

# SOCIAL SECURITY ADMINISTRATION

## Federal Funds

### General and special funds:

#### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance and the Federal Disability Insurance trust funds, as provided under sections 201(m), 228(g), and 1131(b)(2) of the Social Security Act, [\$20,923,000] \$20,308,000.

[In addition, to reimburse these trust funds for administrative expenses to carry out sections 9704 and 9706 of the Internal Revenue Code of 1986, \$10,000,000, to remain available until expended.] (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

#### Program and Financing (in millions of dollars)

Identification code 28-0404-0-1-651	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	6,135	6,945	7,616
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....		8	
22.00 New budget authority (gross) .....	6,148	6,937	7,616
22.30 Unobligated balance expiring .....	-5		
23.90 Total budgetary resources available for obligation	6,143	6,945	7,616
23.95 New obligations .....	-6,135	-6,945	-7,616
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	8		
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	33	32	21
Permanent:			
60.05 Appropriation (indefinite) .....	6,115	6,905	7,595
70.00 Total new budget authority (gross) .....	6,148	6,937	7,616
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	2	2	10
73.10 New obligations .....	6,135	6,945	7,616
73.20 Total outlays (gross) .....	-6,135	-6,937	-7,616
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	2	10	10
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	20	32	21
86.97 Outlays from new permanent authority .....	6,115	6,905	7,595
87.00 Total outlays (gross) .....	6,135	6,937	7,616
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	6,148	6,937	7,616
90.00 Outlays .....	6,134	6,937	7,616

This general fund appropriation reimburses the Social Security trust funds annually for (1) special payments to certain uninsured persons, (2) pension reform, and (3) interest on unnegotiated checks.

#### Object Classification (in millions of dollars)

Identification code 28-0404-0-1-651	1996 actual	1997 est.	1998 est.
25.2 Other services .....	11	12	2
42.0 Insurance claims and indemnities .....	6,124	6,933	7,614
99.9 Total obligations .....	6,135	6,945	7,616

## SPECIAL BENEFITS FOR DISABLED COAL MINERS

For carrying out title IV of the Federal Mine Safety and Health Act of 1977, [\$460,070,000] \$426,090,000, to remain available until expended.

For making, after July 31 of the current fiscal year, benefit payments to individuals under title IV of the Federal Mine Safety and Health Act of 1977, for costs incurred in the current fiscal year, such amounts as may be necessary.

For making benefit payments under title IV of the Federal Mine Safety and Health Act of 1977 for the first quarter of fiscal year [1998] 1999, \$160,000,000, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

#### Program and Financing (in millions of dollars)

Identification code 28-0409-0-1-601	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	667	631	595
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	11	9	9
22.00 New budget authority (gross) .....	665	630	586
23.90 Total budgetary resources available for obligation	676	639	595
23.95 New obligations .....	-667	-631	-595
24.40 Unobligated balance available, end of year:			
Uninvested balance .....	9	9	
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	485	460	426
Permanent:			
65.00 Advance appropriation (definite) .....	180	170	160
70.00 Total new budget authority (gross) .....	665	630	586
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	57	54	49
73.10 New obligations .....	667	631	595
73.20 Total outlays (gross) .....	-671	-634	-599
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	54	49	45
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	434	410	390
86.93 Outlays from current balances .....	57	54	49
86.97 Outlays from new permanent authority .....	180	170	160
87.00 Total outlays (gross) .....	671	634	599
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	665	630	586
90.00 Outlays .....	671	634	599

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled from coal workers' pneumoconiosis (black lung) and to their widows and certain other dependents. SSA is responsible for processing and paying only those claims for coal miners' benefits that were filed between December 30, 1969, when the program originated, and June 30, 1973, when program administration was transferred to the Department of Labor (DOL).

#### Object Classification (in millions of dollars)

Identification code 28-0409-0-1-601	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	2	2	2
23.1 Rental payments to GSA .....		1	1
42.0 Insurance claims and indemnities .....	663	626	590

**General and special funds—Continued**

**SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued**

Object Classification (in millions of dollars)—Continued

Identification code 28-0409-0-1-601	1996 actual	1997 est.	1998 est.
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	667	631	595

Personnel Summary

Identification code 28-0409-0-1-601	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	54	55	55
1005 Full-time equivalent of overtime and holiday hours .....	6	13	13

**SUPPLEMENTAL SECURITY INCOME PROGRAM**

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, **[\$19,372,010,000] \$16,300,000,000**, to remain available until expended: *Provided*, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury.

From funds provided under the previous paragraph, not less than \$100,000,000 shall be available for payment to the Social Security trust funds for administrative expenses for conducting continuing disability reviews.

In addition, \$175,000,000, to remain available until September 30, [1998] 1999, for payment to the Social Security trust funds for administrative expenses for continuing disability reviews as authorized by section 103 of Public Law 104-121 and Supplemental Security Income administrative work as authorized by Public Law 104-193. The term "continuing disability reviews" means reviews and [redetermination] *redeterminations* as defined under section 201(g)(1)(A) of the Social Security Act as amended, and reviews and redeterminations authorized under section 211 of Public Law 104-193.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For [carrying out] *making benefit payments under* title XVI of the Social Security Act for the first quarter of fiscal year [1998, \$9,690,000,000] 1999, *\$8,680,000,000*, to remain available until expended. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

Unavailable Collections (in millions of dollars)

Identification code 28-0406-0-1-609	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 State supplemental fees, SSI, legislative proposal .....			40
Appropriation:			
05.01 Supplemental security income program, legislative proposal .....			-40
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 28-0406-0-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations .....	29,237	31,727	30,771
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance .....	2,313	1,636	1,771
22.00 New budget authority (gross) .....	28,560	31,862	29,000
23.90 Total budgetary resources available for obligation .....	30,873	33,498	30,771
23.95 New obligations .....	-29,237	-31,727	-30,771

24.40 Unobligated balance available, end of year:			
Uninvested balance .....	1,636	1,771	

New budget authority (gross), detail:

Current:			
40.00 Appropriation .....	18,655	19,592	16,300
Permanent:			
65.00 Advance appropriation (definite) .....	7,060	9,260	9,690
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2,845	3,010	3,010
70.00 Total new budget authority (gross) .....	28,560	31,862	29,000

Change in unpaid obligations:

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation .....	297	614	635
73.10 New obligations .....	29,237	31,727	30,771
73.20 Total outlays (gross) .....	-28,919	-31,706	-30,763
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation .....	614	635	643

Outlays (gross), detail:

86.90 Outlays from new current authority .....	18,195	17,632	16,090
86.93 Outlays from current balances .....	819	1,804	1,973
86.97 Outlays from new permanent authority .....	9,905	12,270	12,700
87.00 Total outlays (gross) .....	28,919	31,706	30,763

Offsets:

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-2,845	-3,010	-3,010

Net budget authority and outlays:

89.00 Budget authority .....	25,715	28,852	25,990
90.00 Outlays .....	26,074	28,696	27,753

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1996 actual	1997 est.	1998 est.
Budget Authority .....	25,715	28,852	25,990
Outlays .....	26,074	28,696	27,753
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			40
Outlays .....			37
Legislative proposal, subject to PAYGO:			
Budget Authority .....		224	1,703
Outlays .....		224	1,703
Total:			
Budget Authority .....	25,715	29,076	27,733
Outlays .....	26,074	28,920	29,493

Title XVI of the Social Security Act established a supplemental security income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for the needy aged, blind, and disabled.

Object Classification (in millions of dollars)

Identification code 28-0406-0-1-609	1996 actual	1997 est.	1998 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions .....	24,345	26,573	25,500
92.0 Undistributed .....	2,047	2,144	2,261
99.0 Subtotal, direct obligations .....	26,392	28,717	27,761
99.0 Reimbursable obligations .....	2,845	3,010	3,010
99.9 Total obligations .....	29,237	31,727	30,771

**SUPPLEMENTAL SECURITY INCOME PROGRAM**

**(Legislative proposal, not subject to PAYGO)**

Program and Financing (in millions of dollars)

Identification code 28-0406-2-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 92.0) .....			40

Budgetary resources available for obligation:	
22.00 New budget authority (gross) .....	40
23.95 New obligations .....	-40
New budget authority (gross), detail:	
40.20 Appropriation (special fund, definite) .....	40
Change in unpaid obligations:	
73.10 New obligations .....	40
73.20 Total outlays (gross) .....	-37
74.40 Unpaid obligations, end of year: Obligated balance:	
Appropriation .....	3
Outlays (gross), detail:	
86.90 Outlays from new current authority .....	37
Net budget authority and outlays:	
89.00 Budget authority .....	40
90.00 Outlays .....	37

This schedule reflects the effects of the Administration's proposal to increase the current \$5.00 fee for the administration of state supplemental payments to \$6.12 in FY 1998 and to \$7.25 in FY 1999. The fee would be indexed to the CPI thereafter. The additional revenue would be used for SSA's administrative expenses, subject to appropriation.

This is one of several proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Legislation will be proposed to authorize the fees and, upon enactment of the authorization, a budget amendment to the current appropriations language will be proposed to make the fees available for expenditure. Because the current requirements of the Budget Enforcement Act of 1990 make it difficult to fund discretionary programs with receipts that are not authorized in appropriations acts, the Administration is proposing a change in the requirements to facilitate the enactment of proposals such as this one.

**SUPPLEMENTAL SECURITY INCOME PROGRAM**  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 28-0406-4-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0) .....		224	1,703
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....		224	1,703
23.95 New obligations .....		-224	-1,703
New budget authority (gross), detail:			
40.00 Appropriation .....		224	1,703
Change in unpaid obligations:			
73.10 New obligations .....		224	1,703
73.20 Total outlays (gross) .....		-224	-1,703
Outlays (gross), detail:			
86.90 Outlays from new current authority .....		224	1,703
Net budget authority and outlays:			
89.00 Budget authority .....		224	1,703
90.00 Outlays .....		224	1,703

This schedule reflects the effects of the Administration's proposal to revise the Personal Responsibility and Work Opportunities Reconciliation Act so that legal immigrants who become disabled after entering the United States can be eligible for Supplemental Security Income (SSI). The Administration also proposes to lengthen the period of eligibility for SSI for refugees and asylees from 5 to 7 years.

This schedule also reflects the effects of the Administration's proposal to test allowing Supplemental Security Income

and Social Security Disability Insurance beneficiaries to choose their own public or private vocational rehabilitation providers. Participating providers would be paid a percentage of disability benefits saved, as a result of beneficiaries returning to work, for a specified period of time.

**OFFICE OF INSPECTOR GENERAL**

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, **[\$6,335,000] \$10,164,000**, together with not to exceed **[\$31,089,000] \$34,260,000**, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

*In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses," Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account is available: Provided, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House and Senate. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.)*

Program and Financing (in millions of dollars)

Identification code 28-0400-0-1-651	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations .....	26	37	44
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	26	37	44
23.95 New obligations .....	-26	-37	-44
New budget authority (gross), detail:			
Current:			
40.00 Appropriation .....	5	6	10
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	18	30	34
68.10 Change in orders on hand from Federal sources .....	3	1	
68.90 Spending authority from offsetting collections (total) .....	21	31	34
70.00 Total new budget authority (gross) .....	26	37	44
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.90 Obligated balance: Fund balance .....			
72.95 Orders on hand from Federal sources .....	3	6	7
72.99 Total unpaid obligations, start of year .....	3	6	7
73.10 New obligations .....	26	37	44
73.20 Total outlays (gross) .....	-22	-37	-44
Unpaid obligations, end of year:			
74.90 Obligated balance: Fund balance .....			
74.95 Orders on hand from Federal sources .....	6	7	7
74.99 Total unpaid obligations, end of year .....	6	7	7
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	5	6	10
86.97 Outlays from new permanent authority .....	14	25	27
86.98 Outlays from permanent balances .....	3	6	7
87.00 Total outlays (gross) .....	22	37	44
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-18	-30	-34
88.95 Change in orders on hand from Federal sources .....	-3	-1	
Net budget authority and outlays:			
89.00 Budget authority .....	5	6	10
90.00 Outlays .....	6	7	10

The Office of Inspector General provides agency-wide audit and investigative functions to help find and correct oper-

**General and special funds—Continued**

**OFFICE OF INSPECTOR GENERAL—Continued**

ational and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement.

Object Classification (in millions of dollars)

Identification code 28-0400-0-1-651	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent .....	16	25	27
12.1 Civilian personnel benefits .....	4	7	8
21.0 Travel and transportation of persons .....	1	1	2
23.1 Rental payments to GSA .....	1	1	2
25.3 Purchases of goods and services from Government accounts .....	1	1	4
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	1
99.5 Below reporting threshold .....		2	-1
99.9 Total obligations .....	26	37	44

Personnel Summary

Identification code 28-0400-0-1-651	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment .....	247	362	381
1005 Full-time equivalent of overtime and holiday hours .....	1	2	2

**Trust Funds**

**FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND**

Unavailable Collections (in millions of dollars)

Identification code 20-8006-0-7-651	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....	422,199	471,564	535,675
Receipts:			
02.01 Transfers from general fund (FICA taxes) .....	296,322	316,911	329,644
02.02 Transfers from general fund (SECA taxes) .....	16,983	18,111	20,717
02.03 Federal employer contributions (FICA taxes) .....	5,063	5,334	5,821
02.04 Refunds .....	-1,403	-883	-926
02.05 Interest received by trust funds .....	34,026	37,723	41,087
02.07 Deposits by States .....	-33		
02.09 Federal payments to the FOASI trust fund .....	5,763	6,533	7,177
02.10 Payments for military service credits .....	263	267	243
02.11 Tax refund offset .....	9	10	10
02.99 Total receipts .....	356,993	384,006	403,773
04.00 Total: Balances and collections .....	779,192	855,570	939,448
Appropriation:			
05.01 Current law .....	-307,628	-319,895	-333,944
05.99 Subtotal appropriation .....	-307,628	-319,895	-333,944
07.99 Total balance, end of year .....	471,564	535,675	605,504

Program and Financing (in millions of dollars)

Identification code 20-8006-0-7-651	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations .....	309,579	322,047	336,216
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	309,579	322,047	336,216
23.95 New obligations .....	-309,579	-322,047	-336,216
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite) .....	1,828	2,069	2,131
Permanent:			
60.27 Appropriation (trust fund, indefinite) .....	355,166	381,937	401,642
60.45 Portion precluded from obligation .....	-49,366	-64,111	-69,829
63.00 Appropriation (total) .....	305,800	317,826	331,813
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1,952	2,152	2,272
70.00 Total new budget authority (gross) .....	309,580	322,047	336,216

Change in unpaid obligations:  
Unpaid obligations, start of year:

	1996 actual	1997 est.	1998 est.
Obligated balance:			
72.40 Appropriation .....	75	1	
72.41 U.S. Securities: Par value .....	25,747	27,838	28,307
72.99 Total unpaid obligations, start of year .....	25,747	27,913	28,308
73.10 New obligations .....	309,579	322,047	336,216
73.20 Total outlays (gross) .....	-307,413	-321,652	-334,956
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation .....	75	1	1
74.41 U.S. Securities: Par value .....	27,838	28,307	29,567
74.99 Total unpaid obligations, end of year .....	27,913	28,308	29,568

Outlays (gross), detail:

	1996 actual	1997 est.	1998 est.
86.90 Outlays from new current authority .....	1,776	1,994	2,095
86.93 Outlays from current balances .....	17	330	263
86.97 Outlays from new permanent authority .....	279,890	291,745	304,553
86.98 Outlays from permanent balances .....	25,730	27,583	28,045
87.00 Total outlays (gross) .....	307,413	321,652	334,956

Offsets:

	1996 actual	1997 est.	1998 est.
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1,949	-2,149	-2,269
88.40 Non-Federal sources .....	-3	-3	-3
88.90 Total, offsetting collections (cash) .....	-1,952	-2,152	-2,272

Net budget authority and outlays:

	1996 actual	1997 est.	1998 est.
89.00 Budget authority .....	307,627	319,895	333,944
90.00 Outlays .....	305,461	319,500	332,684

The old-age and survivors insurance (OASI) program provides monthly cash benefits to retired workers and their dependents and to survivors of deceased workers.

Status of Funds (in millions of dollars)

Identification code 20-8006-0-7-651	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Treasury balance .....	76	1	
0101 U.S. Securities: Par value .....	447,946	499,402	563,983
0199 Total balance, start of year .....	447,946	499,478	563,984
Cash income during the year:			
Governmental receipts:			
0200 Transfers from general fund (FICA taxes) .....	296,322	316,911	329,644
0203 Transfers from general fund (SECA taxes) .....	16,983	18,111	20,717
0204 Refunds .....	-1,403	-883	-926
0205 FOASI, Deposits by States .....	-33		
Proprietary receipts:			
0221 Tax refund offset .....	9	10	10
Intragovernmental transactions:			
0240 Federal employer contributions (FICA taxes) .....	5,063	5,334	5,821
0241 Interest received by trust fund .....	34,026	37,723	41,087
0242 FICA and SECA tax credits .....	-38		
0243 Individual income taxes on OASI benefits .....	5,786	6,514	7,159
0244 Federal payment for special benefits for the aged .....	5	3	2
0245 Pension reform .....	1	2	2
0246 Credit for unnegotiated OASI checks .....	9	14	14
0247 Federal payments for military service credits .....	263	267	243
Offsetting collections:			
0281 Offsetting collections .....	1,952	2,152	2,272
0299 Total cash income .....	358,945	386,158	406,045
Cash outgo during year:			
0501 Benefit payments .....	-299,985	-313,421	-326,537
0502 Payments to Railroad Retirement Board .....	-3,554	-3,755	-3,789
0503 Administrative expenses .....	-1,793	-2,324	-2,358
0504 Outlays from offsetting collections .....	-1,952	-2,152	-2,272
0505 Quinquennial military service credit adjustment .....	-129		
0599 Total cash outgo (-) .....	-307,413	-321,652	-334,956
Unexpended balance, end of year:			
0700 Uninvested balance .....	76	1	1
0701 U.S. Securities: Par value .....	499,402	563,983	635,072
0799 Total balance, end of year .....	499,478	563,984	635,073

Object Classification (in millions of dollars)			
Identification code 20-8006-0-7-651	1996 actual	1997 est.	1998 est.
Direct obligations:			
25.3 Office of the Inspector General .....	12	18	21
42.0 Retirement and survivors insurance benefits .....	302,098	313,838	327,845
Undistributed:			
92.0 Reimbursement for administrative expenses of Department of the Treasury .....	205	210	201
92.0 Payment to railroad retirement account (net settlement) (45 U.S.C. 228g) .....	3,554	3,755	3,789
Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration:			
Limitation on expenses:			
93.0 OASI program .....	1,758	2,074	2,088
93.0 SSI program .....	1,937	2,133	2,253
99.0 Subtotal, direct obligations .....	309,564	322,028	336,197
99.0 Reimbursable obligations .....	15	19	19
99.9 Total obligations .....	309,579	322,047	336,216

FEDERAL DISABILITY INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8007-0-7-651	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year .....	28,697	43,294	55,492
Receipts:			
02.01 Transfers from general fund (FICA taxes) .....	52,736	51,856	52,378
02.02 Transfers from general fund (SECA taxes) .....	3,146	3,048	3,278
02.03 Federal employer contributions (FICA taxes) .....	905	871	925
02.04 Refunds .....	-259	-140	-147
02.05 Interest received by trust funds .....	2,481	3,515	4,112
02.08 Federal payments to the FDI trust fund .....	370	394	439
02.09 Payments for military service credits .....	47	33	39
02.10 Tax refund offset .....	8	9	9
02.99 Total receipts .....	59,434	59,586	61,033
04.00 Total: Balances and collections .....	88,131	102,880	116,525
Appropriation:			
05.01 Appropriation .....	-44,837	-47,388	-51,886
05.99 Subtotal appropriation .....	-44,837	-47,388	-51,886
07.99 Total balance, end of year .....	43,294	55,492	64,639

Program and Financing (in millions of dollars)

Identification code 20-8007-0-7-651	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations .....	44,837	47,388	51,886
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	44,837	47,388	51,886
23.95 New obligations .....	-44,837	-47,388	-51,886
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite) .....	1,307	1,382	1,162
Permanent:			
60.27 Appropriation (trust fund, indefinite) .....	58,127	58,204	59,871
60.45 Portion precluded from obligation .....	-14,597	-12,198	-9,147
63.00 Appropriation (total) .....	43,530	46,006	50,724
70.00 Total new budget authority (gross) .....	44,837	47,388	51,886
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation .....	19	2	1
72.41 U.S. Securities: Par value .....	6,490	6,786	5,960
72.99 Total unpaid obligations, start of year .....	6,509	6,788	5,961
73.10 New obligations .....	44,837	47,388	51,886
73.20 Total outlays (gross) .....	-44,558	-48,215	-51,663
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation .....	2	1	1

74.41 U.S. Securities: Par value .....	6,786	5,960	6,183
74.99 Total unpaid obligations, end of year .....	6,788	5,961	6,184
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	1,058	1,213	1,076
86.93 Outlays from current balances .....	16	187	200
86.97 Outlays from new permanent authority .....	38,008	42,220	45,418
86.98 Outlays from permanent balances .....	5,476	4,595	4,969
87.00 Total outlays (gross) .....	44,558	48,215	51,663
Net budget authority and outlays:			
89.00 Budget authority .....	44,837	47,388	51,886
90.00 Outlays .....	44,558	48,215	51,663

The disability insurance (DI) program provides monthly cash benefits for disabled workers under age 65 and their dependents.

Status of Funds (in millions of dollars)

Identification code 20-8007-0-7-651	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Treasury balance .....		1	1
0101 U.S. Securities: Par value .....	35,205	50,080	61,451
0199 Total balance, start of year .....	35,205	50,081	61,452
Cash income during the year:			
Governmental receipts:			
0200 Transfers from general fund (FICA taxes) .....	52,736	51,856	52,378
0203 Transfers from general fund (SECA taxes) .....	3,146	3,048	3,278
0204 Refunds .....	-259	-140	-147
Proprietary receipts:			
0222 Tax refund offset .....	8	9	9
Intragovernmental transactions:			
0240 Federal employer contributions (FICA taxes) .....	905	871	925
0241 Interest received by trust fund .....	2,481	3,515	4,112
Intragovernmental transactions:			
0242 FICA and SECA tax credits .....	-4		
0243 Individual income taxes on DI benefits .....	371	391	436
0244 Credit for unnegotiated DI checks .....	3	3	3
0245 Federal payments for military service credits .....	47	33	39
0299 Total cash income .....	59,434	59,586	61,033
Cash outgo during year:			
0501 Benefit payments .....	-43,231	-46,686	-50,232
0502 Payments to Railroad Retirement Board .....	-2	-62	-98
0503 Administrative expenses .....	-1,074	-1,400	-1,276
0504 Beneficiary services .....	-47	-65	-56
0505 Pre 1957 military service credits .....	-203		
0506 Demonstration projects .....	-1	-2	-1
0599 Total cash outgo (-) .....	-44,558	-48,215	-51,663
Unexpended balance, end of year:			
0700 Uninvested balance .....	1	1	1
0701 U.S. Securities: Par value .....	50,080	61,451	70,821
0799 Total balance, end of year .....	50,081	61,452	70,822

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	1996 actual	1997 est.	1998 est.
Purchases of goods and services from Government accounts:			
25.3 Office of the Inspector General .....	7	18	12
25.3 Beneficiary services .....	47	65	56
25.3 Demonstration projects .....	1	2	1
42.0 Disability insurance benefits .....	43,629	45,827	50,487
Undistributed:			
92.0 Reimbursement for administrative expenses of Department of the Treasury .....	41	40	40
92.0 Payment to railroad retirement account (net settlement) .....	2	62	98
93.0 Administrative expenses: Portion of limitation on administrative expenses, Social Security Administration .....	1,110	1,374	1,192
99.0 Subtotal, direct obligations .....	44,837	47,388	51,886
99.9 Total obligations .....	44,837	47,388	51,886

LIMITATION ON ADMINISTRATIVE EXPENSES

For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed \$10,000 for official reception and representation expenses, not more than **[\$5,873,382,000]** \$5,996,040,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act [or as necessary to carry out sections 9704 and 9706 of the Internal Revenue Code of 1986], from any one or all of the trust funds referred to therein: **[Provided,** That reimbursement to the trust funds under this heading for administrative expenses to carry out sections 9704 and 9706 of the Internal Revenue Code of 1986 shall be made, with interest, not later than September 30, 1988:] **Provided [further],** That not less than **[\$1,268,000]** \$1,600,000 shall be for the Social Security Advisory Board: **Provided further,** That unobligated balances at the end of fiscal year **[1997]** 1998 not needed for fiscal year **[1997]** 1998 shall remain available until expended for a state-of-the-art computing network, including related equipment and non-payroll administrative expenses associated solely with this network.

From funds provided under the previous paragraph, not less than \$200,000,000 shall be available for conducting continuing disability reviews.

In addition to funding already available under this heading, and subject to the same terms and conditions, **[\$310,000,000]** \$290,000,000, to remain available until September 30, **[1998]** 1999, for continuing disability reviews as authorized by section 103 of Public Law 104-121 and Supplemental Security Income administrative work as authorized by Public Law 104-193. The term "continuing disability reviews" means reviews and **[redetermination]** redeterminations as defined under section 201(g)(1)(A) of the Social Security Act, as amended, and reviews and redeterminations authorized under section 211 of Public Law 104-193.

In addition to funding already available under this heading, and subject to the same terms and conditions, **[\$234,895,000]** \$200,000,000, which shall remain available until expended, to invest in a state-of-the-art computing network, including related equipment and non-payroll administrative expenses associated solely with this network, for the Social Security Administration and the State Disability Determination Services, may be expended from any or all of the trust funds as authorized by section 201(g)(1) of the Social Security Act. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

	1996 actual	1997 est.	1998 est.
<b>Obligations by program activity:</b>			
Direct program .....	5,800	6,442	6,522
Reimbursable program .....	15	19	19
Total obligations .....	5,815	6,461	6,541
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
Appropriation: U.S. securities: Par value .....	229	238	203
New budget authority (gross) .....	5,880	6,426	6,545
Recovery of prior year obligations .....	3		
Unobligated balance expiring .....	-59		
Total budgetary resources available for obligation .....	6,053	6,664	6,748
New obligations .....	5,815	6,461	6,541
Unobligated balance available, end of year:			
Appropriation: U.S. securities: Par value .....	238	203	207
<b>New budget authority (gross), detail:</b>			
<b>Limitation on administrative expenses (LAE):</b>			
Appropriations .....	5,822	6,108	6,236
Reduction pursuant to P.L. 104-134 .....	17		
Reductions pursuant to P.L. 104-208 .....		11	
Adjusted LAE .....	5,805	6,097	6,236
<b>Distribution of adjusted LAE by funding sources:</b>			
Old Age and Survivors Insurance Trust Fund .....	1,813	2,051	2,110
Disability Insurance Trust Fund .....	1,256	1,234	1,034
<b>Supplemental Security Income Appropriation:</b>			
Payment to OASI Trust Fund .....	1,872	1,966	2,087
Hospital Insurance Trust Fund .....	523	481	561
Supplementary Medical Insurance Trust Fund .....	341	365	404
Spending authority from reimbursable agreements .....	15	19	19
Subtotal, LAE .....	5,820	6,116	6,215

<b>Adjustments to discretionary caps:</b>			
Continuing disability reviews and redeterminations .....	60	310	290
<b>Proposed legislation:</b>			
Increased fee for administration of State supplemental payments .....			40
Total, including adjustments to discretionary caps and proposed legislation .....	5,880	6,426	6,545
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value .....	646	1,081	1,101
New obligations .....	5,815	6,461	6,541
Total outlays (gross) .....	5,380	6,441	6,627
Adjustments in expired accounts .....			
Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value .....	1,081	1,101	1,015
<b>Outlay (gross), detail:</b>			
Outlays from new current authority .....			
U.S. Securities: Par value .....	5,037	5,756	5,962
Outlays from current balances .....	343	685	665
Total outlays (gross) .....	5,380	6,441	6,627
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources .....	13	16	16
Non-Federal sources .....	2	3	3
Total offsetting collections (cash) .....	15	19	19
<b>Net budget authority and outlays:</b>			
Budget authority .....	5,865	6,407	6,526
Outlays .....	5,365	6,422	6,608

The Limitation on Administrative Expenses Account provides resources for the Social Security Administration (SSA) to administer the Old Age, Survivors, and Disability Insurance programs, the Supplemental Security Income program, and certain health insurance functions for the aged, disabled, and economically disadvantaged.

The estimate of administrative expenses borne by each of the financing sources, including the Hospital Insurance (HI) and Supplemental Medical Insurance (SMI) trust funds for Medicare-related activities performed by the Social Security Administration (SSA), has been made by SSA based on the current cost allocation methodology. Pursuant to section 201(g) of the Social Security Act, a final accounting of actual payments is made after the close of each fiscal year. In the Conference Committee Report for Public Law 103-296, The Social Security Independence and Program Improvements Act of 1994, the conferees requested that the Secretary of Health and Human Services and the Commissioner of Social Security make a joint examination of the most appropriate cost allocation methodology and report their joint findings to the Congress within 36 months of enactment (August 15, 1997). The Secretary and the Commissioner are in the process of designing and carrying out this study and preparing the required report to the Congress.

Object Classification (in millions of dollars)

Identification code 20-8007-0-7-651	1996 actual	1997 est.	1998 est.
<b>Limitation Acct—Direct Obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2,439	2,641	2,752
11.3 Other than full-time permanent .....	103	136	143
11.5 Other personnel compensation .....	145	208	125
11.8 Special personal services payments .....	1	1	1
11.9 Total personnel compensation .....	2,688	2,986	3,021
12.1 Civilian personnel benefits .....	499	620	646
13.0 Benefits for former personnel .....	3	3	3
21.0 Travel and transportation of persons .....	28	45	41
22.0 Transportation of things .....	3	3	3
23.1 Rental payments to GSA .....	285	308	321
23.2 Rental payments to others .....	1	4	8

23.3	Communications, utilities, and miscellaneous charges .....	289	273	291
24.0	Printing and reproduction .....	23	31	36
25.1	Advisory and assistance services .....	1	1	
25.2	Other services .....	1,349	1,525	1,595
25.3	Purchases of goods and services from Government accounts .....	49	54	54
25.4	Operation and maintenance of facilities .....	141	155	158
25.5	Research and development contracts .....	9	9	9
25.7	Operation and maintenance of equipment .....	7	11	8
26.0	Supplies and materials .....	44	47	55
31.0	Equipment .....	346	267	184
32.0	Land and structures .....	23	81	68
42.0	Insurance claims and indemnities .....	7	8	8
43.0	Interest and dividends .....	14	12	11
93.0	Limitation on expenses .....	-5,800	-6,442	-6,522
99.0	Subtotal, limitation acct—direct obligations .....	-1	1	-1
Limitation Acct—Reimbursable Obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	7	13	13
11.5	Other personnel compensation .....	1	1	1
11.9	Total personnel compensation .....	7	14	14
12.1	Civilian personnel benefits .....	1	3	3
23.1	Rental payments to GSA .....	1	1	1
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services .....	1		
25.4	Operation and maintenance of facilities .....	1		
31.0	Equipment .....	2		
93.0	Limitation on expenses .....	-15	-19	-19
99.0	Subtotal, limitation acct—reimbursable obligations .....			

Personnel Summary

Identification code 20-8007-0-7-651	1996 actual	1997 est.	1998 est.
Limitation account—direct:			
Total compensable workyears:			
6001 Full-time equivalent employment .....	63,418	64,881	64,696
6005 Full-time equivalent of overtime and holiday hours .....	2,736	3,767	1,855

Limitation account—reimbursable:			
Total compensable workyears:			
7001 Full-time equivalent employment .....	257	291	291
7005 Full-time equivalent of overtime and holiday hours .....	7	23	23

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Offsetting receipts from the public:			
75-241800 Receipts from SSI administrative fee .....	150	138	139
75-309600 Recovery of beneficiary overpayments from SSI program .....	1,037	1,186	1,251
General Fund Offsetting receipts from the public .....	1,187	1,324	1,390
Intragovernmental payments:			
20-310510 Quinquennial adjustment for military service credits, FOASI .....	129		
20-310520 Quinquennial adjustment for military service credits, Federal disability insurance .....	203		
General Fund Intragovernmental payments .....	332		

As directed by Section 104 of P.L. 103-296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for the Social Security Administration (SSA), which shall be submitted by the President to the Congress without revision, together with the President's annual budget for SSA.

The Commissioner's annual budget for fiscal year 1998 includes a total of \$6,810 million in discretionary resources. This total includes: \$6,754 million for SSA administrative expenses, including \$380 million in no-year funds for the Automation Investment Fund, and \$56 million for the Office of Inspector General.