 Total outlays (gross) .....	-343	-367	-414
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	33	43	33
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	318	339	374
86.93 Outlays from discretionary balances .....	22	23	33
86.97 Outlays from new mandatory authority .....	2	5	5
87.00 Total outlays (gross) .....	343	367	414
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-33	-33	-36
88.40 Non-Federal sources .....	-2	-2	-2
88.90 Total, offsetting collections (cash) .....	-35	-35	-38
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	317	342	366
90.00 Outlays .....	307	332	376

**Enforcement of wage and hour standards.**—The Wage and Hour Division works to obtain and encourage compliance with

the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act, Migrant and Seasonal Agricultural Worker Protection Act, the Family and Medical Leave Act, certain provisions of the Immigration and Nationality Act, the wage garnishment provisions in Title III of the Consumer Credit Protection Act, and the Employee Polygraph Protection Act. Prevailing wages are determined and employment standards enforced under various Government contract wage standards. In 2001, approximately 267,000 persons are expected to be aided under the Fair Labor Standards Act through securing agreements with firms to pay back wages owed to their workers. In government contract compliance actions, about 37,000 persons will be aided through securing agreements to pay wages owed to workers. Under the Migrant and Seasonal Agricultural Worker Protection Act program, approximately 2,500 investigations and 900 housing inspections will be completed. In the course of all on-site investigations, investigators will routinely check for employer compliance with child labor standards and, in all "directed" (non-complaint) investigations, for compliance with the employment eligibility verification recordkeeping requirements of the Immigration and Nationality Act. Resources will be earmarked for Davis-Bacon wage survey/wage determination reengineering and reinvention in FY 2001. The re-engineering efforts will be substantially completed in 2001. The Budget maintains resources for the Wage and Hour Division which are assigned to areas where employment of illegal immigrants is most prevalent. The targeting of labor standards enforcement efforts in those industries and geographic areas where unauthorized workers are most prevalent will help to reduce the economic incentive for such illegal employment practices and will, in turn, help reduce illegal immigration. FY 2000 and FY 2001 include fees paid by employers applying for foreign workers under the H1-b program.

**Federal contractor equal employment opportunity (EEO) standards enforcement.**—The Office of Federal Contract Compliance Programs (OFCCP) is responsible for ensuring non-discrimination in employment based on race, sex, religion, color, national origin, disability or veteran status by Federal contractors and subcontractors at 200,000 worksites with a total workforce of 22 million people. It conducts compliance reviews and complaint investigations. It assures that Federal contractors and subcontractors take affirmative action in the hiring and advancement of minorities and women under the authority of Executive Orders 11246 and 11375. It enforces the affirmative action and nondiscrimination provisions of the Rehabilitation Act of 1973 and, as an agent of the Equal Employment Opportunity Commission, the Americans With Disabilities Act of 1990. It ensures that contractors comply with the provisions of the Vietnam Era Veterans Readjustment Assistance Act of 1974, providing for affirmative action by Federal contractors to employ, and advance in employment, special disabled and Vietnam era veterans.

The Budget includes resources to increase compliance by improving efficiency and customer service in the National Office and in each of the Regional Offices. The resources will give added impetus to the technical assistance effort to assist Federal contractors in understanding the regulatory requirements. Specific emphasis will continue the assistance to smaller companies that may not have the expertise to develop Affirmative Action Programs. It also provides an enhancement to the Equal Pay initiative for an Industry Partnership initiative, which will help improve compliance efforts in glass ceiling issues as well as focus on discrimination in nontraditional occupations. This initiative is part of an overall effort to increase compliance through enhanced compliance assistance. Moreover, OFCCP will maintain reduced reporting requirements. The staff will provide grassroots seminars and technical assistance training sessions for contractors, contracting agencies, government agencies, and constituency



groups through continued development and use of existing information technology. In 2001, approximately 1,978,192 individuals will be directly aided through 6,260 compliance reviews, 342 complaint investigations, and 3,066 other compliance actions.

**Federal programs for workers' compensation.**—Under this income maintenance activity, the Employment Standards Administration administers the Federal Employees' Compensation Act, the Longshore and Harbor Workers' Compensation Act, and the benefit provisions of the Federal Mine Safety and Health Act of 1977. These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services including rehabilitation, supervision of medical care, and technical and advisory counseling to which they are entitled. Monitoring services are provided with respect to State workers' compensation laws.

**Program direction and support.**—This activity includes planning, personnel management, financial management, and Federal/State liaison programs, management systems implementation, and data processing operations. Major goals in 2001 will include the continued efforts to eliminate internal fraud, waste, and mismanagement; the improvement of management information, automated data processing, and program and fiscal accountability; and legislative and regulatory improvements.

**Labor-management standards.**—The Office of Labor-Management Standards (OLMS) receives and discloses statutorily required union financial reports; audits union financial records and investigates possible embezzlements of union funds; conducts union officer election investigations; supervises reruns of union officer elections after court determinations that elections were not conducted in accordance with the Labor-Management Reporting and Disclosure Act; and administers the statutory program to certify employee protection provisions under various Federally-sponsored transportation programs. In FY 2001, OLMS expects to process 36,000 reports and conduct a total of 3,701 investigations, audits, and supervised elections.

Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	17	17	17

**SPECIAL BENEFITS**  
(INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by title 5, chapter 81 of the United States Code; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; sections 4(c) and 5(f) of the War Claims Act of 1948 (50 U.S.C. App. 2012); and 50 percent of the additional compensation and benefits required by section 10(h) of the Longshore and Harbor Workers' Compensation Act, as amended, [\$79,000,000] \$56,000,000 together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year: *Provided*, That amounts appropriated may be used under section 8104 of title 5, United States Code, by the Secretary of Labor to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a reemployed, disabled beneficiary: *Provided further*, That balances of reimbursements unobligated on September 30, [1999] 2000, shall remain available until expended for the payment of compensation, benefits, and expenses: *Provided further*, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under section 8147(c) of title 5, United States Code, to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, [2000] 2001: *Provided further*, That of those funds transferred to this account from the fair share entities to pay the cost of administration, [\$21,849,000] \$30,510,000 shall be made available to the Secretary as follows: (1) for the operation of and enhancement to the automated data processing systems, including document imaging [and], medical bill review, and periodic roll management, in support of Federal Employees' Compensation Act administration, [\$13,433,000] \$19,971,000; (2) [for program staff training to operate the new imaging system, \$1,300,000; (3) for the periodic roll review program, \$7,116,000; and (4)] for conversion to a paperless office, \$7,005,000; (3) for communications redesign, \$750,000; (4) for information technology maintenance and support, \$2,784,000; and (5) the remaining funds shall be paid into the Treasury as miscellaneous receipts: *Provided further*, That the Secretary may require that any person filing a notice of injury or a claim for benefits under chapter 81 of title 5, United States Code, or 33 U.S.C. 901 et seq., provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe. (*Department of Labor Appropriations Act, 2000, as enacted by section 100(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

**Program and Financing (in millions of dollars)**

Identification code 16-1521-0-1-600	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Longshore and harbor workers' compensation benefits		3	3
00.02 Federal Employees' Compensation Act benefits .....	2,009	2,032	2,083
10.00 Total new obligations .....	2,012	2,036	2,086
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	1,063	1,130	1,096
22.00 New budget authority (gross) .....	2,080	2,002	2,011
23.90 Total budgetary resources available for obligation	3,143	3,132	3,107
23.95 Total new obligations .....	-2,012	-2,036	-2,086
24.40 Unobligated balance available, end of year .....	1,130	1,096	1,021

**New budget authority (gross), detail:**

	1999 actual	2000 est.	2001 est.
<b>Mandatory:</b>			
60.00 Appropriation .....	179	79	56
69.00 Offsetting collections (cash) .....	1,901	1,923	1,955
70.00 Total new budget authority (gross) .....	2,080	2,002	2,011

**Object Classification (in millions of dollars)**

Identification code 16-0105-0-1-505	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	190	208	219
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	3	2	2
11.9 Total personnel compensation .....	195	212	223
12.1 Civilian personnel benefits .....	44	48	50
21.0 Travel and transportation of persons .....	8	9	11
23.1 Rental payments to GSA .....	25	25	26
23.3 Communications, utilities, and miscellaneous charges .....	4	4	5
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	5	6	8
25.3 Purchases of goods and services from Government accounts .....	28	28	30
25.7 Operation and maintenance of equipment .....	28	33	37
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	5	4	6
99.0 Subtotal, direct obligations .....	345	372	399
99.0 Reimbursable obligations .....	4	5	5
99.9 Total new obligations .....	349	377	404

**Personnel Summary**

Identification code 16-0105-0-1-505	1999 actual	2000 est.	2001 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	3,712	3,902	3,934

## General and special funds—Continued

## SPECIAL BENEFITS—Continued

(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 16-1521-0-1-600	1999 actual	2000 est.	2001 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	35	-4	-4
73.10 Total new obligations .....	2,012	2,036	2,086
73.20 Total outlays (gross) .....	-2,051	-2,036	-2,086
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	-4	-4	-4
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....	989	910	994
86.98 Outlays from mandatory balances .....	1,063	1,126	1,092
87.00 Total outlays (gross) .....	2,051	2,036	2,086
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1,314	-1,327	-1,345
88.40 Non-Federal sources .....	-587	-596	-610
88.90 Total, offsetting collections (cash) .....	-1,901	-1,923	-1,955
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	179	79	56
90.00 Outlays .....	150	113	131

**Federal Employees' Compensation Act benefits.**—Under the Federal Employees' Compensation Act program, income is replaced if a job injury results in time away from work. Medical bills arising from compensable job injuries are also paid. Not all benefits are paid by the program since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies. In 2001, 165,000 injured federal workers or their survivors will file claims; 53,000 will receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the employing agencies of beneficiaries.

## FEDERAL EMPLOYEES' COMPENSATION WORKLOAD

	1999 actual	2000 est.	2001 est.
Wage-loss claims received .....	19,759	19,000	19,000
Compensation and medical payments .....	3,030,915	3,000,000	3,000,000
Cases received .....	166,544	165,000	165,000
Periodic payment cases .....	54,897	54,000	53,000

**Longshore and harbor workers' compensation benefits.**—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers assessed at the beginning of each calendar year for their proportionate share of these payments.

Object Classification (in millions of dollars)

Identification code 16-1521-0-1-600	1999 actual	2000 est.	2001 est.
11.1 Personnel compensation: Full-time permanent .....	4	6	6
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	1	1	1
25.3 Purchases of goods and services from Government accounts .....	1	1	1
25.7 Operation and maintenance of equipment .....	9	10	14
31.0 Equipment .....	4	3	8
42.0 Insurance claims and indemnities .....	1,992	2,014	2,055
99.9 Total new obligations .....	2,012	2,036	2,086

## Personnel Summary

Identification code 16-1521-0-1-600	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment .....	101	129	129

## PANAMA CANAL COMMISSION COMPENSATION FUND

Unavailable Collections (in millions of dollars)

Identification code 16-5155-0-2-602	1999 actual	2000 est.	2001 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Deposits for Panama Commission Compensation Fund, Labor .....	2	1	
02.02 Interest on investments, Panama Canal Comm., Labor .....	5	6	6
02.99 Total receipts .....	7	7	6
<b>Appropriation:</b>			
05.01 Panama Canal Commission compensation fund .....	-7	-7	-6
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 16-5155-0-2-602	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 42.0) .....	6	6	7
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	78	79	80
22.00 New budget authority (gross) .....	7	7	6
23.90 Total budgetary resources available for obligation .....	85	86	86
23.95 Total new obligations .....	-6	-6	-7
24.40 Unobligated balance available, end of year .....	79	80	79
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.25 Appropriation (special fund, indefinite) .....	7	7	6
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....	6	6	7
73.20 Total outlays (gross) .....	-6	-6	-7
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....		6	6
86.98 Outlays from mandatory balances .....	6		1
87.00 Total outlays (gross) .....	6	6	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	7	7	6
90.00 Outlays .....	6	6	7
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: U.S. securities: Par value .....	79	79	80
92.02 Total investments, end of year: U.S. securities: Par value .....	79	80	80

**Panama Canal Commission Compensation Fund.**—This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977, and the liability of the Commission for payments beyond that date will not end with its termination. The establishment of this fund, into which funds will be deposited on a regular basis by the Commission, is in conjunction with the transfer of the administration of the FECA program from the Commission to the Department of Labor effective January 1, 1989.

**Trust Funds**

**BLACK LUNG DISABILITY TRUST FUND**  
(INCLUDING TRANSFER OF FUNDS)

**[For payments from the Black Lung Disability Trust Fund, \$1,013,633,000, of which \$963,506,000 shall be available until September 30, 2001, for payment of all benefits as authorized by section 9501(d) (1), (2), (4), and (7) of the Internal Revenue Code of 1954, as amended, and interest on advances as authorized by section 9501(c)(2) of that Act, and of which \$28,676,000 shall be available for transfer to Employment Standards Administration, Salaries and Expenses, \$20,783,000 for transfer to Departmental Management, Salaries and Expenses, \$312,000 for transfer to Departmental Management, Office of Inspector General, and \$356,000 for payment into miscellaneous receipts for the expenses of the Department of Treasury, for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5) of that Act: *Provided*, That, in addition, such amounts as may be necessary may be charged to the subsequent year appropriation for the payment of compensation, interest, or other benefits for any period subsequent to August 15 of the current year.] *Beginning in fiscal year 2001 and thereafter, such sums as may be necessary from the Black Lung Disability Trust Fund, to remain available until expended, for payment of all benefits authorized by section 9501(d)(1) (2) (4) and (7) of the Internal Revenue Code of 1954, as amended; and interest on advances as authorized by section 9501(c)(2) of that Act. In addition, the following amounts shall be available from the Fund for fiscal year 2001 for expenses of operation and administration of the Black Lung Benefits program as authorized by section 9501(d)(5) of that Act: \$30,393,000 for transfer to the Employment Standards Administration, "Salaries and Expenses"; \$21,590,000 for transfer to Departmental Management, "Salaries and Expenses"; \$318,000 for transfer to Departmental Management, "Office of Inspector General"; and \$356,000 for payments into Miscellaneous Receipts for the expenses of the Department of Treasury. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)***

**Unavailable Collections (in millions of dollars)**

Identification code 20-8144-0-7-601	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....	20	21	1
Receipts:			
02.01 Transfer from general fund, Black Lung Benefits Revenue Act taxes .....	596	577	591
02.02 Miscellaneous interest .....	3	2	2
02.04 Payment from the general fund for prepayment premium, legislative proposal not subject to PAYGO .....			1,468
02.99 Total receipts .....	599	579	2,061
04.00 Total: Balances and collections .....	619	600	2,062
Appropriation:			
05.01 Administrative Expenses .....	-598	-599	-593
05.02 Legislative proposal not subject to PAYGO .....			-1,468
05.99 Subtotal appropriation .....	-598	-599	-2,061
07.99 Balance, end of year .....	21	1	1

**Program and Financing (in millions of dollars)**

Identification code 20-8144-0-7-601	1999 actual	2000 est.	2001 est.
Obligations by program activity:			
00.01 Disabled coal miners benefits .....	434	431	409
00.02 Administrative expenses .....	51	50	53
00.03 Interest on advances .....	515	533	566
10.00 Total new obligations .....	1,000	1,014	1,028
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	1,000	1,014	1,028
23.95 Total new obligations .....	-1,000	-1,014	-1,028
New budget authority (gross), detail:			
Mandatory:			
60.26 Appropriation (trust fund, definite) .....	51	50	53
60.27 Appropriation (trust fund, indefinite) .....	547	549	540
62.50 Appropriation (total mandatory) .....	598	599	593

67.15 Authority to borrow (indefinite) .....	402	415	435
70.00 Total new budget authority (gross) .....	1,000	1,014	1,028
Change in unpaid obligations:			
73.10 Total new obligations .....	1,000	1,014	1,028
73.20 Total outlays (gross) .....	-1,000	-1,014	-1,028
Outlays (gross), detail:			
86.97 Outlays from new mandatory authority .....	1,000	1,014	1,028
Net budget authority and outlays:			
89.00 Budget authority .....	1,000	1,014	1,028
90.00 Outlays .....	1,000	1,014	1,028

**Summary of Budget Authority and Outlays**

	(in millions of dollars)		
	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority .....	1,000	1,014	1,028
Outlays .....	1,000	1,014	1,028
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			1,468
Outlays .....			1,468
Total:			
Budget Authority .....	1,000	1,014	2,496
Outlays .....	1,000	1,014	2,496

The trust fund consists of all moneys collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, the Treasury, and Health and Human Services. The Benefits Revenue Act provides for repayable advances to the fund in the event fund resources will not be adequate to meet program obligations. Such advances are to be repaid with interest. The outstanding debt at the end of each year was: 1981, \$1,510 million; 1982, \$1,793 million; 1983, \$2,151 million; 1984, \$2,497 million; 1985, \$2,833 million; 1986, \$2,884 million; 1987, \$2,952 million; 1988, \$2,993 million; 1989, \$3,049 million; 1990, \$3,049 million; 1991, \$3,266 million; 1992, \$3,606 million; 1993, \$3,949 million; 1994, \$4,363 million; 1995, \$4,738 million; 1996, \$5,112 million; 1997, \$5,487 million; 1998, \$5,857 million; and 1999, \$6,259 million. It is estimated to be \$6,673 million in 2000 and \$7,108 million in 2001.

**BLACK LUNG DISABILITY TRUST FUND WORKLOAD**

	1999 actual	2000 est.	2001 est.
Claims received .....	5,724	7,100	8,100
Claims in payment status .....	55,057	53,000	50,000
Medical benefits only recipients .....	11,087	10,200	9,500

**Status of Funds (in millions of dollars)**

Identification code 20-8144-0-7-601	1999 actual	2000 est.	2001 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	20	20	
0105 Outstanding debt to Treasury .....	-5,857	-6,259	-6,673
0199 Total balance, start of year .....	-5,837	-6,238	-6,672
Cash income during the year:			
Governmental receipts:			
0200 Transfer from general fund, Black Lung Benefits Revenue Act taxes .....	596	577	591
Proprietary receipts:			
0220 Miscellaneous interest, Black Lung fund .....	3	2	2

**General and special funds—Continued****BLACK LUNG DISABILITY TRUST FUND—Continued**  
(INCLUDING TRANSFER OF FUNDS)—Continued

## Status of Funds (in millions of dollars)—Continued

Identification code 20-8144-0-7-601	1999 actual	2000 est.	2001 est.
Intragovernmental transactions:			
0241 Payment from the general fund for prepayment premium, legislative proposal not subject to PAYGO .....			1,468
0297 Income under present law .....	599	579	593
0298 Income under proposed legislation .....			1,468
0299 Total cash income .....	599	579	2,061
Cash outgo during year:			
0500 Black lung disability trust fund .....	-1,000	-1,014	-1,028
0501 Legislative proposal, not subject to PAYGO .....			-1,468
0597 Outgo under present law (-) .....	-1,000	-1,014	-1,028
0598 Outgo under proposed legislation (-) .....			-1,468
0599 Total cash outgo (-) .....	-1,000	-1,014	-2,496
Unexpended balance, end of year:			
0700 Cash Balance .....	20		
0705 Outstanding debt to Treasury .....	-6,259	-6,673	-7,108
0799 Total balance, end of year .....	-6,238	-6,672	-7,107

## Object Classification (in millions of dollars)

Identification code 20-8144-0-7-601	1999 actual	2000 est.	2001 est.
25.2 Other services .....	51	50	53
42.0 Insurance claims and indemnities .....	434	431	409
43.0 Interest and dividends .....	515	533	566
99.9 Total new obligations .....	1,000	1,014	1,028

**BLACK LUNG DISABILITY TRUST FUND**  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 20-8144-2-7-601	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.03 Interest on advances .....			1,468
10.00 Total new obligations (object class 43.0) .....			1,468
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			1,468
23.95 Total new obligations .....			-1,468
<b>New budget authority (gross), detail:</b>			
Mandatory:			
60.27 Appropriation (trust fund, indefinite) .....			1,468
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations .....			1,468
73.20 Total outlays (gross) .....			-1,468
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority .....			1,468
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			1,468
90.00 Outlays .....			1,468

The Black Lung Disability Trust Fund (BLDTF) revenues, which consist primarily of excise taxes on coal, are not sufficient to repay its nearly \$7 billion debt to the Treasury or to service the interest on that debt. Under current conditions, this indebtedness will continue to grow, with the BLDTF never becoming solvent, even when benefit outlays have declined to a level approaching zero. To solve this problem, the Administration will propose legislation that will: (1) au-

thorize a refinancing of the outstanding BLDTF debt, (2) extend at current rates BLDTF excise tax levels set to expire in January 2014, and (3) provide for a \$1.5 billion appropriation to compensate the General Fund for the forgone prepayment premium.

**SPECIAL WORKERS' COMPENSATION EXPENSES**

## Unavailable Collections (in millions of dollars)

Identification code 16-9971-0-7-601	1999 actual	2000 est.	2001 est.
Balance, start of year:			
01.99 Balance, start of year .....		1	2
Receipts:			
02.01 Longshoremen's & Harbor Workers Compensation Act, Receipts, Special workers' .....	133	149	140
02.02 Longshoremen's & Harbor Workers Compensation Act, Earnings on investments, Special workers', Labor .....	2	2	2
02.03 Workmen's Compensation Act within District of Columbia, Receipts, Special workers' .....	10	12	11
02.99 Total receipts .....	145	163	153
04.00 Total: Balances and collections .....	145	164	155
Appropriation:			
05.01 Special workers' compensation expenses .....	-144	-162	-153
05.99 Subtotal appropriation .....	-144	-162	-153
07.99 Total balance, end of year .....	1	2	2

## Program and Financing (in millions of dollars)

Identification code 16-9971-0-7-601	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
00.01 Longshore and Harbor Workers' Compensation Act, as amended .....	132	146	137
00.02 District of Columbia Compensation Act .....	12	11	11
10.00 Total new obligations .....	144	157	148
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year .....	55	56	61
22.00 New budget authority (gross) .....	144	162	153
23.90 Total budgetary resources available for obligation .....	199	218	214
23.95 Total new obligations .....	-144	-157	-148
24.40 Unobligated balance available, end of year .....	56	61	66
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.26 Appropriation (trust fund, definite) .....	2	2	2
Mandatory:			
60.27 Appropriation (trust fund, indefinite) .....	142	160	151
70.00 Total new budget authority (gross) .....	144	162	153
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....			148
73.10 Total new obligations .....	144	157	148
73.20 Total outlays (gross) .....	-143	-157	-148
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	2	2	2
86.97 Outlays from new mandatory authority .....	86	100	85
86.98 Outlays from mandatory balances .....	55	55	61
87.00 Total outlays (gross) .....	143	157	148
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	144	162	153
90.00 Outlays .....	143	157	148
<b>Memorandum (non-add) entries:</b>			
92.01 Total investments, start of year: U.S. securities: Par value .....	55	55	61
92.02 Total investments, end of year: U.S. securities: Par value .....	55	61	66

## Distribution of budget authority by account:

Longshore and Harbor Workers' Compensation Act .....			
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District of Columbia Compensation Act .....	.....	.....
Distribution of outlays by account:		
Longshore and Harbor Workers' Compensation Act .....	.....	.....
District of Columbia Compensation Act .....	.....	.....

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and pursuant to an annual assessment of the industry, for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act, as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the Longshore and Harbor Workers' Compensation Act, as amended, for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

Object Classification (in millions of dollars)

Identification code 16-9971-0-7-601	1999 actual	2000 est.	2001 est.
25.3 Purchases of goods and services from Government accounts .....	2	2	2
42.0 Insurance claims and indemnities .....	142	155	146
99.9 Total new obligations .....	144	157	148

**OCCUPATIONAL SAFETY AND HEALTH  
ADMINISTRATION**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, [**\$382,000,000**] *\$425,983,000*, including not to exceed [**\$82,000,000**] *\$88,493,000* which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act, which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Occupational Safety and Health Act of 1970; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$750,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education grants: *Provided*, That, notwithstanding 31 U.S.C. 3302, the Secretary of Labor is authorized, during the fiscal year ending September 30, [**2000**] *2001*, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: *Provided further*, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Occupational Safety and Health Act of 1970 which is applicable to any person who is engaged in a farming operation which does not maintain a temporary

labor camp and employs 10 or fewer employees: *Provided further*, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Occupational Safety and Health Act of 1970 with respect to any employer of 10 or fewer employees who is included within a category having an occupational injury lost workday case rate, at the most precise Standard Industrial Classification Code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of that Act (29 U.S.C. 673), except—

(1) to provide, as authorized by such Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by such Act with respect to imminent dangers;

(4) to take any action authorized by such Act with respect to health hazards;

(5) to take any action authorized by such Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by such Act; and

(6) to take any action authorized by such Act with respect to complaints of discrimination against employees for exercising rights under such Act:

*Provided further*, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees. (*Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113.)*)

Program and Financing (in millions of dollars)

Identification code 16-0400-0-1-554	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Safety and health standards .....	11	12	15
00.02 Federal enforcement .....	134	141	153
00.03 State programs .....	80	82	88
00.04 Technical support .....	19	18	20
00.05 Federal compliance assistance .....	45	54	67
00.06 State consultation grants .....	41	43	48
00.07 Safety and health statistics .....	17	22	26
00.08 Executive direction and administration .....	7	8	9
09.01 Reimbursable program .....	2	2	2
10.00 Total new obligations .....	356	383	428
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	356	383	428
22.22 Unobligated balance transferred from other accounts .....			
23.90 Total budgetary resources available for obligation .....	356	383	428
23.95 Total new obligations .....	-356	-383	-428
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	353	382	426
40.75 Reduction pursuant to P.L. 106-51 .....	-1		
40.76 Reduction pursuant to P.L. 106-113 .....		-1	
42.00 Transferred from other accounts .....	2		
43.00 Appropriation (total discretionary) .....	354	381	426
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	3	2	2
68.10 From Federal sources: Change in receivables and unpaid, unfilled orders .....	-1		
68.90 Spending authority from offsetting collections (total discretionary) .....	2	2	2
70.00 Total new budget authority (gross) .....	356	383	428

## General and special funds—Continued

## SALARIES AND EXPENSES—Continued

## Program and Financing (in millions of dollars)—Continued

Identification code 16-0400-0-1-554	1999 actual	2000 est.	2001 est.	
<b>Change in unpaid obligations:</b>				
Unpaid obligations, start of year:				
72.40	Obligated balance, start of year .....	66	67	73
72.95	From Federal sources: Receivables and unpaid, unfilled orders .....	1		
72.99	Total unpaid obligations, start of year .....	67	67	73
73.10	Total new obligations .....	356	383	428
73.20	Total outlays (gross) .....	-351	-377	-433
73.40	Adjustments in expired accounts (net) .....	-5		
Unpaid obligations, end of year:				
74.40	Obligated balance, end of year .....	67	73	69
74.95	From Federal sources: Receivables and unpaid, unfilled orders .....			
74.99	Total unpaid obligations, end of year .....	67	73	69
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	299	317	360
86.93	Outlays from discretionary balances .....	52	60	72
87.00	Total outlays (gross) .....	351	377	433
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-2	-1	-1
88.40	Non-Federal sources .....	-1	-1	-1
88.90	Total, offsetting collections (cash) .....	-3	-2	-2
Against gross budget authority only:				
88.95	From Federal sources: Change in receivables and unpaid, unfilled orders .....	1		
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	354	381	426
90.00	Outlays .....	349	375	431

**Safety and health standards.**—The safety and health standards activity provides for the development, promulgation, review and evaluation of occupational safety and health standards under procedures providing opportunity for public comment. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is cost-effective when compared with alternative regulatory proposals providing equal levels of protection. Regulatory reform efforts include consensus-based rulemaking, development of common sense regulations, rewriting existing standards in plain language, and regulatory process improvements.

**Enforcement.**—This activity provides for the enforcement of workplace standards promulgated under the Occupational Safety and Health Act of 1970 through the physical inspection of worksites, and by fostering the voluntary cooperation of employers and employees. This activity also provides grants to assist States in administering and enforcing State standards. Programs are targeted to the investigation of claims of imminent danger and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy includes a selective targeting of inspections and related compliance activities to specific high hazard industries and worksites.

**Technical support.**—This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial

hygiene, ergonomics, occupational health nursing, occupational medicine, and safety engineering.

**Compliance assistance—Federal.**—This activity supports a variety of employer and employee assistance programs, consistent with OSHA's partnership initiatives. Outreach activities are conducted, including training and information exchanges and technical assistance to employers requesting such help. Grants are awarded to nonprofit organizations to provide employee and employer training programs, targeted to address specific industry needs for safety and health education. Employers are encouraged to establish voluntary employee protection programs, and Federal agencies are assisted in implementing job safety and health programs for their employees. Professional training for compliance personnel and others with related workplace safety and health responsibilities is conducted at the OSHA Training Institute, and further training is provided by education centers selected and sanctioned by the institute.

**Compliance assistance—State.**—This activity supports 90 percent Federally-funded cooperative agreements with designated State agencies to provide free on-site consultation to employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

**Safety and health statistics.**—Information technology, management information and statistical support for OSHA's programs and field operations are provided through an integrated data network, and statistical analysis and review. OSHA administers and maintains the recordkeeping system which serves as the foundation for the BLS survey on occupational injuries and illnesses, provides guidance on recordkeeping requirements to both the public and private sectors, and develops regulations along with interpretive publications and materials.

**Executive direction and administration.**—These activities include executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, administrative services, and budgeting and financial control.

## PROGRAM STATISTICS

	1999 actual	2000 est.	2001 est.
Standards promulgated .....	3	7	5
Inspections:			
Federal inspections .....	34,342	34,600	35,640
State program inspections .....	54,989	55,000	56,320
Training and consultations:			
Training grants supported .....	54	59	70
Consultation visits .....	23,241	27,500	30,700

## Object Classification (in millions of dollars)

Identification code 16-0400-0-1-554	1999 actual	2000 est.	2001 est.	
<b>Direct obligations:</b>				
Personnel compensation:				
11.1	Full-time permanent .....	121	130	142
11.3	Other than full-time permanent .....	1	1	2
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	124	133	146
12.1	Civilian personnel benefits .....	28	30	34
21.0	Travel and transportation of persons .....	8	9	11
23.1	Rental payments to GSA .....	17	17	18
23.3	Communications, utilities, and miscellaneous charges .....	3	3	3
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	4	4	5
25.2	Other services .....	48	51	61
25.3	Purchases of goods and services from Government accounts .....	21	19	19
25.7	Operation and maintenance of equipment .....	9	10	12
26.0	Supplies and materials .....	3	4	4
31.0	Equipment .....	3	9	12
41.0	Grants, subsidies, and contributions .....	85	90	100

99.0	Subtotal, direct obligations .....	354	380	426
99.0	Reimbursable obligations .....	1	1	1
99.5	Below reporting threshold .....	1	2	1
99.9	Total new obligations .....	356	383	428

Personnel Summary

Identification code 16-0400-0-1-554		1999 actual	2000 est.	2001 est.
1001	Total compensable workyears: Full-time equivalent employment .....	2,154	2,262	2,384

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:  
Environmental Protection Agency: Hazardous Substance Response Trust Fund.

MINE SAFETY AND HEALTH  
ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses for the Mine Safety and Health Administration, **[\$228,373,000]** \$242,247,000, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles; including up to \$1,000,000 for mine rescue and recovery activities, which shall be available only to the extent that FY 2001 obligations for these activities exceed \$1,000,000; in addition, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities, notwithstanding 31 U.S.C. 3302; and, in addition, the Administration may retain up to \$1,000,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private; the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; and any funds available to the department may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

Identification code 16-1200-0-1-554		1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>				
Enforcement:				
00.01	Coal .....	109	111	115
00.02	Metal/non-metal .....	43	50	55
00.03	Standards development .....	2	2	2
00.04	Assessments .....	3	4	4
00.05	Educational policy and development .....	20	27	27
00.06	Technical support .....	23	25	27
00.07	Program administration .....	16	10	12
00.09	Reimbursable program .....		1	2
10.00	Total new obligations .....	216	229	244
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year .....		1	
22.00	New budget authority (gross) .....	218	229	244
22.21	Unobligated balance transferred to other accounts .....		-1	
23.90	Total budgetary resources available for obligation .....	218	229	244
23.95	Total new obligations .....	-216	-229	-244
23.98	Unobligated balance expiring or withdrawn .....	-1		
24.40	Unobligated balance available, end of year .....	1		

<b>New budget authority (gross), detail:</b>				
Discretionary:				
40.00	Appropriation .....	211	228	242
42.00	Transferred from other accounts .....	7		
43.00	Appropriation (total discretionary) .....	218	228	242
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....		1	2
70.00	Total new budget authority (gross) .....	218	229	244

<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance, start of year .....	21	21	27
73.10	Total new obligations .....	216	229	244
73.20	Total outlays (gross) .....	-213	-223	-244
73.40	Adjustments in expired accounts (net) .....	-1		
74.40	Unpaid obligations, end of year: Obligated balance, end of year .....	21	27	27

<b>Outlays (gross), detail:</b>				
86.90	Outlays from new discretionary authority .....	196	203	222
86.93	Outlays from discretionary balances .....	17	20	24
87.00	Total outlays (gross) .....	213	223	244

<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....		-1	-2

<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	218	228	242
90.00	Outlays .....	213	222	242

**Enforcement.**—The Enforcement strategy in 2001 will be an integrated approach that links all actions to preventing occupational injuries and illness. These include inspection of mines as mandated by the Federal Mine Safety and Health Act of 1977, special emphasis initiatives that focus on persistent safety and health hazards, promulgation of safety and health standards, investigation of serious accidents, and on-site education and training assistance. The desired outcome of these enforcement efforts is to lower fatality and injury rates.

**Assessments.**—This activity assesses and collects civil monetary penalties for violations of safety and health standards.

**Educational policy and development.**—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Academy for MSHA personnel, other governmental personnel, and the mining industry. States provide mine health and safety training materials, and provide technical assistance through the State Grants program.

**Technical support.**—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementation of the Mine Act. Technical support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of accidents.

**Program administration.**—This activity provides for general administrative functions.

PROGRAM STATISTICS

		1999 actual	2000 est.	2001 est.
<b>Enforcement:</b>				
Fatality Rates:				
	Coal mines .....	0.034	0.025	0.025
	Metal/non-metal mines .....	0.020	0.024	0.024
Non-fatal lost time injury rates:				
	Coal mines .....	4.87	4.80	4.75
	Metal/Non-metal mines .....	2.80	2.75	2.70
	Regulations promulgated .....	10	14	10
<b>Assessments:</b>				
	Violations assessed .....	109,941	114,000	114,000
<b>Educational policy and development:</b>				
	Course days .....	1,162	1,350	1,540

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**PROGRAM STATISTICS—Continued**

	1999 actual	2000 est.	2001 est.
Technical support:			
Equipment approvals .....	873	1,700	1,700
Field investigations .....	699	400	400
Laboratory samples analyzed .....	63,439	56,000	56,000

Note.—Incidence rates represent the number of injuries that occur for each 200,000 employee-hours worked.

**Object Classification (in millions of dollars)**

Identification code 16-1200-0-1-554	1999 actual	2000 est.	2001 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	117	127	134
11.3 Other than full-time permanent .....	2	1	1
11.5 Other personnel compensation .....	4	3	3
11.9 Total personnel compensation .....	123	131	138
12.1 Civilian personnel benefits .....	35	36	38
21.0 Travel and transportation of things .....	10	9	10
22.0 Transportation of things .....	3	3	3
23.1 Rental payments to GSA .....	9	9	10
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	7	8	7
25.3 Purchases of goods and services from Government accounts .....	9	10	11
25.4 Operation and maintenance of facilities .....	1	1	1
25.7 Operation and maintenance of equipment .....	3	4	4
26.0 Supplies and materials .....	3	3	3
31.0 Equipment .....	5	5	6
41.0 Grants, subsidies, and contributions .....	6	6	8
99.0 Subtotal, direct obligations .....	216	228	242
99.0 Reimbursable obligations .....		1	2
99.9 Total new obligations .....	216	229	244

**Personnel Summary**

Identification code 16-1200-0-1-554	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,202	2,317	2,357

**BUREAU OF LABOR STATISTICS**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, **[\$357,781,000, of which \$6,986,000 shall be for expenses of revising the Consumer Price Index and shall remain available until September 30, 2001] \$386,375,000, together with not to exceed [\$55,663,000] \$67,257,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)**

**Program and Financing (in millions of dollars)**

Identification code 16-0200-0-1-505	1999 actual	2000 est.	2001 est.
Obligations by program activity:			
Direct program:			
00.01 Labor force statistics .....	170	171	205
00.02 Prices and cost of living .....	119	128	136
00.03 Compensation and working conditions .....	61	69	71
00.04 Productivity and technology .....	7	8	9
00.05 Employment projections .....	5	5	7
00.06 Executive direction and staff services .....	24	25	26
00.07 Consumer price index revision .....	11	12	
09.01 Reimbursable program .....	19	17	13

10.00 Total new obligations .....	416	435	467
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year .....	4	5	
22.00 New budget authority (gross) .....	417	430	467
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	422	435	467
23.95 Total new obligations .....	-416	-435	-467
23.98 Unobligated balance expiring or withdrawn .....	-1		
24.40 Unobligated balance available, end of year .....	5		

**New budget authority (gross), detail:**

Discretionary:			
40.00 Appropriation .....	345	357	386
40.75 Reduction pursuant to P.L. 106-51 .....	-1		
43.00 Appropriation (total discretionary) .....	344	357	386
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	73	73	81
70.00 Total new budget authority (gross) .....	417	430	467

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	59	70	94
73.10 Total new obligations .....	416	435	467
73.20 Total outlays (gross) .....	-399	-411	-453
73.40 Adjustments in expired accounts (net) .....	-5		
73.45 Adjustments in unexpired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	70	94	108

**Outlays (gross), detail:**

86.90 Outlays from new discretionary authority .....	350	357	394
86.93 Outlays from discretionary balances .....	49	54	59
87.00 Total outlays (gross) .....	399	411	453

**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-66	-66	-77
88.40 Non-Federal sources .....	-7	-7	-4
88.90 Total, offsetting collections (cash) .....	-73	-73	-81

**Net budget authority and outlays:**

89.00 Budget authority .....	344	357	386
90.00 Outlays .....	326	338	372

Note: Includes \$20.7 million in budget authority in FY 2001 for activities transferred from the Employment and Training Administration of which \$10 million are General Funds and \$10.7 million are Trust Funds. Comparable amounts for 1999 (\$20.7) and 2000 (\$20.7) are excluded above.

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1999 actual	2000 est.	2001 est.
Enacted/requested:			
Budget Authority .....	344	357	386
Outlays .....	326	338	372
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Total:			
Budget Authority .....	344	357	386
Outlays .....	326	338	372

**Labor force statistics.**—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the nation, states, and local areas. Makes studies of the labor force. Publishes data on covered employment and wages, by industry. Resources are requested in 2001 to develop a time-use survey that will provide nationally representative estimates of how Americans spend their time at work and at home. Resources also are requested for improved state and local labor market information, to help implement the Workforce Investment Act of 1998.



	1999 actual	2000 est.	2001 est.
Labor force statistics (selected items):			
Covered employment and wages (quarterly series) .....	1,000,201	1,000,201	1,000,201
Employment and unemployment estimates for States and local areas (monthly and annual series) .....	87,300	87,300	87,300
Occupational employment statistics (annual series) .....	57,040	57,040	57,040

**Prices and cost of living.**—Publishes the Consumer Price Index (CPI), the Producer Price Index (PPI), Export and Import Price Indexes, estimates of consumers' expenditures, and studies of price change. Includes the continuation of an initiative to improve the timeliness and accuracy of the CPI. Also includes resources for an initiative to improve the PPI, which may in turn lead to further improvement of the CPI.

	1999 actual	2000 est.	2001 est.
Consumer price indexes published (monthly) .....	5,400	5,400	5,400
Percentage of CPI statistics released on schedule .....	100%	100%	100%
Producer prices:			
(a) Commodity indexes published (monthly) .....	3,267	3,245	3,223
(b) Mining and manufacturing indexes published (monthly) .....	6,746	6,314	5,909
International prices and price indexes:			
(a) Sample units initiated (annually) .....	3,200	3,200	3,200
(b) Price quotations collected (monthly) .....	23,000	23,000	23,000

**Compensation and working conditions.**—Publishes data on wages and benefits by occupation for major labor markets and industries. Compiles annual information to estimate the incidence and number of work-related injuries, illnesses, and fatalities.

	1999 actual	2000 est.	2001 est.
Compensation and working conditions (major items):			
Employment cost index—number of schedules .....	10,000	12,000	14,050
Occupational Safety and Health—number of schedules .....	200,000	200,000	200,000
Federal pay reform—number of schedules .....	30,600	30,600	30,600

**Productivity and technology.**—Provides studies of productivity changes for industries and major economic sectors. Develops international comparisons of productivity and cost. Studies the effects of technology change on employment and productivity. Includes resources to improve output and productivity measures.

	1999 actual	2000 est.	2001 est.
Studies, articles, and special reports .....	34	30	30
Series maintained .....	4,196	5,110	5,534

**Employment projections.**—Provides economic projections, including changes in the level and structure of economic growth, and industry employment and occupational projections. Publishes the *Occupational Outlook Handbook* and *Quarterly*. Resources are requested in 2001 to provide technical guidance for a new Federal-State cooperative employment projections program.

	1999 actual	2000 est.	2001 est.
Industry projections (2 year cycle) .....	92	92	92
Occupational Outlook Handbook statements (2 year cycle) .....	125	125	125

**Executive direction and staff services.**—Provides planning and policy for the Bureau of Labor Statistics (BLS), operates the management information system, coordinates research, and publishes data and reports for government and public use.

**Consumer price index revision.**—The BLS will complete the revision using remaining funds from 2000. The complete publication of the revised index was for January 1999. The revision includes new market baskets of goods and services as well as improvements in collecting and processing data for the CPI and for surveys which support the CPI and to prepare for future revision.

	1999 actual	2000 est.	2001 est.
Update the CPI to reflect current spending and population patterns .....	on schedule	on schedule	on schedule

## Object Classification (in millions of dollars)

Identification code 16-0200-0-1-505	1999 actual	2000 est.	2001 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	113	128	134
11.3 Other than full-time permanent .....	7	8	7
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	122	138	143
12.1 Civilian personnel benefits .....	26	29	30
21.0 Travel and transportation of persons .....	6	7	7
23.1 Rental payments to GSA .....	28	29	31
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
24.0 Printing and reproduction .....	1	2	2
25.2 Other services .....	52	53	61
25.3 Purchases of goods and services from Government accounts .....	79	82	90
25.7 Operation and maintenance of equipment .....	2	2	2
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	18	11	11
41.0 Grants, subsidies, and contributions .....	58	60	72
99.0 Subtotal, direct obligations .....	397	418	454
99.0 Reimbursable obligations .....	19	17	13
99.9 Total new obligations .....	416	435	467

## Personnel Summary

Identification code 16-0200-0-1-505	1999 actual	2000 est.	2001 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	2,325	2,419	2,436
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	81	81	61

BUREAU OF LABOR STATISTICS  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 16-0200-2-1-505	1999 actual	2000 est.	2001 est.
Obligations by program activity:			
10.00 Total new obligations (object class 92.0) .....			-11
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....			-11
23.95 Total new obligations .....			11
New budget authority (gross), detail:			
Discretionary:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....			-11
Change in unpaid obligations:			
73.10 Total new obligations .....			-11
73.20 Total outlays (gross) .....			11
Outlays (gross), detail:			
86.90 Outlays from new discretionary authority .....			-11
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: trust fund sources .....			11
Net budget authority and outlays:			
89.00 Budget authority .....			
90.00 Outlays .....			

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on discretionary spending. For

**General and special funds—Continued**

BUREAU OF LABOR STATISTICS—Continued

more detail see the legislative proposal for the Program Administration account.

BUREAU OF LABOR STATISTICS  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 16-0200-4-1-505	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
10.00 Total new obligations (object class 92.0)			11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			11
23.95 Total new obligations			-11
<b>New budget authority (gross), detail:</b>			
Mandatory:			
69.00 Offsetting collections (cash)			11
<b>Change in unpaid obligations:</b>			
73.10 Total new obligations			11
73.20 Total outlays (gross)			-11
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new mandatory authority			11
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources			-11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays			

Legislation will be proposed that would authorize the Secretary of Labor to collect fees from employers for the certification of certain aliens as eligible workers under the Immigration and Nationality Act. This schedule reflects the effects of that legislative proposal on mandatory spending. For more detail see the legislative proposal for the Program Administration account.

**DEPARTMENTAL MANAGEMENT**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses for Departmental Management, including the hire of three sedans, and including [up to \$7,250,000 for the President's Committee on Employment of People with Disabilities, and including] the management or operation of Departmental bilateral and multilateral foreign technical assistance, [\$241,478,000] \$54,444,000 for the acquisition of Departmental information technology, architecture, infrastructure, equipment, software and related needs which will be allocated by the Department's Chief Information Officer in accordance with the Department's capital investment management process to assure a sound investment strategy; \$437,235,000, together with not to exceed [\$310,000] \$319,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund: *Provided*, That no funds made available by this Act may be used by the Solicitor of Labor to participate in a review in any United States court of appeals of any decision made by the Benefits Review Board under section 21 of the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 921) where such participation is precluded by the decision of the United States Supreme Court in Director, Office of Workers' Compensation Programs v. Newport News Shipbuilding, 115 S. Ct. 1278 (1995), notwithstanding any provisions to the contrary contained in Rule 15 of the

Federal Rules of Appellate Procedure: *Provided further*, That no funds made available by this Act may be used by the Secretary of Labor to review a decision under the Longshore and Harbor Workers' Compensation Act (33 U.S.C. 901 et seq.) that has been appealed and that has been pending before the Benefits Review Board for more than 12 months: *Provided further*, That any such decision pending a review by the Benefits Review Board for more than 1 year shall be considered affirmed by the Benefits Review Board on the 1-year anniversary of the filing of the appeal, and shall be considered the final order of the Board for purposes of obtaining a review in the United States courts of appeals: *Provided further*, That these provisions shall not be applicable to the review or appeal of any decision issued under the Black Lung Benefits Act (30 U.S.C. 901 et seq.) *Provided further*, That beginning in fiscal year 2001, there is established in the Department of Labor an office of disability employment policy which shall, under the overall direction of the Secretary, provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities. Such office shall be headed by an assistant secretary: *Provided further* that of amounts provided under this head, not more than \$20,446,000 is for this purpose. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

Program and Financing (in millions of dollars)

Identification code 16-0165-0-1-505	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Program direction and support	20	26	46
00.02 Legal services	72	76	81
00.03 International labor affairs	40	70	167
00.04 Administration and management	25	28	25
00.05 Adjudication	37	38	40
00.06 Disability policy	8	8	23
00.07 Women's bureau	8	9	10
00.08 Civil rights	5	6	6
00.09 Chief Financial Officer	6	6	6
00.10 Information technology activities			54
00.14 Other			1
09.01 Reimbursable program	12	11	11
10.00 Total new obligations	233	278	470
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year	1	2	
22.00 New budget authority (gross)	236	276	470
22.22 Unobligated balance transferred from other accounts			
23.90 Total budgetary resources available for obligation	237	278	470
23.95 Total new obligations	-233	-278	-470
23.98 Unobligated balance expiring or withdrawn	-1		
24.40 Unobligated balance available, end of year	2		
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation	191	241	437
42.00 Transferred from other accounts	7	3	
43.00 Appropriation (total discretionary)	198	244	437
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	38	32	33
70.00 Total new budget authority (gross)	236	276	470
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year	20	43	70
73.10 Total new obligations	233	278	470
73.20 Total outlays (gross)	-210	-251	-414
74.40 Unpaid obligations, end of year: Obligated balance, end of year	43	70	126
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority	191	233	373
86.93 Outlays from discretionary balances	19	18	41
87.00 Total outlays (gross)	210	251	414
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-31	-25	-26

88.40	Non-Federal sources .....	-7	-7	-7
88.90	Total, offsetting collections (cash) .....	-38	-32	-33
Net budget authority and outlays:				
89.00	Budget authority .....	198	244	437
90.00	Outlays .....	172	219	381

**Program direction and support.**—Provides leadership and direction for all programs and functions assigned to the Department. Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, toward achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

**Legal services.**—Provides the Secretary of Labor and Departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases, providing assistance to the Department of Justice in case preparation and trials, reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public, and coordinating the Department's legislative program.

**International labor affairs.**—Coordinates the Department of Labor's international responsibilities, including U.S. government participation in international fora dealing with labor issues; publishes reports on international labor issues; assists in the formulation and implementation of international treaties dealing with labor issues; operates technical assistance programs; and works toward the elimination of exploitative child labor around the world.

**Administration and management.**—Exercises leadership in all Departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

**Adjudication.**—Conducts formal hearings and renders timely decisions on claims filed under the Black Lung Benefits Act, the Longshore and Harbor Workers' Compensation Act and its extensions, the Federal Employees' Compensation Act and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations and unfair labor practices.

**Disability policy.**—This activity includes funding to establish the Office on Disability Policy, Evaluation, and Technical Assistance, within the Department of Labor to be headed by a Presidential appointee. This office will subsume the responsibilities of the President's Committee on Employment of People with Disabilities in terms of providing leadership to eliminate employment barriers to people with disabilities. In addition, the office will work within DOL to ensure that all DOL programs address the needs of the disabled and increase participation of people with disabilities in DOL training programs—particularly those serving youth. Finally, the office would provide grants to One-Stop Centers to finance assistive technology devices that ensure accessibility of the One-Stops to the disabled.

This activity also includes the President's Task Force on the Employment of Adults with Disabilities. The Task Force is developing a national policy to bring adults with disabilities into gainful employment at a rate that is as close as possible to that of the general population. The Task Force is studying the barriers to employment faced by disabled individuals and is reporting its findings and policy recommendations to the President on a periodic basis over its four-year life. The Task Force will work with the new Office of Disability Policy, Eval-

uation, and Technical Assistance to continue its role of coordinating interagency employment policy for the disabled.

**Women's bureau.**—Promotes the interests of wage earning women, and seeks to improve their working conditions and advance their opportunities for profitable employment.

**Civil rights.**—Ensures full compliance with title VI of the Civil Rights Act of 1964 and other regulatory nondiscrimination provisions in programs receiving financial assistance from the Department of Labor and promotes equal opportunity in these programs and activities; and ensures equal employment opportunity to all DOL employees and applicants for employment.

**Chief financial officer.**—Responsible for enhancing the level of knowledge and skills of Departmental staff working in financial management operations; developing comprehensive accounting and financial management policies; assuring that all DOL financial functions conform to applicable standards; providing leadership and coordination to DOL agencies' trust and benefit fund financial actions; monitoring the financial execution of the budget in relation to actual expenditures; and managing a comprehensive training program for budget, accounting, and financial support staff.

**Information technology activities.**—This is a new activity which establishes a permanent, centralized IT investment fund for the Department of Labor (DOL) managed by the Chief Information Officer (CIO). As required by the Clinger Cohen Act, in 1996, the Department established a Chief Information Officer accountable for IT management in the DOL, and implemented an IT Capital Investment Management process for selecting, controlling, and evaluating IT investments. The Department also began developing an information technology architecture to establish a baseline of existing information technologies and provide a target environment as a framework for future information technology investments.

Object Classification (in millions of dollars)

Identification code 16-0165-0-1-505	1999 actual	2000 est.	2001 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	97	112	121
11.3 Other than full-time permanent .....	2	1	1
11.5 Other personnel compensation .....	2	1	2
11.9 Total personnel compensation .....	101	114	124
12.1 Civilian personnel benefits .....	21	23	25
21.0 Travel and transportation of persons .....	3	3	5
23.1 Rental payments to GSA .....	15	16	17
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
24.0 Printing and reproduction .....			1
25.1 Advisory and assistance services .....	4	5	5
25.2 Other services .....	7	12	46
25.3 Purchases of goods and services from Government accounts .....	17	16	18
25.5 Research and development contracts .....		1	3
25.7 Operation and maintenance of equipment .....	14	10	35
26.0 Supplies and materials .....	2	2	2
31.0 Equipment .....	2	5	35
41.0 Grants, subsidies, and contributions .....	33	58	141
99.0 Subtotal, direct obligations .....	221	267	459
99.0 Reimbursable obligations .....	12	11	11
99.9 Total new obligations .....	233	278	470

Personnel Summary

Identification code 16-0165-0-1-505	1999 actual	2000 est.	2001 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	1,522	1,596	1,680
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	27	45	35

**General and special funds—Continued**

**OFFICE OF INSPECTOR GENERAL**

For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$48,095,000]** \$51,377,000, together with not to exceed **[\$3,830,000]** \$4,770,000, which may be expended from the Employment Security Administration account in the Unemployment Trust Fund. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 16-0106-0-1-505	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Program activities .....	42	46	49
00.02 Executive direction and management .....	6	6	7
09.01 Reimbursable program .....	5	12	12
10.00 Total new obligations .....	53	64	68
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	53	64	68
23.95 Total new obligations .....	-53	-64	-68
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....	44	48	51
42.00 Transferred from other accounts .....	1		
43.00 Appropriation (total discretionary) .....	45	48	51
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	8	16	17
70.00 Total new budget authority (gross) .....	53	64	68
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	4	6	7
73.10 Total new obligations .....	53	64	68
73.20 Total outlays (gross) .....	-51	-63	-69
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	6	7	6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	46	57	62
86.93 Outlays from discretionary balances .....	4	6	7
87.00 Total outlays (gross) .....	51	63	69
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-8	-16	-17
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	45	48	51
90.00 Outlays .....	42	47	52

**Program activities.**—Program activities within the Office of Inspector General (OIG) include audit, program fraud, labor racketeering and special evaluations and inspections of program activities. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are in place, resources are safeguarded, funds are expended in a manner consistent with laws and regulations and managed economically and efficiently, and desired program results are achieved. The Office of Investigations administers an investigative program to detect and deter fraud, waste and abuse in Departmental programs; and to identify and reduce labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs. The Office of Analysis, Complaints, and Evaluations conducts DOL program evaluations and special reviews; analyzes complaints involving DOL programs, operations, or functions; and provides strategic planning and Congressional liaison services.

The OIG also provides technical assistance to DOL program agencies.

	1999 actual	2000 est.	2001 est.
Audit reports issued .....	113	60	65
Benefit plan/UI investigations opened .....	153	162	171
Other investigative cases opened .....	391	411	431
Benefit plan/UI investigations completed .....	291	228	240
Other investigative cases completed .....	417	437	459

**Executive direction and management.**—This activity includes the management, legal counsel, administrative support, personnel and financial functions for the OIG.

**Object Classification (in millions of dollars)**

Identification code 16-0106-0-1-505	1999 actual	2000 est.	2001 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	27	28	29
11.5 Other personnel compensation .....	2	3	3
11.9 Total personnel compensation .....	29	31	32
12.1 Civilian personnel benefits .....	6	7	7
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	4	4	6
25.3 Purchases of goods and services from Government accounts .....	2	3	3
31.0 Equipment .....			1
99.0 Subtotal, direct obligations .....	48	52	56
99.0 Reimbursable obligations .....	5	12	12
99.9 Total new obligations .....	53	64	68

**Personnel Summary**

Identification code 16-0106-0-1-505	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment .....	412	428	428

**[ASSISTANT SECRETARY FOR] VETERANS EMPLOYMENT AND TRAINING**

Not to exceed **[\$184,341,000]** \$187,913,000 may be derived from the Employment Security Administration account in the Unemployment Trust Fund to carry out the provisions of 38 U.S.C. 4100-4110A, 4212, 4214, and 4321-4327, and Public Law 103-353, and which shall be available for obligation by the States through December 31, **[2000]** 2001. To carry out the Stewart B. McKinney Homeless Assistance Act and section 168 of the Workforce Investment Act of 1998, \$22,300,000, of which \$7,300,000 shall be available for obligation for the period July 1, 2001, through June 30, 2002. (Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)

**Program and Financing (in millions of dollars)**

Identification code 16-0164-0-1-702	1999 actual	2000 est.	2001 est.
<b>Obligations by program activity:</b>			
Direct program:			
State administration:			
00.01 Disabled veterans outreach program .....	80	80	82
00.02 Local veterans employment representatives .....	77	77	77
00.03 Administration .....	24	25	27
00.04 National Veterans' Training Institute .....	2	2	2
00.05 Homeless veterans program .....			15
00.06 Veterans workforce investment program .....			7
10.00 Total new obligations .....	183	184	210
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	183	184	210
23.95 Total new obligations .....	-183	-184	-210
<b>New budget authority (gross), detail:</b>			
Discretionary:			
40.00 Appropriation .....			22

Spending authority from offsetting collections:				
68.00	Offsetting collections (Trust Funds) .....	186	184	188
68.10	From Federal sources: Change in receivables and unpaid, unfilled orders .....	-3		
68.90	Spending authority from offsetting collections (total discretionary) .....	183	184	188
70.00	Total new budget authority (gross) .....	183	184	210
Change in unpaid obligations:				
Unpaid obligations, start of year:				
72.40	Obligated balance, start of year .....	19	17	18
72.95	From Federal sources: Receivables and unpaid, unfilled orders .....	3		
72.99	Total unpaid obligations, start of year .....	22	17	18
73.10	Total new obligations .....	183	184	210
73.20	Total outlays (gross) .....	-186	-183	-191
73.40	Adjustments in expired accounts (net) .....	-1		
Unpaid obligations, end of year:				
74.40	Obligated balance, end of year .....	17	18	37
74.95	From Federal sources: Receivables and unpaid, unfilled orders .....			
74.99	Total unpaid obligations, end of year .....	17	18	37
Outlays (gross), detail:				
86.90	Outlays from new discretionary authority .....	167	167	174
86.93	Outlays from discretionary balances .....	19	16	17
87.00	Total outlays (gross) .....	186	183	191
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Trust fund sources .....	-186	-184	-188
Against gross budget authority only:				
88.95	From Federal sources: Change in receivables and unpaid, unfilled orders .....	3		
Net budget authority and outlays:				
89.00	Budget authority .....			22
90.00	Outlays .....		-1	3

Note.—Beginning in FY 2001, Veterans Employment and Training activities are presented in a new account. The new account includes activities that had been financed from the Unemployment Trust Fund as well as activities that had been financed from the Training and Employment Services account. The schedule above shows the activities financed out of the Unemployment Trust Fund for FY 1999, FY 2000, and FY 2001. The schedule also includes \$22.3 million in FY 2001 budget authority for the activities previously financed from Training and Employment Services; the comparable estimates are \$10.3 million in FY 1999 and \$16.9 million in FY 2000.

**State administration.**—The Disabled Veterans Outreach Program provides intensive employment and job development services to secure permanent employment for veterans, particularly those with service-connected disabilities and other disadvantages. Local Veterans Employment Representatives provide job development, placement, and supportive services directly to veterans and conduct functional supervision of the services provided veterans by other local office staff to ensure compliance with the performance standards for services to veterans.

**Administration.**—Identifies policies and programs to serve and meet employment and training needs of veterans. Assures the adequacy of counseling, testing, job training, and job placement services for veterans through monitoring, evaluating, and providing technical assistance and training to those delivering these services. Coordinates with the Department of Defense to ensure the provision of labor market information and other services to military service-members separating from active duty to expedite their transition from military to civilian employment under the Transition Assistance Program. Provides employment, training and supportive services directly or through linkages with other service providers to assist homeless veterans. Provides on-the-job training programs and other specialized services for certain veterans identified as facing serious barriers to employment. Administers veterans employment and training programs under the Workforce Investment Act to provide these services. Promotes compliance of Federal contractors in listing jobs for veterans. Provides information and investigates complaints, to help vet-

erans, reservists, and members of the National Guard obtain employment, and reemployment rights as provided for by law.

**National Veterans Training Institute.**—This program operates through a contract with the University of Colorado in Denver, Colorado, providing training to Federal and State employees who assist veterans in finding jobs.

**Homeless veterans program.**—Provides a program of demonstration projects, coordinated with the Veteran's Administration and the Department of Housing and Urban Development to help homeless veterans into jobs. Homeless veterans projects provide for outreach, supportive services, and leveraged funds for housing, transportation and health, and are funded in both urban and rural areas.

**Veterans workforce investment program.**—Provides for training, retraining and employment opportunities for most at risk veterans, including those with service connected disabilities, those with significant barriers to employment, Vietnam era veterans, and recently separated veterans.

Object Classification (in millions of dollars)

Identification code 16-0164-0-1-702	1999 actual	2000 est.	2001 est.
11.1 Personnel compensation: Full-time permanent .....	14	15	15
12.1 Civilian personnel benefits .....	3	3	4
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	3	2	2
25.2 Other services .....	3	4	6
25.3 Purchases of goods and services from Government accounts .....	2	2	2
41.0 Grants, subsidies, and contributions .....	155	155	178
99.0 Subtotal, direct obligations .....	182	183	209
99.5 Below reporting threshold .....	1	1	1
99.9 Total new obligations .....	183	184	210

Personnel Summary

Identification code 16-0164-0-1-702	1999 actual	2000 est.	2001 est.
1001 Total compensable workyears: Full-time equivalent employment .....	254	255	255

Intragovernmental funds:

WORKING CAPITAL FUND

29 U.S.C. 563 is amended by striking the last proviso and inserting: "Provided further, That the Secretary may transfer annually an additional amount not to exceed \$2,500,000 from the unobligated balances of the Department's salaries and expenses accounts to the Working Capital Fund, to be merged with such Funds and to remain available until expended, for litigation support and related expenses for extraordinary case enforcement activities: Provided further, That the unobligated balance of the Fund shall not exceed \$22,500,000."

Program and Financing (in millions of dollars)

Identification code 16-4601-0-4-505	1999 actual	2000 est.	2001 est.
Obligations by program activity:			
09.01 Financial and administrative services .....	54	60	60
09.02 Field services .....	23	24	25
09.04 Human resources services .....	8	8	9
09.05 Penalty mail and telecommunications .....	28	30	30
09.06 Investment in reinvention fund .....	1	2	1
09.07 Non-DOL reimbursements .....	7	9	10
10.00 Total new obligations .....	121	133	135
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year .....	13	13	7
22.00 New budget authority (gross) .....	119	127	130
22.10 Resources available from recoveries of prior year obligations .....	2		
23.90 Total budgetary resources available for obligation .....	134	140	137
23.95 Total new obligations .....	-121	-133	-135

**Intragovernmental funds—Continued**

**WORKING CAPITAL FUND—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 16-4601-0-4-505	1999 actual	2000 est.	2001 est.
24.40 Unobligated balance available, end of year .....	13	7	2
<b>New budget authority (gross), detail:</b>			
<b>Discretionary:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	119	127	130
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance, start of year .....	14	13	18
73.10 Total new obligations .....	121	133	135
73.20 Total outlays (gross) .....	-123	-131	-136
73.40 Adjustments in expired accounts (net) .....	3	3	3
73.45 Adjustments in unexpired accounts .....	-2		
74.40 Unpaid obligations, end of year: Obligated balance, end of year .....	13	18	20
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new discretionary authority .....	111	116	120
86.93 Outlays from discretionary balances .....	12	14	17
87.00 Total outlays (gross) .....	123	131	136
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-119	-127	-130
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	4	4	6

**Financial and administrative services.**—Provides support for financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost determination activities, maintenance of Departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management.

**Field services.**—Provides full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

**Human resources services.**—Provides guidance to DOL agencies in Senior Executive Service resource management and in the management of Schedule "C" and expert and consultant services, development and administration of Departmental programs for personnel security and financial disclosure, direct staffing and position management services, and benefits counseling and services to DOL employees.

**Penalty mail and telecommunications.**—Provides for departmental mail payments to the U.S. Postal Service and telecommunications payments to the General Services Administration.

**Non-DOL reimbursements.**—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services, including support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided. This income is credited to and merged with other income received by the Working Capital Fund.

**Investment in reinvention fund.**—Finances agency reinvention proposals and other investment or capital acquisition projects in order to achieve savings and streamline work processes. The fund is self-sustaining, with agencies paying back

the initial investment with savings generated through implementation of efficiencies and reinvention initiatives.

**Financing.**—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave and depreciation of equipment.

**Object Classification (in millions of dollars)**

Identification code 16-4601-0-4-505	1999 actual	2000 est.	2001 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	35	37	40
11.3 Other than full-time permanent .....	1		
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	37	38	41
12.1 Civilian personnel benefits .....	9	9	10
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	7	7	8
23.3 Communications, utilities, and miscellaneous charges .....	36	38	38
25.1 Advisory and assistance services .....	4	4	4
25.2 Other services .....	7	10	11
25.3 Purchases of goods and services from Government accounts .....	3	3	3
25.4 Operation and maintenance of facilities .....	4	5	5
25.7 Operation and maintenance of equipment .....	7	7	7
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	4	9	6
99.9 Total new obligations .....	121	133	135

**Personnel Summary**

Identification code 16-4601-0-4-505	1999 actual	2000 est.	2001 est.
2001 Total compensable workyears: Full-time equivalent employment .....	730	700	719

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Agency for International Development, Functional Development Assistance Program, Department of Education: Office of Vocational and Adult Education: "Vocational and Adult Education".

**GENERAL PROVISIONS**

SEC. 101. None of the funds appropriated in this title for the Job Corps shall be used to pay the compensation of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

*(TRANSFER OF FUNDS)*

SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985, as amended) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the Appropriations Committees of both Houses of Congress are notified at least 15 days in advance of any transfer.

SEC. 103. The Secretary of Labor shall transfer, without charge or consideration, to the City of Salinas in the State of California, all right, title, and interest (including any equitable interest) the United States holds in the real property located at 342 Front Street, Salinas, California (Reference No. SSL-493), to the extent such right, such title, or such interest was acquired as a result of any loan, grant, guarantee, or other benefit provided by the Secretary to or for the benefit of such city. *(Department of Labor Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).)*

## TITLE V—GENERAL PROVISIONS

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act: *Provided*, That such transferred balances are used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 503. (a) No part of any appropriation contained in this Act shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, radio, television, or video presentation designed to support or defeat legislation pending before the Congress or any State legislature, except in presentation to the Congress or any State legislature itself.

(b) No part of any appropriation contained in this Act shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence legislation or appropriations pending before the Congress or any State legislature.

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$20,000 and \$15,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$2,500 from the funds available for "Salaries and expenses, Federal Mediation and Conciliation Service"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$2,500 from funds available for "Salaries and expenses, National Mediation Board".

SEC. 505. Notwithstanding any other provision of this Act, no funds appropriated under this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug *unless the Secretary of Health and Human Services determines that such programs are effective in preventing the spread of HIV and do not encourage the use of illegal drugs*.

SEC. 506. (a) PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) NOTICE REQUIREMENT.—In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.

(c) PROHIBITION OF CONTRACTS WITH PERSONS FALSELY LABELING PRODUCTS AS MADE IN AMERICA.—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

SEC. 507. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state: (1) the percentage of the total costs of the program or project which will be financed with Federal money; (2) the dollar amount of Federal funds for the project or program; and (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

[SEC. 508. (a) None of the funds appropriated under this Act, and none of the funds in any trust fund to which funds are appropriated under this Act, shall be expended for any abortion.

(b) None of the funds appropriated under this Act, and none of the funds in any trust fund to which funds are appropriated under this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.]<sup>1</sup>

[SEC. 509. (a) The limitations established in the preceding section shall not apply to an abortion—

(1) if the pregnancy is the result of an act of rape or incest; or

(2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).]<sup>1</sup>

SEC. [510] 508. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.208(a)(2) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. [511] 509. (a) LIMITATION ON USE OF FUNDS FOR PROMOTION OF LEGALIZATION OF CONTROLLED SUBSTANCES.—None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established by section 202 of the Controlled Substances Act (21 U.S.C. 812).

(b) EXCEPTIONS.—The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. [512] 510. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

(1) such entity is otherwise a contractor with the United States and is subject to the requirement in section 4212(d) of title 38, United States Code, regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and

(2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

[SEC. 513. Except as otherwise specifically provided by law, unobligated balances remaining available at the end of fiscal year 2000 from appropriations made available for salaries and expenses for fiscal year 2000 in this Act, shall remain available through December 31, 2000, for each such account for the purposes authorized: *Provided*, That the House and Senate Committees on Appropriations shall be notified at least 15 days prior to the obligation of such funds.]

[SEC. 514. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act (42 U.S.C. 1320d-2(b)) providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.]

[SEC. 515. Section 520(c)(2)(D) of the Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997, as amended, is further amended by striking "December 31, 1997" and inserting "March 31, 2000".]

[SEC. 516. The United States-Mexico Border Health Commission Act (22 U.S.C. 290n et seq.) is amended—

(1) by striking section 2 and inserting the following:

**“SEC. 2. APPOINTMENT OF MEMBERS OF BORDER HEALTH COMMISSION.**

“Not later than 30 days after the date of the enactment of this section, the President shall appoint the United States members of the United States-Mexico Border Health Commission, and shall attempt to conclude an agreement with Mexico providing for the establishment of such Commission.”; and

(2) in section 3—

(A) in paragraph (1), by striking the semicolon and inserting “; and”;

(B) in paragraph (2)(B), by striking “; and” and inserting a period; and

(C) by striking paragraph (3).】

SEC. 【517】 511. The applicable time limitations with respect to the giving of notice of injury and the filing of a claim for compensa-

tion for disability or death by an individual under the Federal Employees’ Compensation Act, as amended, for injuries sustained as a result of the person’s exposure to a nitrogen or sulfur mustard agent in the performance of official duties as an employee at the Department of the Army’s Edgewood Arsenal before March 20, 1944, shall not begin to run until the date of the enactment of this Act.

【SEC. 518. Section 169(d)(2)(B) of Public Law 105-220, the Workforce Investment Act of 1998, is amended by striking “or Alaska Native villages or Native groups (as such terms are defined in section 3 of the Alaska Native Claims Settlement Act (43 U.S.C. 1602)).” and inserting “or Alaska Natives.”.】 (*Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2000, as enacted by section 1000(a)(4) of the Consolidated Appropriations Act, 2000 (P.L. 106-113).*)

<sup>1</sup> The Administration proposes to delete this provision and will work with the Congress to address this issue.