

# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## FOOD AND DRUG ADMINISTRATION

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for rental of special purpose space in the District of Columbia or elsewhere; and for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; **[\$948,705,000] \$878,884,000. In addition, fees collected for fiscal year 1999 [of which not to exceed \$91,204,000 in fees] pursuant to section 736 of the Federal Food, Drug, and Cosmetic Act may be credited to this appropriation and remain available until expended: Provided, That fees derived from applications received during fiscal year [1998] 1999 shall be subject to the fiscal year [1998] 1999 limitation[: Provided further, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701].**

In addition, fees pursuant to section 354 of the Public Health Service Act may be credited to this account, to remain available until expended.

In addition, fees pursuant to section 801 of the Federal Food, Drug, and Cosmetic Act may be credited to this account, to remain available until expended.

##### BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, **[\$21,350,000] \$8,350,000, to remain available until expended (7 U.S.C. 2209b).**

##### RENTAL PAYMENTS (FDA)

(INCLUDING TRANSFERS OF FUNDS)

For payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act, **[\$46,294,000] \$88,294,000, including not to exceed \$5,428,000 to be transferred to this appropriation from fees collected pursuant to section 736 of the Federal Food, Drug, and Cosmetic Act and credited to the Food and Drug Administration Salaries and Expenses appropriation: Provided, That in the event the Food and Drug Administration should require modification of space needs, a share of the salaries and expenses appropriation may be transferred to this appropriation, or a share of this appropriation may be transferred to the salaries and expenses appropriation, but such transfers shall not exceed 5 percent of the funds made available for rental payments (FDA) to or from this account. (Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1998.)**

##### Program and Financing (in millions of dollars)

Identification code 75-9911-0-1-554	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Foods .....	191	204	198
00.02 Drugs .....	334	337	300
00.03 Devices and radiological products .....	147	142	103
00.04 National center for toxicological research .....	32	31	32
00.05 Tobacco .....	5	34	134
00.06 Other activities .....	87	83	84
00.07 Other rent and rent related activities .....	24	26	28
00.08 Rental payments .....	46	46	83
00.09 Buildings and facilities .....	15	48	8
00.10 CRADAs .....	1	1	1
09.01 Reimbursable program .....	111	141	161
10.00 Total obligations .....	992	1,093	1,132

Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested .....	54	68	42
22.00 New budget authority (gross) .....	1,006	1,067	1,132
22.22 Unobligated balance transferred from other accounts .....	1	1	1
23.90 Total budgetary resources available for obligation .....	1,060	1,136	1,174
23.95 New obligations .....	-992	-1,093	-1,132
24.40 Unobligated balance available, end of year:			
Uninvested .....	68	42	42

New budget authority (gross), detail:			
Current:			
40.00 Appropriation .....	888	925	970
Permanent:			
60.00 Appropriation .....	1	1	1
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	118	141	161
70.00 Total new budget authority (gross) .....	1,006	1,067	1,132

Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	293	277	253
73.10 New obligations .....	992	1,093	1,132
73.20 Total outlays (gross) .....	-993	-1,116	-1,157
73.40 Adjustments in expired accounts .....	-17	-17	-17
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	277	253	228

Outlays (gross), detail:			
86.90 Outlays from new current authority .....	703	735	785
86.93 Outlays from current balances .....	172	239	209
86.97 Outlays from new permanent authority .....	118	141	161
86.98 Outlays from permanent balances .....	1	1	1
87.00 Total outlays (gross) .....	993	1,116	1,157

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.40 Non-Federal sources .....	-107	-130	-150
88.45 Offsetting governmental collections .....	-11	-11	-11
88.90 Total, offsetting collections (cash) .....	-118	-141	-161

Net budget authority and outlays:			
89.00 Budget authority .....	888	926	971
90.00 Outlays .....	874	975	996

#### Summary of Budget Authority and Outlays

(in millions of dollars)			
	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority .....	888	926	971
Outlays .....	875	975	995
Supplemental proposal:			
Budget Authority .....			
Outlays .....			
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Total:			
Budget Authority .....	888	926	971
Outlays .....	875	975	995
[In millions of dollars]			
	1997	1998	1999
Distribution of budget authority by account:			
Salaries and expenses .....	820	858	879
Rental payments (FDA) .....	46	46	83
Buildings and facilities .....	21	21	8
Distribution of outlays by account:			
Salaries and expenses .....	818	897	886
Rental payments (FDA) .....	47	48	83
Buildings and facilities .....	9	29	27

**General and special funds—Continued**

**RENTAL PAYMENTS (FDA)—Continued**  
(INCLUDING TRANSFERS OF FUNDS)—Continued

The Food and Drug Administration (FDA) administers laws concerning misbranded and adulterated foods, drugs, human biologics, medical devices, cosmetics, and human-made sources of radiation. The Budget includes \$878.9 million for Salaries and Expenses, which includes funding for new food safety initiatives and tobacco regulation. In addition, the Budget includes \$280.9 million for user fees, an increase of \$142.4 million in user fees over FY 1998, which will be used to finance FDA activities. Of the \$280.9 million in user fees, \$127.7 million consists of new user fees, which are represented in the legislative proposal schedule. The buildings and facilities appropriation of \$8.3 million provides funds for projects related to the repair, construction, alteration, and improvement of all buildings and facilities of FDA. The rental payments appropriation of \$88.3 million, which consists of \$82.9 million in budget authority and up to \$5.4 million from user fees, provides funds for payments of GSA space rental and related costs.

**Object Classification (in millions of dollars)**

Identification code 75-9911-0-1-554	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	416	442	411
11.3 Other than full-time permanent .....	30	28	26
11.5 Other personnel compensation .....	16	15	14
11.9 Total personnel compensation .....	462	485	451
12.1 Civilian personnel benefits .....	104	108	101
21.0 Travel and transportation of persons .....	17	17	17
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....	42	42	79
23.2 Rental payments to others .....	5	5	5
23.3 Communications, utilities, and miscellaneous charges .....	24	24	24
24.0 Printing and reproduction .....	3	3	3
25.1 Advisory and assistance services .....	10	9	9
25.2 Other services .....	25	40	100
25.3 Purchases of goods and services from Government accounts .....	42	41	41
25.4 Operation and maintenance of facilities .....	26	38	37
25.5 Research and development contracts .....	18	18	18
25.7 Operation and maintenance of equipment .....	22	21	21
26.0 Supplies and materials .....	17	17	18
31.0 Equipment .....	26	26	26
32.0 Land and structures .....	17	37	.....
41.0 Grants, subsidies, and contributions .....	18	18	18
42.0 Insurance claims and indemnities .....	1	1	1
99.0 Subtotal, direct obligations .....	881	952	971
99.0 Reimbursable obligations .....	111	141	161
99.9 Total obligations .....	992	1,093	1,132

**Personnel Summary**

Identification code 75-9911-0-1-554	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	8,353	8,319	7,402
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	776	789	909

**SALARIES AND EXPENSES**

(Legislative proposal, not subject to PAYGO)

Upon enactment of authorization to establish fees to cover the costs of regulation of products under the jurisdiction of the Food and Drug Administration, such fees may be collected and credited to this account as offsetting collections: Provided, That not to exceed \$127,717,000 of such fees shall be available until expended for authorized purposes.

**Program and Financing (in millions of dollars)**

Identification code 75-9911-2-1-554	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....			128
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			128
23.95 New obligations .....			-128
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....			128
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			128
73.20 Total outlays (gross) .....			-128
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			128
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			-128
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The Budget includes \$280.9 million in user fees, of which \$127.7 million are new user fees to finance FDA activities as reflected in the legislative proposal schedule. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action.

**Object Classification (in millions of dollars)**

Identification code 75-9911-2-1-554	1997 actual	1998 est.	1999 est.
99.0 Reimbursable obligations: Subtotal, reimbursable obligations .....			128
99.9 Total obligations .....			128

**Personnel Summary**

Identification code 75-9911-2-1-554	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....			.....
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....			1,183

**Public enterprise funds:**

**REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES**

**Program and Financing (in millions of dollars)**

Identification code 75-4309-0-3-554	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Color additives .....	3	4	4
09.02 Insulin .....	1		
10.00 Total obligations .....	4	4	4
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	4	4	5
22.00 New budget authority (gross) .....	5	4	4
23.90 Total budgetary resources available for obligation .....	9	8	9
23.95 New obligations .....	-4	-4	-4

24.40	Unobligated balance available, end of year:			
	Uninvested .....	4	5	5
<b>New budget authority (gross), detail:</b>				
68.00	Spending authority from offsetting collections (gross):			
	Offsetting collections (cash) .....	5	4	4
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	1	2	2
73.10	New obligations .....	4	4	4
73.20	Total outlays (gross) .....	-4	-3	-4
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	2	2	2
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	4	3	4
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-5	-4	-4
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-1	-1	

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

Identification code 75-4309-0-3-554	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
26.0 Supplies and materials .....	1	1	1
99.9 Total obligations .....	4	4	4

Personnel Summary

Identification code 75-4309-0-3-554	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	42	36	36

**HEALTH RESOURCES AND SERVICES ADMINISTRATION**

**Federal Funds**

**General and special funds:**

HEALTH RESOURCES AND SERVICES

For carrying out titles II, III, VII, VIII, X, XII, XIX, and XXVI of the Public Health Service Act, section 427(a) of the Federal Coal Mine Health and Safety Act, title V of the Social Security Act, the Health Care Quality Improvement Act of 1986, as amended, and the Native Hawaiian Health Care Act of 1988, as amended, [\$3,618,137,000] \$3,773,338,000, of which [\$225,000] \$150,000 shall remain available until expended for interest subsidies on loan guarantees made prior to fiscal year 1981 under part B of title VII of the Public Health Service Act [and of which \$28,000,000 shall be available for the construction and renovation of health care and other facilities]: *Provided*, That the Division of Federal Occupational Health may utilize personal services contracting to employ professional management/administrative and occupational health professionals: *Provided further*, That of the funds made available under this heading, [\$2,500,000] \$250,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided further*, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under the Act sufficient to recover the full costs of operating the National Prac-

itioner Data Bank, and shall remain available until expended to carry out that Act: *Provided further*, That no more than \$5,000,000 is available for carrying out the provisions of Public Law 104-73: *Provided further*, That of the funds made available under this heading, [\$203,452,000] \$218,452,000 shall be for the program under title X of the Public Health Service Act to provide for voluntary family planning projects: *Provided further*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office: *Provided further*, That [\$285,500,000] \$385,500,000 shall be for State AIDS Drug Assistance Programs authorized by section 2616 of the Public Health Service Act, and for providing AIDS drugs in the most inexpensive manner possible: *Provided further*, That notwithstanding any other provision of law, funds made available under this heading may be used to continue operating the Council on Graduate Medical Education established by section 301 of Public Law 102-408: *Provided further*, That, of the funds made available under this heading, not more than \$6,000,000 shall be made available and shall remain available until expended for loan guarantees for loans funded under part A of title XVI of the Public Health Service Act as amended, made by non-Federal lenders for the construction, renovation, and modernization of medical facilities that are owned and operated by health centers, and for loans made to health centers under section 330(d) of the Public Health Service Act as amended by Public Law 104-299, and that such funds be available to subsidize guarantees of total loan principal in an amount not to exceed \$80,000,000: *Provided further*, That notwithstanding section 502[(a)(1)] of the Social Security Act, not to exceed \$103,863,000 is available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act. (*Department of Health and Human Services Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 75-0350-0-1-550	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Health centers .....	791	815	836
00.02 National Health Service Corps .....	37	37	37
00.03 National Health Service Corps recruitment .....	78	78	78
00.04 Hansen's disease center .....	17	17	17
00.05 Payment to Hawaii for the treatment of Hansen's disease .....	2	2	2
00.06 Buildings and facilities .....	1	3	
00.07 Black lung clinics .....	4	5	5
00.08 Alzheimer's demonstration grants .....	6	6	
00.09 Nursing loan repayment .....	2	2	
00.10 Health professions .....	290	291	291
00.11 Maternal and child health block grant .....	681	683	683
00.12 Emergency medical services for children .....	13	13	11
00.13 Healthy start .....	96	96	96
00.14 HIV/AIDS .....	996	1,150	1,315
00.15 Organ transplantation .....	2	3	4
00.16 Health care facilities .....	13	28	
00.17 Bone marrow donor registry .....	15	15	15
00.18 Rural health policy development .....	9	12	12
00.19 Rural health outreach grants .....	28	33	33
00.20 Program management .....	113	114	114
00.21 Family planning .....	198	203	219
00.22 Abstinence education .....		50	50
00.23 Health centers tort claims fund .....	1	2	2
00.24 Health centers loan guarantee program account .....		6	6
00.25 Other HRSA programs .....	1	1	
00.91 Total direct program .....	3,394	3,665	3,826
09.01 Reimbursable program .....	65	82	85
10.00 Total obligations .....	3,459	3,747	3,911

Budgetary resources available for obligation:

21.40 Unobligated balance available, start of year:			
Uninvested .....	29	39	42
22.00 New budget authority (gross) .....	3,470	3,750	3,906
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	3,500	3,789	3,948
23.95 New obligations .....	-3,459	-3,747	-3,911

**General and special funds—Continued**

**HEALTH RESOURCES AND SERVICES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0350-0-1-550	1997 actual	1998 est.	1999 est.
24.40 Unobligated balance available, end of year:			
Uninvested .....	39	42	37
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	3,405	3,618	3,773
Permanent:			
60.00 Appropriation .....		50	50
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....	65	82	83
70.00 Total new budget authority (gross) .....	3,470	3,750	3,906
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	2,299	2,397	2,553
73.10 New obligations .....	3,459	3,747	3,911
73.20 Total outlays (gross) .....	-3,433	-3,591	-3,822
73.40 Adjustments in expired accounts .....	73		
73.45 Adjustments in unexpired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	2,397	2,553	2,642
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1,349	1,423	1,484
86.93 Outlays from current balances .....	2,019	2,066	2,208
86.97 Outlays from new permanent authority .....	65	102	103
86.98 Outlays from permanent balances .....			26
87.00 Total outlays (gross) .....	3,433	3,591	3,822
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-55	-71	-71
88.40 Non-Federal sources .....	-10	-11	-12
88.90 Total, offsetting collections (cash) .....	-65	-82	-83
88.95 Change in orders on hand from Federal sources .....			
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3,405	3,668	3,823
90.00 Outlays .....	3,368	3,509	3,739

[Dollars in millions]

	1997	1998	1999
<b>Distribution of budget authority by account:</b>			
Health resources and services .....	3,400	3,663	3,818
Health centers malpractice claims .....	5	5	5
<b>Distribution of outlays by account:</b>			
Health resources and services .....	3,367	3,507	3,737
Health centers malpractice claims .....	1	2	2

**Status of Direct Loans (in millions of dollars)**

Identification code 75-0350-0-1-550	1997 actual	1998 est.	1999 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	800	797	773
1231 Disbursements: Direct loan disbursements .....	21		
1251 Repayments: Repayments and prepayments .....	-22	-22	-22
1263 Write-offs for default: Direct loans .....	-2	-2	-2
1290 Outstanding, end of year .....	797	773	749

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 75-0350-0-1-550	1997 actual	1998 est.	1999 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
2150 Loan guarantee levels .....		26	26
2150 Loan guarantee levels .....		27	27
2150 Loan guarantee levels .....		27	27
2159 Total loan guarantee levels .....		80	80
Guaranteed loan subsidy (in percent):			
2320 Subsidy rate .....		2.21	2.26

2320 Subsidy rate .....	8.40	8.59
2320 Subsidy rate .....	5.03	5.11
2329 Weighted average subsidy rate .....	5.11	5.32
<b>Guaranteed loan subsidy budget authority:</b>		
2330 Subsidy budget authority .....	1	1
2330 Subsidy budget authority .....	2	2
2330 Subsidy budget authority .....	1	1
2339 Total subsidy budget authority .....	4	4
<b>Guaranteed loan subsidy outlays:</b>		
2340 Subsidy outlays .....	1	1
2340 Subsidy outlays .....	2	2
2340 Subsidy outlays .....	1	1
2349 Total subsidy outlays .....	4	4

Activities displayed here support categorical health resources and services grants and the Medical Malpractice Claims Fund, which pays malpractice claims filed against employees of federally-supported health centers.

**Object Classification (in millions of dollars)**

Identification code 75-0350-0-1-550	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	87	94	98
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	4	4	5
11.9 Total personnel compensation .....	93	100	105
12.1 Civilian personnel benefits .....	22	24	25
13.0 Benefits for former personnel .....	1		
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	7	8	8
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	32	37	37
25.2 Other services .....	41	36	34
25.3 Purchases of goods and services from Government accounts .....	55	53	61
25.6 Medical care .....	2	2	2
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	2	3	2
31.0 Equipment .....	5	2	2
41.0 Grants, subsidies, and contributions .....	3,124	3,389	3,539
42.0 Insurance claims and indemnities .....	1	2	2
99.0 Subtotal, direct obligations .....	3,394	3,665	3,826
99.0 Reimbursable obligations .....	65	82	85
99.9 Total obligations .....	3,459	3,747	3,911

**Personnel Summary**

Identification code 75-0350-0-1-550	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	1,477	1,588	1,588
1011 Exempt Full-time equivalent employment .....	126	146	146
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	188	216	216
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	1	1	1

**VACCINE INJURY COMPENSATION**

**Program and Financing (in millions of dollars)**

Identification code 75-0320-0-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....	71	75	19
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	106	145	70

22.00	New budget authority (gross)	110		
23.90	Total budgetary resources available for obligation	216	145	70
23.95	New obligations	-71	-75	-19
24.40	Unobligated balance available, end of year:			
	Uninvested	145	70	51
<b>New budget authority (gross), detail:</b>				
40.05	Appropriation (indefinite)	110		
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested		3	3
73.10	New obligations	71	75	19
73.20	Total outlays (gross)	-67	-75	-22
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested	3	3	
<b>Outlays (gross), detail:</b>				
86.93	Outlays from current balances	67	75	22
<b>Net budget authority and outlays:</b>				
89.00	Budget authority	110		
90.00	Outlays	67	75	22

The Vaccine Improvement Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 backlog of claims yet to be adjudicated, no appropriation is requested in FY 1999 to cover payment of pre-1988 claims. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine Improvement Trust Fund account.

**Credit accounts:**

**HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT**

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4442-0-3-551	1997 actual	1998 est.	1999 est.
Position with respect to appropriations act limitation on commitments:			
2111	Limitation on guaranteed loans made by private lenders	160	
2150	Total guaranteed loan commitments	160	
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year		67
2231	Disbursements of new guaranteed loans	67	74
2251	Repayments and prepayments		-3
2261	Adjustments: Terminations for default that result in loans receivable		
2290	Outstanding, end of year	67	138
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	67	138
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310	Outstanding, start of year		
2331	Disbursements for guaranteed loan claims		
2351	Repayments of loans receivable		
2390	Outstanding, end of year		

P.L. 104-299 and P.L. 104-208 authorize HRSA to guarantee up to \$80 million in private loans to Health Centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and mod-

ernization of medical facilities. Since this program has not yet used any of its current \$160 million in loan guarantee authority, HRSA will use this existing loan guarantee limit over FY 1998 and FY 1999. As required by the Federal Credit Reform Act of 1990, this Financing Account records all cash flows to and from the Government resulting from the Health Center Loan Guarantee Program. The Program Account for this activity is displayed in the Health Resources and Services account (75-0350) as a line in the Program and Financing Schedule.

**Balance Sheet (in millions of dollars)**

Identification code 75-4442-0-3-551	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Investments in US securities:				
1106	Federal assets: Receivables, net		80	80
1999	Total assets		80	80
<b>LIABILITIES:</b>				
2204	Non-Federal liabilities: Liabilities for loan guarantees		80	80
2999	Total liabilities		80	80
4999	Total liabilities and net position		80	80

**HEALTH EDUCATION ASSISTANCE LOANS PROGRAM**

(INCLUDING TRANSFER OF FUNDS)

[For the cost of guaranteed loans, such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the Public Health Service Act, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize gross obligations for the total loan principal any part of which is to be guaranteed at not to exceed \$85,000,000: *Provided further*, That the Secretary may use up to \$1,000,000 derived by transfer from insurance premiums collected from guaranteed loans made under title VII of the Public Health Service Act for the purpose of carrying out section 709 of that Act. In addition, for] *For* administrative expenses to carry out the guaranteed loan program, including section 709 of the Public Health Service Act, **[\$2,688,000] \$3,688,000.** (Department of Health and Human Services Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 75-0340-0-1-552	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.02	Guaranteed loan subsidy	1	
00.09	Administrative expenses subject to limitation	3	4
10.00	Total obligations	3	5
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross)	3	5
23.95	New obligations	-3	-5
<b>New budget authority (gross), detail:</b>			
Current:			
40.00	Appropriation	3	3
42.00	Transferred from other accounts		1
43.00	Appropriation (total)	3	4
Permanent:			
60.05	Appropriation (indefinite)		1
70.00	Total new budget authority (gross)	3	5
<b>Change in unpaid obligations:</b>			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Uninvested	2	1
73.10	New obligations	3	5
73.20	Total outlays (gross)	-4	-6
74.40	Unpaid obligations, end of year: Obligated balance:		
	Uninvested	1	
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new current authority	3	4

**Credit accounts—Continued**

**HEALTH EDUCATION ASSISTANCE LOANS PROGRAM—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 75-0340-0-1-552	1997 actual	1998 est.	1999 est.
86.97 Outlays from new permanent authority .....		1	
86.98 Outlays from permanent balances .....	1	1	
87.00 Total outlays (gross) .....	4	6	4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	5	4
90.00 Outlays .....	4	6	4

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 75-0340-0-1-552	1997 actual	1998 est.	1999 est.
<b>Guaranteed loan levels supportable by subsidy budget authority:</b>			
2150 Loan guarantee levels .....	140	85	
2159 Total loan guarantee levels .....	140	85	
<b>Guaranteed loan subsidy (in percent):</b>			
2320 Subsidy rate .....	0.34	1.09	
2329 Weighted average subsidy rate .....	0.34	1.09	
<b>Guaranteed loan subsidy budget authority:</b>			
2330 Subsidy budget authority .....		1	
2339 Total subsidy budget authority .....		1	
<b>Guaranteed loan subsidy outlays:</b>			
2340 Subsidy outlays .....		1	
2349 Total subsidy outlays .....		1	

The Health Education Assistance Loan (HEAL) program guarantees loans from private lenders to health professions students to help pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records, for the HEAL program, the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that result from obligations or commitments in any year), as well as administrative expenses of the program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification (in millions of dollars)**

Identification code 75-0340-0-1-552	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	2	2	2
25.2 Other services .....	1	1	1
41.0 Grants, subsidies, and contributions .....		1	
99.0 Subtotal, direct obligations .....	3	4	3
99.5 Below reporting threshold .....		1	1
99.9 Total obligations .....	3	5	4

**Personnel Summary**

Identification code 75-0340-0-1-552	1997 actual	1998 est.	1999 est.
<b>Total compensable workyears:</b>			
1001 Full-time equivalent employment .....	20	20	20
1011 Exempt Full-time equivalent employment .....	6	6	6

**HEALTH EDUCATION ASSISTANCE LOANS PROGRAM FINANCING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 75-4304-0-3-552	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	12	11	16
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	226	247	266
22.00 New financing authority (gross) .....	33	30	26
23.90 Total budgetary resources available for obligation	259	277	292
23.95 New obligations .....	-12	-11	-16
24.40 Unobligated balance available, end of year:			
Uninvested .....	247	266	276
<b>New financing authority (gross), detail:</b>			
<b>Current:</b>			
41.00 Transferred to other accounts .....		-1	
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....	33	31	26
70.00 Total new financing authority (gross) .....	33	30	26
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	12	11	16
73.20 Total financing disbursements (gross) .....	-12	-11	-16
87.00 Total financing disbursements (gross) .....	12	11	16
<b>Offsets:</b>			
<b>Against gross financing authority and financing dis-</b>			
<b>bursements:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....		-1	
88.25 Interest on uninvested funds .....	-19	-24	-25
<b>Non-Federal sources:</b>			
88.40 Premium income .....	-14	-5	
88.40 Recoveries of defaulted loans .....		-1	-1
88.90 Total, offsetting collections (cash) .....	-33	-31	-26
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....		-1	
90.00 Financing disbursements .....	-21	-20	-10

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 75-4304-0-3-552	1997 actual	1998 est.	1999 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lend-			
ers .....	140	85	
2150 Total guaranteed loan commitments .....	140	85	
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	1,366	1,494	1,568
2231 Disbursements of new guaranteed loans .....	140	85	
<b>Adjustments:</b>			
2261 Terminations for default that result in loans receiv-			
able .....	-11	-10	-15
2263 Terminations for default that result in claim pay-			
ments .....	-1	-1	-1
2290 Outstanding, end of year .....	1,494	1,568	1,552
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding,			
end of year .....	1,494	1,568	1,552
<b>Addendum:</b>			
<b>Cumulative balance of defaulted guaranteed loans</b>			
<b>that result in loans receivable:</b>			
2310 Outstanding, start of year .....	7	18	27
2331 Disbursements for guaranteed loan claims .....	11	10	15
2351 Repayments of loans receivable .....		-1	-1
2390 Outstanding, end of year .....	18	27	41

This account records all cash flows to and from the Government resulting from (HEAL) loan guarantees committed between 1992 and 1998.

Balance Sheet (in millions of dollars)

Identification code 75-4304-0-3-552	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	202	226	247	266
Investments in US securities:				
1106 Receivables, net .....			1	
Non-Federal assets:				
1201 Investments in non-Federal securities, net .....	15	19	24	25
1206 Receivables, net .....	15	14	5	1
1999 Total assets .....	232	259	277	292
<b>LIABILITIES:</b>				
2204 Non-Federal liabilities: Liabilities for loan guarantees .....	1,366	1,494	1,568	1,552
2999 Total liabilities .....	1,366	1,494	1,568	1,552
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	-1,134	-1,235	-1,291	-1,260
3999 Total net position .....	-1,134	-1,235	-1,291	-1,260
4999 Total liabilities and net position .....	232	259	277	292

HEALTH EDUCATION ASSISTANCE LOANS PROGRAM LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4305-0-3-552	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Operating expenses: death and disability .....	5	8	9
00.02 Capital investment .....	47	42	40
00.03 Collection costs .....	5	5	8
10.00 Total obligations .....	57	55	57
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	12	5	
22.00 New budget authority (gross) .....	51	50	57
23.90 Total budgetary resources available for obligation .....	63	55	57
23.95 New obligations .....	-57	-55	-57
24.40 Unobligated balance available, end of year:			
Uninvested .....	5		
<b>New budget authority (gross), detail:</b>			
60.05 Appropriation (indefinite) .....	38	30	37
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	13	20	20
70.00 Total new budget authority (gross) .....	51	50	57
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	6	
73.10 New obligations .....	57	55	57
73.20 Total outlays (gross) .....	-51	-61	-57
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	6		
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	38	50	57
86.98 Outlays from permanent balances .....	13	11	
87.00 Total outlays (gross) .....	51	61	57
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-13	-20	-20
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	38	30	37
90.00 Outlays .....	38	41	37

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4305-0-3-552	1997 actual	1998 est.	1999 est.
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	1,559	1,475	1,387
2251 Repayments and prepayments .....	-48	-52	-58
<b>Adjustments:</b>			
2261 Terminations for default that result in loans receivable .....	-29	-23	-28
2263 Terminations for default that result in claim payments .....	-3	-8	-5
2264 Other adjustments, net .....	-4	-5	-8
2290 Outstanding, end of year .....	1,475	1,387	1,288
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1,475	1,387	1,288
<b>Addendum:</b>			
<b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b>			
2310 Outstanding, start of year .....	422	470	489
2331 Disbursements for guaranteed loan claims .....	47	42	40
2351 Repayments of loans receivable .....	-13	-13	-13
2361 Write-offs of loans receivable .....	-10	-10	-10
2364 Other adjustments, net .....	24		
2390 Outstanding, end of year .....	470	489	506

Note.—Includes carryover commitments from prior years.

Note.—The adjustment to loans receivable represents interest, which had not previously been reflected in cumulative outstanding balances.

This account records all cash flows to and from the Government resulting from HEAL loan guarantees committed prior to 1992.

DATA ON LOANS

	1997 actual	1998 est.	1999 est.
Dollar volume of loans insured (\$ in millions) .....	140	85	
Number of students .....	12,035	7,727	
Average value of loans .....	11,602	11,000	

Statement of Operations (in millions of dollars)

Identification code 75-4305-0-3-552	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	80	74	60	57
0102 Expense .....	-44	-57	-55	-57
0109 Net loss .....	36	17	5	

Balance Sheet (in millions of dollars)

Identification code 75-4305-0-3-552	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	12			
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross .....	422	470	489	506
1702 Interest receivable .....	6	6	6	6
1703 Allowance for estimated uncollectible loans and interest (-) .....	-7			
1704 Defaulted guaranteed loans and interest receivable, net .....	421	476	495	512
1799 Value of assets related to loan guarantees .....	421	476	495	512
1801 Other Federal assets: Cash and other monetary assets .....	44	57	55	57
1999 Total assets .....	477	533	550	569
<b>LIABILITIES:</b>				
2104 Federal liabilities: Resources payable to Treasury .....	5	3	8	5
2201 Non-Federal liabilities: Accounts payable .....	38	47	42	40
2999 Total liabilities .....	43	50	50	45
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	434	483	500	524
3999 Total net position .....	434	483	500	524

**Credit accounts—Continued**

**HEALTH EDUCATION ASSISTANCE LOANS PROGRAM LIQUIDATING ACCOUNT—Continued**

**Balance Sheet (in millions of dollars)—Continued**

Identification code 75-4305-0-3-552	1996 actual	1997 actual	1998 est.	1999 est.
4999 Total liabilities and net position .....	477	533	550	569

**Object Classification (in millions of dollars)**

Identification code 75-4305-0-3-552	1997 actual	1998 est.	1999 est.
25.2 Other services .....	6	5	5
42.0 Insurance claims and indemnities .....	51	50	52
99.9 Total obligations .....	57	55	57

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND**

**FEDERAL INTEREST SUBSIDIES FOR MEDICAL FACILITIES**

For carrying out subsections (d) and (e) of section 1602 of the Public Health Service Act, **[\$6,000,000] \$1,000,000**, together with any amounts received by the Secretary in connection with loans and loan guarantees under title VI of the Public Health Service Act, to be available without fiscal year limitation for the payment of interest subsidies. During the fiscal year, no commitments for direct loans or loan guarantees shall be made. *(Department of Health and Human Services Appropriations Act, 1998.)*

**Program and Financing (in millions of dollars)**

Identification code 75-9931-0-3-550	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Operating expenses: Interest subsidies, private .....	4	4	4
01.01 Capital investment: Direct loans .....	1	1	1
10.00 Total obligations .....	4	5	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	58	66	63
22.00 New budget authority (gross) .....	18	14	9
22.60 Redemption of debt .....	-6	-12	-12
23.90 Total budgetary resources available for obligation .....	70	68	60
23.95 New obligations .....	-4	-5	-5
24.40 Unobligated balance available, end of year:			
Uninvested .....	66	63	55
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	7	6	1
Permanent:			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....	11	8	8
70.00 Total new budget authority (gross) .....	18	14	9
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	8	7	4
73.10 New obligations .....	4	5	5
73.20 Total outlays (gross) .....	-5	-6	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	7	4	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	4	6	1
86.93 Outlays from current balances .....	1	1	5
87.00 Total outlays (gross) .....	5	6	6
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Interest repaid on loans not sold .....	-1	-1	-1
88.40 Principal collections from FFB .....	-6	-6	-6

88.40	Default collections, principal .....	-3		
88.40	Interest collections from FFB .....	-1	-1	-1
88.90	Total, offsetting collections (cash) .....	-11	-8	-8

**Net budget authority and outlays:**

89.00	Budget authority .....	7	6	1
90.00	Outlays .....	-6	-2	-2

**Status of Direct Loans (in millions of dollars)**

Identification code 75-9931-0-3-550	1997 actual	1998 est.	1999 est.	
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	34	24	17
1251	Repayments: Repayments and prepayments .....	-10	-5	-5
1264	Write-offs for default: Other adjustments, net .....		-2	-2
1290	Outstanding, end of year .....	24	17	10

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 75-9931-0-3-550	1997 actual	1998 est.	1999 est.	
<b>Cumulative balance of guaranteed loans outstanding:</b>				
2210	Outstanding, start of year .....	188	142	98
2251	Repayments and prepayments .....	-46	-44	-40
2290	Outstanding, end of year .....	142	98	58
<b>Memorandum:</b>				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	142	98	58

Title VII, part C of the Public Health Service Act established a revolving fund from which health professions schools could borrow in order to provide loans to their students.

Public Law 89-751, the Allied Health Professions Personnel Training Act of 1966, amended the Public Health Service Act to authorize the Federal Government to pay the difference between the interest paid by students to the schools and the interest payable by the schools to the Government National Mortgage Association (GNMA) and the Treasury.

Title VI and subsequently title XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans. Default and interest subsidy funds are replenished as necessary through the annual appropriation process.

**Object Classification (in millions of dollars)**

Identification code 75-9931-0-3-550	1997 actual	1998 est.	1999 est.	
33.0	Investments and loans .....		1	1
41.0	Grants, subsidies, and contributions .....	4	3	3
43.0	Interest and dividends .....		1	1
99.9	Total obligations .....	4	5	5

**Trust Funds**

**VACCINE INJURY COMPENSATION PROGRAM TRUST FUND**

For payments from the Vaccine Injury Compensation Program Trust Fund, such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the Public Health Service Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed \$3,000,000 shall be available from the Trust Fund to the Secretary of Health and Human Services. *(Department of Health and Human Services Appropriations Act, 1998.)*



Unavailable Collections (in millions of dollars)

Identification code 20-8175-0-7-551	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....	1,047	1,193	1,336
Receipts:			
02.01 Deposits .....	123	111	111
02.03 Interest income .....	81	93	104
02.99 Total receipts .....	204	204	215
04.00 Total: Balances and collections .....	1,251	1,397	1,551
Appropriation:			
05.01 Vaccine injury compensation program trust fund .....	-58	-61	-61
05.99 Subtotal appropriation .....	-58	-61	-61
07.99 Total balance, end of year .....	1,193	1,336	1,490

Program and Financing (in millions of dollars)

Identification code 20-8175-0-7-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Compensation: Claims for post—FY 1989 injuries .....	49	52	52
Administrative expenses:			
01.03 Claims processing (Claims Court) .....	3	3	3
01.04 Claims processing (Public Health Service) .....	3	3	3
01.05 Claims processing (Dept. of Justice) .....		6	3
01.91 Total, administrative expenses .....	6	12	9
10.00 Total obligations .....	55	64	61
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....		3	
22.00 New budget authority (gross) .....	58	61	61
23.90 Total budgetary resources available for obligation .....	58	64	61
23.95 New obligations .....	-55	-64	-61
24.40 Unobligated balance available, end of year:			
Uninvested .....	3		
<b>New budget authority (gross), detail:</b>			
40.26 Appropriation (trust fund, definite) .....	9	9	9
40.27 Appropriation (trust fund, indefinite) .....	49	52	52
43.00 Appropriation (total) .....	58	61	61
70.00 Total new budget authority (gross) .....	58	61	61
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....		1	
73.10 New obligations .....	55	64	61
73.20 Total outlays (gross) .....	-54	-65	-61
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	54	61	61
86.93 Outlays from current balances .....		4	
87.00 Total outlays (gross) .....	54	65	61
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	58	61	61
90.00 Outlays .....	54	65	61

The Vaccine Improvement Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. This account reflects payments for claims for vaccine related injury or death occurring after October 1, 1988.

Object Classification (in millions of dollars)

Identification code 20-8175-0-7-551	1997 actual	1998 est.	1999 est.
25.2 Other services .....	3	3	3
25.3 Purchases of goods and services from Government accounts .....	3	9	6
42.0 Insurance claims and indemnities .....	49	52	52

99.9	Total obligations .....	55	64	61
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INDIAN HEALTH SERVICE

Federal Funds

General and special funds:

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, **[\$1,841,074,000] \$1,843,873,000**, together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That \$12,000,000 shall remain available until expended, for the Indian Catastrophic Health Emergency Fund: *Provided further*, That \$361,375,000 for contract medical care shall remain available for obligation until September 30, [1999] 2000: *Provided further*, That of the funds provided, not less than \$11,889,000 shall be used to carry out the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That funds provided in this Act may be used for one-year contracts and grants which are to be performed in two fiscal years, so long as the total obligation is recorded in the year for which the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act (exclusive of planning, design, or construction of new facilities): *Provided further*, That of the funds provided, \$7,500,000 shall remain available until expended, for the Indian Self-Determination Fund, which shall be available for the transitional costs of initial or expanded tribal contracts, compacts, grants or cooperative agreements with the Indian Health Service under the provisions of the Indian Self-Determination Act: *Provided further*, That funding contained herein, and in any earlier appropriations Acts for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available for obligation until September 30, [1999] 2000: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: *Provided further*, That not to exceed \$168,702,000 shall be for payments to tribes and tribal organizations for contract support costs associated with ongoing contracts or grants or compacts entered into with the Indian Health Service prior to fiscal year [1998] 1999, as authorized by the Indian Self-Determination Act of 1975, as amended. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 75-0390-0-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Clinical services .....	1,485	1,477	1,479
00.02 Preventive health .....	81	83	83
00.03 Urban health .....	25	25	26
00.04 Indian health professions .....	28	29	29
00.05 Tribal management .....	2	2	2
00.06 Direct operations .....	43	47	47
00.07 Self-governance .....	7	9	9
00.08 Contract support costs .....	155	169	169
00.09 Diabetes funds .....		30	30
00.91 Total direct program .....	1,826	1,871	1,874
09.01 Reimbursable program .....	308	298	323
10.00 Total obligations .....	2,134	2,169	2,197

**General and special funds—Continued**

**INDIAN HEALTH SERVICES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0390-0-1-551	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	77	98	98
22.00 New budget authority (gross) .....	2,158	2,169	2,197
22.30 Unobligated balance expiring .....	-3		
23.90 Total budgetary resources available for obligation	2,232	2,267	2,295
23.95 New obligations .....	-2,134	-2,169	-2,197
24.40 Unobligated balance available, end of year:			
Uninvested .....	98	98	98
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	1,806	1,841	1,844
40.15 Appropriation (emergency) .....	1		
43.00 Appropriation (total) .....	1,807	1,841	1,844
Permanent:			
62.00 Transferred from HCFA for Diabetes .....		30	30
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash) .....	351	298	323
70.00 Total new budget authority (gross) .....	2,158	2,169	2,197
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	565	481	509
73.10 New obligations .....	2,134	2,169	2,197
73.20 Total outlays (gross) .....	-2,271	-2,141	-2,146
73.40 Adjustments in expired accounts .....	53		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	481	509	560
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1,409	1,437	1,438
86.93 Outlays from current balances .....	511	397	358
86.97 Outlays from new permanent authority .....	351	307	332
86.98 Outlays from permanent balances .....			18
87.00 Total outlays (gross) .....	2,271	2,141	2,146
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-74	-74	-78
88.40 Non-Federal sources .....	-277	-224	-245
88.90 Total, offsetting collections (cash) .....	-351	-298	-323
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,807	1,871	1,874
90.00 Outlays .....	1,920	1,843	1,823

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$444 million will be administered by tribal governments under self-governance compacts in FY 1999.

**Object Classification (in millions of dollars)**

Identification code 75-0390-0-1-551	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	368	364	381
11.3 Other than full-time permanent .....	18	16	17
11.5 Other personnel compensation .....	12	31	32
11.9 Total personnel compensation .....	398	411	430
12.1 Civilian personnel benefits .....	116	119	125
13.0 Benefits for former personnel .....	6	6	6
21.0 Travel and transportation of persons .....	28	29	29
22.0 Transportation of things .....	11	11	11
23.1 Rental payments to GSA .....	8	8	8
23.2 Rental payments to others .....	2		
23.3 Communications, utilities, and miscellaneous			
charges .....	26	20	20

24.0 Printing and reproduction .....	2	2	2
25.1 Advisory and assistance services .....	1	4	4
25.2 Other services .....	120	161	132
25.3 Purchases of goods and services from Government			
accounts .....	4	5	8
25.4 Operation and maintenance of facilities .....	8	14	15
25.6 Medical care .....	187	243	248
25.7 Operation and maintenance of equipment .....	15	21	20
25.8 Subsistence and support of persons .....	22	30	30
26.0 Supplies and materials .....	103	88	87
31.0 Equipment .....	22	22	22
32.0 Land and structures .....	2	2	2
41.0 Grants, subsidies, and contributions .....	745	675	675
99.0 Subtotal, direct obligations .....	1,826	1,871	1,874
99.0 Reimbursable obligations .....	308	298	323
99.9 Total obligations .....	2,134	2,169	2,197

**Personnel Summary**

Identification code 75-0390-0-1-551	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
Total compensable workyears:			
1001 Full-time equivalent employment .....	10,271	10,085	10,071
1011 Exempt Full-time equivalent employment .....		5	5
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent			
employment .....	2,691	2,691	2,691

**INDIAN HEALTH FACILITIES**

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$257,538,000] \$235,576,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction or renovation of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land for sites to construct, improve, or enlarge health or related facilities. *In addition, to become available on October 1 of the fiscal year specified and remain available until expended: for construction of a replacement of the health facility at Fort Defiance, Arizona, up to \$25,000,000 for fiscal year 1999, up to \$25,000,000 for fiscal year 2000, and up to \$28,000,000 for fiscal year 2001; for construction of the health facility at Keams Canyon, Arizona, up to \$13,900,000 for fiscal year 1999, and up to \$3,200,000 for fiscal year 2000. (Department of the Interior and Related Agencies Appropriations Act, 1998.)*

**Unavailable Collections (in millions of dollars)**

Identification code 75-0391-0-1-551	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Rent and charges for quarters, Indian Health Service,			
HHS .....	5	5	5
Appropriation:			
05.01 Indian health facilities .....	-5	-5	-5
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 75-0391-0-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Sanitation and health facilities construction .....	104	103	123
00.02 Maintenance .....	53	45	40
00.03 Facilities and environmental health .....	95	102	103
00.04 Equipment .....	10	13	13

01.00	Total direct program .....	262	263	279
09.01	Reimbursable program .....	18	12	12
10.00	Total obligations .....	280	275	291
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year: Uninvested .....	25	11	11
22.00	New budget authority (gross) .....	267	275	291
23.90	Total budgetary resources available for obligation	292	286	302
23.95	New obligations .....	-280	-275	-291
24.40	Unobligated balance available, end of year: Uninvested .....	11	11	11
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	250	258	274
Permanent:				
60.25	Appropriation (special fund, indefinite) .....	5	5	5
68.00	Spending authority from offsetting collections: Off- setting collections (cash) .....	12	12	12
70.00	Total new budget authority (gross) .....	267	275	291
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance: Uninvested .....	380	392	382
73.10	New obligations .....	280	275	291
73.20	Total outlays (gross) .....	-266	-285	-314
74.40	Unpaid obligations, end of year: Obligated balance: Uninvested .....	392	382	359
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	57	64	69
86.93	Outlays from current balances .....	204	204	228
86.97	Outlays from new permanent authority .....	5	17	17
87.00	Total outlays (gross) .....	266	285	314
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.45	Offsetting collections (cash) from: Offsetting gov- ernmental collections .....	-12	-12	-12
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	255	263	279
90.00	Outlays .....	256	273	302

**The Indian health facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian health services.**

Object Classification (in millions of dollars)				
Identification code 75-0391-0-1-551		1997 actual	1998 est.	1999 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	44	45	48
11.3	Other than full-time permanent .....	5	5	6
11.5	Other personnel compensation .....	2	2	2
11.9	Total personnel compensation .....	51	52	56
12.1	Civilian personnel benefits .....	14	16	16
21.0	Travel and transportation of persons .....	4	4	4
22.0	Transportation of things .....	5	5	5
23.3	Communications, utilities, and miscellaneous charges .....	7	15	15
25.1	Advisory and assistance services .....	3	2	3
25.2	Other services .....	57	52	53
25.3	Purchases of goods and services from Government accounts .....	2	7	6
25.4	Operation and maintenance of facilities .....	32	21	28
25.6	Medical care .....	8	4	7
26.0	Supplies and materials .....	10	10	10
31.0	Equipment .....	4	4	4
32.0	Land and structures .....	27	45	45
41.0	Grants, subsidies, and contributions .....	38	26	27
99.0	Subtotal, direct obligations .....	262	263	279
99.0	Reimbursable obligations .....	18	12	12
99.9	Total obligations .....	280	275	291

**Personnel Summary**

Identification code 75-0391-0-1-551	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1,437	1,456	1,470

**ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE**

Appropriations in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 but at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and for uniforms or allowances therefore as authorized by 5 U.S.C. 5901-5902; and for expenses of attendance at meetings which are concerned with the functions or activities for which the appropriation is made or which will contribute to improved conduct, supervision, or management of those functions or activities: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651-2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86-121 (the Indian Sanitation Facilities Act) and Public Law 93-638, as amended: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title III of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title III of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That funds made available in this Act are to be apportioned to the Indian Health Service as appropriated in this Act, and accounted for in the appropriation structure set forth in this Act: *Provided further*, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities, on a reimbursable basis, including payment in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account which provided the funding, said amounts to remain available until expended: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: *Provided further*, That the appropriation structure for the Indian Health Service may not be altered without advance [approval of] notice submitted to the House and Senate Committees on Appropriations. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

**CENTERS FOR DISEASE CONTROL AND PREVENTION**

**Federal Funds**

**General and special funds:**

**DISEASE CONTROL, RESEARCH, AND TRAINING**

To carry out titles II, III, VII, XI, XV, XVII, and XIX of the Public Health Service Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act of 1977, and sections 20, 21 and 22 of the Occupational Safety and Health Act of 1970, title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980; including insurance of official motor vehicles in foreign countries; and hire, maintenance, and operation of aircraft, **[\$2,327,552,000] \$2,414,197,000**, of which **[\$21,504,000] \$6,800,000** shall remain available until expended for equipment and construction and renovation of facilities, and in addition, such sums as may be derived from authorized user fees, which shall be credited to this account: *Provided*, That in addition to amounts provided herein, up to **[\$59,232,000] \$86,012,000** shall be available from amounts available under section 241 of the Public Health Service Act, to carry out the National Center for Health Statistics surveys: *Provided further*, That none of the funds made available for injury prevention and control at the Centers for Disease Control and Prevention may be used to advocate or promote gun control: *Provided further*, That the Director may redirect the total amount made available under authority of Public Law 101-502, section 3, dated November 3, 1990, to activities the Director may so designate: *Provided further*, That the Congress is to be notified promptly of any such transfer.

In addition, **[\$1,000,000,] \$43,000,000** to be derived from the Violent Crime Reduction Trust Fund, for carrying out sections 40151 and 40261 of Public Law 103-322. (*Department of Health and Human Services Appropriations Act, 1998.*)

**Unavailable Collections (in millions of dollars)**

Identification code 75-0943-0-1-999	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Cooperative research and development agreements, Centers for Disease Control	1	1	1
Appropriation:			
05.01 Disease control, research, and training	-1	-1	-1
07.99 Total balance, end of year			

**Program and Financing (in millions of dollars)**

Identification code 75-0943-0-1-999	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Preventive health block grant	154	150	150
00.02 Prevention centers	8	8	8
00.03 Sexually transmitted diseases	106	114	124
00.04 Immunization	468	407	407
00.05 Infectious diseases	207	234	259
00.06 Other chronic and environmental diseases	367	403	419
00.07 Tobacco	21	28	74
00.08 Occupational safety and health	141	153	153
00.09 Mine safety and health	30	36	36
00.10 Epidemic services	70	89	89
00.11 Health statistics	38	27	
00.12 HIV	616	634	634
00.13 Research for America			25
00.14 Buildings and facilities	44	94	7
00.15 Program management	3	2	2
00.16 Violent crime reduction programs	41	51	51
00.17 Office of the Director savings			-11
00.18 Demonstrations to address health disparities			30
00.91 Total direct program	2,314	2,430	2,457
09.01 Reimbursable program	147	150	182
10.00 Total obligations	2,461	2,580	2,639

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year:			
Uninvested	51	52	
22.00 New budget authority (gross)	2,455	2,530	2,640

22.10 Resources available from recoveries of prior year obligations	1		
22.22 Unobligated balance transferred from other accounts	5		
23.90 Total budgetary resources available for obligation	2,512	2,582	2,640
23.95 New obligations	-2,461	-2,580	-2,639
24.40 Unobligated balance available, end of year:			
Uninvested	52		

**New budget authority (gross), detail:**

Current:			
40.00 Appropriation	2,261	2,327	2,414
42.00 Transferred from other accounts	41	52	43
43.00 Appropriation (total)	2,302	2,379	2,457
Permanent:			
60.25 Appropriation (special fund, indefinite)	1	1	1
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	147	150	182
68.10 Change in orders on hand from Federal sources	5		
68.90 Spending authority from offsetting collections (total)	152	150	182
70.00 Total new budget authority (gross)	2,455	2,530	2,640

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
72.40 Obligated balance: Uninvested	2,061	2,070	2,230
72.95 Orders on hand from Federal sources		5	5
72.99 Total unpaid obligations, start of year	2,061	2,075	2,235
73.10 New obligations	2,461	2,580	2,639
73.20 Total outlays (gross)	-2,396	-2,420	-2,533
73.40 Adjustments in expired accounts	-50		
73.45 Adjustments in unexpired accounts	-1		
Unpaid obligations, end of year:			
74.40 Obligated balance: Uninvested	2,070	2,230	2,336
74.95 Orders on hand from Federal sources	5	5	5
74.99 Total unpaid obligations, end of year	2,075	2,235	2,341

**Outlays (gross), detail:**

86.90 Outlays from new current authority	801	804	829
86.93 Outlays from current balances	1,447	1,465	1,521
86.97 Outlays from new permanent authority	147	150	182
86.98 Outlays from permanent balances	1	1	1
87.00 Total outlays (gross)	2,396	2,420	2,533

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-147	-150	-182
88.95 Change in orders on hand from Federal sources	-5		

**Net budget authority and outlays:**

89.00 Budget authority	2,303	2,380	2,458
90.00 Outlays	2,249	2,270	2,351

(Dollars in millions)

	1997	1998	1999
Distribution of budget authority by account:			
Disease control, research and training	2,262	2,328	2,414
Violent crime reduction programs	41	51	43
Distribution of outlays by account:			
Disease control, research and training	2,219	2,220	2,310
Violent crime reduction programs	30	50	41

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs including grants for immunizations, control of sexually transmitted diseases, HIV prevention, and control of other infectious and chronic diseases. CDC also supports the Preventive Health Block Grant.

This display also includes amounts derived from the Violent Crime Reduction Trust Fund.

A portion of the funding for the Centers for Disease Control's Prevention Research is proposed as part of the Research Fund for America. This proposal highlights the Administration's priority to providing needed and sustained investments in important Federal research programs on a deficit neutral basis. A discussion of the Research Fund for America, and two other funds for the environment and transportation, can be found in Section II of the *Budget* volume.

Object Classification (in millions of dollars)

Identification code 75-0943-0-1-999	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	256	290	298
11.3 Other than full-time permanent .....	14	15	16
11.5 Other personnel compensation .....	14	15	16
11.9 Total personnel compensation .....	284	320	330
12.1 Civilian personnel benefits .....	82	87	90
21.0 Travel and transportation of persons .....	22	23	23
22.0 Transportation of things .....	4	4	4
23.1 Rental payments to GSA .....	15	20	20
23.3 Communications, utilities, and miscellaneous charges .....	26	27	27
24.0 Printing and reproduction .....	7	7	7
25.1 Advisory and assistance services .....	10	11	11
25.2 Other services .....	83	75	78
25.5 Research and development contracts .....	196	200	206
25.7 Operation and maintenance of equipment .....	13	13	13
26.0 Supplies and materials .....	164	164	166
31.0 Equipment .....	42	38	38
32.0 Land and structures .....	16	72	7
41.0 Grants, subsidies, and contributions .....	1,348	1,367	1,435
43.0 Interest and dividends .....	2	2	2
99.0 Subtotal, direct obligations .....	2,314	2,430	2,457
99.0 Reimbursable obligations .....	147	150	182
99.9 Total obligations .....	2,461	2,580	2,639

Personnel Summary

Identification code 75-0943-0-1-999	1997 actual	1998 est.	1999 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment .....	5,583	5,987	5,958
1011 Exempt Full-time equivalent employment .....	37	60	60
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	1,000	1,000	1,097

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows:  
Environmental Protection Agency: "Hazardous substance response trust fund."

NATIONAL INSTITUTES OF HEALTH

Federal Funds

General and special funds:

NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cancer, **[\$2,547,314,000]** \$2,536,061,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$1,531,061,000]** \$1,646,479,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF DENTAL RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to dental disease, **[\$209,415,000]** \$214,559,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to diabetes and digestive and kidney disease, **[\$873,860,000]** \$927,492,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the Public Health Service Act with respect to neurological disorders and stroke, **[\$780,713,000]** \$815,649,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to allergy and infectious diseases, **[\$1,351,655,000]** \$702,040,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to general medical sciences, **[\$1,065,947,000]** \$1,114,886,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the Public Health Service Act with respect to child health and human development, **[\$674,766,000]** \$654,716,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to eye diseases and visual disorders, **[\$355,691,000]** \$374,356,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out sections 301 and 311 and title IV of the Public Health Service Act with respect to environmental health sciences, **[\$330,108,000]** \$348,090,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the Public Health Service Act with respect to aging, **[\$519,279,000]** \$556,070,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to arthritis and musculoskeletal and skin diseases, **[\$274,760,000]** \$291,053,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the Public Health Service Act with respect to deafness and other communication disorders, **[\$200,695,000]** \$213,834,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to nursing research, **[\$63,597,000]** \$62,416,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the Public Health Service Act with respect to alcohol abuse and alcoholism, **[\$227,175,000]** \$230,243,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the Public Health Service Act with respect to drug abuse, **[\$527,175,000]** \$395,129,000. (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the Public Health Service Act with respect to mental health, **[\$750,241,000]** \$701,790,000. (Department of Health and Human Services Appropriations Act, 1998.)

**General and special funds—Continued**

NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to human genome research, **[\$217,704,000] \$236,996,000.** (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL CENTER FOR RESEARCH RESOURCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to research resources and general research support grants, **[\$453,883,000] \$422,995,000.** *Provided*, That none of these funds shall be used to pay recipients of the general research support grants program any amount for indirect expenses in connection with such grants: *Provided further*, That **[\$20,000,000] \$20,000,000** shall be for extramural facilities construction grants. (Department of Health and Human Services Appropriations Act, 1998.)

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities at the John E. Fogarty International Center, **[\$28,289,000] \$19,105,000.** (Department of Health and Human Services Appropriations Act, 1998.)

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to health information communications, **[\$161,185,000] \$171,253,000**, of which \$4,000,000 shall be available until expended for improvement of information systems: *Provided*, That in fiscal year **[1998] 1999**, the Library may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health. (Department of Health and Human Services Appropriations Act, 1998.)

OFFICE OF THE DIRECTOR

(INCLUDING TRANSFER OF FUNDS)

For carrying out the responsibilities of the Office of the Director, National Institutes of Health, **[\$296,373,000, of which \$40,536,000 shall be for the Office of AIDS Research] \$212,949,000.** *Provided*, That funding shall be available for the purchase of not to exceed five passenger motor vehicles for replacement only: *Provided further*, That the Director may direct up to 1 percent of the total amount made available in this or any other Act to all National Institutes of Health appropriations to activities the Director may so designate: *Provided further*, That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer: *Provided further*, That NIH is authorized to collect third party payments for the cost of clinical services that are incurred in National Institutes of Health research facilities and that such payments shall be credited to the National Institutes of Health Management Fund: *Provided further*, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: *Provided further*, That up to **[\$500,000] \$300,000** shall be available to carry out section 499 of the Public Health Service Act for the final year of Federal support for the National Foundation for Biomedical Research and that these funds shall be available for obligation only after the Secretary of Health and Human Services certifies a plan for the self-sufficiency of the Foundation after fiscal year 1999: *Provided further*, That, notwithstanding section 499(k)(10) of the Public Health Service Act, funds from the National Foundation for Biomedical Research may be transferred to the National Institutes of Health: *Provided further*, That **\$20,000,000** shall be available to carry out section 404E of the Public Health Service Act: *Provided further*, That of the funds available to carry out section 404E of the Public Health Service Act, not less than \$7,000,000 shall be for peer reviewed complementary and alternative medicine research grants and contracts that respond to program announcements and requests for proposals issued by the Office of Alternative Medicine]. (Department of Health and Human Services Appropriations Act, 1998.)

OFFICE OF AIDS RESEARCH

(INCLUDING THE TRANSFER OF FUNDS)

For carrying out part D of title XXIII of the Public Health Service Act, **\$1,730,796,000, of which \$6,100,000 shall be transferred to Buildings and Facilities and remain available until expended:** *Provided*, That the Director of the Office of AIDS Research shall transfer from

this appropriation the amounts necessary to carry out subsection 2353(d) of the Act.

BUILDINGS AND FACILITIES

For the study of, construction of, and acquisition of equipment for, facilities of or used by the National Institutes of Health, including the acquisition of real property, **[\$206,957,000] \$128,886,000**, to remain available until expended, of which \$90,000,000 shall be for the clinical research center and \$16,957,000 for the Vaccine Facility: *Provided*, That notwithstanding any other provision of law, a single contract or related contracts for the development and construction of the clinical research center may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18: *Provided further*, That notwithstanding any other provision of law, a single contract or related contracts for the development and construction of the Vaccine Facility may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found in 48 CFR 52.232-18].

In addition, to become available on October 1 of the fiscal year specified and remain available until expended, for the Mark O. Hatfield Clinical Research Center: **\$90,000,000 for fiscal year 1999, and \$40,000,000 for fiscal year 2000.** (Department of Health and Human Services Appropriations Act, 1998.)

Unavailable Collections (in millions of dollars)

Identification code 75-9915-0-1-552	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Cooperative research and development agreements, NIH .....	28	28	28
Appropriation:			
05.01 National Institutes of Health .....	-28	-28	-28
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 75-9915-0-1-552	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
Direct program:			
00.01 National Cancer Institute .....	2,389	2,547	2,536
00.02 National Heart, Lung, and Blood Institute .....	1,432	1,531	1,647
00.03 National Institute of Dental Research .....	197	209	215
00.04 National Institute of Diabetes and Digestive and Kidney Disease .....	813	874	927
00.05 National Institute of Neurological Disorders and Stroke .....	729	781	816
00.06 National Institute of Allergy and Infectious Diseases .....	1,258	1,352	702
00.07 National Institute of General Medical Sciences .....	995	1,066	1,115
00.08 National Institute of Child Health and Human Development .....	631	675	655
00.09 National Eye Institute .....	332	356	374
00.10 National Institute of Environmental Health Sciences .....	308	330	348
00.11 National Institute on Aging .....	484	519	556
00.12 National Institute of Arthritis and Musculoskeletal and Skin Disease .....	256	275	291
00.13 National Institute on Deafness and Other Communication Disorder .....	188	201	214
00.14 National Institute of Nursing Research .....	60	64	62
00.15 National Institute of Alcohol Abuse and Alcoholism .....	211	227	230
00.16 National Institute on Drug Abuse .....	499	527	395
00.17 National Institute of Mental Health .....	701	750	702
00.18 National Center for Research Resources .....	414	454	423
00.19 National Human Genome Research Institute .....	189	218	237
00.20 John E. Fogarty International Center .....	27	28	19
00.21 National Library of Medicine .....	150	161	171
00.22 Office of the Director .....	286	296	213
00.23 Office of AIDS Research .....			1,731
00.24 Buildings and facilities .....	222	207	219
00.25 Cooperative research and development agreements .....	17	17	17
00.26 Royalties .....	29	29	29
00.91 Total direct program .....	12,817	13,694	14,844
09.00 Reimbursable program .....	1,052	1,159	1,145
10.00 Total obligations .....	13,869	14,853	15,989

**Budgetary resources available for obligation:**

21.40	Unobligated balance available, start of year:			
	Uninvested .....	221	197	179
22.00	New budget authority (gross) .....	13,848	14,835	15,971
22.30	Unobligated balance expiring .....	-1		
23.90	Total budgetary resources available for obligation .....	14,068	15,032	16,150
23.95	New obligations .....	-13,869	-14,853	-15,989
24.40	Unobligated balance available, end of year:			
	Uninvested .....	197	179	161

**New budget authority (gross), detail:**

<b>Current:</b>				
40.00	Appropriation .....	12,741	13,648	14,798
42.00	Transferred from other accounts .....	10		
43.00	Appropriation (total) .....	12,751	13,648	14,798
<b>Permanent:</b>				
60.25	Appropriation (special fund, indefinite) .....	28	28	28
<b>Spending authority from offsetting collections:</b>				
68.00	Offsetting collections (cash) .....	1,052	1,159	1,145
68.10	Change in orders on hand from Federal sources .....	17		
68.90	Spending authority from offsetting collections (total) .....	1,069	1,159	1,145
70.00	Total new budget authority (gross) .....	13,848	14,835	15,971

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>				
72.40	Obligated balance: Uninvested .....	9,247	10,973	11,746
72.95	Orders on hand from Federal sources .....	4,422	4,439	4,439
72.99	Total unpaid obligations, start of year .....	13,669	15,412	16,185
73.10	New obligations .....	13,869	14,853	15,989
73.20	Total outlays (gross) .....	-12,251	-14,080	-15,071
73.40	Adjustments in expired accounts .....	125		
<b>Unpaid obligations, end of year:</b>				
74.40	Obligated balance: Uninvested .....	10,973	11,746	12,664
74.95	Orders on hand from Federal sources .....	4,439	4,439	4,439
74.99	Total unpaid obligations, end of year .....	15,412	16,185	17,103

**Outlays (gross), detail:**

86.90	Outlays from new current authority .....	3,973	4,251	4,618
86.93	Outlays from current balances .....	7,293	8,642	9,280
86.97	Outlays from new permanent authority .....	985	1,187	1,173
87.00	Total outlays (gross) .....	12,251	14,080	15,071

**Offsets:**

<b>Against gross budget authority and outlays:</b>				
88.00	Offsetting collections (cash) from: Federal sources .....	-1,052	-1,159	-1,145
88.95	Change in orders on hand from Federal sources .....	-17		

**Net budget authority and outlays:**

89.00	Budget authority .....	12,779	13,676	14,826
90.00	Outlays .....	11,199	12,921	13,926

[Dollars in millions]

**Distribution of budget authority by account:**

	1997	1998	1999
National Cancer Institute .....	2,389	2,547	2,536
National Heart, Lung, and Blood Institute .....	1,432	1,531	1,646
National Institute of Dental Research .....	197	209	215
National Institute of Diabetes and Digestive and Kidney Diseases .....	813	874	927
National Institute of Neurological Disorder and Stroke .....	729	781	816
National Institute of Allergy and Infectious Diseases .....	1,258	1,352	702
National Institute of General Medical Sciences .....	995	1,066	1,115
National Institute of Child Health and Human Development .....	632	675	655
National Eye Institute .....	332	356	374
National Institute of Environmental Health Sciences .....	308	330	348
National Institute on Aging .....	484	519	556
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	256	275	291
National Institute on Deafness and Other Communication Disorders .....	188	201	214
National Institute of Nursing Research .....	60	64	62
National Institute on Alcohol Abuse and Alcoholism .....	211	227	230
National Institute on Drug Abuse .....	500	527	395
National Institute of Mental Health .....	701	750	702
National Center for Research Resources .....	414	454	423
National Human Genome Research Institute .....	189	218	237
John E. Fogarty International Center .....	27	28	19
National Library of Medicine .....	150	161	171
Office of the Director .....	286	298	213

Office of AIDS Research .....			1,731
Buildings and Facilities .....	200	207	219
Subtotal .....	12,751	13,650	14,797
Cooperative Research and Development Agreements .....	26	28	28
Total Budget Authority, NIH .....	12,777	13,678	14,825

[Dollars in millions]

**Distribution of outlays by account:**

	1997	1998	1999
National Cancer Institute .....	2,148	2,423	2,382
National Heart, Lung, and Blood Institute .....	1,083	1,442	1,503
National Institute of Dental Research .....	168	199	200
National Institute of Diabetes and Digestive and Kidney Diseases .....	627	823	870
National Institute of Neurological Disorders and Stroke .....	701	742	767
National Institute of Allergy and Infectious Diseases .....	1,216	1,266	655
National Institute of General Medical Sciences .....	882	1,002	1,051
National Institute of Child Health and Human Development .....	566	638	620
National Eye Institute .....	337	338	351
National Institute of Environmental Health Sciences .....	286	308	332
National Institute on Aging .....	426	490	522
National Institute of Arthritis and Musculoskeletal and Skin Diseases .....	230	258	274
National Institute on Deafness and Other Communication Disorders .....	177	190	202
National Institute of Nursing Research .....	48	59	58
National Institute on Alcohol Abuse and Alcoholism .....	171	215	216
National Institute on Drug Abuse .....	437	496	364
National Institute of Mental Health .....	638	710	658
National Center for Research Resources .....	302	432	385
National Human Genome Research Institute .....	165	197	220
John E. Fogarty International Center .....	15	25	18
National Library of Medicine .....	144	155	163
Office of the Director .....	315	277	237
Office of AIDS Research .....			1,609
Buildings and Facilities .....	94	208	241
Service and Supply Fund/Management Fund .....	17		
Subtotal Outlays .....	11,193	12,893	13,898
Cooperative Research and Development Agreements .....	6	28	28
Total Outlays, NIH .....	11,199	12,921	13,926

Note: The total amount for FY 1998 AIDS research was \$1,607,053, which was jointly determined by the Director of NIH and the Director of the Office of AIDS Research pursuant to section 209 of P.L. 105-78. \$1,566,517,000 was made available to OAR. This amount is net of the \$40,536,000 in AIDS funds earmarked in P.L. 105-78 in the Office of the Director account.

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

The funding for the National Institutes of Health is proposed as part of the Research Fund for America. This proposal highlights the Administration's priority to providing needed and sustained investments in important Federal research programs on a deficit neutral basis. A discussion of the Research Fund for America, and two other funds for the environment and transportation, can be found in Section II of the *Budget* volume.

**Object Classification (in millions of dollars)**

Identification code 75-9915-0-1-552	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1	477	507	548
11.3	101	107	115
11.5	32	34	34
11.8	82	92	97
11.9	692	740	794
12.1	144	152	165
21.0	22	22	23
22.0	4	4	4
23.1	5	5	5
23.2	7	7	8
23.3			
23.3	36	39	40
24.0	13	13	14

**General and special funds—Continued**

**BUILDINGS AND FACILITIES—Continued**

**Object Classification (in millions of dollars)—Continued**

Identification code 75-9915-0-1-552	1997 actual	1998 est.	1999 est.
25.1 Advisory and assistance services .....	26	29	30
25.2 Other services .....	234	442	479
25.3 Purchases of goods and services from Government accounts .....	992	789	822
25.4 Operation and maintenance of facilities .....	228	104	107
25.5 Research and development contracts .....	742	809	878
25.6 Medical care .....	11	11	12
25.7 Operation and maintenance of equipment .....	34	25	26
26.0 Supplies and materials .....	133	137	143
31.0 Equipment .....	113	120	127
41.0 Grants, subsidies, and contributions .....	9,378	10,243	11,164
42.0 Insurance claims and indemnities .....	3	3	3
99.0 Subtotal, direct obligations .....	12,817	13,694	14,844
99.0 Reimbursable obligations .....	1,052	1,159	1,145
99.9 Total obligations .....	13,869	14,853	15,989

**Personnel Summary**

Identification code 75-9915-0-1-552	1997 actual	1998 est.	1999 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment .....	10,785	11,012	11,462
1011 Exempt Full-time equivalent employment .....		29	33
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	4,347	4,421	4,515

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION**

**Federal Funds**

**General and special funds:**

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES**

For carrying out titles V and XIX of the Public Health Service Act with respect to substance abuse and mental health services, the Protection and Advocacy for Mentally Ill Individuals Act of 1986, and section 301 of the Public Health Service Act with respect to program management, [\$2,146,743,000, of which \$10,000,000 shall be for grants to rural and Native American projects: *Provided*, That notwithstanding any other provision of law, each State's allotment for fiscal year 1998 for each of the programs under subparts I and II of part B of title XIX of the Public Health Service Act shall be equal to such State's allotment for such programs for fiscal year 1997.] \$2,279,643,000. (Department of Health and Human Services Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 75-1362-0-1-550	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
Direct program:			
00.01 Mental health and substance abuse activities .....	506	507	442
00.02 Mental health partnership .....	275	275	275
00.03 Substance abuse partnership .....	1,310	1,310	1,510
00.04 Substance abuse partnership temporary supplement (mandatory) .....	50	50	
00.05 Program management .....	54	55	53
00.91 Total, direct program .....	2,195	2,197	2,280
09.01 Reimbursable program .....	22	22	22
10.00 Total obligations .....	2,217	2,219	2,302
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested .....	2		
22.00 New budget authority (gross) .....	2,216	2,219	2,302
22.30 Unobligated balance expiring .....	-1		

23.90 Total budgetary resources available for obligation	2,217	2,219	2,302
23.95 New obligations .....	-2,217	-2,219	-2,302

**New budget authority (gross), detail:**

Current:			
40.00 Appropriation .....	2,134	2,147	2,280
42.00 Transferred from other accounts .....	11		
43.00 Appropriation (total) .....	2,145	2,147	2,280
Permanent:			
65.00 Advance appropriation (definite) .....	50	50	
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	22	22	22
70.00 Total new budget authority (gross) .....	2,217	2,219	2,302

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	1,048	1,642	1,675
73.10 New obligations .....	2,217	2,219	2,302
73.20 Total outlays (gross) .....	-1,644	-2,186	-2,215
73.40 Adjustments in expired accounts .....	21		
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	1,642	1,675	1,762

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	842	859	912
86.93 Outlays from current balances .....	759	1,280	1,281
86.97 Outlays from new permanent authority .....	43	47	22
87.00 Total outlays (gross) .....	1,644	2,186	2,215

**Offsets:**

88.00 Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources .....	-22	-22	-22
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**Net budget authority and outlays:**

89.00 Budget authority .....	2,195	2,197	2,280
90.00 Outlays .....	1,622	2,164	2,193

This program provides Federal support for treatment and prevention in the areas of alcoholism, drug abuse, and mental health.

**Object Classification (in millions of dollars)**

Identification code 75-1362-0-1-550	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	31	35	38
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	33	37	40
12.1 Civilian personnel benefits .....	6	7	8
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
24.0 Printing and reproduction .....	2	2	1
25.1 Advisory and assistance services .....	48	76	82
25.2 Other services .....	96	97	94
25.3 Purchases of goods and services from Government accounts .....	14	16	12
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....	1,987	1,953	2,034
42.0 Insurance claims and indemnities .....	2	2	2
99.0 Subtotal, direct obligations .....	2,195	2,197	2,280
99.0 Reimbursable obligations .....	22	22	22
99.9 Total obligations .....	2,217	2,219	2,302

**Personnel Summary**

Identification code 75-1362-0-1-550	1997 actual	1998 est.	1999 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	535	559	593
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment .....	2	13	6
2011 Exempt Full-time equivalent employment .....	58	92	92



**AGENCY FOR HEALTH CARE POLICY AND RESEARCH**

**Federal Funds**

**General and special funds:**

HEALTH CARE POLICY AND RESEARCH

For carrying out titles III and IX of the Public Health Service Act, and part A of title XI of the Social Security Act, **[\$90,229,000] \$100,788,000**; in addition, amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data tapes shall be credited to this appropriation and shall remain available until expended: *Provided*, That the amount made available pursuant to section 926(b) of the Public Health Service Act shall not exceed **[\$56,206,000] \$70,647,000**. (*Department of Health and Human Services Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 75-1700-0-1-552	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
01.01 Research on health costs, quality, and outcomes	95	88	99
01.04 Program support	2	2	2
09.00 Reimbursable program	57	65	80
10.00 Total obligations	154	155	181
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	1		
22.00 New budget authority (gross)	154	155	181
23.90 Total budgetary resources available for obligation	155	155	181
23.95 New obligations	-154	-155	-181
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation	96	90	101
42.00 Transferred from other accounts	1		
43.00 Appropriation (total)	97	90	101
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	55	65	80
68.10 Change in orders on hand from Federal sources	2		
68.90 Spending authority from offsetting collections (total)	57	65	80
70.00 Total new budget authority (gross)	154	155	181
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Obligated balance: Uninvested	142	129	126
72.95 Orders on hand from Federal sources	4	6	6
72.99 Total unpaid obligations, start of year	146	135	132
73.10 New obligations	154	155	181
73.20 Total outlays (gross)	-165	-158	-173
Unpaid obligations, end of year:			
74.40 Obligated balance: Uninvested	129	126	134
74.95 Orders on hand from Federal sources	6	6	6
74.99 Total unpaid obligations, end of year	135	132	140
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	9	14	15
86.93 Outlays from current balances	101	79	79
86.97 Outlays from new permanent authority	55	65	80
87.00 Total outlays (gross)	165	158	173
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-55	-65	-80
88.95 Change in orders on hand from Federal sources	-2		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	97	90	101
90.00 Outlays	110	93	93

This activity supports research and information dissemination on health care services and technology, medical effectiveness, and patient outcomes.

A portion of the funding for the Agency for Health Care Policy and Research is proposed as part of the Research Fund for America. This proposal highlights the Administration's priority of providing needed and sustained investments in important Federal research programs on a deficit neutral basis. A discussion of the Research Fund for America, and two other funds for the environment and transportation, can be found in Section II of the *Budget* volume.

Object Classification (in millions of dollars)

Identification code 75-1700-0-1-552	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	11	13	14
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	13	15	16
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons		1	1
23.1 Rental payments to GSA	2	2	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	1	1
25.2 Other services	5	4	5
25.3 Purchases of goods and services from Government accounts	2	2	1
25.5 Research and development contracts	9	19	16
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	60	40	52
99.0 Subtotal, direct obligations	97	90	101
99.0 Reimbursable obligations	57	65	80
99.9 Total obligations	154	155	181

Personnel Summary

Identification code 75-1700-0-1-552	1997 actual	1998 est.	1999 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	198	203	211
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	59	64	64

**HEALTH CARE FINANCING ADMINISTRATION**

**Federal Funds**

**General and special funds:**

GRANTS TO STATES FOR MEDICAID

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, **[\$71,602,429,000] \$74,593,733,000**, to remain available until expended.

For making, after May 31, **[1998] 1999**, payments to States under title XIX of the Social Security Act for the last quarter of fiscal year **[1998] 1999** for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

For making payments to States under title XIX of the Social Security Act for the first quarter of fiscal year **[1999, \$27,800,689,000] 2000, \$28,733,605,000**, to remain available until expended.

Payment under title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. (*Department of Health and Human Services Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 75-0512-0-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Medicaid vendor payments	91,744	95,263	101,711
00.02 State and local administration	4,340	5,210	5,640

**General and special funds—Continued**

**GRANTS TO STATES FOR MEDICAID—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0512-0-1-551	1997 actual	1998 est.	1999 est.
00.03 Vaccine purchases .....	393	487	566
09.00 Medicare Part B premium transfer .....		200	250
10.00 Total obligations .....	96,477	101,160	108,167
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	2,155	6,890	5,522
22.00 New budget authority (gross) .....	101,212	99,791	102,644
23.90 Total budgetary resources available for obligation	103,367	106,681	108,167
23.95 New obligations .....	-96,477	-101,160	-108,167
24.40 Unobligated balance available, end of year:			
Uninvested .....	6,890	5,522	
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	75,057	71,602	74,594
Permanent:			
65.00 Advance appropriation (definite) .....	26,155	27,989	27,801
68.00 Spending authority from offsetting collections: Off-		200	250
setting collections (cash) .....			
70.00 Total new budget authority (gross) .....	101,212	99,791	102,644
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	5,344	6,268	6,268
73.10 New obligations .....	96,477	101,160	108,167
73.20 Total outlays (gross) .....	-95,552	-101,160	-108,167
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	6,268	6,268	6,268
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	61,898	59,813	68,326
86.93 Outlays from current balances .....	7,499	13,158	11,790
86.97 Outlays from new permanent authority .....	26,155	28,189	28,051
87.00 Total outlays (gross) .....	95,552	101,160	108,167
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....		-200	-250
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	101,212	99,591	102,394
90.00 Outlays .....	95,552	100,960	107,917

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority .....	101,212	99,591	102,395
Outlays .....	95,552	100,960	107,917
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-210
Outlays .....			-210
Total:			
Budget Authority .....	101,212	99,591	102,185
Outlays .....	95,552	100,960	107,707

**Medicaid assists States in providing medical care to their low-income population by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.**

**Object Classification (in millions of dollars)**

Identification code 75-0512-0-1-551	1997 actual	1998 est.	1999 est.
41.0 Direct obligations: Grants, subsidies, and contribu-			
tions .....	96,477	100,960	107,917
99.0 Reimbursable obligations: Subtotal, reimbursable obli-		200	250
gations .....			
99.9 Total obligations .....	96,477	101,160	108,167

**GRANTS TO STATES FOR MEDICAID  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 75-0512-4-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			-210
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-210
23.95 New obligations .....			210
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			-210
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			-210
73.20 Total outlays (gross) .....			210
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			-210
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-210
90.00 Outlays .....			-210

**This schedule reflects the effects of Medicaid, Medicare, and welfare proposals included in the Budget on the Grants to States for Medicaid account. Medicaid costs associated with children's health outreach proposals will be financed by receipts from tobacco legislation.**

**PAYMENTS TO HEALTH CARE TRUST FUNDS**

**For payment to the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as provided under sections 217(g) and 1844 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, [\$60,904,000,000] \$62,953,000,000. (Department of Health and Human Services Appropriations Act, 1998.)**

**Program and Financing (in millions of dollars)**

Identification code 75-0580-0-1-571	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Supplementary medical insurance (SMI) .....	59,456	59,773	62,171
00.02 Hospital insurance for uninsured (HI) .....	405	-52	555
00.03 Federal uninsured payment (HI) .....	76	86	97
00.04 Program management (HI) .....	116	131	130
00.06 Federal payments from taxation of OASDI benefits			
(HI) .....	3,558	5,193	5,173
00.08 Fraud and abuse control, FBI .....	47	56	66
00.10 Fraud and abuse control, criminal fines .....	46	15	16
00.11 Fraud and abuse control, civil monetary penalties .....	1	1	1
10.00 Total obligations .....	63,705	65,203	68,209
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	63,731	66,169	68,209
22.30 Unobligated balance expiring .....	-26	-966	
23.90 Total budgetary resources available for obligation	63,705	65,203	68,209
23.95 New obligations .....	-63,705	-65,203	-68,209
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	60,079	60,904	62,953
Permanent:			
60.00 Appropriation .....	47	56	66
60.05 Appropriation (indefinite) .....	3,605	5,209	5,190
63.00 Appropriation (total) .....	3,652	5,265	5,256
70.00 Total new budget authority (gross) .....	63,731	66,169	68,209
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	63,705	65,203	68,209

73.20	Total outlays (gross) .....	-63,720	-65,203	-68,209
73.40	Adjustments in expired accounts .....	15		
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	60,053	59,938	62,953
86.93	Outlays from current balances .....	15		
86.97	Outlays from new permanent authority .....	3,652	5,265	5,256
87.00	Total outlays (gross) .....	63,720	65,203	68,209
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	63,731	66,169	68,209
90.00	Outlays .....	63,722	65,203	68,209

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority .....	63,731	66,169	68,209
Outlays .....	63,720	65,203	68,209
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-135
Outlays .....			-135
<b>Total:</b>			
Budget Authority .....	63,731	66,169	68,074
Outlays .....	63,720	65,203	68,074

Payments are made (1) to the Federal Supplementary Medical Insurance Trust Fund; and (2) to the Federal Hospital Insurance Trust Fund for individuals aged 65 and over who meet certain transitional insured status requirements, and for civil service employees and annuitants who worked before 1983 and who were employed during January 1983. Since 1992, amounts have been included for non-Medicare activities of HCFA Program Management.

**Object Classification (in millions of dollars)**

Identification code 75-0580-0-1-571	1997 actual	1998 est.	1999 est.
41.0 Grants, subsidies, and contributions .....	63,108	65,038	67,427
42.0 Insurance claims and indemnities .....	481	34	652
92.0 Undistributed .....	116	131	130
99.9 Total obligations .....	63,705	65,203	68,209

**PAYMENTS TO HEALTH CARE TRUST FUNDS**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-0580-4-1-571	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Supplementary medical insurance (SMI) .....			-135
10.00 Total obligations (object class 41.0) .....			-135
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-135
23.95 New obligations .....			135
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			-135
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			-135
73.20 Total outlays (gross) .....			135
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			-135
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-135
90.00 Outlays .....			-135

This schedule reflects the effects of the Medicare proposals contained in the Budget on the Payments to Health Care Trust Funds account.

**PROGRAM MANAGEMENT**

For carrying out, except as otherwise provided, titles XI, XVIII, XIX and XXI of the Social Security Act, titles XIII and XXVII of the Public Health Service Act, and the Clinical Laboratory Improvement Amendments of 1988, not to exceed **[\$1,743,066,000]** \$1,678,000,000 to be transferred from the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the Public Health Service Act and such sums as may be collected from authorized user fees and the sale of data, which shall remain available until expended, and together with administrative fees collected relative to Medicare overpayment recovery activities, which shall be transferred to the Health Care Fraud and Abuse Control (HCFAC) account and shall remain available until expended: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the Public Health Service Act shall be credited to and available for carrying out the purposes of this appropriation: *Provided further*, That \$900,000 shall be for carrying out section 4021 of Public Law 105-33: *Provided further*, That in carrying out its legislative mandate, the National Bipartisan Commission on the Future of Medicare shall examine the impact of increased investments in health research on future Medicare costs, and the potential for coordinating Medicare with cost-effective long-term care services: *Provided further*, That **[\$40,000,000]** \$45,000,000 appropriated under this heading for the transition to a single Part A and Part B processing system shall remain available until expended: *Provided further*, That funds appropriated under this heading may be obligated to increase Medicare provider audits and implement the Department's corrective action plan to the Chief Financial Officer's audit of the Health Care Financing Administration's oversight of Medicare: *Provided further*, That the Secretary of Health and Human Services is directed to collect, in aggregate, **[\$95,000,000]** \$150,000,000 in fees in fiscal year **[1998]** 1999 from Medicare+Choice organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act. (*Department of Health and Human Services Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 75-0511-0-1-550	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Research, demonstrations, and evaluation projects .....	44	50	50
00.02 Medicare contractors .....	1,176	1,174	1,104
00.04 State certification .....	156	154	105
00.05 Administrative costs .....	334	365	419
00.91 Total direct program .....	1,710	1,743	1,678
Reimbursable program:			
08.01 CLIA .....	29	43	43
08.02 Other reimbursements .....	6	97	152
08.91 Total reimbursable program .....	35	140	195
10.00 Total obligations .....	1,745	1,883	1,873
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	99	18	18
22.00 New budget authority (gross) .....	1,687	1,883	1,873
22.10 Resources available from recoveries of prior year obligations .....	1		
22.30 Unobligated balance expiring .....	-24		
23.90 Total budgetary resources available for obligation .....	1,763	1,901	1,891
23.95 New obligations .....	-1,745	-1,883	-1,873
24.40 Unobligated balance available, end of year:			
Uninvested .....	18	18	17

<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	1,687	1,883	1,873

<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	452	495	495
73.10 New obligations .....	1,745	1,883	1,873
73.20 Total outlays (gross) .....	-1,691	-1,883	-1,873
73.40 Adjustments in expired accounts .....	-10		

**General and special funds—Continued**

**PROGRAM MANAGEMENT—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-0511-0-1-550	1997 actual	1998 est.	1999 est.
73.45 Adjustments in unexpired accounts .....	-1		
74.00 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	495	495	495
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	1,687	1,883	1,873
86.98 Outlays from permanent balances .....	4		
87.00 Total outlays (gross) .....	1,691	1,883	1,873
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1,653	-1,743	-1,678
88.40 Non-Federal sources .....	-34	-140	-195
88.90 Total, offsetting collections (cash) .....	-1,687	-1,883	-1,873
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	4		

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority .....			
Outlays .....	4		
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....			
Legislative proposal, subject to PAYGO:			
Budget Authority .....			220
Outlays .....			220
<b>Total:</b>			
Budget Authority .....			220
Outlays .....	4		220

Program management activities include funding for research, Medicare contractors, survey and certification, CLIA, and administrative costs.

**Object Classification (in millions of dollars)**

Identification code 75-0511-0-1-550	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	222	242	270
11.3 Other than full-time permanent .....	5	5	5
11.5 Other personnel compensation .....	1	2	2
11.9 Total personnel compensation .....	228	249	277
12.1 Civilian personnel benefits .....	40	45	51
21.0 Travel and transportation of persons .....	5	2	7
23.1 Rental payments to GSA .....	29	24	25
23.3 Communications, utilities, and miscellaneous charges .....	16	3	7
24.0 Printing and reproduction .....	6	2	5
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services .....	332	368	373
25.6 Medical care .....	1,045	1,172	1,102
26.0 Supplies and materials .....	2	1	1
31.0 Equipment .....	16	7	7
32.0 Land and structures .....			10
41.0 Grants, subsidies, and contributions .....	25	8	6
99.9 Total obligations .....	1,745	1,883	1,873

**Personnel Summary**

Identification code 75-0511-0-1-550	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	3,979	4,002	4,217

**PROGRAM MANAGEMENT**

(Legislative proposal, not subject to PAYGO)

Upon enactment of authorization to establish fees to cover administrative costs of the Health Care Financing Administration and its contractors, such fees may be collected and credited to this account as offsetting collections: Provided, That not to exceed \$264,500,000 of such fees shall be available until expended for authorized purposes.

**Program and Financing (in millions of dollars)**

Identification code 75-0511-2-1-550	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
08.03 Survey and certification initial fee .....			10
08.04 Survey and certification recertification fee .....			52
08.05 Duplicate claim fee .....			36
08.06 Paper claim fee .....			110
08.07 Provider enrollment registration fee .....			20
08.08 Health plan initial application fee .....			37
10.00 Total obligations .....			265
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			265
23.95 New obligations .....			-265
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....			265
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			265
73.20 Total outlays (gross) .....			-265
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			265
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			-265
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The Budget includes \$264.5 million in new user fees to finance HCFA activities as reflected in the legislative proposal schedule. Proposed user fees include: a duplicate or unprocessable claims fee; a paper claims fee; a physician, provider, and supplier enrollment registration fee; a managed care organization application and renewal fee; an initial survey and certification fee; and a recertification fee. Additional appropriations language is being proposed contingent upon the enactment of authorizing legislation. The authorizing legislation will be proposed to authorize the collection and spending of the fee subject to appropriations action.

**Object Classification (in millions of dollars)**

Identification code 75-0511-2-1-550	1997 actual	1998 est.	1999 est.
25.2 Other services .....			99
25.6 Medical care .....			166
99.9 Total obligations .....			265

**PROGRAM MANAGEMENT**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 75-0511-4-1-550	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Voluntary insurance cooperatives .....			20
00.02 Medicare cancer clinical trials demonstration .....			200

10.00	Total obligations (object class 41.0)	220
<b>Budgetary resources available for obligation:</b>		
22.00	New budget authority (gross)	220
23.95	New obligations	-220
<b>New budget authority (gross), detail:</b>		
60.00	Appropriation	220
<b>Change in unpaid obligations:</b>		
73.10	New obligations	220
73.20	Total outlays (gross)	-220
<b>Outlays (gross), detail:</b>		
86.97	Outlays from new permanent authority	220
<b>Net budget authority and outlays:</b>		
89.00	Budget authority	220
90.00	Outlays	220

This schedule reflects the effects on the Program Management account of the Administration's proposals to: (1) provide competitive grants designed to facilitate the development of state-based health insurance purchasing cooperatives; and (2) create a time-limited demonstration of Medicare coverage of patient care costs associated with Medicare beneficiary participation in NIH sponsored clinical trials for cancer. The demonstration's costs will be financed by receipts from tobacco legislation.

STATE CHILDREN'S HEALTH INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 75-0515-0-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00	Total obligations (object class 41.0)	4,235	4,215
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross)	4,235	4,215
23.95	New obligations	-4,235	-4,215
<b>New budget authority (gross), detail:</b>			
60.00	Appropriation	4,295	4,275
61.00	Transferred to other accounts	-60	-60
63.00	Appropriation (total)	4,235	4,215
70.00	Total new budget authority (gross)	4,235	4,215
<b>Change in unpaid obligations:</b>			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Uninvested		3,856
73.10	New obligations	4,235	4,215
73.20	Total outlays (gross)	-379	-1,834
74.40	Unpaid obligations, end of year: Obligated balance:		
	Uninvested	3,856	6,237
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new permanent authority	379	1,834
<b>Net budget authority and outlays:</b>			
89.00	Budget authority	4,235	4,215
90.00	Outlays	379	1,834

Summary of Budget Authority and Outlays

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority	4,235	4,215	
Outlays	379	1,834	
Legislative proposal, subject to PAYGO:			
Budget Authority			34
Outlays			34
<b>Total:</b>			
Budget Authority	4,235	4,249	
Outlays	379	1,868	

The Balanced Budget Act of 1997 established the Children's Health Insurance Program (CHIP) under Title XXI of the Social Security Act. This new Title provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a CHIP State program, a CHIP Medicaid expansion program, or a combination of both.

STATE CHILDREN'S HEALTH INSURANCE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0515-4-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00	Total obligations (object class 41.0)		34
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross)		34
23.95	New obligations		-34
<b>New budget authority (gross), detail:</b>			
60.00	Appropriation		34
<b>Change in unpaid obligations:</b>			
73.10	New obligations		34
73.20	Total outlays (gross)		-34
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new permanent authority		34
<b>Net budget authority and outlays:</b>			
89.00	Budget authority		34
90.00	Outlays		34

This schedule reflects the effects of the proposed increase in CHIP payments to the territories.

HEALTH MAINTENANCE ORGANIZATION LOAN AND LOAN GUARANTEE FUND

For carrying out subsections (d) and (e) of section 1308 of the Public Health Service Act, any amounts received by the Secretary in connection with loans and loan guarantees under title XIII of the Public Health Service Act, to be available without fiscal year limitation for the payment of outstanding obligations. During fiscal year [1998] 1999, no commitments for direct loans or loan guarantees shall be made. (Department of Health and Human Services Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 75-4420-0-3-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.05	Interest payments to FFB	1	
10.00	Total obligations (object class 43.0)	1	
<b>Budgetary resources available for obligation:</b>			
21.40	Unobligated balance available, start of year:		
	Uninvested	11	10
22.00	New budget authority (gross)	2	2
22.60	Redemption of debt	-2	-1
23.90	Total budgetary resources available for obligation	11	11
23.95	New obligations	-1	
24.40	Unobligated balance available, end of year:		
	Uninvested	10	10
<b>New budget authority (gross), detail:</b>			
68.00	Spending authority from offsetting collections (gross):		
	Offsetting collections (cash)	2	2
<b>Change in unpaid obligations:</b>			
73.10	New obligations	1	

**General and special funds—Continued**

**HEALTH MAINTENANCE ORGANIZATION LOAN AND LOAN GUARANTEE FUND—Continued**

Program and Financing (in millions of dollars)—Continued			
Identification code 75-4420-0-3-551	1997 actual	1998 est.	1999 est.
73.20 Total outlays (gross)	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances	1	1	1
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Other	-2	-2	-2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-2	-1	-1

**Trust Funds**

**FEDERAL HOSPITAL INSURANCE TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 20-8005-0-7-571	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year	106,230	96,931	92,149
Receipts:			
02.01 Transfers from general fund (FICA taxes)	103,500	109,125	113,632
02.02 Receipts from Railroad Retirement Board	380	393	394
02.03 Transfers from general fund (SECA taxes)	6,844	8,511	8,600
02.04 Federal employer contributions (FICA)	1,790	1,835	1,905
02.05 Postal service employer contributions (FICA)	605	597	620
02.06 Refunds	3		
02.07 Interest received by trust funds	9,718	9,153	8,765
02.09 Premium receipts from displaced workers and early retirees, legislative proposal subject to PAYGO			87
02.10 Taxation on OASDI benefits	3,558	5,193	5,173
02.11 Deposits by States	-17		
02.12 Interest payments by Railroad Retirement Board	39	38	36
02.13 Payments from the general fund (uninsured and program management)	597	165	782
02.14 Payments for military service credits	70	67	71
02.15 Premiums collected for uninsured individuals not otherwise eligible	1,279	1,310	1,323
02.16 FBI, Payment from the general fund	47	56	66
02.17 Criminal fines, transfers from the general fund	46	15	16
02.18 Civil monetary penalties, transfers from the general fund	1	1	1
02.20 Civil penalties and damages	89	50	53
02.99 Total receipts	128,549	136,509	141,524
04.00 Total: Balances and collections	234,779	233,440	233,673
Appropriation:			
05.01 Federal hospital insurance trust fund	-137,259	-140,615	-144,074
05.02 Health care fraud and abuse control account	-591	-676	-764
05.04 Federal hospital insurance trust fund, legislative proposal subject to PAYGO			-93
05.99 Subtotal appropriation	-137,850	-141,291	-144,931
06.10 Unobligated balance returned to receipts	2		
07.99 Total balance, end of year	96,931	92,149	88,742

**Program and Financing (in millions of dollars)**

Identification code 20-8005-0-7-571	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Benefit payments, HI	135,991	131,595	131,856
00.02 Administration, HI	1,183	1,231	1,209
00.03 Peer review organizations, HI	58	67	553
00.04 Research, HI	28	32	32
00.05 HI partial transfer of home health to SMI		7,690	10,424
10.00 Total obligations	137,259	140,615	144,074
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	137,259	140,615	144,074

23.95 New obligations	-137,259	-140,615	-144,074
<b>New budget authority (gross), detail:</b>			
Current:			
40.26 Appropriation (trust fund, definite)	1,169	1,218	1,196
Permanent:			
60.27 Appropriation (trust fund, indefinite)	126,922	135,291	140,241
60.28 Appropriation (unavailable balances)	9,168	4,106	2,637
63.00 Appropriation (total)	136,090	139,397	142,878
70.00 Total new budget authority (gross)	137,259	140,615	144,074

Change in unpaid obligations:			
72.41 Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value	19,107	18,988	18,816
73.10 New obligations	137,259	140,615	144,074
73.20 Total outlays (gross)	-137,378	-140,787	-143,762
74.41 Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value	18,988	18,816	19,128

Outlays (gross), detail:			
86.90 Outlays from new current authority	1,084	1,128	1,114
86.93 Outlays from current balances	119	136	132
86.97 Outlays from new permanent authority	117,187	120,671	123,833
86.98 Outlays from permanent balances	18,988	18,852	18,684
87.00 Total outlays (gross)	137,378	140,787	143,762

Net budget authority and outlays:			
89.00 Budget authority	137,259	140,615	144,074
90.00 Outlays	137,378	140,787	143,762

**Summary of Budget Authority and Outlays**

(in millions of dollars)			
Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority	137,259	140,615	144,074
Outlays	137,378	140,787	143,763
Legislative proposal, subject to PAYGO:			
Budget Authority			93
Outlays			93
Total:			
Budget Authority	137,259	140,615	144,167
Outlays	137,378	140,787	143,856

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The estimate of administrative expenses borne by each of the financing sources, including the Hospital Insurance (HI) and Supplemental Medical Insurance (SMI) trust funds for Medicare-related activities performed by the Social Security Administration (SSA), has been made by SSA based on the current cost allocation methodology. Pursuant to section 201(g) of the Social Security Act, a final accounting of actual payments is made after the close of each fiscal year. In the Conference Committee Report for Public Law 103-296, The Social Security Independence and Program Improvements Act of 1994, the conferees requested that the Secretary of Health and Human Services and the Commissioner of Social Security make a joint examination of the most appropriate cost allocation methodology and report their joint findings to the Congress within 36 months of enactment. The Secretary and the Commissioner are in the process of transmitting the required report to Congress.

The status of the trust fund is as follows:

**Status of Funds (in millions of dollars)**

Identification code 20-8005-0-7-571	1997 actual	1998 est.	1999 est.
<b>Unexpended balance, start of year:</b>			
0100 Treasury balance	-467	-619	1
0101 U.S. Securities: Par value	125,805	116,621	110,990
0199 Total balance, start of year	125,337	116,002	110,991

Cash income during the year:				
Governmental receipts:				
0200	FHI trust fund, transfers from general fund (FICA taxes) .....	103,500	109,125	113,632
0201	FHI trust fund, transfers from general fund (SECA taxes) .....	6,844	8,511	8,600
0202	Refunds .....	3		
0205	FHI trust fund, receipts from Railroad Retirement Board .....	380	393	394
0206	HCFAC: Civil penalties and damages .....	89	50	53
0207	FHI trust fund, deposits by States .....	-17		
Proprietary receipts:				
0221	FHI trust fund, premiums collected for uninsured individuals not otherwise eligible .....	1,279	1,310	1,323
0223	Other proprietary receipts .....			
0224	Premiums from aged 62-64 & displaced workers, proposed legislation .....			87
Intragovernmental transactions:				
0240	FHI trust fund, Federal employer contributions (FICA) .....	1,790	1,835	1,905
0241	FHI trust fund, Postal Service employer contributions (FICA) .....	605	597	620
0242	FHI trust fund, Federal payment for transitional coverage for uninsured Federal employees .....	76	86	97
0243	FHI trust fund, Federal payment for transitional coverage for the uninsured .....	405	-52	555
0244	FHI trust fund, Federal payment for SECA tax credits .....			
0245	FHI trust fund, general fund transfer, Program management (HI) .....	116	131	130
0246	FHI trust fund, Federal payments for OASDI taxes .....	3,558	5,193	5,173
0248	FHI trust fund, interest payment from Railroad Retirement Board .....	39	38	36
0249	FHI trust fund, interest on investments .....	9,718	9,153	8,765
0250	FHI trust fund, interest on investments .....			
0251	FHI trust fund, transfer from Department of Defense for military service credits .....	70	67	71
0252	HCFAC: FBI .....	47	56	66
0253	HCFAC: Criminal fines .....	46	15	16
0254	HCFAC: Civil monetary penalties .....	1	1	1
Offsetting collections:				
0281	HCFAC user fees, proposed .....			395
0297	Income under present law .....	128,548	136,509	141,437
0298	Income under proposed legislation .....			482
0299	Total cash income .....	128,548	136,509	141,919
Cash outgo during year:				
0500	Benefit payments .....	-136,010	-131,595	-131,856
0501	Benefit payments (proposed legislation) .....			-93
0502	Administration .....	-1,161	-1,235	-1,213
0504	Peer review organizations .....	-165	-238	-236
0505	Research .....	-42	-29	-32
0506	HCFAC .....	-506	-733	-764
0507	HI partial transfer of home health to SMI .....		-7,690	-10,424
0508	HCFAC, proposed (-) .....			-395
0597	Outgo under present law (-) .....	-137,884	-141,520	-144,526
0598	Outgo under proposed legislation (-) .....			-488
0599	Total cash outgo (-) .....	-137,884	-141,520	-145,014
Unexpended balance, end of year:				
0700	Treasury Balance .....	-619	1	1
0701	U.S. Securities: Par value .....	116,621	110,990	107,895
0799	Total balance, end of year .....	116,002	110,991	107,896

Object Classification (in millions of dollars)

Identification code 20-8005-0-7-571				
	1997 actual	1998 est.	1999 est.	
Grants, subsidies, and contributions:				
41.0	Payment for health insurance experiments and demonstration projects .....	28	32	32
41.0	Payment for peer review organization (PRO) activities .....	58	67	553
42.0	Insurance claims and indemnities .....	135,990	131,595	131,856
Undistributed:				
92.0	HI Partial Transfer of Home Health to SMI .....		7,690	10,424
92.0	Reimbursement for administrative expenses for other than SSA LAE .....	42	45	45
92.0	Purchases of goods and services .....	611	625	605
93.0	Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration .....	531	561	559
99.9	Total obligations .....	137,259	140,615	144,074

FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8005-4-7-571			
	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00	Total obligations (object class 42.0) .....		93
Budgetary resources available for obligation:			
22.00	New budget authority (gross) .....		93
23.95	New obligations .....		-93
New budget authority (gross), detail:			
60.27	Appropriation (trust fund, indefinite) .....		87
60.28	Appropriation (unavailable balances) .....		6
63.00	Appropriation (total) .....		93
70.00	Total new budget authority (gross) .....		93
Change in unpaid obligations:			
73.10	New obligations .....		93
73.20	Total outlays (gross) .....		-93
Outlays (gross), detail:			
86.97	Outlays from new permanent authority .....		93
Net budget authority and outlays:			
89.00	Budget authority .....		93
90.00	Outlays .....		93

This schedule reflects the effects of the Medicare proposals contained in the Budget on the Hospital Insurance Trust Fund.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

(FEDERAL HOSPITAL INSURANCE TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 75-8393-0-7-571				
	1997 actual	1998 est.	1999 est.	
Obligations by program activity:				
00.01	Medicare integrity program .....	439	500	560
00.02	FBI fraud and abuse control .....	47	56	66
00.03	Other fraud and abuse control .....	103	120	138
10.00	Total obligations .....	589	676	764
Budgetary resources available for obligation:				
22.00	New budget authority (gross) .....	591	676	764
22.30	Unobligated balance expiring .....	-2		
23.90	Total budgetary resources available for obligation .....	589	676	764
23.95	New obligations .....	-589	-676	-764
New budget authority (gross), detail:				
60.26	Appropriation (trust fund, definite) .....	591	676	764
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: .....			
	Uninvested .....		83	26
73.10	New obligations .....	589	676	764
73.20	Total outlays (gross) .....	-506	-733	-764
74.40	Unpaid obligations, end of year: Obligated balance: .....			
	Uninvested .....	83	26	26
Outlays (gross), detail:				
86.97	Outlays from new permanent authority .....	506	676	764
86.98	Outlays from permanent balances .....		57	
87.00	Total outlays (gross) .....	506	733	764
Net budget authority and outlays:				
89.00	Budget authority .....	591	676	764
90.00	Outlays .....	506	733	764

**HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT—Continued**  
**(FEDERAL HOSPITAL INSURANCE TRUST FUND)—Continued**

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority .....	591	676	764
Outlays .....	506	733	764
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			
<b>Total:</b>			
Budget Authority .....	591	676	764
Outlays .....	506	733	764

The Health Care Fraud and Abuse Control (HCFAC) Account was established by Sec. 201 of Public Law 104-191, the Health Insurance Portability and Accountability Act of 1996. P.L. 104-191 established the HCFAC Account within the Federal Hospital Insurance Trust Fund and appropriated funds, to be available without further appropriation, from the Trust Fund to the HCFAC Account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

**Object Classification (in millions of dollars)**

Identification code 75-8393-0-7-571	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
25.6 Medical care (HCFA) .....	439	500	560
92.0 Undistributed (FBI) .....	47	56	66
99.0 Subtotal, direct obligations .....	486	556	626
<b>Allocation Account:</b>			
<b>Personnel compensation:</b>			
<b>Full-time permanent:</b>			
11.1 Full-time permanent (OIG) .....	38	50	58
11.1 Full-time permanent (DoJ) .....	2	16	17
11.1 Full-time permanent (OGC) .....	1	1	2
11.3 Other than full-time permanent (DoJ) .....	1		
11.5 Other personnel compensation (OIG) .....	1	1	1
11.9 Total personnel compensation .....	43	68	78
<b>Civilian personnel benefits:</b>			
12.1 Civilian personnel benefits (OIG) .....	8	11	13
12.1 Civilian personnel benefits (DoJ) .....	1	4	4
<b>Travel and transportation of persons:</b>			
21.0 Travel and transportation of persons (OIG) .....	2	3	4
21.0 Travel and transportation of persons (DoJ) .....	1	1	1
<b>Rental payments to GSA:</b>			
23.1 Rental payments to GSA (OIG) .....	4	5	6
23.1 Rental payments to GSA (DoJ) .....		3	3
23.1 Rental payments to GSA (OGC) .....	1	1	1
23.2 Rental payments to others (HCFA) .....		1	1
23.3 Communications, utilities, and miscellaneous charges (OIG) .....	1	1	1
<b>Advisory and assistance services:</b>			
25.1 Advisory and assistance services (OIG) .....	1		
25.1 Advisory and assistance services (HCFA) .....	2		
<b>Other services:</b>			
25.2 Other services (OIG) .....	1	1	1
25.2 Other services (DoJ) .....	10	6	9
25.2 Other services (HCFA) .....	1		
25.2 Other services (AoA) .....			1
25.2 Other services (HRSA) .....	2		
<b>Purchases of goods and services from Government accounts:</b>			
25.3 Purchases of goods and services from Government accounts (OIG) .....	8	9	9
25.3 Purchases of goods and services from Government accounts (DoJ) .....	1		
25.7 Operation and maintenance of equipment (OIG) .....		1	1
26.0 Supplies and materials (OIG) .....	1	1	1
<b>Equipment:</b>			
31.0 Equipment (OIG) .....	4	2	2
31.0 Equipment (DoJ) .....	6		
31.0 Equipment (HCFA) .....	2		
<b>Grants, subsidies, and contributions:</b>			
41.0 Grants, subsidies, and contributions (AoA) .....	1	1	1

41.0 Grants, subsidies, and contributions (Non-HHS/DoJ) .....	2	1	1
99.0 Subtotal, allocation account .....	103	120	138
99.9 Total obligations .....	589	676	764

This schedule reflects estimated distribution of the Allocation Account. Actual FY 1999 distribution will be determined by the Secretary of HHS and the Attorney General. Contingent on their agreement, the amount shown under "Other non-HHS/DOJ" would fund incentive grants for States to develop Medicaid fraud and abuse performance measures.

	1997 actual	1998 est.	1999 est.
Department of Justice (DOJ) .....	22	30	27
Office of the Inspector General, HHS .....	70	85	100
Health Care Financing Administration .....	5	1	1
Office of General Counsel, HHS .....	2	2	2
Administration on Aging .....	1	1	1
Other HHS .....	2		2
Other non-HHS/DOJ .....	2	1	5
<b>Total .....</b>	<b>104</b>	<b>120</b>	<b>138</b>

**HEALTH CARE FRAUD AND ABUSE ACCOUNT**  
**(FEDERAL HOSPITAL INSURANCE TRUST FUND)**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 75-8393-4-7-571	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Medicare integrity program .....			395
10.00 Total obligations (object class 25.6) .....			395
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			395
23.95 New obligations .....			-395
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....			395
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			395
73.20 Total outlays (gross) .....			-395
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			395
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			-395
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

This schedule reflects the Administration's policies related to the Medicare Integrity Program.

**FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND**  
**Unavailable Collections (in millions of dollars)**

Identification code 20-8004-0-7-571	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	21,963	30,210	34,548
<b>Receipts:</b>			
02.01 Federal contributions .....	59,471	59,773	62,171
02.02 Interest received by trust fund .....	2,192	2,451	2,565
02.03 Other proprietary receipts from the public .....	1		
02.05 Premiums collected for the aged .....	16,984	17,008	17,547



02.06	Premiums collected for the disabled .....	2,158	2,354	2,514
02.07	Federal contributions, proposed legislation subject to PAYGO .....			-135
02.09	Premiums collected for the aged, proposed legislation .....			-39
02.10	Premiums collected for the disabled, proposed legislation .....			-6
02.11	Premium receipts from displaced workers and early retirees, legislative proposal subject to PAYGO .....			85
02.99	Total receipts .....	80,806	81,586	84,702
04.00	Total: Balances and collections .....	102,769	111,796	119,250
Appropriation:				
05.01	Federal supplementary medical insurance trust fund .....	-72,559	-77,248	-84,296
05.03	Federal supplementary medical insurance trust fund, proposed legislation .....			45
05.99	Subtotal appropriation .....	-72,559	-77,248	-84,251
07.99	Total balance, end of year .....	30,210	34,548	34,999

Program and Financing (in millions of dollars)

Identification code 20-8004-0-7-571	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
00.01	Benefit payments, SMI .....	71,093	75,521	82,452
00.02	Administration, SMI .....	1,444	1,492	1,438
00.03	Peer review organizations, SMI .....	6	17	138
00.04	Research, SMI .....	16	18	18
00.06	Transfer to Medicaid for payment of SMI premiums .....		200	250
09.01	HI partial transfer of home health .....		7,690	10,424
10.00	Total obligations .....	72,559	84,938	94,720
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	72,559	84,938	94,720
23.95	New obligations .....	-72,559	-84,938	-94,720
<b>New budget authority (gross), detail:</b>				
Current:				
40.26	Appropriation (trust fund, definite) .....	1,454	1,506	1,452
Permanent:				
60.27	Appropriation (trust fund, indefinite) .....	79,352	80,080	83,345
60.45	Portion precluded from obligation .....	-8,247	-4,338	-501
63.00	Appropriation (total) .....	71,105	75,742	82,844
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....		7,690	10,424
70.00	Total new budget authority (gross) .....	72,559	84,938	94,720
<b>Change in unpaid obligations:</b>				
72.41	Unpaid obligations, start of year: Obligated balance: U.S. Securities: Par value .....	4,990	4,996	4,956
73.10	New obligations .....	72,559	84,938	94,720
73.20	Total outlays (gross) .....	-72,553	-84,978	-94,631
74.41	Unpaid obligations, end of year: Obligated balance: U.S. Securities: Par value .....	4,996	4,956	5,045
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	1,268	1,320	1,274
86.93	Outlays from current balances .....	152	217	188
86.97	Outlays from new permanent authority .....	66,295	78,661	88,401
86.98	Outlays from permanent balances .....	4,838	4,779	4,768
87.00	Total outlays (gross) .....	72,553	84,978	94,631
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....		-7,690	-10,424
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	72,559	77,248	84,296
90.00	Outlays .....	72,553	77,288	84,207

Summary of Budget Authority and Outlays

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority .....	72,559	77,248	84,296
Outlays .....	72,553	77,287	84,207
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-45
Outlays .....			-45
Total:			
Budget Authority .....	72,559	77,248	84,251

Outlays .....	72,553	77,287	84,162
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The Supplementary Medical Insurance (SMI) program is a voluntary program which affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are financed by premium payments from enrollees and contributions from the general revenues.

The estimate of administrative expenses borne by each of the financing sources, including the Hospital Insurance (HI) and Supplemental Medical Insurance (SMI) trust funds for Medicare-related activities performed by the Social Security Administration (SSA), has been made by SSA based on the current cost allocation methodology. Pursuant to section 201(g) of the Social Security Act, a final accounting of actual payments is made after the close of each fiscal year. In the Conference Committee Report for Public Law 103-296, The Social Security Independence and Program Improvements Act of 1994, the conferees requested that the Secretary of Health and Human Services and the Commissioner of Social Security make a joint examination of the most appropriate cost allocation methodology and report their joint findings to the Congress within 36 months of enactment. The Secretary and the Commissioner are in the process of transmitting the required report to Congress.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8004-0-7-571	1997 actual	1998 est.	1999 est.
Unexpended balance, start of year:			
0100	Treasury balance .....	-222	742
0101	U.S. Securities: Par value .....	27,175	34,464
			39,504
0199	Total balance, start of year .....	26,953	35,206
Cash income during the year:			
Proprietary receipts:			
0221	Premiums collected for the aged, FSMI Fund .....	16,984	17,008
0222	Premiums collected for the aged, FSMI Fund, proposed legislation .....		
			-39
Proprietary receipts:			
0223	Premiums collected for the disabled, FSMI Fund .....	2,158	2,354
0223	Premiums collected for the disabled, FSMI Fund, proposed legislation .....		
			-6
0224	Premiums for aged 62-64 and Displaced Workers, proposed legislation .....		
			85
0225	Other Proprietary receipts .....	1	
Intragovernmental transactions:			
0240	Federal contributions, FSMI Fund .....	59,471	59,773
0241	Federal contributions, FSMI Fund, proposed legislation .....		
			-135
0242	Interest received by trust fund, FSMI Fund .....	2,192	2,451
Offsetting collections:			
0280	HI Partial Transfer of Home Health .....		7,690
0297	Income under present law .....	80,806	89,276
0298	Income under proposed legislation .....		
			-95
0299	Total cash income .....	80,806	89,276
Cash outgo during year:			
Cash outgo during the year (-):			
0501	Benefit payments & ESRD .....	-71,115	-75,521
0501	Benefit payments, proposed legislation .....		
			45
0502	Administration .....	-1,396	-1,520
0504	Peer review organizations .....	-18	-29
0505	Research .....	-24	-17
0506	HI Partial Transfer of Home Health .....		-7,690
0507	Transfer to Medicaid for payment of SMI premiums .....		-200
0597	Outgo under present law (-) .....	-72,553	-84,977
0598	Outgo under proposed legislation (-) .....		
			45
0599	Total cash outgo (-) .....	-72,553	-84,977
Unexpended balance, end of year:			
0700	Treasury balance .....	742	
0701	U.S. Securities: Par value .....	34,464	39,504
			40,044
0799	Total balance, end of year .....	35,206	39,504
			40,044

Note.—This status of funds table displays the gross outlay and income effect on the SMI trust fund of the administrative mechanism used to implement the home health transfer policy authorized in PL 105-33. Showing

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—  
Continued

the net outlay and income effect of this administrative mechanism requires the following substitutions to the table above:

	FY 1998	FY 1999
line 0280: HI partial transfer of Home Health .....		line deleted
line 0501: Benefit payments and ESRD .....	-83,211	-92,876
line 0506: HI partial transfer of Home Health .....	+7,690	+10,424

The totals would also be decreased in FYs 1998 and 1999 by 7,690 and 10,424 respectively, so as to leave end of year balances unchanged.

Object Classification (in millions of dollars)			
Identification code 20-8004-0-7-571	1997 actual	1998 est.	1999 est.
Direct obligations:			
Grants, subsidies, and contributions:			
41.0			
Payment for peer review organization (PRO) ac-			
tivity .....	6	17	138
41.0			
Payment for health insurance experiments and			
demonstration projects .....	16	18	18
42.0			
Insurance claims and indemnities .....	71,093	75,721	82,702
92.0			
Undistributed .....	1,072	1,088	1,045
93.0			
Administrative expenses: Portion of limitation on			
salaries and expenses: Social Security Adminis-			
tration .....	372	404	393
99.0			
Subtotal, direct obligations .....	72,559	77,248	84,296
99.0			
Reimbursable obligations .....		7,690	10,424
99.9			
Total obligations .....	72,559	84,938	94,720

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)			
Identification code 20-8004-4-7-571	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01			-45
Benefit payments, SMI .....			-45
10.00			-45
Total obligations (object class 42.0) .....			-45
<b>Budgetary resources available for obligation:</b>			
22.00			-45
New budget authority (gross) .....			-45
23.95			45
New obligations .....			45
<b>New budget authority (gross), detail:</b>			
60.27			-95
Appropriation (trust fund, indefinite) .....			-95
60.45			50
Portion precluded from obligation .....			50
63.00			-45
Appropriation (total) .....			-45
70.00			-45
Total new budget authority (gross) .....			-45
<b>Change in unpaid obligations:</b>			
73.10			-45
New obligations .....			-45
73.20			45
Total outlays (gross) .....			45
<b>Outlays (gross), detail:</b>			
86.97			-45
Outlays from new permanent authority .....			-45
<b>Net budget authority and outlays:</b>			
89.00			-45
Budget authority .....			-45
90.00			-45
Outlays .....			-45

This schedule reflects the effects of the Medicare proposals contained in the Budget on the Supplementary Medical Insurance Trust Fund.

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

ADMINISTRATION FOR CHILDREN AND  
FAMILIES

Federal Funds

General and special funds:

TEMPORARY ASSISTANCE FOR NEEDY FAMILIES

Program and Financing (in millions of dollars)			
Identification code 75-1552-0-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01			16,489
State family assistance grant .....	13,403	16,489	16,489
00.02			-50
State penalties .....			-50
00.03			78
Territories—family assistance grants .....		78	78
00.04			20
Matching grants to territories .....		20	20
00.05			75
Bonus to reward decrease in illegitimacy .....			75
00.06			161
Supplemental grants for population increases .....		79	161
00.07			200
Bonus to reward high performance States .....			200
00.08			8
Tribal work programs .....	8	8	8
00.91			16,981
Subtotal .....	13,411	16,674	16,981
<b>Loan program:</b>			
01.01			48
Loan fund disbursements .....		32	48
01.02			-31
Loan fund repayments .....		-6	-31
01.91			17
Total loan program .....		26	17
10.00			16,998
Total obligations (object class 41.0) .....	13,411	16,700	16,998
<b>Budgetary resources available for obligation:</b>			
22.00			16,998
New budget authority (gross) .....	13,411	16,700	16,998
23.95			-16,998
New obligations .....	-13,411	-16,700	-16,998

New budget authority (gross), detail:			
60.05			16,998
Appropriation (indefinite) .....	13,411	16,700	16,998

Change in unpaid obligations:			
72.40			6,705
Unpaid obligations, start of year: Obligated balance:			6,705
Uninvested .....	111	3,807	6,705
73.10			16,998
New obligations .....	13,411	16,700	16,998
73.20			-15,933
Total outlays (gross) .....	-9,726	-13,802	-15,933
73.40			
Adjustments in expired accounts .....	12		
74.40			7,770
Unpaid obligations, end of year: Obligated balance:			7,770
Uninvested .....	3,807	6,705	7,770

Outlays (gross), detail:			
86.97			12,240
Outlays from new permanent authority .....	9,641	12,027	12,240
86.98			3,693
Outlays from permanent balances .....	85	1,775	3,693
87.00			15,933
Total outlays (gross) .....	9,726	13,802	15,933

Net budget authority and outlays:			
89.00			16,998
Budget authority .....	13,411	16,700	16,998
90.00			15,933
Outlays .....	9,726	13,802	15,933

This account provides funding for activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). The Temporary Assistance for Needy Families block grant provides funding to States for aid to poor families with children.

This account also includes Federal loans for State welfare programs, established by section 406 of the Social Security Act as amended.

CONTINGENCY FUND

Program and Financing (in millions of dollars)			
Identification code 75-1522-0-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00			28
Total obligations (object class 41.0) .....		20	28
<b>Budgetary resources available for obligation:</b>			
22.00			28
New budget authority (gross) .....		20	28
23.95			-28
New obligations .....		-20	-28
<b>New budget authority (gross), detail:</b>			
60.00			28
Appropriation .....		20	28

Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Uninvested .....	6	
73.10	New obligations .....	20	28
73.20	Total outlays (gross) .....	-14	-23
74.40	Unpaid obligations, end of year: Obligated balance:		
	Uninvested .....	6	11
<hr/>			
Outlays (gross), detail:			
86.97	Outlays from new permanent authority .....	14	20
86.98	Outlays from permanent balances .....		3
87.00	Total outlays (gross) .....	14	23
<hr/>			
Net budget authority and outlays:			
89.00	Budget authority .....	20	28
90.00	Outlays .....	14	23

The Contingency Fund for State Welfare Programs supplements the Temporary Assistance for Needy Families block grant by providing matching amounts to States that reach certain unemployment or Food Stamp caseload thresholds and maintain 100 percent of historical State spending on block-granted programs.

FAMILY SUPPORT PAYMENTS TO STATES

For making payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), to remain available until expended, \$1,989,000,000; and for such purposes for the first quarter of fiscal year [1999, \$660,000,000, to remain available until expended] 2000, \$750,000,000.

For making payments to each State for carrying out the program of Aid to Families with Dependent Children under title IV-A of the Social Security Act before the effective date of the program of Temporary Assistance to Needy Families (TANF) with respect to such State, such sums as may be necessary: *Provided*, That the sum of the amounts available to a State with respect to expenditures under such title IV-A in fiscal year 1997 under this appropriation and under such title IV-A as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 shall not exceed the limitations under section 116(b) of such Act: *Provided further*, That, notwithstanding section 418(a) of the Social Security Act, for fiscal year 1997 only, the amount of payment under section 418(a)(1) to which each State is entitled shall equal the amount specified as mandatory funds with respect to such State for such fiscal year in the table transmitted by the Administration for Children and Families to State Child Care and Development Block Grant Lead Agencies on August 27, 1996, and the amount of State expenditures in fiscal year 1994 or 1995 (whichever is greater) that equals the non-Federal share for the programs described in section 418(a)(1)(A) shall be deemed to equal the amount specified as maintenance of effort with respect to such State for fiscal year 1997 in such table].

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), for the last three months of the current year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary. (*Department of Health and Human Services Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 75-1501-0-1-609	1997 actual	1998 est.	1999 est.	
Obligations by program activity:				
Child support Enforcement (CSE):				
Benefit payments:				
00.01	State child support administrative costs .....	2,445	2,255	2,749
00.02	Federal incentive/hold harmless payments to States .....	401	423	469
00.03	Access and visitation grants .....	10	10	10
00.91	Subtotal, child support enforcement .....	2,856	2,688	3,228
01.02	Payments to territories .....	11	21	21
01.03	Repatriation .....	1	1	1
01.91	Subtotal, other payments .....	12	22	22

Aid to families with dependent children (AFDC) payments:				
02.01	AFDC benefit payments .....	3,206	300	35
02.02	Emergency assistance .....	854	200	65
02.03	State and local welfare administration .....	425	146	
02.04	AFDC/JOBS child care .....	1	5	
02.05	At-risk child care .....		10	
02.06	Collection of excess State errors .....		-15	-25
02.91	Subtotal, AFDC Programs .....	4,486	646	75
10.00	Total obligations (object class 41.0) .....	7,354	3,356	3,325

Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested .....	1,343	2,046	207
22.00	New budget authority (gross) .....	8,057	1,030	3,118
22.10	Resources available from recoveries of prior year obligations .....		487	
23.90	Total budgetary resources available for obligation .....	9,400	3,563	3,325
23.95	New obligations .....	-7,354	-3,356	-3,325
24.40	Unobligated balance available, end of year:			
	Uninvested .....	2,046	207	

New budget authority (gross), detail:				
Current:				
40.00	Appropriation .....	2,158		1,989
Permanent:				
65.00	Advance appropriation (definite) .....	4,800	607	660
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	1,099	423	469
70.00	Total new budget authority (gross) .....	8,057	1,030	3,118

Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	1,691	2,603	673
73.10	New obligations .....	7,354	3,356	3,325
73.20	Total outlays (gross) .....	-6,442	-4,799	-3,645
73.45	Adjustments in unexpired accounts .....		-487	
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	2,603	673	353

Outlays (gross), detail:				
86.90	Outlays from new current authority .....	1,074		1,651
86.93	Outlays from current balances .....	813	2,823	645
86.97	Outlays from new permanent authority .....	4,555	1,030	1,129
86.98	Outlays from permanent balances .....		946	220
87.00	Total outlays (gross) .....	6,442	4,799	3,645

Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-1,099	-423	-469

Net budget authority and outlays:				
89.00	Budget authority .....	6,958	607	2,649
90.00	Outlays .....	5,343	4,376	3,176

Summary of Budget Authority and Outlays

	(in millions of dollars)		
Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority .....	6,958	607	2,649
Outlays .....	5,343	4,376	3,176
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-8
Outlays .....			-8
Total:			
Budget Authority .....	6,958	607	2,641
Outlays .....	5,343	4,376	3,168

P.L. 104-93 modified the treatment of child support collections so that once a State enters TANF, collections are no longer used to reduce the budget authority requested. Instead, spending authority from offsetting collections is limited to incentive and hold harmless payments. The net federal share of collections remaining after incentive and hold harmless payments are made to States is returned to the treasury in a receipt account. The text table below shows the traditional display of child support enforcement costs:

**General and special funds—Continued**

**FAMILY SUPPORT PAYMENTS TO STATES—Continued**

**Net Federal Costs of Child Support Enforcement**

(In millions of dollars)

	1997	1998	1999
Gross Federal share of collections .....	-1,424	-1,445	-1,530
Federal incentive payments to States .....	401	406	429
Hold harmless payments .....		17	40
State child support administrative costs .....	2,334	2,430	2,651
Access and visitation grants .....	10	10	10
Subtotal .....	1,321	1,418	1,600

**FAMILY SUPPORT PAYMENTS TO STATES  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 75-1501-4-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Benefit payments:			
00.01 State child support administrative costs .....			-8
00.02 Federal incentive/hold harmless payments to States .....			-40
10.00 Total obligations (object class 41.0) .....			-48
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-48
23.95 New obligations .....			48
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....			-8
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....			-40
70.00 Total new budget authority (gross) .....			-48
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			-48
73.20 Total outlays (gross) .....			48
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			-8
86.97 Outlays from new permanent authority .....			-40
87.00 Total outlays (gross) .....			-48
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....			40
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-8
90.00 Outlays .....			-8

This proposal simplifies the child support funding structure by: (1) conforming the match rate for paternity testing with the base administrative match rate; and, (2) repealing the hold harmless provision established in welfare reform.

**LOW INCOME HOME ENERGY ASSISTANCE**

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981, \$1,100,000,000, to be available for obligation in the period October 1, [1998] 1999 through September 30, [1999] 2000.

For making payments under title XXVI of such Act, \$300,000,000: *Provided*, That these funds are hereby designated by Congress to be emergency requirements pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985: *Provided further*, That these funds shall be made available only after submission to Congress of a formal budget request by the President that includes designation of the entire amount of the request as

an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act. (*Department of Health and Human Services Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 75-1502-0-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	1,215	1,000	1,100
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1,215	1,000	1,100
23.95 New obligations .....	-1,215	-1,000	-1,100
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	1,000		
40.15 Appropriation (emergency) .....		300	300
40.60 Contingent emergency appropriation not available for obligations .....		-300	-300
43.00 Appropriation (total) .....	1,000		
Permanent:			
60.65 Contingent emergency appropriation released .....	215		
65.00 Advance appropriation (definite) .....		1,000	1,100
70.00 Total new budget authority (gross) .....	1,215	1,000	1,100
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	358	352	278
73.10 New obligations .....	1,215	1,000	1,100
73.20 Total outlays (gross) .....	-1,221	-1,074	-1,077
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	352	278	301
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	897		
86.93 Outlays from current balances .....		318	
86.97 Outlays from new permanent authority .....		740	814
86.98 Outlays from permanent balances .....	324	16	263
87.00 Total outlays (gross) .....	1,221	1,074	1,077
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,215	1,000	1,100
90.00 Outlays .....	1,221	1,074	1,077

**Status of Contingent Emergency Funding (in millions of dollars)**

Identification code 75-1502-0-1-609	1997 actual	1998 est.	1999 est.
0199 Balance of contingent emergency funding, start of year .....	420		
0300 New emergency funding not available for obligation .....		300	300
0400 Releases of contingent emergency appropriations .....	-215		
0599 Adjustments .....	-205	-300	-300
0799 Balance of contingent emergency funding, end of year .....			

This program makes grants to States and Indian tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers.

**REFUGEE AND ENTRANT ASSISTANCE**

For making payments for refugee and entrant assistance activities authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 (Public Law 96-422), \$415,000,000 [*Provided*, That funds appropriated pursuant to section 414(a) of the Immigration and Nationality Act under Public Law 104-134 for fiscal year 1996 shall be available for the costs of assistance provided and other activities conducted in such year and in fiscal years 1997 and 1998]. (*Department of Health and Human Services Appropriations Act, 1998.*)

**[REFUGEE RESETTLEMENT ASSISTANCE]**

[For necessary expenses for the targeted assistance program authorized by title IV of the Immigration and Nationality Act and

section 501 of the Refugee Education Assistance Act of 1980 and administered by the Office of Refugee Resettlement of the Department of Health and Human Services, in addition to amounts otherwise available for such purposes, \$5,000,000.] (*Foreign Operations, Export Financing, and Related Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 75-1503-0-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	404	423	415
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	2	2	2
22.00 New budget authority (gross)	425	423	415
22.30 Unobligated balance expiring	-20		
23.90 Total budgetary resources available for obligation	407	425	417
23.95 New obligations	-404	-423	-415
24.40 Unobligated balance available, end of year:			
Uninvested	2	2	2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	417	420	415
41.00 Transferred to other accounts	-2		
43.00 Appropriation (total)	415	420	415
50.00 Reappropriation	10	3	
70.00 Total new budget authority (gross)	425	423	415
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	337	436	449
73.10 New obligations	404	423	415
73.20 Total outlays (gross)	-323	-410	-408
73.40 Adjustments in expired accounts	18		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	436	449	456
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	136	148	145
86.93 Outlays from current balances	187	262	263
87.00 Total outlays (gross)	323	410	408
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	425	423	415
90.00 Outlays	323	410	408

States are subsidized for administering the refugee assistance program.

Object Classification (in millions of dollars)

Identification code 75-1503-0-1-609	1997 actual	1998 est.	1999 est.
25.1 Advisory and assistance services	2	2	2
25.3 Purchases of goods and services from Government accounts	6		
41.0 Grants, subsidies, and contributions	396	421	413
99.9 Total obligations	404	423	415

FAMILY PRESERVATION AND SUPPORT

For carrying out section 430 of the Social Security Act, [\$255,000,000] \$275,000,000. (*Department of Health and Human Services Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 75-1512-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Grants to States and Tribes	224	239	259
00.02 Training and technical assistance	6	6	6
00.03 State court assessment activities	10	10	10
10.00 Total obligations	240	255	275

<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	240	255	275
23.95 New obligations	-240	-255	-275

<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	240	255	275

<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	270	293	312
73.10 New obligations	240	255	275
73.20 Total outlays (gross)	-216	-236	-252
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	293	312	335

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	41	44	48
86.93 Outlays from current balances	175	192	204
87.00 Total outlays (gross)	216	236	252

<b>Net budget authority and outlays:</b>			
89.00 Budget authority	240	255	275
90.00 Outlays	216	236	252

Object Classification (in millions of dollars)

Identification code 75-1512-0-1-506	1997 actual	1998 est.	1999 est.
25.1 Advisory and assistance services	6	6	6
41.0 Grants, subsidies, and contributions	234	249	269
99.9 Total obligations	240	255	275

JOB OPPORTUNITIES AND BASIC SKILLS

Program and Financing (in millions of dollars)

Identification code 75-1509-0-1-504	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	283		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1,000		
22.30 Unobligated balance expiring	-717		
23.90 Total budgetary resources available for obligation	283		
23.95 New obligations	-283		

<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1,000		
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	254	158	6
73.10 New obligations	283		
73.20 Total outlays (gross)	-445	-152	-6
73.40 Adjustments in expired accounts	66		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	158	6	

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	211		
86.93 Outlays from current balances	234	152	6
87.00 Total outlays (gross)	445	152	6
88.95 Change in orders on hand from Federal sources			

<b>Net budget authority and outlays:</b>			
89.00 Budget authority	1,000		
90.00 Outlays	445	152	6

This activity is replaced by Temporary Assistance for Needy Families.

**General and special funds—Continued**

**STATE LEGALIZATION IMPACT-ASSISTANCE GRANTS**

**Program and Financing (in millions of dollars)**

Identification code 75-1508-0-1-506	1997 actual	1998 est.	1999 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	2	7	7
73.20 Total outlays (gross) .....	5		
73.40 Adjustments in expired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	7	7	7
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	-5		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-5		

**CHILD CARE ENTITLEMENT TO STATES**

**Program and Financing (in millions of dollars)**

Identification code 75-1550-0-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Mandatory child care .....	1,238	1,219	1,221
00.02 Matching child care .....	724	847	941
00.03 Training and technical assistance .....	5	5	5
10.00 Total obligations .....	1,967	2,071	2,167
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1,967	2,071	2,167
23.95 New obligations .....	-1,967	-2,071	-2,167
<b>New budget authority (gross), detail:</b>			
Current:			
50.00 Reappropriation .....		4	
Permanent:			
60.00 Appropriation .....	1,967	2,067	2,167
70.00 Total new budget authority (gross) .....	1,967	2,071	2,167
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....		569	801
73.10 New obligations .....	1,967	2,071	2,167
73.20 Total outlays (gross) .....	-1,398	-1,835	-2,056
73.40 Adjustments in expired accounts .....		-4	
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	569	801	912
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	1,398	1,468	1,534
86.98 Outlays from permanent balances .....		367	522
87.00 Total outlays (gross) .....	1,398	1,835	2,056
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1,967	2,071	2,167
90.00 Outlays .....	1,398	1,835	2,056

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
<b>Enacted/requested:</b>			
Budget Authority .....	1,967	2,071	2,167
Outlays .....	1,398	1,835	2,056
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			1,755
Outlays .....			1,170
<b>Total:</b>			
Budget Authority .....	1,967	2,071	3,922
Outlays .....	1,398	1,835	3,226

This account provides child care funding for welfare recipients and low-income working families established by the Per-

**sonal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193).**

**Object Classification (in millions of dollars)**

Identification code 75-1550-0-1-609	1997 actual	1998 est.	1999 est.
25.1 Advisory and assistance services .....	5	5	5
41.0 Grants, subsidies, and contributions .....	1,962	2,066	2,162
99.9 Total obligations .....	1,967	2,071	2,167

**CHILD CARE ENTITLEMENT TO STATES  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 75-1550-4-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Early learning fund .....			600
00.02 Matching funds to States .....			1,129
00.03 Tribal mandatory funds .....			23
00.04 Training and technical assistance .....			3
10.00 Total obligations .....			1,755
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			1,755
23.95 New obligations .....			-1,755
<b>New budget authority (gross), detail:</b>			
60.00 Appropriation .....			1,755
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			1,755
73.20 Total outlays (gross) .....			-1,170
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....			585
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			1,170
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			1,755
90.00 Outlays .....			1,170

This legislative proposal will provide child care funding for low-income working families and provide challenge grants to communities to support programs to improve early learning and the quality and safety of child care for children ages zero to five.

**Object Classification (in millions of dollars)**

Identification code 75-1550-4-1-609	1997 actual	1998 est.	1999 est.
25.1 Advisory and assistance services .....			3
41.0 Grants, subsidies, and contributions .....			1,752
99.9 Total obligations .....			1,755

**PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT  
BLOCK GRANT**

**[INCLUDING TRANSFER OF FUNDS]**

For carrying out sections 658A through 658R of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), in addition to amounts already appropriated for fiscal year [1998, \$65,672,000] 1999, \$2,672,000; and to become available on October 1, [1998] 1999 and remain available through September 30, [1999, \$1,000,000,000: Provided, That of funds appropriated for each of fiscal years 1998 and 1999, \$19,120,000 shall be available for child care resource and referral and school-aged child

care activities, of which for fiscal year 1998 \$3,000,000 shall be derived from an amount that shall be transferred from the amount appropriated under section 452(j) of the Social Security Act (42 U.S.C. 652(j)) for fiscal year 1997 and remaining available for expenditure: *Provided further*, That of the funds provided for fiscal year 1998, \$50,000,000 shall be reserved by the States for activities authorized under section 658G of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), such funds to be in addition to the amounts required to be reserved by States under such section 658G] 2000, \$1,002,672,000. (Department of Health and Human Services Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 75-1515-0-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Block grant payments to States .....	19	1,000	1,000
00.02 Advisory and assistance services .....		3	3
10.00 Total obligations .....	19	1,003	1,003
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	19	1,003	1,003
23.95 New obligations .....	-19	-1,003	-1,003
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	13	63	3
42.00 Transferred from other accounts .....	6	3	
43.00 Appropriation (total) .....	19	66	3
Permanent:			
65.00 Advance appropriation (definite) .....		937	1,000
70.00 Total new budget authority (gross) .....	19	1,003	1,003
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1,413	521	546
73.10 New obligations .....	19	1,003	1,003
73.20 Total outlays (gross) .....	-909	-978	-993
73.40 Adjustments in expired accounts .....	-2		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	521	546	556
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	13	41	2
86.93 Outlays from current balances .....	896	356	166
86.97 Outlays from new permanent authority .....		581	620
86.98 Outlays from permanent balances .....			206
87.00 Total outlays (gross) .....	909	978	993
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	19	1,003	1,003
90.00 Outlays .....	909	978	993

Summary of Budget Authority and Outlays

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
<b>Enacted/requested:</b>			
Budget Authority .....	19	1,003	1,003
Outlays .....	909	978	994
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			180
Outlays .....			75
<b>Total:</b>			
Budget Authority .....	19	1,003	1,183
Outlays .....	909	978	1,069

This appropriation helps low-income families pay for child care and related services.

Object Classification (in millions of dollars)

Identification code 75-1515-0-1-609	1997 actual	1998 est.	1999 est.
25.1 Advisory and assistance services .....		3	3
41.0 Grants, subsidies, and contributions .....	19	1,000	1,000
99.9 Total obligations .....	19	1,003	1,003

CHILD CARE AND DEVELOPMENT BLOCK GRANT  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-1515-2-1-609	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Standards enforcement fund .....			100
00.02 Child care provider scholarship fund .....			50
00.03 Research and evaluation fund .....			30
10.00 Total obligations (object class 41.0) .....			180
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			180
23.95 New obligations .....			-180
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			180
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			180
73.20 Total outlays (gross) .....			-75
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....			105
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			75
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			180
90.00 Outlays .....			75

This legislative proposal will provide funds: (1) to States to improve licensing systems and enforce State child care health and safety standards; (2) for scholarships to students working toward a child care credential; and (3) to support a National Center on Child Care Statistics, a child care hotline, and research and demonstration projects.

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, [\$2,299,000,000] \$1,909,000,000. *Provided*, That notwithstanding section 2003(c) of such Act, as amended, the amount specified for allocation under such section for fiscal year [1998] 1999 shall be [\$2,299,000,000] \$1,909,000,000. (Department of Health and Human Services Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 75-1534-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	2,500	2,299	1,909
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2,500	2,299	1,909
23.95 New obligations .....	-2,500	-2,299	-1,909
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2,500	2,299	1,909
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1,217	1,149	1,005
73.10 New obligations .....	2,500	2,299	1,909
73.20 Total outlays (gross) .....	-2,571	-2,443	-2,049
73.40 Adjustments in expired accounts .....	3		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1,149	1,005	865
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2,233	2,069	1,718
86.93 Outlays from current balances .....	338	374	331
87.00 Total outlays (gross) .....	2,571	2,443	2,049
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2,500	2,299	1,909

**General and special funds—Continued**

**SOCIAL SERVICES BLOCK GRANT—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-1534-0-1-506	1997 actual	1998 est.	1999 est.
90.00 Outlays .....	2,572	2,443	2,049

*Social services block grant.—The proposed level will support grants to States for social services.*

**CHILDREN AND FAMILIES SERVICES PROGRAMS**

**[(INCLUDING RESCISSIONS)]**

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the *Families with Disabilities Support Act under part I of the Individuals with Disabilities Education Act (20 U.S.C. 149 et seq.)*, notwithstanding section 203(a) of the *Individuals with Disabilities Education Act Amendments of 1997 (P.L. 105-17)*, the Head Start Act, the Child Abuse Prevention and Treatment Act [including section 105(a)(2) of the Child Abuse Prevention and Treatment Act], the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of Public Law 95-266 (adoption opportunities), the *Adoption and Safe Families Act of 1997 (Public Law 105-89)*, the Abandoned Infants Assistance Act of 1988, part B(1) of title IV and sections 413, 429A and 1110 of the Social Security Act; for making payments under the Community Services Block Grant Act; and for necessary administrative expenses to carry out said Acts and titles I, IV, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. ch. 9), the Omnibus Budget Reconciliation Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, sections 40155, 40211, and 40241 of Public Law 103-322, and section 126 and titles IV and V of Public Law 100-485, [\$5,682,916,000] \$5,944,100,000, of which [\$542,165,000] \$20,000,000, to remain available until September 30, 2003, for grants to States for adoption incentive payments, as authorized by section 473A of title IV of the Social Security Act (42 U.S.C. 670-679); of which \$490,600,000 shall be for making payments under the Community Services Block Grant Act [ ]; and of which [\$4,355,000,000] \$4,660,000,000 shall be for making payments under the Head Start Act, of which up to \$90,000,000 may be used for making payments under section 640(g)(1) of Public Law 103-252, and up to \$56,000,000 may be used for making payments under section 640(a)(3) of such law. Provided, That, notwithstanding section 640(a)(6) of Public Law 103-252, of the funds made available for the Head Start Act, [\$279,250,000] \$350,000,000 shall be set aside for the Head Start Program for Families with Infants and Toddlers (Early Head Start): Provided further, That [to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes], notwithstanding section 203(d)(3)(A) of Public Law 95-266, up to \$5,000,000 shall be available for improving State efforts to increase the placement of foster care children.

[In addition, \$93,000,000, to be derived from the Violent Crime Reduction Trust Fund, for carrying out sections 40155, 40211 and 40241 of Public Law 103-322.]

[Funds appropriated for fiscal year 1998 under section 429A(e), part B of title IV of the Social Security Act shall be reduced by \$6,000,000.]

Funds appropriated for fiscal year 1998 under section 413(h)(1) of the Social Security Act shall be reduced by \$15,000,000. [Department of Health and Human Services Appropriations Act, 1998.]

**Program and Financing (in millions of dollars)**

Identification code 75-1536-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Head Start .....	3,981	4,355	4,660
<b>Social services programs:</b>			
Children, youth, and families:			
01.03 Runaway and homeless youth .....	44	44	44

01.04 Runaway youth transitional living .....	15	15	15
01.07 Child abuse state grants .....	21	21	21
01.08 Child abuse discretionary grants .....	14	14	14
01.09 Community based resource centers .....	33	33	33
01.11 Abandoned infants assistance .....	12	12	12
01.14 Child welfare services .....	292	292	292
01.15 Child welfare training .....	4	6	6
01.17 Adoption opportunities .....	13	23	27
01.19 Family violence grants .....	62	10	
01.20 Social services and income maintenance re-search .....	44	26	10
<b>Developmental disabilities:</b>			
01.23 State grants .....	65	65	65
01.24 Protection and advocacy .....	27	27	27
01.25 Special projects .....	5	5	5
01.26 University affiliated projects .....	17	17	17
01.27 States support systems .....			5
01.28 Native American programs .....	35	35	35
01.29 Adoption incentive payments .....			20
01.91 Subtotal social services programs .....	703	645	648
02.01 ACF Federal administration .....	143	141	145
02.93 Total direct program .....	4,827	5,141	5,453
<b>Community services programs:</b>			
03.01 Community services block grants .....	490	491	491
03.03 Community food and nutrition .....	4	4	
03.04 Community services discretionary .....	31	33	
03.05 National youth sports .....	12	14	
03.91 Subtotal, community services .....	537	542	491
09.01 Reimbursable program .....	13	11	11
10.00 Total obligations .....	5,377	5,694	5,955

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year:			
Uninvested .....	7	3	3
22.00 New budget authority (gross) .....	5,373	5,694	5,955
23.90 Total budgetary resources available for obligation .....	5,380	5,697	5,958
23.95 New obligations .....	-5,377	-5,694	-5,955
24.40 Unobligated balance available, end of year:			
Uninvested .....	3	3	3

**New budget authority (gross), detail:**

<b>Current:</b>			
40.00 Appropriation .....	5,364	5,683	5,944
<b>Permanent:</b>			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	7	30	11
68.10 Change in orders on hand from Federal sources .....	3	-19	
68.90 Spending authority from offsetting collections (total) .....	10	11	11
70.00 Total new budget authority (gross) .....	5,374	5,694	5,955

**Change in unpaid obligations:**

<b>Unpaid obligations, start of year:</b>			
72.40 Obligated balance: Uninvested .....	3,225	3,605	3,788
72.95 Orders on hand from Federal sources .....	16	19	
72.99 Total unpaid obligations, start of year .....	3,241	3,624	3,788
73.10 New obligations .....	5,377	5,694	5,955
73.20 Total outlays (gross) .....	-5,129	-5,530	-5,795
73.40 Adjustments in expired accounts .....	135		
<b>Unpaid obligations, end of year:</b>			
74.40 Obligated balance: Uninvested .....	3,605	3,788	3,948
74.95 Orders on hand from Federal sources .....	19		
74.99 Total unpaid obligations, end of year .....	3,624	3,788	3,948

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	2,257	2,410	2,523
86.93 Outlays from current balances .....	2,865	3,109	3,263
86.97 Outlays from new permanent authority .....	7	11	11
87.00 Total outlays (gross) .....	5,129	5,530	5,795

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.00 Offsetting collections (cash) from: Federal sources .....	-7	-30	-11
88.95 Change in orders on hand from Federal sources .....	-3	19	

**Net budget authority and outlays:**

89.00 Budget authority .....	5,364	5,683	5,944
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90.00	Outlays .....	5,122	5,500	5,784
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**Head Start.**—The proposed level will enable Head Start projects to continue comprehensive early childhood development services and is consistent with the President's goal to serve 1 million children by 2002, including a doubling of the number of infants and toddlers in Early Head Start to 80,000.

**Social Services.**—The proposed level will continue to support State, local, and private efforts to provide developmental and other services for children and youth, grants to improve support networks for persons with developmental disabilities, and economic development assistance to Native American populations.

**Adoption Incentive Payments.**—The proposed level includes \$20 million for bonus payments to States that increase the number of children adopted from the foster care system.

Object Classification (in millions of dollars)

Identification code 75-1536-0-1-506	1997 actual	1998 est.	1999 est.	
<b>Direct obligations:</b>				
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	91	91	92
11.3	Other than full-time permanent .....	1	1	1
11.5	Other personnel compensation .....	1		
11.9	Total personnel compensation .....	93	92	93
12.1	Civilian personnel benefits .....	16	16	17
21.0	Travel and transportation of persons .....	2	2	3
22.0	Transportation of things .....	1		
23.1	Rental payments to GSA .....	12	12	12
23.2	Rental payments to others .....	6		
23.3	Communications, utilities, and miscellaneous charges .....	2	2	2
24.0	Printing and reproduction .....	1	1	1
25.1	Advisory and assistance services .....	54	61	61
25.2	Other services .....	2	1	1
25.3	Purchases of goods and services from Government accounts .....	20	16	16
25.7	Operation and maintenance of equipment .....	3	2	2
31.0	Equipment .....	2	2	2
41.0	Grants, subsidies, and contributions .....	5,156	5,476	5,734
43.0	Interest and dividends .....	-6		
99.0	Subtotal, direct obligations .....	5,364	5,683	5,944
99.0	Reimbursable obligations .....	13	11	11
99.9	Total obligations .....	5,377	5,694	5,955

Personnel Summary

Identification code 75-1536-0-1-506	1997 actual	1998 est.	1999 est.	
1001	Total compensable workyears: Full-time equivalent employment .....	1,657	1,588	1,562

VIOLENT CRIME REDUCTION PROGRAMS

For activities authorized by sections 40155, 40211, and 40241 of Public Law 103-322, \$105,000,000, to remain available until expended, of which \$101,000,000 shall be derived from the Violent Crime Reduction Trust Fund: Provided, That \$88,800,000 shall be for Family Violence (including Battered Women's Shelters); \$15,000,000 for Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless and Street Youth; and \$1,200,000 for the National Domestic Violence Hotline.

Program and Financing (in millions of dollars)

Identification code 75-8605-0-1-754	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
00.01	Family violence/battered women's shelters and domestic violence hotline .....	12	78	90
00.02	Education and prevention grants to reduce sexual abuse of runaway, homeless and street youth .....	8	15	15
09.01	Community schools .....	11		

10.00	Total obligations .....	31	93	105
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Budgetary resources available for obligation:

22.00	New budget authority (gross) .....	31	93	105
23.95	New obligations .....	-31	-93	-105

New budget authority (gross), detail:

Current:				
40.00	Appropriation .....			4
42.00	Transferred from other accounts .....	20	93	101
43.00	Appropriation (total) .....	20	93	105
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	11		
70.00	Total new budget authority (gross) .....	31	93	105

Change in unpaid obligations:

72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	21	28	68
73.10	New obligations .....	31	93	105
73.20	Total outlays (gross) .....	-23	-53	-84
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	28	68	89

Outlays (gross), detail:

86.90	Outlays from new current authority .....	-3	37	42
86.93	Outlays from current balances .....	15	16	42
86.97	Outlays from new permanent authority .....	11		
87.00	Total outlays (gross) .....	23	53	84

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-11		

Net budget authority and outlays:

89.00	Budget authority .....	20	93	105
90.00	Outlays .....	12	53	84

Object Classification (in millions of dollars)

Identification code 75-8605-0-1-754	1997 actual	1998 est.	1999 est.	
<b>Direct obligations:</b>				
25.1	Advisory and assistance services .....	1	1	1
41.0	Grants, subsidies, and contributions .....	19	92	104
99.0	Subtotal, direct obligations .....	20	93	105
99.0	Reimbursable obligations .....	11		
99.9	Total obligations .....	31	93	105

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 75-1553-0-1-609	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
00.01	Training and technical assistance .....	17	15	15
00.02	Federal parent locator service .....	17	39	30
00.03	Child welfare study .....			6
00.04	Welfare research .....			15
00.05	Evaluation of welfare to work .....		9	9
00.06	Evaluation of abstinence education .....		3	3
10.00	Total obligations .....	34	66	78

Budgetary resources available for obligation:

21.40	Unobligated balance available, start of year:			
	Uninvested .....	12	12	
22.00	New budget authority (gross) .....	34	54	78
23.90	Total budgetary resources available for obligation .....	46	66	78
23.95	New obligations .....	-34	-66	-78
24.40	Unobligated balance available, end of year:			
	Uninvested .....	12		

New budget authority (gross), detail:

Current:				
41.00	Transferred to other accounts .....	-6	-3	

**General and special funds—Continued**

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 75-1553-0-1-609	1997 actual	1998 est.	1999 est.
<b>Permanent:</b>			
60.00 Appropriation .....	39	55	76
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	2	2
70.00 Total new budget authority (gross) .....	34	54	78
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	24	40	55
73.10 New obligations .....	34	66	78
73.20 Total outlays (gross) .....	-18	-51	-56
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	40	55	77
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	12	21	19
86.98 Outlays from permanent balances .....	6	30	37
87.00 Total outlays (gross) .....	18	51	56
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-1	-2	-2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	33	52	76
90.00 Outlays .....	17	49	54

This provides funding for research and technical assistance activities established in P.L. 104-193. Amounts for child welfare study and welfare research are in addition to research amounts in the Children and Families Services Program account.

**Object Classification (in millions of dollars)**

Identification code 75-1553-0-1-609	1997 actual	1998 est.	1999 est.
21.0 Travel and transportation of persons .....	2	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.1 Advisory and assistance services .....	2	12	19
25.2 Other services .....	10	22	9
25.3 Purchases of goods and services from Government accounts .....	13	21	27
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	3	5	5
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	1	2	14
99.9 Total obligations .....	34	66	78

**PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE**

For making payments to States or other non-Federal entities, under title IV-E of the Social Security Act, **[\$3,200,000,000]** \$3,984,000,000, of which \$200,000,000 shall be placed in reserve for use only in such amounts and such times as may become necessary to make payments to States.

For making payments to States or other non-Federal entities, under title IV-E of the Social Security Act, for the first quarter of fiscal year **[1999] 2000, [\$1,157,500,000]** \$1,355,300,000. (Department of Health and Human Services Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 75-1545-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Foster care .....	3,292	3,340	3,983
00.02 Independent living .....	70	70	70
00.03 Adoption assistance .....	590	701	869
00.04 Adoption incentives .....			20

10.00 Total obligations (object class 41.0) .....	3,952	4,111	4,942
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	4,445	4,311	5,142
22.30 Unobligated balance expiring .....	-493	-200	-200
23.90 Total budgetary resources available for obligation .....	3,952	4,111	4,942
23.95 New obligations .....	-3,952	-4,111	-4,942
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	4,445	3,200	3,984
Permanent:			
65.00 Advance appropriation (definite) .....		1,111	1,158
70.00 Total new budget authority (gross) .....	4,445	4,311	5,142
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	870	829	716
73.10 New obligations .....	3,952	4,111	4,942
73.20 Total outlays (gross) .....	-4,047	-4,224	-4,803
Adjustments in expired accounts:			
73.40 Adjustments in expired accounts .....			-5
73.40 Adjustments in expired accounts .....	54		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	829	716	850
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	3,331	2,526	3,181
86.93 Outlays from current balances .....	716	763	647
86.97 Outlays from new permanent authority .....		935	975
87.00 Total outlays (gross) .....	4,047	4,224	4,803
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4,445	4,311	5,142
90.00 Outlays .....	4,047	4,224	4,803

*Foster care.*—The proposed level will support eligible low-income children who must be placed outside the home. An average of 323,000 children per month will be served in 1999.

*Adoption assistance.*—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 197,100 children per month will be served in 1999.

**ADMINISTRATION ON AGING**

**Federal Funds**

**General and special funds:**

**AGING SERVICES PROGRAMS**

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965, as amended, **[\$865,050,000]** \$871,050,000: *Provided*, That notwithstanding section 308(b)(1) of such Act, the amounts available to each State for administration of the State plan under title III of such Act shall be reduced not more than 5 percent below the amount that was available to such State for such purpose for fiscal year 1995: *Provided further*, That of the funds appropriated to carry out section 303(a)(1) of such Act, \$4,449,000 shall be available for carrying out section 702(a) of such Act and \$4,732,000 shall be available for carrying out section 702(b) of such Act: *Provided further*, That in considering grant applications for nutrition services for elder Indian recipients, the Assistant Secretary shall provide maximum flexibility to applicants who seek to take into account subsistence, local customs, and other characteristics that are appropriate to the unique cultural, regional, and geographic needs of the American Indian, Alaska and Hawaiian Native communities to be served. (Department of Health and Human Services Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 75-0142-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
01.01 Supportive services and centers .....	302	310	301
01.02 Preventive health .....	16	16	16

01.05	Congregate meals .....	365	374	374
01.06	Home-delivered meals .....	105	112	112
01.07	In-home services .....	9	10	10
01.08	Grants to Indian tribes .....	16	18	18
01.09	Research, training and discretionary projects .....	4	10	10
01.10	Federal administration .....	15	15	15
01.13	Alzheimer's disease demonstration grants to States .....			6
01.14	Grants for protection of vulnerable older Americans .....			9
10.00	Total obligations .....	832	865	871
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	832	865	871
23.95	New obligations .....	-832	-865	-871
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	832	865	871
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	222	226	240
73.10	New obligations .....	832	865	871
73.20	Total outlays (gross) .....	-828	-851	-860
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	226	240	251
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	626	672	679
86.93	Outlays from current balances .....	202	179	181
87.00	Total outlays (gross) .....	828	851	860
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	832	865	871
90.00	Outlays .....	828	851	860

**Administration on Aging.**—The proposed level will continue to provide supportive and nutrition services, research, training and demonstration projects for the elderly.

**Object Classification** (in millions of dollars)

Identification code 75-0142-0-1-506	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services .....	3	3	3
41.0 Grants, subsidies, and contributions .....	817	850	856
99.9 Total obligations .....	832	865	871

**Personnel Summary**

Identification code 75-0142-0-1-506	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	130	139	141
<b>Allocation account:</b>			
3001 Total compensable workyears: Full-time equivalent employment .....	1	1	1

**DEPARTMENTAL MANAGEMENT**

**Federal Funds**

**General and special funds:**

**GENERAL DEPARTMENTAL MANAGEMENT**

For necessary expenses, not otherwise provided, for general departmental management, including hire of six sedans, and for carrying out titles III, XVII, and XX of the Public Health Service Act, [and the United States-Mexico Border Health Commission Act, \$171,631,000, of which \$500,000 shall remain available until expended] \$154,092,000, together with \$5,851,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund: *Provided*, That of the funds made available under this heading for carrying out title XVII of the Public Health Service Act, \$1,500,000 shall be available until expended for

extramural construction]. (Department of Health and Human Services Appropriations Act, 1998.)

**OFFICE FOR CIVIL RIGHTS**

For expenses necessary for the Office for Civil Rights, [\$16,345,000] \$17,345,000, together with not to exceed \$3,314,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund. (Department of Health and Human Services Appropriations Act, 1998.)

**POLICY RESEARCH**

For carrying out, to the extent not otherwise provided, research studies under section 1110 of the Social Security Act, \$14,000,000. (Department of Health and Human Services Appropriations Act, 1998.)

**Program and Financing** (in millions of dollars)

Identification code 75-9912-0-1-551	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
<b>Direct activities:</b>				
00.01	General departmental management .....	181	177	160
00.02	U.S. Office of Consumer Affairs .....	2		
00.03	Office for Civil Rights .....	20	20	21
00.04	Policy research .....	18	14	14
00.05	Emergency supplement .....	1	15	
00.06	Diabetes funds .....		30	30
09.01	Reimbursable program .....	84	89	89
10.00	Total obligations .....	306	345	314
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested .....	13	25	10
22.00	New budget authority (gross) .....	320	329	312
23.90	Total budgetary resources available for obligation .....	333	354	322
23.95	New obligations .....	-306	-345	-314
24.40	Unobligated balance available, end of year:			
	Uninvested .....	25	10	8
<b>New budget authority (gross), detail:</b>				
<b>Current:</b>				
40.00	Appropriation .....	211	202	185
40.15	Appropriation (emergency) .....	15		
42.00	Transferred from other accounts .....	1		
43.00	Appropriation (total) .....	227	202	185
<b>Permanent:</b>				
62.00	Transferred from other accounts .....		30	30
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	93	97	97
70.00	Total new budget authority (gross) .....	320	329	312
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	210	249	269
73.10	New obligations .....	306	345	314
73.20	Total outlays (gross) .....	-270	-325	-337
73.40	Adjustments in expired accounts .....	3		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	249	269	246
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	111	100	92
86.93	Outlays from current balances .....	66	119	121
86.97	Outlays from new permanent authority .....	93	106	106
86.98	Outlays from permanent balances .....			18
87.00	Total outlays (gross) .....	270	325	337
<b>Offsets:</b>				
<b>Against gross budget authority and outlays:</b>				
88.00	Offsetting collections (cash) from: Federal sources .....	-93	-97	-97
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	227	232	215
90.00	Outlays .....	177	228	240

General and special funds—Continued

POLICY RESEARCH—Continued

[Dollars in millions]

	1997 actual	1998 est.	1999 est.
Distribution of budget authority by account:			
General departmental management .....	175	172	154
Office of Consumer Affairs .....	2		
Office for Civil Rights .....	17	16	17
Policy research .....	18	14	14
Diabetes funds .....		30	30
Distribution of outlays by account:			
General departmental management .....	132	172	180
Office of Consumer Affairs .....	2		
Office for Civil Rights .....	15	16	18
Policy research .....	21	8	8
Emergency supplemental .....	7	23	7
Diabetes funds .....		9	27

Departmental Management (DM) is a consolidated display of accounts that fund activities which provide leadership, policy, legal, and administrative guidance to HHS components; carry out the Department's civil rights and nondiscrimination enforcement programs; and support research to develop policy initiatives and improve existing HHS programs. DM also includes the activities of the Office of Public Health and Science, including adolescent family life, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and emergency preparedness. These accounts will continue to be appropriated separately, and are being displayed in a consolidated format to improve the readability of the presentation. Detailed information will continue to be available through the Department of Health and Human Services.

The Balanced Budget Act of 1997 appropriated mandatory funds of \$30 million per year in FY 1998–FY 2002 for research into the prevention and cure of type 1 diabetes, to be transferred from the Children's Health Insurance Program in the Health Care Financing Administration. These funds are temporarily reflected within Departmental Management; once the selection of specific research initiatives has been completed, the funds will be allocated to the National Institutes of Health and the Centers for Disease Control and Prevention.

Object Classification (in millions of dollars)

Identification code 75–9912–0–1–551	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent .....	77	81	83
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1	1	2
11.9 Total personnel compensation .....	81	85	88
12.1 Civilian personnel benefits .....	15	16	17
21.0 Travel and transportation of persons .....	1	2	2
23.1 Rental payments to GSA .....	11	12	12
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	4	2	2
25.2 Other services .....	16	13	13
25.3 Purchases of goods and services from Government accounts .....	33	21	21
25.4 Operation and maintenance of facilities .....	4	4	2
25.5 Research and development contracts .....	1	20	4
25.7 Operation and maintenance of equipment .....	4	2	3
26.0 Supplies and materials .....	3	1	
31.0 Equipment .....	3	4	4
41.0 Grants, subsidies, and contributions .....	42	69	52
99.0 Subtotal, direct obligations .....	222	256	225
99.0 Reimbursable obligations .....	84	89	89
99.9 Total obligations .....	306	345	314

Personnel Summary

Identification code 75–9912–0–1–551	1997 actual	1998 est.	1999 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	1,332	1,302	1,316
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	139	141	143
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment .....	14	20	22

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

PROGRAM SUPPORT CENTER

General and special funds:

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, [and] for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan [and], for medical care of dependents and retired personnel under the Dependents' Medical Care Act (10 U.S.C. ch. 55), and for payments pursuant to section 229(b) of the Social Security Act (42 U.S.C. 429(b)), such amounts as may be required during the current fiscal year. (Department of Health and Human Services Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 75–0379–0–1–551	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
00.01 Retirement payments .....	139	149	159
00.02 Survivors' benefits .....	11	12	12
00.03 Medical care .....	26	28	29
00.04 Military service credits .....	3	2	2
10.00 Total obligations .....	179	191	202
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	180	191	202
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	179	191	202
23.95 New obligations .....	-179	-191	-202
New budget authority (gross), detail:			
40.05 Appropriation (indefinite) .....	180	191	202
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	8	19	18
73.10 New obligations .....	179	191	202
73.20 Total outlays (gross) .....	-180	-192	-201
73.40 Adjustments in expired accounts .....	12		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	19	18	19
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	162	173	183
86.93 Outlays from current balances .....	18	19	18
87.00 Total outlays (gross) .....	180	192	201
Net budget authority and outlays:			
89.00 Budget authority .....	180	191	202
90.00 Outlays .....	180	192	201

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical care to active duty PHS commissioned officers, retir-

ees, and dependents of members and retirees of the PHS Commissioned Corps.

**Object Classification (in millions of dollars)**

Identification code 75-0379-0-1-551	1997 actual	1998 est.	1999 est.
13.0 Benefits for former personnel .....	150	161	171
25.2 Other services .....	26	28	29
25.3 Purchases of goods and services from Government accounts .....	3	2	2
99.9 Total obligations .....	179	191	202

**HEALTH ACTIVITIES FUNDS**

**Program and Financing (in millions of dollars)**

Identification code 75-9913-0-1-550	1997 actual	1998 est.	1999 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	11	10	9
73.20 Total outlays (gross) .....	-1	-1	-1
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	10	9	8
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	1	1	1

This display shows activities in support of St. Elizabeths Hospital and scientific activities overseas that were supported by foreign currencies by the United States abroad.

**Intragovernmental funds:**

**HHS SERVICE AND SUPPLY FUND**

**Program and Financing (in millions of dollars)**

Identification code 75-9941-0-4-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Program support center .....	236	258	257
09.02 Federal employee occupational health .....	79	104	143
09.03 OS activities .....	7	7	6
10.00 Total obligations .....	322	369	406
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	32	42	42
22.00 New budget authority (gross) .....	279	369	406
22.10 Resources available from recoveries of prior year obligations .....	53		
23.90 Total budgetary resources available for obligation .....	364	411	448
23.95 New obligations .....	-322	-369	-406
24.40 Unobligated balance available, end of year: Uninvested .....	42	42	42
<b>New budget authority (gross), detail:</b>			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	297	369	406
68.10 Change in orders on hand from Federal sources .....	-18		
68.90 Spending authority from offsetting collections (total) .....	279	369	406
70.00 Total new budget authority (gross) .....	279	369	406
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
72.40 Obligated balance: Uninvested .....	-9	-14	
72.95 Orders on hand from Federal sources .....	149	131	131
72.99 Total unpaid obligations, start of year .....	140	117	131

73.10 New obligations .....	322	369	406
73.20 Total outlays (gross) .....	-292	-355	-406
73.45 Adjustments in unexpired accounts .....	-53		
Unpaid obligations, end of year:			
74.40 Obligated balance: Uninvested .....	-14		
74.95 Orders on hand from Federal sources .....	131	131	131
74.99 Total unpaid obligations, end of year .....	117	131	131

**Outlays (gross), detail:**

86.97 Outlays from new permanent authority .....	279	369	406
86.98 Outlays from permanent balances .....	13	-14	
87.00 Total outlays (gross) .....	292	355	406

**Offsets:**

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-297	-369	-406
88.95 Change in orders on hand from Federal sources .....	18		

**Net budget authority and outlays:**

89.00 Budget authority .....			
90.00 Outlays .....	-5	-14	

The HHS Service and Supply Fund (SSF) provides common centralized services to HHS components. The Program Support Center (PSC) line includes activities such as personnel and payroll administration, financial management operations, and a wide array of administrative services, including acquisitions management, building and property management, and technical support. The Office of the Secretary (OS) activities line includes the fund manager, departmental contracts and grants, audit resolution, OS graphics, and the regional health administrators. The Federal Employee Occupational Health (FOH) program is also funded through the SSF. FOH includes clinical services, environmental health services and employee assistance programs.

**Object Classification (in millions of dollars)**

Identification code 75-9941-0-4-551	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	63	54	56
11.3 Other than full-time permanent .....	2	9	9
11.5 Other personnel compensation .....	6	3	3
11.9 Total personnel compensation .....	71	66	68
12.1 Civilian personnel benefits .....	13	14	14
21.0 Travel and transportation of persons .....	2	4	5
22.0 Transportation of things .....	2	2	3
23.1 Rental payments to GSA .....	10	9	9
23.3 Communications, utilities, and miscellaneous charges .....	48	49	54
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	4	2	2
25.2 Other services .....	77	101	115
25.3 Purchases of goods and services from Government accounts .....	34	35	35
26.0 Supplies and materials .....	53	81	93
31.0 Equipment .....	7	5	7
99.9 Total obligations .....	322	369	406

**Personnel Summary**

Identification code 75-9941-0-4-551	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	1,241	1,256	1,261

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS**

**Unavailable Collections (in millions of dollars)**

Identification code 75-9971-0-7-551	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....			

MISCELLANEOUS TRUST FUNDS—Continued

Unavailable Collections (in millions of dollars)—Continued

Identification code 75-9971-0-7-551	1997 actual	1998 est.	1999 est.
<b>Receipts:</b>			
02.01 Contributions, Indian health facilities, Health Services Administration .....	32	32	32
02.02 Gifts and contributions, Miscellaneous trust funds .....	11	11	11
02.03 Interest, Miscellaneous trust funds .....	1	1	1
02.99 Total receipts .....	44	44	44
<b>Appropriation:</b>			
05.01 Miscellaneous trust funds .....	-44	-44	-44
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 75-9971-0-7-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.02 Gifts .....	13	12	12
00.03 Contributions, Indian health facilities .....	39	32	32
10.00 Total obligations .....	52	44	44

Budgetary resources available for obligation:

Unobligated balance available, start of year:			
21.40 Uninvested .....	25	23	23
21.41 U.S. Securities: Par value .....	9	14	14
21.99 Total unobligated balance, start of year .....	34	37	37
22.00 New budget authority (gross) .....	46	44	44
22.10 Resources available from recoveries of prior year obligations .....	9		
23.90 Total budgetary resources available for obligation .....	89	81	81
23.95 New obligations .....	-52	-44	-44
Unobligated balance available, end of year:			
24.40 Uninvested .....	23	23	23
24.41 U.S. Securities: Par value .....	14	14	14
24.99 Total unobligated balance, end of year .....	37	37	37
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	44	44	44
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2		
70.00 Total new budget authority (gross) .....	46	44	44

Change in unpaid obligations:

Unpaid obligations, start of year: Obligated balance:			
72.40 Uninvested .....	96	88	83
73.10 New obligations .....	52	44	44
73.20 Total outlays (gross) .....	-51	-49	-47
73.45 Adjustments in unexpired accounts .....	-9		
Unpaid obligations, end of year: Obligated balance:			
74.40 Uninvested .....	88	83	80

Outlays (gross), detail:

86.97 Outlays from new permanent authority .....	12	10	9
86.98 Outlays from permanent balances .....	39	39	38
87.00 Total outlays (gross) .....	51	49	47

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-2		

Net budget authority and outlays:

89.00 Budget authority .....	44	44	44
90.00 Outlays .....	49	49	47

[Dollars in millions]

	1997	1998	1999
<b>Distribution of budget authority by account:</b>			
Gifts .....	12	12	12
Contributions, Indian health facilities .....	32	32	32
<b>Distribution of outlays by account:</b>			
Gifts .....	18	18	16
Contributions, Indian health facilities .....	31	31	31

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 75-9971-0-7-551	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	1	1	1
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
21.0 Travel and transportation of persons .....	1	1	1
22.0 Transportation of things .....	2	2	2
25.2 Other services .....	2	1	1
25.3 Purchases of goods and services from Government accounts .....	5	2	2
25.7 Operation and maintenance of equipment .....	12	12	12
26.0 Supplies and materials .....	5	3	3
31.0 Equipment .....	1		
32.0 Land and structures .....	15	15	15
41.0 Grants, subsidies, and contributions .....	3	2	2
99.9 Total obligations .....	52	44	44

Personnel Summary

Identification code 75-9971-0-7-551	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	67	67	67

OFFICE OF THE INSPECTOR GENERAL

General and special funds:

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$31,921,000]** \$29,000,000. (Department of Health and Human Services Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 75-0128-0-1-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	33	32	29
09.01 Reimbursable program .....	11	11	12
10.00 Total obligations .....	44	43	41

Budgetary resources available for obligation:

Unobligated balance available, start of year:			
21.40 Uninvested .....	1	1	1
22.00 New budget authority (gross) .....	44	43	41
23.90 Total budgetary resources available for obligation .....	45	44	42
23.95 New obligations .....	-44	-43	-41
Unobligated balance available, end of year:			
24.40 Uninvested .....	1	1	1

New budget authority (gross), detail:

Current:			
40.00 Appropriation .....	33	32	29
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	11	11	12
70.00 Total new budget authority (gross) .....	44	43	41

Change in unpaid obligations:

Unpaid obligations, start of year: Obligated balance:			
72.40 Uninvested .....	9	13	9
73.10 New obligations .....	44	43	41
73.20 Total outlays (gross) .....	-39	-47	-46
73.40 Adjustments in expired accounts .....	-1		
Unpaid obligations, end of year: Obligated balance:			
74.40 Uninvested .....	13	9	4

Outlays (gross), detail:				
86.90	Outlays from new current authority .....	25	28	26
86.93	Outlays from current balances .....	3	8	8
86.97	Outlays from new permanent authority .....	11	11	12
87.00	Total outlays (gross) .....	39	47	46
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-11	-11	-12
88.95	Change in orders on hand from Federal sources .....			
Net budget authority and outlays:				
89.00	Budget authority .....	33	32	29
90.00	Outlays .....	28	36	34

The Office of Inspector General identifies and recommends actions to correct fraud, waste, and abuse in HHS administered and assisted programs and operations through audits and investigations.

In addition to the discretionary resources appropriated to the Office of the Inspector General (OIG), the Health Insurance Portability and Accountability Act of 1996 makes available mandatory funding for use by the OIG to combat Medicare fraud and abuse through a coordinated Health Care Fraud and Abuse Control (HCFAC) Program with the Department of Justice. The following table shows total funding resources for the OIG:

(In millions of dollars)			
	1997 actual	1998 est.	1999 est.
Budget Authority:			
Discretionary appropriations .....	33	32	29
Mandatory (HCFAC Account) .....	70	85	97
Total .....	103	117	126
Outlays:			
Discretionary appropriations .....	28	36	34
Mandatory (HCFAC Account) .....	70	85	97
Total .....	98	121	131

This schedule reflects estimated distribution of mandatory funds from the HCFAC Account in FY 1999. Actual FY 1999 distribution will be determined by the Secretary of HHS and the Attorney General.

Object Classification (in millions of dollars)			
Identification code 75-0128-0-1-551	1997 actual	1998 est.	1999 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	19	20	17
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	2	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.3 Purchases of goods and services from Government accounts .....	4	3	3
31.0 Equipment .....	1	1	1
99.0 Subtotal, direct obligations .....	33	32	29
99.0 Reimbursable obligations .....	11	11	12
99.9 Total obligations .....	44	43	41

Personnel Summary			
Identification code 75-0128-0-1-551	1997 actual	1998 est.	1999 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	327	328	286
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	33	24	23
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment .....	658	851	966

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

Note for Entire HHS Chapter.—The HHS FTE totals reflect compliance with section 416 of the Social Security Act, as amended by Public Law 104-193, the Personal Responsibility and Work Opportunity Act of 1996, which requires a reduction of FTE consistent with the replacement of Aid to Families with Dependent Children and Job Opportunities and Basic Skills training program with Temporary Assistance for Needy Families.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Offsetting receipts from the public:			
75-310700 Federal share of child support collections .....	325	1,022	1,063
Legislative proposal, subject to PAYGO .....			40
General Fund Offsetting receipts from the public .....	325	1,022	1,103

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$37,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. 203. None of the funds appropriated under this Act may be used to implement section 399L(b) of the Public Health Service Act or section 1503 of the National Institutes of Health Revitalization Act of 1993, Public Law 103-43.

SEC. 204. None of the funds appropriated in this Act for the National Institutes of Health and the Substance Abuse and Mental Health Services Administration shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of \$125,000 per year.

SEC. 205. None of the funds appropriated in this Act may be expended pursuant to section 241 of the Public Health Service Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in the Department of Health and Human Services, prior to the Secretary's preparation and submission of a report to the Committee on Appropriations of the Senate and of the House detailing the planned uses of such funds.]

SEC. 206. None of the funds appropriated in this Act may be obligated or expended for the Federal Council on Aging under the Older Americans Act or the Advisory Board on Child Abuse and Neglect under the Child Abuse Prevention and Treatment Act.]]

(TRANSFER OF FUNDS)

SEC. [207] 205. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act, as amended) which are appropriated for the current fiscal year for the Department of Health and Human Services in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer except the Public Health and Social Services Emergency Fund, which shall be subject to no such limitation: Provided, That the Appropriations Committees of both Houses of Congress are notified at least fifteen days in advance of any transfer.

SEC. [208] 206. The Director of the National Institutes of Health, jointly with the Director of the Office of AIDS Research, may transfer

## (TRANSFER OF FUNDS)—Continued

up to 3 percent among institutes, centers, and divisions from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Congress is promptly notified of the transfer.

**[SEC. 209.** Of the amounts made available in this Act for the National Institutes of Health, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the Public Health Service Act.]

**SEC. [210] 207.** Funds appropriated in this Act for the National Institutes of Health may be used to provide transit subsidies in amounts consistent with the transportation subsidy programs authorized under section 629 of Public Law 101-509 to non-FTE bearing positions including trainees, visiting fellows and volunteers.

**[SEC. 211. (a)** The Secretary of Health and Human Services may in accordance with this section provide for the relocation of the Federal facility known as the Gillis W. Long Hansen's Disease Center (located in the vicinity of Carville, in the State of Louisiana), including the relocation of the patients of the Center.

(b)(1) Subject to paragraph (2), in relocating the Center the Secretary may on behalf of the United States transfer to the State of Louisiana, without charge, title to the real property and improvements that as of the date of the enactment of this Act constitute the Center. Such real property is a parcel consisting of approximately 330 acres. The exact acreage and legal description used for purposes of the transfer shall be in accordance with a survey satisfactory to the Secretary.

(2) Any conveyance under paragraph (1) is not effective unless the deed or other instrument of conveyance contains the conditions specified in subsection (d); the instrument specifies that the United States and the State of Louisiana agree to such conditions; and the instrument specifies that, if the State engages in a material breach of the conditions, title to the real property and improvements involved reverts to the United States at the election of the Secretary.

(c)(1) With respect to Federal equipment and other items of Federal personal property that are in use at the Center as of the date of the enactment of this Act, the Secretary may, subject to paragraph (2), transfer to the State such items as the Secretary determines to be appropriate, if the Secretary makes the transfer under subsection (b).

(2) A transfer of equipment or other items may be made under paragraph (1) only if the State agrees that, during the 30-year period beginning on the date on which the transfer under subsection (b) is made, the items will be used exclusively for purposes that promote the health or education of the public, except that the Secretary may authorize such exceptions as the Secretary determines to be appropriate.

(d) For purposes of subsection (b)(2), the conditions specified in this subsection with respect to a transfer of title are the following:

(1) During the 30-year period beginning on the date on which the transfer is made, the real property and improvements referred to in subsection (b)(1) (referred to in this subsection as the "transferred property") will be used exclusively for purposes that promote the health or education of the public, with such incidental exceptions as the Secretary may approve.

(2) For purposes of monitoring the extent to which the transferred property is being used in accordance with paragraph (1), the Secretary will have access to such documents as the Secretary determines to be necessary, and the Secretary may require the advance approval of the Secretary for such contracts, conveyances of real or personal property, or other transactions as the Secretary determines to be necessary.

(3) The relocation of patients from the transferred property will be completed not later than 3 years after the date on which the transfer is made, except to the extent the Secretary determines that relocating particular patients is not feasible. During the period of relocation, the Secretary will have unrestricted access to the transferred property, and after such period will have such access as may be necessary with respect to the patients who pursuant to the preceding sentence are not relocated.

(4)(A) With respect to projects to make repairs and energy-related improvements at the transferred property, the Secretary will provide for the completion of all such projects for which contracts

have been awarded and appropriations have been made as of the date on which the transfer is made.

(B) If upon completion of the projects referred to in subparagraph (A) there are any unobligated balances of amounts appropriated for the projects, and the sum of such balances is in excess of \$100,000—

(i) the Secretary will transfer the amount of such excess to the State; and

(ii) the State will expend such amount for the purposes referred to in paragraph (1), which may include the renovation of facilities at the transferred property.

(5)(A) The State will maintain the cemetery located on the transferred property, will permit individuals who were long-term-care patients of the Center to be buried at the cemetery, and will permit members of the public to visit the cemetery.

(B) The State will permit the Center to maintain a museum on the transferred property, and will permit members of the public to visit the museum.

(C) In the case of any waste products stored at the transferred property as of the date of the transfer, the Federal Government will after the transfer retain title to and responsibility for the products, and the State will not require that the Federal Government remove the products from the transferred property.

(6) In the case of each individual who as of the date of the enactment of this Act is a Federal employee at the transferred property with facilities management or dietary duties:

(A) The State will offer the individual an employment position with the State, the position with the State will have duties similar to the duties the individual performed in his or her most recent position at the transferred property, and the position with the State will provide compensation and benefits that are similar to the compensation and benefits provided for such most recent position, subject to the concurrence of the Governor of the State.

(B) If the individual becomes an employee of the State pursuant to subparagraph (A), the State will make payments in accordance with subsection (e)(2)(B) (relating to disability), as applicable with respect to the individual.

(7) The Federal Government may, consistent with the intended uses by the State of the transferred property, carry out at such property activities regarding at-risk youth.

(8) Such additional conditions as the Secretary determines to be necessary to protect the interests of the United States.

(e)(1) This subsection applies if the transfer under subsection (b) is made.

(2) In the case of each individual who as of the date of the enactment of this Act is a Federal employee at the Center with facilities management or dietary duties, and who becomes an employee of the State pursuant to subsection (d)(6)(A):

(A) The provisions of subchapter III of chapter 83 of title 5, United States Code, or of chapter 84 of such title, whichever are applicable, that relate to disability shall be considered to remain in effect with respect to the individual (subject to subparagraph (C)) until the earlier of—

(i) the expiration of the 2-year period beginning on the date on which the transfer under subsection (b) is made; or

(ii) the date on which the individual first meets all conditions for coverage under a State program for payments during retirement by reason of disability.

(B) The payments to be made by the State pursuant to subsection (d)(6)(B) with respect to the individual are payments to the Civil Service Retirement and Disability Fund, if the individual is receiving Federal disability coverage pursuant to subparagraph (A). Such payments are to be made in a total amount equal to that portion of the normal-cost percentage (determined through the use of dynamic assumptions) of the basic pay of the individual that is allocable to such coverage and is paid for service performed during the period for which such coverage is in effect. Such amount is to be determined in accordance with chapter 84 of such title 5, is to be paid at such time and in such manner as mutually agreed by the State and the Office of Personnel Management, and is in lieu of individual or agency contributions otherwise required.

(C) In the determination pursuant to subparagraph (A) of whether the individual is eligible for Federal disability coverage (during the applicable period of time under such subparagraph), service as an employee of the State after the date of the transfer under subsection (b) shall be counted toward the service requirement specified in the first sentence of section 8337(a) or 8451(a)(1)(A) of such title 5 (whichever is applicable).



(3) In the case of each individual who as of the date of the enactment of this Act is a Federal employee with a position at the Center and is, for duty at the Center, receiving the pay differential under section 208(e) of the Public Health Service Act or under section 5545(d) of title 5, United States Code:

(A) If as of the date of the transfer under subsection (b) the individual is eligible for an annuity under section 8336 or 8412 of title 5, United States Code, then once the individual separates from the service and thereby becomes entitled to receive the annuity, the pay differential shall be included in the computation of the annuity if the individual separated from the service not later than the expiration of the 90-day period beginning on the date of the transfer.

(B) If the individual is not eligible for such an annuity as of the date of the transfer under subsection (b) but subsequently does become eligible, then once the individual separates from the service and thereby becomes entitled to receive the annuity, the pay differential shall be included in the computation of the annuity if the individual separated from the service not later than the expiration of the 90-day period beginning on the date on which the individual first became eligible for the annuity.

(C) For purposes of this paragraph, the individual is eligible for the annuity if the individual meets all conditions under such section 8336 or 8412 to be entitled to the annuity, except the condition that the individual be separated from the service.

(4) With respect to individuals who as of the date of the enactment of this Act are Federal employees with positions at the Center and are not, for duty at the center, receiving the pay differential under section 208(e) of the Public Health Service Act or under section 5545(d) of title 5, United States Code:

(A) During the calendar years 1997 and 1998, the Secretary may in accordance with this paragraph provide to any such individual a voluntary separation incentive payment. The purpose of such payments is to avoid or minimize the need for involuntary separations under a reduction in force with respect to the Center.

(B) During calendar year 1997, any payment under subparagraph (A) shall be made under section 663 of the Treasury, Postal Service, and General Government Appropriations Act, 1997 (as contained in section 101(f) of division A of Public Law 104-208), except that, for purposes of this subparagraph, subsection (b) of such section 663 does not apply.

(C) During calendar year 1998, such section 663 applies with respect to payments under subparagraph (A) to the same extent and in the same manner as such section applied with respect to the payments during fiscal year 1997, and for purposes of this subparagraph, the reference in subsection (c)(2)(D) of such section 663 to December 31, 1997, is deemed to be a reference to December 31, 1998.

(f) The following provisions apply if under subsection (a) the Secretary makes the decision to relocate the Center:

(1) The site to which the Center is relocated shall be in the vicinity of Baton Rouge, in the State of Louisiana.

(2) The facility involved shall continue to be designated as the Gillis W. Long Hansen's Disease Center.

(3) The Secretary shall make reasonable efforts to inform the patients of the Center with respect to the planning and carrying out of the relocation.

(4) In the case of each individual who as of October 1, 1996, was a patient of the Center and is considered by the Director of the Center to be a long-term-care patient (referred to in this subsection as an "eligible patient"), the Secretary shall continue to provide for the long-term care of the eligible patient, without charge, for the remainder of the life of the patient.

(5)(A) For purposes of paragraph (4), an eligible patient who is legally competent has the following options with respect to support and maintenance and other nonmedical expenses:

(i) For the remainder of his or her life, the patient may reside at the Center.

(ii) For the remainder of his or her life, the patient may receive payments each year at an annual rate of \$33,000 (adjusted in accordance with subparagraphs (C) and (D)), and may not reside at the Center. Payments under this clause are in complete discharge of the obligation of the Federal Government under paragraph (4) for support and maintenance and other nonmedical expenses of the patient.

(B) The choice by an eligible patient of the option under clause (i) of subparagraph (A) may at any time be revoked by the patient, and the patient may instead choose the option under clause (ii)

of such subparagraph. The choice by an eligible patient of the option under such clause (ii) is irrevocable.

(C) Payments under subparagraph (A)(ii) shall be made on a monthly basis, and shall be pro rated as applicable. In 1999 and each subsequent year, the monthly amount of such payments shall be increased by a percentage equal to any percentage increase taking effect under section 215(i) of the Social Security Act (relating to a cost-of-living increase) for benefits under title II of such Act (relating to Federal old-age, survivors, and disability insurance benefits). Any such percentage increase in monthly payments under subparagraph (A)(ii) shall take effect in the same month as the percentage increase under such section 215(i) takes effect.

(D) With respect to the provision of outpatient and inpatient medical care for Hansen's disease and related complications to an eligible patient:

(i) The choice the patient makes under subparagraph (A) does not affect the responsibility of the Secretary for providing to the patient such care at or through the Center.

(ii) If the patient chooses the option under subparagraph (A)(ii) and receives inpatient care at or through the Center, the Secretary may reduce the amount of payments under such subparagraph, except to the extent that reimbursement for the expenses of such care is available to the provider of the care through the program under title XVIII of the Social Security Act or the program under title XIX of such Act. Any such reduction shall be made on the basis of the number of days for which the patient received the inpatient care.

(6) The Secretary shall provide to each eligible patient such information and time as may be necessary for the patient to make an informed decision regarding the options under paragraph (5)(A).

(7) After the date of the enactment of this Act, the Center may not provide long-term care for any individual who as of such date was not receiving such care as a patient of the Center.

(8) If upon completion of the projects referred to in subsection (d)(4)(A) there are unobligated balances of amounts appropriated for the projects, such balances are available to the Secretary for expenses relating to the relocation of the Center, except that, if the sum of such balances is in excess of \$100,000, such excess is available to the State in accordance with subsection (d)(4)(B). The amounts available to the Secretary pursuant to the preceding sentence are available until expended.

(g) For purposes of this section:

(1) The term "Center" means the Gillis W. Long Hansen's Disease Center.

(2) The term "Secretary" means the Secretary of Health and Human Services.

(3) The term "State" means the State of Louisiana.

(h) Section 320 of the Public Health Service Act (42 U.S.C. 247e) is amended by striking the section designation and all that follows and inserting the following:

"SEC. 320. (a)(1) At or through the Gillis W. Long Hansen's Disease Center (located in the State of Louisiana), the Secretary shall without charge provide short-term care and treatment, including outpatient care, for Hansen's disease and related complications to any person determined by the Secretary to be in need of such care and treatment. The Secretary may not at or through such Center provide long-term care for any such disease or complication.

"(2) The Center referred to in paragraph (1) shall conduct training in the diagnosis and management of Hansen's disease and related complications, and shall conduct and promote the coordination of research (including clinical research), investigations, demonstrations, and studies relating to the causes, diagnosis, treatment, control, and prevention of Hansen's disease and other mycobacterial diseases and complications related to such diseases.

"(3) Paragraph (1) is subject to section 211 of the Department of Health and Human Services Appropriations Act, 1998.

"(b) In addition to the Center referred to in subsection (a), the Secretary may establish sites regarding persons with Hansen's disease. Each such site shall provide for the outpatient care and treatment for Hansen's disease and related complications to any person determined by the Secretary to be in need of such care and treatment.

"(c) The Secretary shall carry out subsections (a) and (b) acting through an agency of the Service. For purposes of the preceding sentence, the agency designated by the Secretary shall carry out both activities relating to the provision of health services and activities relating to the conduct of research.

"(d) The Secretary shall make payments to the Board of Health of the State of Hawaii for the care and treatment (including out-

## (TRANSFER OF FUNDS)—Continued

patient care) in its facilities of persons suffering from Hansen's disease at a rate determined by the Secretary. The rate shall be approximately equal to the operating cost per patient of such facilities, except that the rate may not exceed the comparable costs per patient with Hansen's disease for care and treatment provided by the Center referred to in subsection (a). Payments under this subsection are subject to the availability of appropriations for such purpose." ]

SEC. [212] 208. None of the funds appropriated in the Act may be made available to any entity under title X of the Public Health Service Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

【COMPREHENSIVE INDEPENDENT STUDY OF NIH RESEARCH PRIORITY SETTING】

【SEC. 213. (a) STUDY BY THE INSTITUTE OF MEDICINE.—Not later than 30 days after the date of enactment of this Act, the Secretary of Health and Human Services shall enter into a contract with the Institute of Medicine to conduct a comprehensive study of the policies and process used by the National Institutes of Health to determine funding allocations for biomedical research.

(b) MATTERS TO BE ASSESSED.—The study under subsection (a) shall assess—

- (1) the factors or criteria used by the National Institutes of Health to determine funding allocations for disease research;
- (2) the process by which research funding decisions are made;
- (3) the mechanisms for public input into the priority setting process; and
- (4) the impact of statutory directives on research funding decisions.

(c) REPORT.—

(1) IN GENERAL.—Not later than 6 months after the date on which the Secretary of Health and Human Services enters into the contract under subsection (a), the Institute of Medicine shall submit a report concerning the study to the Committee on Labor and Human Resources and the Committee on Appropriations of the Senate, and the Committee on Commerce and the Committee on Appropriations of the House of Representatives.

(2) REQUIREMENT.—The report under paragraph (1) shall set forth the findings, conclusions, and recommendations of the Institute of Medicine for improvements in the National Institutes of Health research funding policies and processes and for any necessary congressional action.】 (*Department of Health and Human Services Appropriations Act, 1998.*)