

DEPARTMENT OF HEALTH AND HUMAN SERVICES

FOOD AND DRUG ADMINISTRATION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for rental of special purpose space in the District of Columbia or elsewhere; and for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; [\$907,499,000, of which not to exceed \$87,528,000 in fees pursuant to section 736 of the Federal Food, Drug, and Cosmetic Act may be credited to this appropriation and remain available until expended: *Provided*, That fees derived from applications received during fiscal year 1997 shall be subject to the fiscal year 1997 limitation: *Provided further*, That none of these funds shall be used to develop, establish, or operate any program of user fees authorized by 31 U.S.C. 9701] \$750,922,000.

[In addition, fees pursuant to section 354 of the Public Health Service Act may be credited to this account, to remain available until expended.]

In addition, fees pursuant to section 801 of the Federal Food, Drug, and Cosmetic Act may be credited to this account, to remain available until expended.

BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, [\$21,350,000] \$22,900,000, to remain available until expended (7 U.S.C. 2209b).

RENTAL PAYMENTS (FDA)

(INCLUDING TRANSFERS OF FUNDS)

For payment of space rental and related costs pursuant to Public Law 92-313 for programs and activities of the Food and Drug Administration which are included in this Act, \$46,294,000: *Provided*, That in the event the Food and Drug Administration should require modification of space needs, a share of the salaries and expenses appropriation may be transferred to this appropriation, or a share of this appropriation may be transferred to the salaries and expenses appropriation, but such transfers shall not exceed 5 percent of the funds made available for rental payments (FDA) to or from this account. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1997.*)

Unavailable Collections (in millions of dollars)

Identification code 75-9911-0-1-554	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 User fees, FDA, legislative proposal			237
Appropriation:			
05.01 Salaries and expenses, legislative proposal			-237
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-9911-0-1-554	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Foods	201	200	174
00.02 Drugs	326	329	296
00.03 Devices and radiological products	144	147	107
00.04 National center for toxicological research	31	31	31
00.05 Tobacco	5	5	34
00.06 Other activities	90	86	86

00.07 Other rent and rent related activities	22	23	23
00.08 Rental payments	46	46	46
00.09 Buildings and facilities	25	40	23
00.91 Total direct program	890	907	820
01.01 Reimbursable program	109	114	13
10.00 Total obligations	999	1,021	833

Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	67	58	39
22.00 New budget authority (gross)	986	1,002	833
22.22 Unobligated balance transferred from other accounts	4	1	
23.90 Total budgetary resources available for obligation	1,057	1,061	872
23.95 New obligations	-999	-1,021	-833
24.40 Unobligated balance available, end of year:			
Uninvested balance	58	39	39

New budget authority (gross), detail:			
Current:			
40.00 Appropriation	877	888	820
Permanent:			
68.00 Spending authority from offsetting collections: Off-			
setting collections (cash)	109	114	13
70.00 Total new budget authority (gross)	986	1,002	833

Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	279	290	273
73.10 New obligations	999	1,021	833
73.20 Total outlays (gross)	-975	-1,032	-865
73.40 Adjustments in expired accounts	-13	-6	
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	290	273	241

Outlays (gross), detail:			
86.90 Outlays from new current authority	682	684	631
86.93 Outlays from current balances	184	234	221
86.97 Outlays from new permanent authority	109	114	13
86.98 Outlays from permanent balances			
87.00 Total outlays (gross)	975	1,032	865

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-14	-11	-11
88.40 Non-Federal sources	-95	-103	-2
88.90 Total, offsetting collections (cash)	-109	-114	-13

Net budget authority and outlays:			
89.00 Budget authority	877	888	820
90.00 Outlays	866	918	852

(In millions of dollars)

	1996	1997	1998
Distribution of budget authority by account:			
Salaries and expenses	818	820	751
Rental payments (FDA)	46	46	46
Buildings and facilities	12	21	23
Distribution of outlays by account:			
Salaries and expenses	788	852	785
Rental payments (FDA)	66	49	46
Buildings and facilities	12	17	21

Summary of Budget Authority and Outlays

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority	877	888	820
Outlays	866	918	852
Legislative proposal, subject to PAYGO:			
Budget Authority			237
Outlays			237

General and special funds—Continued**RENTAL PAYMENTS (FDA)—Continued**

(INCLUDING TRANSFERS OF FUNDS)—Continued

Summary of Budget Authority and Outlays—Continued

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Total:			
Budget Authority	877	888	1,057
Outlays	866	918	1,089

The Food and Drug Administration (FDA) administers laws concerning misbranded and adulterated foods, drugs, human biologics, medical devices, cosmetics, and human-made sources of radiation. The Budget includes \$750.9 million for Salaries and Expenses, which includes funding for new food safety initiatives and tobacco regulation. In addition, the Budget includes \$244 million for user fees, an increase of \$136 million in user fees over FY 1997, which will be used to finance FDA activities. Of the \$244 million in user fees, \$237 million consists of reauthorized and new user fees, which are represented in the legislative proposal schedule. The buildings and facilities appropriation of \$22.9 million provides funds for projects related to the repair, construction, alteration, and improvement of all buildings and facilities of FDA, while the rental payments appropriation of \$46.3 million provides funds for payment of GSA space rental and related costs.

Comparable Presentation of Budget Authority Under New User Fee Policy

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority	877	888	820
User Fees collected/requested:			
Budget Authority	103	108	244
Total:			
Budget Authority	980	996	1,064

As shown in the table above, the total resources (budget authority plus user fees) available to FDA increase from \$996 million in FY 1997 to \$1.06 billion in FY 1998, a six percent increase.

Object Classification (in millions of dollars)

Identification code 75-9911-0-1-554	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	405	423	379
11.3 Other than full-time permanent	28	30	27
11.5 Other personnel compensation	16	17	15
11.9 Total personnel compensation	449	470	421
12.1 Civilian personnel benefits	98	102	91
21.0 Travel and transportation of persons	17	16	12
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	42	42	42
23.2 Rental payments to others	6	6	4
23.3 Communications, utilities, and miscellaneous charges	23	21	16
24.0 Printing and reproduction	3	3	2
25.1 Advisory and assistance services	10	7	5
25.2 Other services	28	51	83
25.3 Purchases of goods and services from Government accounts	49	35	25
25.4 Operation and maintenance of facilities	24	21	16
25.5 Research and development contracts	30	28	20
25.7 Operation and maintenance of equipment	34	25	17
26.0 Supplies and materials	19	18	13
31.0 Equipment	34	32	23
32.0 Land and structures	1	10	15
41.0 Grants, subsidies, and contributions	20	18	13
42.0 Insurance claims and indemnities	2	1	1
99.0 Subtotal, direct obligations	890	907	820
99.0 Reimbursable obligations	109	114	13
99.9 Total obligations	999	1,021	833

Personnel Summary

Identification code 75-9911-0-1-554	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	8,459	8,475	7,353
1005 Full-time equivalent of overtime and holiday hours	87	87	87
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	671	663	28

SALARIES AND EXPENSES

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-9911-4-1-554	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Foods			47
00.02 Drugs			131
00.03 Devices and radiological products			59
10.00 Total obligations			237
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			237
23.95 New obligations			-237
New budget authority (gross), detail:			
40.25 Appropriation (special fund, indefinite)			237
Change in unpaid obligations:			
73.10 New obligations			237
73.20 Total outlays (gross)			-237
Outlays (gross), detail:			
86.90 Outlays from new current authority			237
Net budget authority and outlays:			
89.00 Budget authority			237
90.00 Outlays			237

This legislative proposal seeks \$237 million in user fees to finance FDA activities. These user fees build upon the successful implementation of the Prescription Drug User Fee Act (PDUFA) of 1992, which authorized the collection of fees paid by the pharmaceutical industry to expedite FDA's review of human drug applications and the Mammography Quality Standards Act (MQSA), which authorized the collection of fees for the inspection of mammography facilities. The Budget includes continued collection of PDUFA and MQSA fees, as well as fees for medical device reviews, animal drug approvals, import inspections, food additive petition reviews, generic/over-the-counter drug applications, and fees for postmarket surveillance of products.

This is one of several proposals in the budget to charge fees to users directly availing themselves of, or subject to, a government service, program, or activity, in order to cover the government's costs. Legislation will be proposed to authorize the fees and, upon enactment of the authorization, a budget amendment to the current appropriations language will be proposed to make the fees available for expenditure. Because the current requirements of the Budget Enforcement Act of 1990 make it difficult to fund discretionary programs with receipts that are not authorized in appropriations acts, the Administration is proposing a change in the requirements to facilitate the enactment of proposals such as this one.

Object Classification (in millions of dollars)

Identification code 75-9911-4-1-554	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent			128

11.3	Other than full-time permanent	5
11.9	Total personnel compensation	133
12.1	Civilian personnel benefits	28
21.0	Travel and transportation of persons	4
23.1	Rental payments to GSA	1
23.3	Communications, utilities, and miscellaneous charges	4
24.0	Printing and reproduction	1
25.1	Advisory and assistance services	3
25.2	Other services	18
25.3	Purchases of goods and services from Government accounts	7
25.4	Operation and maintenance of facilities	4
25.5	Research and development contracts	11
25.7	Operation and maintenance of equipment	9
26.0	Supplies and materials	4
31.0	Equipment	10
99.9	Total obligations	237

Personnel Summary

Identification code 75-9911-4-1-554	1996 actual	1997 est.	1998 est.
1001 Total compensable workyears: Full-time equivalent employment			1,757

Public enterprise funds:

REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES

Program and Financing (in millions of dollars)

Identification code 75-4309-0-3-554	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Color additives	3	3	3
00.02 Insulin	1	1	1
10.00 Total obligations	4	4	4
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	3	4	4
22.00 New budget authority (gross)	5	5	5
23.90 Total budgetary resources available for obligation	8	9	9
23.95 New obligations	-4	-4	-4
24.90 Unobligated balance available, end of year: Fund balance	4	4	5
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	5	5	5
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance	1	1	
73.10 New obligations	4	4	4
73.20 Total outlays (gross)	-4	-5	-5
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance	1		
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	4	5	5
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-5	-5	-5
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

FDA certifies batches of insulin and color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

Object Classification (in millions of dollars)

Identification code 75-4309-0-3-554	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
99.0 Subtotal, reimbursable obligations	4	4	4
99.9 Total obligations	4	4	4

Personnel Summary

Identification code 75-4309-0-3-554	1996 actual	1997 est.	1998 est.
2001 Total compensable workyears: Full-time equivalent employment	42	42	42

HEALTH RESOURCES AND SERVICES
ADMINISTRATION

Federal Funds

General and special funds:

HEALTH RESOURCES AND SERVICES

For carrying out titles II, III, VII, VIII, X, XII, XVI, XIX, and XXVI of the Public Health Service Act, section 427(a) of the Federal Coal Mine Health and Safety Act, title V of the Social Security Act, the Health Care Quality Improvement Act of 1986, as amended, and the Native Hawaiian Health Care Act of 1988, as amended, **[\$3,405,019,000] \$3,266,479,000**, of which **[\$297,000] \$225,000** shall remain available until expended for interest subsidies on loan guarantees made prior to fiscal year 1981 under part B of title VII of the Public Health Service Act: *Provided*, That the Division of Federal Occupational Health may utilize personal services contracting to employ professional management/administrative and occupational health professionals: *Provided further*, That of the funds made available under this heading, **[\$828,000] \$500,000** shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: *Provided further*, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under the Act sufficient to recover the full costs of operating the National Practitioner Data Bank, and shall remain available until expended to carry out that Act: *Provided further*, That no more than \$5,000,000 is available for carrying out the provisions of Public Law 104-73: *Provided further*, That of the funds made available under this heading, \$198,452,000 shall be for the program under title X of the Public Health Service Act to provide for voluntary family planning projects: *Provided further*, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office: *Provided further*, That \$167,000,000 shall be for State AIDS Drug Assistance Programs authorized by section 2616 of the Public Health Service Act [and shall be distributed to States as authorized by section 2618(b)(2) of such Act]: *Provided further*, That notwithstanding any other provision of law, funds made available under this heading may be used to continue operating the Council on Graduate Medical Education established by section 301 of Public Law 102-408: *Provided further*, That[, of the funds made available under this heading, not more than \$8,000,000 shall be made available and shall remain available until expended for loan guarantees for loans made by non-Federal lenders for the construction, renovation, and modernization of medical facilities that are owned and operated by health centers funded under part A of title XVI of the Public Health Service Act as amended, and, subject to authorization, for loans made to health centers for the costs of developing and operating managed care networks or plans, and that such funds be available to subsidize guarantees of total loan principal in an amount not to exceed \$80,000,000: *Provided further*, That notwithstanding section 502(a)(1) of the Social Security Act, not to exceed \$103,609,000 is available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act] *user fees to be collected by the Secretary pursuant to 31 U.S.C. 9701 related to*

General and special funds—Continued

HEALTH RESOURCES AND SERVICES—Continued

activities authorized by section 340B(a)(8) of the Public Health Service Act shall be available to fund such activities and shall remain available until expended. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-0350-0-1-550	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Consolidated health centers	753	791	805
00.02 National Health Service Corps	37	37	37
00.03 National Health Service Corps recruitment	75	78	78
00.04 Hansen's disease center	17	17
00.05 Payment to Hawaii for the treatment of Hansen's disease	2	2
00.06 Buildings and facilities	1	1
00.07 Hansen's disease services cluster	17
00.08 Black lung clinics	4	4	2
00.09 Alzheimer's demonstration grants	4	6
00.10 Nursing loan repayment	2	2
00.11 Health professions curriculum assistance	257	290
00.12 Health professions clusters	130
00.13 Maternal and child health block grant	678	681	681
00.14 Healthy start	93	96	96
00.15 Emergency medical services for children	11	13	12
00.16 Organ transplantation	2	2	4
00.17 Bone marrow donor registry	15	15	15
00.18 Health care facilities	18	13
00.19 Family planning	193	198	203
00.20 Program management	112	113	111
00.21 Rural health policy development	11	9	9
00.22 Rural health outreach	28	28	25
00.23 HIV	757	996	1,036
00.24 Malpractice claims fund	1	1
00.25 LA emergency funds	4
00.26 Abstinence education	50
00.27 Other HRSA programs	1	2
00.28 CHC loan guarantee program account	5	1
00.91 Total direct program	3,075	3,400	3,313
01.01 Reimbursable obligations (cash)	140	81	79
10.00 Total obligations	3,215	3,481	3,392
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	22	29	27
22.00 New budget authority (gross)	3,218	3,479	3,392
22.22 Unobligated balance transferred from other accounts	4
23.90 Total budgetary resources available for obligation	3,244	3,508	3,419
23.95 New obligations	-3,215	-3,481	-3,392
24.40 Unobligated balance available, end of year:			
Uninvested balance	29	27	28
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	3,077	3,405	3,266
Permanent:			
60.00 Appropriation	50
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	141	74	76
70.00 Total new budget authority (gross)	3,218	3,479	3,392
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	3,053	2,299	2,454
73.10 New obligations	3,215	3,481	3,392
73.20 Total outlays (gross)	-3,966	-3,326	-3,413
73.40 Adjustments in expired accounts	-3
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	2,299	2,454	2,431
Outlays (gross), detail:			
86.90 Outlays from new current authority	1,271	1,362	1,306
86.93 Outlays from current balances	2,554	1,890	2,011
86.97 Outlays from new permanent authority	141	74	96
87.00 Total outlays (gross)	3,966	3,326	3,413

Offsets:

Against gross budget authority and outlays:

Offsetting collections (cash) from:				
88.00	Federal sources	-133	-68	-68
88.40	Non-Federal sources	-8	-6	-8
88.90	Total, offsetting collections (cash)	-141	-74	-76

Net budget authority and outlays:

89.00	Budget authority	3,077	3,405	3,316
90.00	Outlays	3,825	3,252	3,337

[Dollars in millions]

	1996	1997	1998
Distribution of budget authority by account:			
Health resources and services	3,072	3,400	3,311
Health centers malpractice claims	5	5	5
Distribution of outlays by account:			
Health resources and services	3,820	3,247	3,332
Health centers malpractice claims	5	5	5

Status of Direct Loans (in millions of dollars)

Identification code 75-0350-0-1-550	1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	798	800	800
1231 Disbursements: Direct loan disbursements	25	20
1251 Repayments: Repayments and prepayments	-18	-18	-18
Write-offs for default:			
1263 Direct loans	-1	-1	-1
1264 Other adjustments, net	-4	-1	-1
1290 Outstanding, end of year	800	800	780

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-0350-0-1-550	1996 actual	1997 est.	1998 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	80
2150 Total guaranteed loan commitments	80
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	10	10	76
2231 Disbursements of new guaranteed loans	67	13
2251 Repayments and prepayments	-1
2290 Outstanding, end of year	10	76	89
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	10	76	89

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75-0350-0-1-550	1996 actual	1997 est.	1998 est.
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantee levels	23	5
2150 Loan guarantee levels	22	4
2150 Loan guarantee levels	22	4
2159 Total loan guarantee levels	67	13
Guaranteed loan subsidy (in percent):			
2320 Subsidy rate	5.41	5.41
2320 Subsidy rate	13.26	13.26
2320 Subsidy rate	4.35	4.35
2329 Weighted average subsidy rate	7.67	7.67
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority	2	1
2330 Subsidy budget authority	1
2330 Subsidy budget authority	2
2339 Total subsidy budget authority	5	1
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays	2	1
2340 Subsidy outlays	1
2340 Subsidy outlays	2
2349 Total subsidy outlays	5	1

Activities displayed here support categorical health resources and services grants and the Medical Malpractice Claims Fund, which pays malpractice claims filed against employees of federally-supported health centers.

Object Classification (in millions of dollars)

Identification code 75-0350-0-1-550	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	87	91	93
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	4	3	3
11.9 Total personnel compensation	93	96	98
12.1 Civilian personnel benefits	22	22	23
13.0 Benefits for former personnel	1	1
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	7	8	9
23.3 Communications, utilities, and miscellaneous charges	4	4	4
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	10	11	14
25.2 Other services	39	37	36
25.3 Purchases of goods and services from Government accounts	67	73	62
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	3	3
41.0 Grants, subsidies, and contributions	2,825	3,139	3,058
42.0 Insurance claims and indemnities	1	1
99.0 Subtotal, direct obligations	3,075	3,400	3,313
99.0 Reimbursable obligations	140	81	79
99.9 Total obligations	3,215	3,481	3,392

Personnel Summary

Identification code 75-0350-0-1-550	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	1,534	1,563	1,563
1005 Full-time equivalent of overtime and holiday hours	10	10	10
1011 Exempt Full-time equivalent employment	145	137	137
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	304	170	170
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment	3	3	3

VACCINE INJURY COMPENSATION

[For payment of claims resolved by the United States Court of Federal Claims related to the administration of vaccines before October 1, 1988, \$110,000,000, to remain available until expended.] (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-0320-0-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 42.0)	74	75	75
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	69	106	141
22.00 New budget authority (gross)	110	110
23.90 Total budgetary resources available for obligation	179	216	141
23.95 New obligations	-74	-75	-75
24.40 Unobligated balance available, end of year:			
Uninvested balance	106	141	68
New budget authority (gross), detail:			
40.05 Appropriation (indefinite)	110	110
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	2	7

73.10 New obligations	74	75	75
73.20 Total outlays (gross)	-76	-68	-68
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	7	14

Outlays (gross), detail:

86.90 Outlays from new current authority	5
86.93 Outlays from current balances	71	68	68
87.00 Total outlays (gross)	76	68	68

Net budget authority and outlays:

89.00 Budget authority	110	110
90.00 Outlays	76	68	68

The Vaccine Improvement Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 backlog of claims yet to be adjudicated, no appropriation is requested in FY 1998 to cover payment of pre-1988 claims. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine Improvement Trust Fund account.

Credit accounts:

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4442-0-3-551	1996 actual	1997 est.	1998 est.
Position with respect to appropriations act limitation on commitments:			
2111 Limitation on guaranteed loans made by private lenders	80
2150 Total guaranteed loan commitments	80
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	67
2231 Disbursements of new guaranteed loans	67	7
2261 Adjustments: Terminations for default that result in loans receivable	-1
2290 Outstanding, end of year	67	73

Memorandum:

2299 Guaranteed amount of guaranteed loans outstanding, end of year	67	73
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Addendum:

Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year
2331 Disbursements for guaranteed loan claims	1
2351 Repayments of loans receivable
2390 Outstanding, end of year	1

P.L. 104-299 and P.L. 104-208 authorize HRSA to guarantee up to \$80 million in private loans to Health Centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. Since this new program will not begin to operate until late into FY 1997, HRSA will use this \$80 million loan guarantee limit over FY 1997 and FY 1998. As required by the Federal Credit Reform Act of 1990, this Financing Account records all cash flows to and from the Government resulting from the Health Center Loan Guarantee Program. The Program Account for this activity is displayed in the Health Resources and Services account (75-0350) as a line in the Program and Financing Schedule.

Credit accounts—Continued**HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT—
Continued**

Balance Sheet (in millions of dollars)

Identification code 75-4442-0-3-551	1995 actual	1996 actual	1997 est.	1998 est.
ASSETS:				
Investments in US securities:				
1106 Federal assets: Receivables, net			80	
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:				
1501 Defaulted guaranteed loans receivable, gross				1
1599 Net present value of assets related to defaulted guaranteed loans				1
1999 Total assets			80	1
LIABILITIES:				
2204 Non-Federal liabilities: Liabilities for loan guarantees			80	
2999 Total liabilities			80	
4999 Total liabilities and net position			80	

**HEALTH [EDUCATION ASSISTANCE LOANS PROGRAM] PROFESSIONS
GRADUATE STUDENT LOAN INSURANCE PROGRAM ACCOUNT**

For the cost of guaranteed loans, such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the Public Health Service Act, as amended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize gross obligations for the total loan principal any part of which is to be guaranteed at not to exceed [\$140,000,000] \$85,000,000. In addition, for administrative expenses to carry out the guaranteed loan program, \$2,688,000.

Program and Financing (in millions of dollars)

Identification code 75-0340-0-1-552	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.02 Guaranteed loan subsidy			1
00.09 Administrative expenses subject to limitation	4	3	4
10.00 Total obligations	4	3	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	4	3	5
23.95 New obligations	-4	-3	-5
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	3	3	3
Permanent:			
60.05 Appropriation (indefinite)			1
62.00 Transferred from other accounts	1		1
63.00 Appropriation (total)	1		2
70.00 Total new budget authority (gross)	4	3	5
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	1	2	
73.10 New obligations	4	3	5
73.20 Total outlays (gross)	-3	-5	-5
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	2		
Outlays (gross), detail:			
86.90 Outlays from new current authority	3	3	3
86.97 Outlays from new permanent authority			2
86.98 Outlays from permanent balances		2	
87.00 Total outlays (gross)	3	5	5

Net budget authority and outlays:				
89.00 Budget authority	4	3	5	
90.00 Outlays	3	5	5	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 75-0340-0-1-552	1996 actual	1997 est.	1998 est.
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantee levels	210	140	85
2159 Total loan guarantee levels	210	140	85
Guaranteed loan subsidy (in percent):			
2320 Subsidy rate	0.34	0.34	1.09
2329 Weighted average subsidy rate	0.34	0.34	1.09
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority	1		1
2339 Total subsidy budget authority	1		1
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays	1		1
2349 Total subsidy outlays	1		1

The Health Education Assistance Loan (HEAL) program guarantees loans from private lenders to health professions students to help pay for the costs of their training. As required by the Federal Credit Reform Act of 1990, this account records, for the HEAL program, the subsidy costs associated with HEAL loan guarantees committed in 1992 and beyond (including modifications of HEAL loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 75-0340-0-1-552	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
25.2 Other services	2	1	2
41.0 Grants, subsidies, and contributions			1
99.9 Total obligations	4	3	5

Personnel Summary

Identification code 75-0340-0-1-552	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment	20	20	20
1011 Exempt Full-time equivalent employment	6	6	6

**HEALTH PROFESSIONS GRADUATE STUDENT LOAN GUARANTEED
LOAN FINANCING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 75-4304-0-3-552	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations	6	7	11
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	202	226	250
22.00 New financing authority (gross)	30	31	27
23.90 Total budgetary resources available for obligation	232	257	277
23.95 New obligations	-6	-7	-11
24.90 Unobligated balance available, end of year: Fund balance	226	250	268
New financing authority (gross), detail:			
61.00 Transferred to other accounts	-1		-1
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	31	31	28

70.00	Total new financing authority (gross)	30	31	27
Change in unpaid obligations:				
73.10	New obligations	6	7	11
73.20	Total financing disbursements (gross)	-6	-7	-11
87.00	Total financing disbursements (gross)	6	7	11
Offsets:				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
88.00	Federal sources			-1
88.25	Interest on uninvested funds	-15	-20	-21
Non-Federal sources:				
88.40	Premium income	-16	-10	-5
88.40	Recoveries of defaulted loans			-1
88.40	Below threshold		-1	
88.90	Total, offsetting collections (cash)	-31	-31	-28
Net financing authority and financing disbursements:				
89.00	Financing authority	-1		-1
90.00	Financing disbursements	-25	-24	-17

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4304-0-3-552				
Position with respect to appropriations act limitation on commitments:				
2111	Limitation on guaranteed loans made by private lenders	210	140	85
2150	Total guaranteed loan commitments	210	140	85
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1,163	1,366	1,498
2231	Disbursements of new guaranteed loans	210	140	85
Adjustments:				
2261	Terminations for default that result in loans receivable	-6	-7	-11
2263	Terminations for default that result in claim payments	-1	-1	-1
2290	Outstanding, end of year	1,366	1,498	1,571
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1,366	1,498	1,571
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	1	7	14
2331	Disbursements for guaranteed loan claims	6	7	11
2351	Repayments of loans receivable			-1
2390	Outstanding, end of year	7	14	24

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Health Education Assistance Loan (HEAL) guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 75-4304-0-3-552				
ASSETS:				
Federal assets:				
1101	Fund balances with Treasury	153	202	250
Investments in US securities:				
1106	Receivables, net	22		1
Non-Federal assets:				
1201	Investments in non-Federal securities, net	11	15	21
1206	Receivables, net	19	15	5
1999	Total assets	205	232	277

LIABILITIES:				
2204	Non-Federal liabilities: Liabilities for loan guarantees	1,163	1,366	1,498
2999	Total liabilities	1,163	1,366	1,498
NET POSITION:				
3300	Cumulative results of operations	-958	-1,134	-1,241
3999	Total net position	-958	-1,134	-1,241
4999	Total liabilities and net position	205	232	277

HEALTH PROFESSIONS GRADUATE STUDENT LOAN INSURANCE FUND
LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 75-4305-0-3-552				
Obligations by program activity:				
00.01	Operating expenses: death and disability	5	7	8
00.02	Capital investment	38	55	39
00.03	Collection costs	1	2	2
00.04	Consolidation transfers		6	1
10.00	Total obligations	44	70	50
Budgetary resources available for obligation:				
21.90	Unobligated balance available, start of year: Fund balance	24	12	
22.00	New budget authority (gross)	33	58	51
23.90	Total budgetary resources available for obligation	57	70	51
23.95	New obligations	-44	-70	-50
24.90	Unobligated balance available, end of year: Fund balance	12		
New budget authority (gross), detail:				
60.05	Appropriation (indefinite)	13	38	30
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	20	20	21
70.00	Total new budget authority (gross)	33	58	51
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	4	8	
73.10	New obligations	44	70	50
73.20	Total outlays (gross)	-40	-78	-51
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	8		
Outlays (gross), detail:				
86.97	Outlays from new permanent authority	13	58	51
86.98	Outlays from permanent balances	27	20	
87.00	Total outlays (gross)	40	78	51
Offsets:				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources	-20	-20	-21
Net budget authority and outlays:				
89.00	Budget authority	13	38	30
90.00	Outlays	20	58	30

Status of Guaranteed Loans (in millions of dollars)

Identification code 75-4305-0-3-552				
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	1,620	1,549	1,454
2251	Repayments and prepayments	-43	-48	-52
Adjustments:				
2261	Terminations for default that result in loans receivable	-24	-35	-25
2263	Terminations for default that result in claim payments	-3	-4	-5
2264	Other adjustments, net	-1	-8	-3
2290	Outstanding, end of year	1,549	1,454	1,369

Credit accounts—Continued**HEALTH PROFESSIONS GRADUATE STUDENT LOAN INSURANCE FUND
LIQUIDATING ACCOUNT—Continued**

Status of Guaranteed Loans (in millions of dollars)—Continued

Identification code 75-4305-0-3-552	1996 actual	1997 est.	1998 est.
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	1,549	1,454	1,368
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	388	422	480
2331 Disbursements for guaranteed loan claims	38	55	39
2351 Repayments of loans receivable	-6	-6	-6
2361 Write-offs of loans receivable	9	9	9
2364 Other adjustments, net	-7		
2390 Outstanding, end of year	422	480	522

¹ Includes carryover commitments from prior years.

Note.—The adjustment to loans receivable represents interest, which had not previously been reflected in cumulative outstanding balances.

As required by the Federal Credit Reform Act of 1990, this account records, for the Health Education Assistance Loan program (HEAL), all cash flows to and from the Government resulting from HEAL loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

DATA ON LOANS

	1996 actual	1997 est.	1998 est.
Dollar volume of loans insured (\$ in millions)	209	140	85
Number of students	18,422	12,727	7,727
Average value of loans	11,324	11,000	11,000

Statement of Operations (in millions of dollars)

Identification code 75-4305-0-3-552	1995 actual	1996 actual	1997 est.	1998 est.
0101 Revenue	87	80	82	50
0102 Expense	-36	-44	-70	-50
0109 Net loss	51	36	12	

Balance Sheet (in millions of dollars)

Identification code 75-4305-0-3-552	1995 actual	1996 actual	1997 est.	1998 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	20	12		
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1701 Defaulted guaranteed loans, gross	388	422	480	522
1702 Interest receivable	5	6	6	6
1703 Allowance for estimated uncollectible loans and interest (-)	-3	-7		
1704 Defaulted guaranteed loans and interest receivable, net	390	421	486	528
1799 Value of assets related to loan guarantees	390	421	486	528
1801 Other Federal assets: Cash and other monetary assets	37	44	70	50
1999 Total assets	447	477	556	578
LIABILITIES:				
2104 Federal liabilities: Resources payable to Treasury	4	5	6	6
2201 Non-Federal liabilities: Accounts payable	33	38	55	39
2999 Total liabilities	37	43	61	45
NET POSITION:				
3300 Cumulative results of operations	410	434	495	533

3999 Total net position	410	434	495	533
4999 Total liabilities and net position	447	477	556	578

Object Classification (in millions of dollars)

Identification code 75-4305-0-3-552	1996 actual	1997 est.	1998 est.
25.2 Other services	1	8	3
42.0 Insurance claims and indemnities	43	62	47
99.9 Total obligations	44	70	50

HEALTH LOAN FUNDS

MEDICAL FACILITIES GUARANTEE AND LOAN FUND

FEDERAL INTEREST SUBSIDIES FOR MEDICAL FACILITIES

For carrying out subsections (d) and (e) of section 1602 of the Public Health Service Act, [\$7,000,000] \$6,000,000, together with any amounts received by the Secretary in connection with loans and loan guarantees under title VI of the Public Health Service Act, to be available without fiscal year limitation for the payment of interest subsidies. During the fiscal year, no commitments for direct loans or loan guarantees shall be made. (*Department of Health and Human Services Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-9931-0-3-550	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Operating expenses: Interest subsidies, private	8	8	8
01.01 Capital investment: Direct loans		1	1
10.00 Total obligations	8	9	9
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	55	58	52
22.00 New budget authority (gross)	16	15	15
22.60 Redemption of debt	-5	-12	-12
23.90 Total budgetary resources available for obligation	66	61	55
23.95 New obligations	-8	-9	-9
24.90 Unobligated balance available, end of year: Fund balance	58	52	46
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	8	7	6
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	8	8	8
70.00 Total new budget authority (gross)	16	15	14
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	8	8	10
73.10 New obligations	8	9	9
73.20 Total outlays (gross)	-7	-8	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	8	10	13
Outlays (gross), detail:			
86.90 Outlays from new current authority	7	7	6
86.93 Outlays from current balances		1	
87.00 Total outlays (gross)	7	8	6
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Interest repaid on loans not sold	-1	-1	-1
88.40 Principal collections from FFB	-5	-6	-6
88.40 Default collections, principal	-1		
88.40 Interest collections from FFB	-1	-1	-1
88.90 Total, offsetting collections (cash)	-8	-8	-8
Net budget authority and outlays:			
89.00 Budget authority	8	7	7

90.00	Outlays	-1	-2
Status of Direct Loans (in millions of dollars)				
Identification code 75-9931-0-3-550		1996 actual	1997 est.	1998 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	45	34	23
1251	Repayments: Repayments and prepayments	-7	-7	-5
1264	Write-offs for default: Other adjustments, net	-4	-4	-2
1290	Outstanding, end of year	34	23	16

Status of Guaranteed Loans (in millions of dollars)				
Identification code 75-9931-0-3-550		1996 actual	1997 est.	1998 est.
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year	261	188	138
2251	Repayments and prepayments	-73	-50	-40
2290	Outstanding, end of year	188	138	98
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	188	138	98

Title VII, part C of the Public Health Service Act established a revolving fund from which health professions schools could borrow in order to provide loans to their students.

Public Law 89-751, the Allied Health Professions Personnel Training Act of 1966, amended the Public Health Service Act to authorize the Federal Government to pay the difference between the interest paid by students to the schools and the interest payable by the schools to the Government National Mortgage Association (GNMA) and the Treasury.

Title VI and subsequently title XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans. Default and interest subsidy funds are replenished as necessary through the annual appropriation process.

Object Classification (in millions of dollars)				
Identification code 75-9931-0-3-550		1996 actual	1997 est.	1998 est.
33.0	Investments and loans		1	1
41.0	Grants, subsidies, and contributions	7	7	7
43.0	Interest and dividends	1	1	1
99.9	Total obligations	8	9	9

Trust Funds

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND

For payments from the Vaccine Injury Compensation Program Trust Fund, such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the Public Health Service Act, to remain available until expended: *Provided*, That for necessary administrative expenses, not to exceed \$3,000,000 shall be available from the Trust Fund to the Secretary of Health and Human Services. (*Department of Health and Human Services Appropriations Act, 1997.*)

Unavailable Collections (in millions of dollars)				
Identification code 20-8175-0-7-551		1996 actual	1997 est.	1998 est.
Balance, start of year:				
01.99	Balance, start of year	912	1,047	1,179
Receipts:				
02.01	Deposits	115	125	52

02.03	Interest income	58	66	74
02.99	Total receipts	173	191	126
04.00	Total: Balances and collections	1,085	1,238	1,305
Appropriation:				
05.01	Vaccine injury compensation program trust fund	-38	-59	-51
05.99	Subtotal appropriation	-38	-59	-51
07.99	Total balance, end of year	1,047	1,179	1,254

Program and Financing (in millions of dollars)				
Identification code 20-8175-0-7-551		1996 actual	1997 est.	1998 est.
Obligations by program activity:				
00.01	Compensation: Claims for post—FY 1989 injuries	30	50	42
Administrative expenses:				
01.03	Claims processing (Claims Court)	2	2	2
01.04	Claims processing (Public Health Service)	3	3	3
01.05	Claims processing (Dept. of Justice)	3	4	4
01.91	Total, administrative expenses	8	9	9
10.00	Total obligations	38	59	51
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	38	59	51
23.95	New obligations	-38	-59	-51
New budget authority (gross), detail:				
40.26	Appropriation (trust fund, definite)	8	9	9
40.27	Appropriation (trust fund, indefinite)	30	50	42
43.00	Appropriation (total)	38	59	51
70.00	Total new budget authority (gross)	38	59	51
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	1	2
73.10	New obligations	38	59	51
73.20	Total outlays (gross)	-37	-61	-51
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	2
Outlays (gross), detail:				
86.90	Outlays from new current authority	36	59	51
86.93	Outlays from current balances	1	2
87.00	Total outlays (gross)	37	61	51
Net budget authority and outlays:				
89.00	Budget authority	38	59	51
90.00	Outlays	37	61	51

The Vaccine Improvement Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. This account reflects payments for claims for vaccine related injury or death occurring after October 1, 1988.

Current and projected vaccine excise tax receipts to the Vaccine Injury Compensation Program Trust Fund far exceed current and projected claims on the Trust Fund. The Budget proposes lowering revenue to the Trust Fund by exempting Federal programs (which provide free vaccine to low-income, uninsured and underinsured children) from payment of the vaccine excise tax in FY 1998. Exempting these programs will result in Federal savings in mandatory (HCFA Grants to States for Medicaid) and discretionary (Centers for Disease Control and Prevention) programs displayed in those accounts. The estimated reduction in receipts to the Trust Fund is \$73 million.

Object Classification (in millions of dollars)				
Identification code 20-8175-0-7-551		1996 actual	1997 est.	1998 est.
25.2	Other services	3	3	3
25.3	Purchases of goods and services from Government accounts	5	6	6

VACCINE INJURY COMPENSATION PROGRAM TRUST FUND—Continued

Object Classification (in millions of dollars)—Continued

Identification code 20-8175-0-7-551	1996 actual	1997 est.	1998 est.
42.0 Insurance claims and indemnities	30	50	42
99.9 Total obligations	38	59	51

INDIAN HEALTH SERVICE

Federal Funds

General and special funds:

INDIAN HEALTH SERVICES

For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, **[\$1,806,269,000]**, **\$1,835,465,000** together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) for services furnished by the Indian Health Service: *Provided*, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That \$12,000,000 shall remain available until expended, for the Indian Catastrophic Health Emergency Fund: *Provided further*, That **[\$356,325,000]** **\$362,348,000** for contract medical care shall remain available for obligation until September 30, **[1998]** **1999**: *Provided further*, That of the funds provided, not less than **[\$11,706,000]** **\$11,889,000** shall be used to carry out the loan repayment program under section 108 of the Indian Health Care Improvement Act: *Provided further*, That funds provided in this Act may be used for one-year contracts and grants which are to be performed in two fiscal years, so long as the total obligation is recorded in the year for which the funds are appropriated: *Provided further*, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act (exclusive of planning, design, or construction of new facilities): *Provided further*, That of the funds provided, **[\$7,500,000]** **\$12,000,000** shall remain available until expended, for the Indian Self-Determination Fund, which shall be available for the transitional costs of initial or expanded tribal contracts, compacts, grants or cooperative agreements with the Indian Health Service under the provisions of the Indian Self-Determination Act: *Provided further*, That funding contained herein, and in any earlier appropriations Acts for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available for obligation until September 30, **[1998]** **1999**: *Provided further*, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-0390-0-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Clinical services	1,412	1,451	1,468
00.02 Preventive health	78	81	82
00.03 Urban health	24	25	26
00.04 Indian health professions	26	28	28
00.05 Tribal management	2	2	2
00.06 Direct operations	48	49	47
00.07 Self-governance	13	9	9
00.08 Contract support costs	146	161	173
00.91 Total direct program	1,749	1,806	1,835
04.01 Reimbursable program	245	283	285

10.00	Total obligations	1,994	2,089	2,120
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance	60	76	76
22.00	New budget authority (gross)	2,012	2,089	2,120
22.30	Unobligated balance expiring	-2		
23.90	Total budgetary resources available for obligation	2,070	2,165	2,196
23.95	New obligations	-1,994	-2,089	-2,120
24.40	Unobligated balance available, end of year:			
	Uninvested balance	76	76	76
New budget authority (gross), detail:				
Current:				
40.00	Appropriation	1,745	1,806	1,835
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	267	283	285
70.00	Total new budget authority (gross)	2,012	2,089	2,120
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	602	565	540
73.10	New obligations	1,994	2,089	2,120
73.20	Total outlays (gross)	-2,012	-2,114	-2,109
73.40	Adjustments in expired accounts	-19		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	565	540	551
Outlays (gross), detail:				
86.90	Outlays from new current authority	1,287	1,319	1,340
86.93	Outlays from current balances	458	512	484
86.97	Outlays from new permanent authority	267	283	285
87.00	Total outlays (gross)	2,012	2,114	2,109
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-60	-64	-64
88.40	Non-Federal sources	-207	-219	-221
88.90	Total, offsetting collections (cash)	-267	-283	-285
Net budget authority and outlays:				
89.00	Budget authority	1,745	1,806	1,835
90.00	Outlays	1,746	1,831	1,824

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$390,000,000 will be administered by tribal governments under self-governance compacts in FY 1998.

Object Classification (in millions of dollars)

Identification code 75-0390-0-1-551		1996 actual	1997 est.	1998 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	365	391	404
11.3	Other than full-time permanent	16	16	16
11.5	Other personnel compensation	26	27	28
11.9	Total personnel compensation	407	434	448
12.1	Civilian personnel benefits	117	122	125
13.0	Benefits for former personnel	3	3	3
21.0	Travel and transportation of persons	27	28	28
22.0	Transportation of things	10	13	14
23.1	Rental payments to GSA	10	10	10
23.3	Communications, utilities, and miscellaneous charges	25	26	14
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	1	1	1
25.2	Other services	463	465	472
25.3	Purchases of goods and services from Government accounts	2	1	2
25.4	Operation and maintenance of facilities	8	7	8
25.6	Medical care	211	214	223
25.7	Operation and maintenance of equipment	7	7	8
25.8	Subsistence and support of persons	5	5	5
26.0	Supplies and materials	97	99	100
31.0	Equipment	18	18	18

32.0	Land and structures	2		
41.0	Grants, subsidies, and contributions	335	352	355
99.0	Subtotal, direct obligations	1,749	1,806	1,835
99.0	Reimbursable obligations	245	283	285
99.9	Total obligations	1,994	2,089	2,120

Personnel Summary

Identification code 75-0390-0-1-551	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	10,331	10,345	10,345
1005 Full-time equivalent of overtime and holiday hours	183	191	191
1011 Exempt Full-time equivalent employment	6	5	5
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	2,517	2,517	2,517
2005 Full-time equivalent of overtime and holiday hours	57	57	57

INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, **[\$247,731,000] \$247,635,000**, to remain available until expended: *Provided*, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction or renovation of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land for sites to construct, improve, or enlarge health or related facilities.

In addition, to become available on October 1 of the fiscal year specified and remain available until expended: for construction of a replacement of the health facility at Fort Defiance, Arizona, up to \$25,000,000 for fiscal year 1998, up to \$25,000,000 for fiscal year 1999, and up to \$28,000,000 for fiscal year 2000; for construction of a replacement of the health facility at Keams Canyon, Arizona, up to \$13,900,000 for fiscal year 1998, up to \$13,900,000 for fiscal year 1999, and up to \$3,200,000 for fiscal year 2000. (Department of the Interior and Related Agencies Appropriations Act, 1997.)

Unavailable Collections (in millions of dollars)

Identification code 75-0391-0-1-551	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Rent and charges for quarters, Indian Health Service, HRS, HHS	4	5	5
Appropriation:			
05.01 Indian health facilities	-4	-5	-5
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-0391-0-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Construction	110	112	129
01.01 Maintenance	47	45	44
02.01 Facilities and environmental health	93	100	105
05.01 Equipment	15	16	13
10.00 Total obligations	265	273	291

Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	36	25	16
22.00 New budget authority (gross)	254	264	303
23.90 Total budgetary resources available for obligation	290	289	319

23.95 New obligations	-265	-273	-291
24.40 Unobligated balance available, end of year:			
Uninvested balance	25	16	28

New budget authority (gross), detail:

Current:			
40.00 Appropriation	239	248	287
Permanent:			
60.25 Appropriation (special fund, indefinite)	4	5	5
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	11	11	11
70.00 Total new budget authority (gross)	254	264	303

Change in unpaid obligations:

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	412	380	351
73.10 New obligations	265	273	291
73.20 Total outlays (gross)	-297	-302	-283
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	380	351	360

Outlays (gross), detail:

86.90 Outlays from new current authority	65	62	72
86.93 Outlays from current balances	217	224	195
86.97 Outlays from new permanent authority	15	16	16
87.00 Total outlays (gross)	297	302	283

Offsets:

Against gross budget authority and outlays:			
88.45 Offsetting collections (cash) from: Offsetting governmental collections	-11	-11	-11

Net budget authority and outlays:

89.00 Budget authority	243	253	292
90.00 Outlays	286	291	272

The Indian health facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian health services.

Object Classification (in millions of dollars)

Identification code 75-0391-0-1-551	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent	45	45	45
11.3 Other than full-time permanent	6	6	6
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	53	53	53
12.1 Civilian personnel benefits	15	15	15
20.0 Travel and transportation of persons	4	5	4
22.0 Transportation of things	4	4	4
23.3 Communications, utilities, and miscellaneous charges	6	8	19
25.1 Advisory and assistance services	2	2	3
25.2 Other services	41	37	56
25.4 Operation and maintenance of facilities	37	19	18
25.7 Operation and maintenance of equipment	10	3	3
25.8 Subsistence and support of persons	10	10	10
26.0 Supplies and materials	11	12	11
31.0 Equipment	12	5	4
32.0 Land and structures	38	60	54
41.0 Grants, subsidies, and contributions	22	28	27
43.0 Interest and dividends		12	10
99.9 Total obligations	265	273	291

Personnel Summary

Identification code 75-0391-0-1-551	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment	1,454	1,454	1,454
1005 Full-time equivalent of overtime and holiday hours	21	21	21

ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE

Appropriations in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 but at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of pas-

General and special funds—Continued**ADMINISTRATIVE PROVISIONS, INDIAN HEALTH SERVICE—Continued**

senger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and for uniforms or allowances therefore as authorized by 5 U.S.C. 5901–5902; and for expenses of attendance at meetings which are concerned with the functions or activities for which the appropriation is made or which will contribute to improved conduct, supervision, or management of those functions or activities: *Provided*, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651–53) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: *Provided further*, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86–121 (the Indian Sanitation Facilities Act) and Public Law 93–638, as amended: *Provided further*, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: *Provided further*, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title III of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title III of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: *Provided further*, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: *Provided further*, That funds made available in this Act are to be apportioned to the Indian Health Service as appropriated in this Act, and accounted for in the appropriation structure set forth in this Act: *Provided further*, That funds received from any source, including tribal contractors and compactors for previously transferred functions which tribal contractors and compactors no longer wish to retain, for services, goods, or training and technical assistance, shall be retained by the Indian Health Service and shall remain available until expended by the Indian Health Service: *Provided further*, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: *Provided further*, That the appropriation structure for the Indian Health Service may not be altered without advance [approval of] notice submitted to the House and Senate Committees on Appropriations. (*Department of the Interior and Related Agencies Appropriations Act, 1997.*)

CENTERS FOR DISEASE CONTROL AND PREVENTION**Federal Funds****General and special funds:****DISEASE CONTROL, RESEARCH, AND TRAINING**

To carry out titles II, III, VII, XI, XV, XVII, and XIX of the Public Health Service Act, sections 101, 102, 103, 201, 202, 203, 301, and 501 of the Federal Mine Safety and Health Act of 1977, and sections 20, 21 and 22 of the Occupational Safety and Health Act of 1970, title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980; including insurance of official motor vehicles in foreign countries; and hire, maintenance, and operation of aircraft, [\$2,262,698,000] \$2,252,900,000, of which

[\$30,553,000] \$23,007,000 shall remain available until expended for equipment and construction and renovation of facilities, and of which \$32,000,000 shall remain available until September 30, [1998] 1999 for mine safety and health activities, and in addition, such sums as may be derived from authorized user fees, which shall be credited to this account: *Provided*, That in addition to amounts provided herein, up to [\$48,400,000] \$70,063,000 shall be available from amounts available under section 241 of the Public Health Service Act, to carry out the National Center for Health Statistics surveys: *Provided further*, That none of the funds made available for injury prevention and control at the Centers for Disease Control and Prevention may be used to advocate or promote gun control: *Provided further*, That the Director may redirect the total amount made available under authority of Public Law 101–502, section 3, dated November 3, 1990, to activities the Director may so designate: *Provided further*, That the Congress is to be notified promptly of any such transfer: *Provided further*, That the functions described in clause (1) of the first proviso under the subheading “mines and minerals” under the heading “Bureau of Mines” in the text of title I of the Department of the Interior and Related Agencies Appropriations Act, 1996, as enacted by section 101 (c) of the Omnibus Consolidated Rescissions and Appropriations Act of 1996 (Public Law 104–134), are hereby transferred to, and vested in, the Secretary of Health and Human Services, subject to section 1531 of title 31, United States Code: *Provided further*, That of the amount provided, \$23,000,000 is designated by Congress as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.]

In addition, not to exceed \$17,895,000 to carry out Title III of the Public Health Service Act: Provided, That all purchases of vaccine with grants from appropriations for fiscal year 1998 authorized by section 317(j) of the Public Health Act or section 1928 of the Social Security Act shall be exempt from the payment of excise tax on vaccine.

In addition, [\$41,000,000] \$45,000,000, to be derived from the Violent Crime Reduction Trust Fund, for carrying out [sections] section 40151 [and 40261] of Public Law 103–322. (*Department of Health and Human Services Appropriations Act, 1997.*)

Unavailable Collections (in millions of dollars)

Identification code 75–0943–0–1–999	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Cooperative Research and Development Agreements, Centers for Disease Control	1	1	1
Appropriation:			
05.01 Disease control, research, and training	–1	–1	–1
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75–0943–0–1–999	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Preventive health block grant	145	154	144
00.02 Prevention centers	8	8	8
00.03 Sexually transmitted diseases	105	106	111
00.04 Immunization	468	468	429
00.05 Infectious diseases	181	207	232
00.06 Chronic and environmental diseases	348	388	421
00.07 Occupational safety and health	129	141	149
00.08 Mine safety and health		32	32
00.09 Epidemic services	67	70	70
00.10 Health statistics	37	38	19
00.11 HIV	585	617	637
00.12 Buildings and facilities	17	79	24
00.13 Program management	3	3	3
00.14 Violent crime reduction programs	32	41	45
00.15 Office of the Director savings			–8
00.91 Total direct program	2,125	2,352	2,316
01.01 Reimbursable program	134	134	134
10.00 Total obligations	2,259	2,486	2,450

Budgetary resources available for obligation:

21.40 Unobligated balance available, start of year:			
Uninvested balance	46	51	
22.00 New budget authority (gross)	2,248	2,437	2,451

22.10	Resources available from recoveries of prior year obligations	18		
23.90	Total budgetary resources available for obligation	2,312	2,488	2,451
23.95	New obligations	-2,259	-2,486	-2,450
24.40	Unobligated balance available, end of year:			
	Uninvested balance	51		
New budget authority (gross), detail:				
Current:				
40.00	Appropriation	2,081	2,263	2,271
40.75	Reduction pursuant to P.L. 104-208		-2	
42.00	Transferred from other accounts	32	41	45
43.00	Appropriation (total)	2,113	2,302	2,316
Permanent:				
60.25	Appropriation (special fund, indefinite)	1	1	1
68.00	Spending authority from offsetting collections: Off-setting collections (cash)	134	134	134
70.00	Total new budget authority (gross)	2,248	2,437	2,451
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	2,124	2,061	2,223
73.10	New obligations	2,259	2,486	2,450
73.20	Total outlays (gross)	-2,301	-2,324	-2,406
73.40	Adjustments in expired accounts	-4		
73.45	Adjustments in unexpired accounts	-18		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	2,061	2,223	2,267
Outlays (gross), detail:				
86.90	Outlays from new current authority	684	721	726
86.93	Outlays from current balances	1,482	1,468	1,545
86.97	Outlays from new permanent authority	134	134	134
86.98	Outlays from permanent balances	1	1	1
87.00	Total outlays (gross)	2,301	2,324	2,406
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-134	-134	-134
Net budget authority and outlays:				
89.00	Budget authority	2,114	2,303	2,317
90.00	Outlays	2,167	2,190	2,272

[Dollars in millions]

	1996	1997	1998
Distribution of budget authority by account:			
Disease control, research and training	2,075	2,263	2,271
Violent crime reduction programs	32	41	45
Distribution of outlays by account:			
Disease control, research and training		2,163	2,233
Violent crime reduction programs		27	39

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs including grants for immunizations, control of sexually transmitted diseases, HIV prevention, and control of other infectious and chronic diseases. CDC also supports the Preventive Health Block Grant.

This display also includes amounts derived from the Violent Crime Reduction Trust Fund.

Current and projected vaccine excise tax receipts to the Vaccine Injury Compensation Program Trust Fund far exceed current and projected claims on the Trust Fund. The Budget exempts Federal programs which provide free vaccine to low-income, uninsured and underinsured children from payment of vaccine excise tax for FY 1998, lowering the cost to the Government of providing vaccine to these children. The savings to CDC's discretionary immunization program and the funding provided above will allow CDC to purchase as much vaccine in FY 1998 as in FY 1997. The \$18 million in the savings resulting from the combined effects of the exemption of the mandatory Vaccines for Children from the excise tax on mandatory costs and tax receipts will offset some of the cost of CDC's prevention activities. Funds carried-over by States from prior-year appropriations permit additional immunization savings.

Object Classification (in millions of dollars)

Identification code 75-0943-0-1-999	1996 actual	1997 est.	1998 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	223	255	258
11.3	Other than full-time permanent	10	10	10
11.5	Other personnel compensation	11	11	11
11.9	Total personnel compensation	244	276	279
12.1	Civilian personnel benefits	72	80	81
13.0	Benefits for former personnel	2		
21.0	Travel and transportation of persons	16	16	17
22.0	Transportation of things	3	4	4
23.1	Rental payments to GSA	15	16	16
23.3	Communications, utilities, and miscellaneous charges	19	20	20
24.0	Printing and reproduction	5	4	5
25.1	Advisory and assistance services	2	2	2
25.2	Other services	71	76	72
25.5	Research and development contracts	179	232	225
25.7	Operation and maintenance of equipment		1	1
26.0	Supplies and materials	145	167	162
31.0	Equipment	40	96	75
32.0	Land and structures	3	31	18
41.0	Grants, subsidies, and contributions	1,309	1,331	1,339
99.0	Subtotal, direct obligations	2,125	2,352	2,316
99.0	Reimbursable obligations	134	134	134
99.9	Total obligations	2,259	2,486	2,450

Personnel Summary

Identification code 75-0943-0-1-999	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	5,109	5,351	5,351
1005 Full-time equivalent of overtime and holiday hours	29	29	29
1011 Exempt Full-time equivalent employment	48	45	45
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	875	1,052	1,052
2005 Full-time equivalent of overtime and holiday hours	2	2	2

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows:

Environmental Protection Agency: "Hazardous substance response trust fund."

NATIONAL INSTITUTES OF HEALTH

Federal Funds

General and special funds:

NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV (except section 417B(d)) of the Public Health Service Act with respect to cancer, **[\$2,382,532,000] \$2,217,482,000.** (Department of Health and Human Services Appropriations Act, 1997.)

NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, **[\$1,433,001,000] \$1,404,770,000.** (Department of Health and Human Services Appropriations Act, 1997.)

NATIONAL INSTITUTE OF DENTAL RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to dental disease, **[\$195,997,000] \$190,081,000.** (Department of Health and Human Services Appropriations Act, 1997.)

NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to diabetes and digestive and kidney disease, **[\$815,982,000] \$821,164,000.** (Department of Health and Human Services Appropriations Act, 1997.)

General and special funds—Continued**NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE**

For carrying out section 301 and title IV of the Public Health Service Act with respect to neurological disorders and stroke, **[\$726,746,000]** \$722,712,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to allergy and infectious diseases, **[\$1,257,234,000]** \$634,272,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to general medical sciences, **[\$998,470,000]** \$992,032,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the Public Health Service Act with respect to child health and human development, **[\$631,703,000]** \$582,032,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to eye diseases and visual disorders, **[\$332,735,000]** \$330,955,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE OF ENVIRONMENTAL HEALTH SCIENCES

For carrying out sections 301 and 311 and title IV of the Public Health Service Act with respect to environmental health sciences, **[\$308,819,000]** \$313,583,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the Public Health Service Act with respect to aging, **[\$486,047,000]** \$495,202,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the Public Health Service Act with respect to arthritis and musculoskeletal and skin diseases, **[\$257,111,000]** \$258,932,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the Public Health Service Act with respect to deafness and other communication disorders, **[\$188,422,000]** \$192,447,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the Public Health Service Act with respect to nursing research, **[\$59,743,000]** \$55,692,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the Public Health Service Act with respect to alcohol abuse and alcoholism, **[\$212,004,000]** \$208,112,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the Public Health Service Act with respect to drug abuse, **[\$489,375,000]** \$358,475,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the Public Health Service Act with respect to mental health, **[\$701,585,000]** \$629,739,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL CENTER FOR RESEARCH RESOURCES

For carrying out section 301 and title IV of the Public Health Service Act with respect to research resources and general research support grants, **[\$415,145,000]** \$333,868,000: *Provided*, That none of these funds shall be used to pay recipients of the general research support grants program any amount for indirect expenses in connection with such grants: *Provided further*, That **[\$20,000,000]** \$4,000,000 shall be for extramural facilities construction grants. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL [CENTER FOR] HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the Public Health Service Act with respect to human genome research, **[\$189,657,000]** \$202,197,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities at the John E. Fogarty International Center, **[\$26,586,000]** \$16,755,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the Public Health Service Act with respect to health information communications, **[\$151,103,000]** \$152,689,000, of which \$4,000,000 shall be available until expended for improvement of information systems: *Provided*, That in fiscal year **[1997]** 1998, the Library may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health. (*Department of Health and Human Services Appropriations Act, 1997.*)

OFFICE OF THE DIRECTOR**(INCLUDING TRANSFER OF FUNDS)**

For carrying out the responsibilities of the Office of the Director, National Institutes of Health, **[\$287,206,000]**, of which \$35,589,000 shall be for the Office of AIDS Research] **\$234,247,000**: *Provided*, That funding shall be available for the purchase of not to exceed five passenger motor vehicles for replacement only: *Provided further*, That the Director may direct up to 1 percent of the total amount made available in this Act to all National Institutes of Health appropriations to activities the Director may so designate: *Provided further*, That no such appropriation shall be increased or decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer: *Provided further*, That NIH is authorized to collect **\$15,000,000** in third party payments for the cost of clinical services that are incurred in National Institutes of Health research facilities in FY 1998 and that such payments shall be credited to the National Institutes of Health Management Fund: *Provided further*, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited[: *Provided further*, That up to \$200,000 shall be available to carry out section 499 of the Public Health Service Act]. (*Department of Health and Human Services Appropriations Act, 1997.*)

OFFICE OF AIDS RESEARCH**(INCLUDING TRANSFER OF FUNDS)**

For carrying out part D of title XXIII of the Public Health Service Act, **\$1,540,765,000**: *Provided*, That the Director of the Office of AIDS Research shall transfer from this appropriation the amounts necessary to carry out subsection 2353(d) of the Act.

BUILDINGS AND FACILITIES

For the study of, construction of, and acquisition of equipment for, facilities of or used by the National Institutes of Health, including the acquisition of real property, **[\$200,000,000]** \$100,000,000, to remain available until expended[, of which \$90,000,000 shall be for the clinical research center: *Provided*, That, notwithstanding any other provision of law, a single contract or related contracts for the development and construction of the clinical research center may be employed which collectively include the full scope of the project: *Provided further*, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232-18]. *In addition*, to become available on October 1 of the fiscal year specified and remain available until expended, for the clinical research center, **\$90,000,000** for each of fiscal years 1998 and 1999, and **\$40,000,000**

for fiscal year 2000. (Department of Health and Human Services Appropriations Act, 1997.)

Unavailable Collections (in millions of dollars)

Identification code 75-9915-0-1-552	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Cooperative Research and Development Agreements, NIH	7	12	15
Appropriation:			
05.01 National Institutes of Health	-7	-12	-15
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-9915-0-1-552	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 National Cancer Institute	2,255	2,381	2,217
00.02 National Heart, Lung, and Blood Institute	1,351	1,433	1,405
00.03 National Institute of Dental Research	184	196	190
00.04 National Institute of Diabetes and Digestive and Kidney Disease	768	816	821
00.05 National Institute of Neurological Disorders and Stroke	684	726	723
00.06 National Institute of Allergy and Infectious Diseases	1,171	1,257	634
00.07 National Institute of General Medical Sciences	944	998	992
00.08 National Institute of Child Health and Human Development	593	631	582
00.09 National Eye Institute	313	333	331
00.10 National Institute of Environmental Health Sciences	288	308	314
00.11 National Institute on Aging	454	486	495
00.12 National Institute of Arthritis and Musculoskeletal and Skin Disease	243	257	259
00.13 National Institute on Deafness and Other Communication Disorders	176	188	192
00.14 National Institute of Nursing Research	56	60	56
00.15 National Institute of Alcohol Abuse and Alcoholism	199	212	208
00.16 National Institute on Drug Abuse	458	489	358
00.17 National Institute of Mental Health	660	701	630
00.18 National Center for Research Resources	390	415	334
00.19 National Human Genome Research Institute	169	190	202
00.20 John E. Fogarty International Center	25	27	17
00.21 National Library of Medicine	139	152	153
00.22 Office of the Director	260	287	234
00.23 Office of AIDS Research			1,541
00.24 Buildings and facilities	101	387	190
00.25 Cooperative research and development agreements	6	24	15
00.26 Royalties	13	20	20
00.91 Total direct program	11,900	12,974	13,113
01.01 Reimbursable program	330	360	384
10.00 Total obligations	12,230	13,334	13,497

Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	164	221	
22.00 New budget authority (gross)	12,285	13,113	13,497
22.30 Unobligated balance expiring	2		
23.90 Total budgetary resources available for obligation	12,451	13,334	13,497
23.95 New obligations	-12,230	-13,334	-13,497
24.40 Unobligated balance available, end of year:			
Uninvested balance	221		

New budget authority (gross), detail:			
Current:			
40.00 Appropriation	11,928	12,747	13,078
40.75 Reduction pursuant to P.L. 104-208		-6	
43.00 Appropriation (total)	11,928	12,741	13,078
Permanent:			
60.25 Appropriation (special fund, indefinite)	7	12	15
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	250	360	404
68.10 Change in orders on hand from Federal sources	100		
68.90 Spending authority from offsetting collections (total)	350	360	404
70.00 Total new budget authority (gross)	12,285	13,113	13,497

Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Obligated balance: Appropriation	7,647	9,247	10,063

72.95 Orders on hand from Federal sources	4,322	4,422	4,422
72.99 Total unpaid obligations, start of year	11,969	13,669	14,485
73.10 New obligations	12,230	13,334	13,497
73.20 Total outlays (gross)	-10,467	-12,518	-13,205
73.40 Adjustments in expired accounts	-63		
Unpaid obligations, end of year:			
74.40 Obligated balance: Appropriation	9,247	10,063	10,355
74.95 Orders on hand from Federal sources	4,422	4,422	4,422
74.99 Total unpaid obligations, end of year	13,669	14,485	14,777

Outlays (gross), detail:			
86.90 Outlays from new current authority	4,489	4,935	5,067
86.93 Outlays from current balances	5,723	7,211	7,719
86.97 Outlays from new permanent authority	255	372	419
86.98 Outlays from permanent balances			
87.00 Total outlays (gross)	10,467	12,518	13,205

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-250	-360	-404
88.95 Change in orders on hand from Federal sources	-100		
Net budget authority and outlays:			
89.00 Budget authority	11,935	12,753	13,093
90.00 Outlays	10,217	12,158	12,801

[Dollars in millions]			
Distribution of budget authority by account:	1996	1997	1998
National Cancer Institute	2,255	2,381	2,217
National Heart, Lung, and Blood Institute	1,351	1,432	1,405
National Institute of Dental Research	184	196	190
National Institute of Diabetes and Digestive and Kidney Diseases	769	816	821
National Institute of Neurological Disorders and Stroke	684	726	723
National Institute of Allergy and Infectious Diseases	1,171	1,257	634
National Institute of General Medical Sciences	944	998	992
National Institute of Child Health and Human Development	593	631	582
National Eye Institute	313	333	331
National Institute of Environmental Health Sciences	288	308	314
National Institute on Aging	454	486	495
National Institute of Arthritis and Musculoskeletal and Skin Diseases	243	257	259
National Institute on Deafness and Other Communication Disorders	176	188	192
National Institute of Nursing Research	56	60	56
National Institute on Alcohol Abuse and Alcoholism	199	212	208
National Institute on Drug Abuse	458	489	358
National Institute of Mental Health	660	701	630
National Center for Research Resources	389	415	334
National Human Genome Research Institute	169	190	202
John E. Fogarty International Center	25	27	17
National Library of Medicine	141	151	153
Office of the Director	260	287	234
Office of AIDS Research			1,541
Buildings and Facilities	146	200	190
Subtotal	11,928	12,741	13,078
Cooperative Research and Development Agreements	7	12	15
Total Budget Authority, NIH	11,935	12,753	13,093

[Dollars in millions]			
Distribution of outlays by account:	1996	1997	1998
National Cancer Institute	2,011	2,299	2,178
National Heart, Lung, and Blood Institute	1,214	1,387	1,391
National Institute of Dental Research	126	187	184
National Institute of Diabetes and Digestive and Kidney Diseases	794	790	811
National Institute of Neurological Disorders and Stroke	501	693	709
National Institute of Allergy and Infectious Diseases	893	1,185	608
National Institute of General Medical Sciences	803	957	979
National Institute of Child Health and Human Development	542	607	571
National Eye Institute	229	318	322
National Institute of Environmental Health Sciences	212	296	310
National Institute on Aging	359	461	484
National Institute of Arthritis and Musculoskeletal and Skin Diseases	208	247	253
National Institute on Deafness and Other Communication Disorders	152	179	187
National Institute of Nursing Research	37	56	55
National Institute on Alcohol Abuse and Alcoholism	222	204	203

General and special funds—Continued*OFFICE OF AIDS RESEARCH—Continued**(INCLUDING TRANSFER OF FUNDS)—Continued*

	1996	1997	1998
National Institute on Drug Abuse	407	461	339
National Institute of Mental Health	617	669	608
National Center for Research Resources	289	400	344
National Human Genome Research Institute	145	176	191
John E. Fogarty International Center	28	23	17
National Library of Medicine	117	145	152
Office of the Director	195	245	224
Office of AIDS Research			1,478
Buildings and Facilities	118	161	188
Subtotal Outlays	10,212	12,146	12,786
Cooperative Research and Development Agreements	5	12	15
Total Outlays, NIH	10,217	12,158	12,801

Note: The total amount for FY 1997 AIDS research was \$1,501,720,000, which was jointly determined by the Director of NIH and the Director of the Office of AIDS Research pursuant to section 211 of P.L. 104–208. \$1,466,131,000 was made available to OAR. This amount is net of the \$35,589,000 in AIDS funds earmarked in P.L. 104–208 in the Office of the Director account.

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 75–9915–0–1–552	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	457	476	496
11.3 Other than full-time permanent	96	106	108
11.5 Other personnel compensation	24	26	23
11.8 Special personal services payments	81	88	92
11.9 Total personnel compensation	658	696	719
12.1 Civilian personnel benefits	138	145	151
21.0 Travel and transportation of persons	18	19	19
22.0 Transportation of things	3	4	4
23.1 Rental payments to GSA	4	5	5
23.2 Rental payments to others	7	6	6
23.3 Communications, utilities, and miscellaneous charges	44	45	45
24.0 Printing and reproduction	14	12	12
25.1 Advisory and assistance services	19	21	27
25.2 Other services	342	631	416
25.3 Purchases of goods and services from Government accounts	857	764	764
25.4 Operation and maintenance of facilities	97	166	164
25.5 Research and development contracts	710	688	705
25.6 Medical care	5	5	5
25.7 Operation and maintenance of equipment	34	33	33
25.8 Subsistence and support of persons	8	11	
26.0 Supplies and materials	120	124	123
31.0 Equipment	105	102	98
41.0 Grants, subsidies, and contributions	8,714	9,494	9,794
42.0 Insurance claims and indemnities	3	3	3
99.0 Subtotal, direct obligations	11,900	12,974	13,093
99.0 Reimbursable obligations	330	360	404
99.9 Total obligations	12,230	13,334	13,497

Personnel Summary

Identification code 75–9915–0–1–552	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	15,050	15,047	15,088
1005 Full-time equivalent of overtime and holiday hours	196	196	196
1011 Exempt Full-time equivalent employment		14	14
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	65	66	65

SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION*Federal Funds***General and special funds:****SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES**

For carrying out [titles V and XIX of] the Public Health Service Act with respect to substance abuse and mental health services, the Protection and Advocacy for Mentally Ill Individuals Act of 1986, [section 30401 of Public Law 103–322 and section 301 of the Public Health Service Act with respect to program management, \$2,134,743,000, of which \$5,000,000 shall be for grants to rural and Native American projects and \$12,800,000 shall be for activities authorized by section 30401 of Public Law 103–322] \$2,155,943,000, of which \$1,000,000, together with unobligated balances for facilities renovation, shall be available for sealing off and preserving the Federally-owned unoccupied facilities at St. Elizabeths Hospital and shall remain available until September 30, 1999. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75–1362–0–1–550	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Mental health and substance abuse activities	320	494	505
00.02 Mental health partnership	275	275	275
00.03 Substance abuse partnership	1,234	1,310	1,320
00.04 Substance abuse partnership—temporary supplement (mandatory)		50	50
00.05 Program management	56	55	55
00.06 Building and facilities			1
00.91 Total direct program	1,885	2,184	2,206
01.01 Reimbursable program	20	20	20
10.00 Total obligations	1,905	2,204	2,226
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	2	2	2
22.00 New budget authority (gross)	1,905	2,204	2,226
23.90 Total budgetary resources available for obligation	1,907	2,206	2,228
23.95 New obligations	–1,905	–2,204	–2,226
24.40 Unobligated balance available, end of year:			
Uninvested balance	2	2	2
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	1,883	2,134	2,156
42.00 Transferred from other accounts	2		
43.00 Appropriation (total)	1,885	2,134	2,156
Permanent:			
65.00 Advance appropriation (definite)		50	50
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	20	20	20
70.00 Total new budget authority (gross)	1,905	2,204	2,226
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	1,250	1,048	1,327
New obligations	1,905	2,204	2,226
73.10 Total outlays (gross)	–2,104	–1,925	–2,109
73.20 Adjustments in expired accounts	–3		
73.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	1,048	1,327	1,444
Outlays (gross), detail:			
86.90 Outlays from new current authority	905	1,067	1,078
86.93 Outlays from current balances	1,179	813	986
86.97 Outlays from new permanent authority	20	45	45
87.00 Total outlays (gross)	2,104	1,925	2,109
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	–20	–20	–20
Net budget authority and outlays:			
89.00 Budget authority	1,885	2,184	2,206

90.00 Outlays 2,083 1,905 2,089

This program provides Federal support for treatment and prevention in the areas of alcoholism, drug abuse, and mental health.

Object Classification (in millions of dollars)

Identification code 75-1362-0-1-550	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	31	32	32
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	33	34	34
12.1 Civilian personnel benefits	7	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	2	1	1
24.0 Printing and reproduction	2	2	1
25.1 Advisory and assistance services	33	38	70
25.2 Other services	64	102	125
25.3 Purchases of goods and services from Government accounts	14	13	11
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	1,723	1,980	1,950
42.0 Insurance claims and indemnities	2	2	2
99.0 Subtotal, direct obligations	1,885	2,184	2,206
99.0 Reimbursable obligations	20	20	20
99.9 Total obligations	1,905	2,204	2,226

Personnel Summary

Identification code 75-1362-0-1-550	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	590	590	590
1005 Full-time equivalent of overtime and holiday hours	2	2	2
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	2	2	2
2011 Exempt Full-time equivalent employment	64	61	61

AGENCY FOR HEALTH CARE POLICY AND RESEARCH

Federal Funds

General and special funds:

HEALTH CARE POLICY AND RESEARCH

For carrying out titles III and IX of the Public Health Service Act, and part A of title XI of the Social Security Act, **[\$96,175,000] \$87,000,000**; in addition, amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data tapes shall be credited to this appropriation and shall remain available until expended: *Provided*, That the amount made available pursuant to section 926(b) of the Public Health Service Act shall not exceed **[\$47,412,000] \$62,000,000**. (*Department of Health and Human Services Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-1700-0-1-552	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
01.01 Research on health care systems cost and access	7	36	17
01.02 Health insurance and expenditure surveys		10	10
01.03 Research on health care outcomes and quality	56	48	58
01.04 Program support	2	2	2
01.91 Total direct program	65	96	87
04.01 Reimbursable program	69	57	71
10.00 Total obligations	134	153	158

Budgetary resources available for obligation:			
22.00 New budget authority (gross)	134	153	158
23.95 New obligations	-134	-153	-158
24.40 Unobligated balance available, end of year:			
Uninvested balance	1		

New budget authority (gross), detail:

Current:			
40.00 Appropriation	65	96	87
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	69	57	71
70.00 Total new budget authority (gross)	134	153	158

Change in unpaid obligations:

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	159	142	143
73.10 New obligations	134	153	158
73.20 Total outlays (gross)	-150	-152	-159
73.40 Adjustments in expired accounts	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	142	143	142

Outlays (gross), detail:

86.90 Outlays from new current authority	-25	16	15
86.93 Outlays from current balances	106	79	73
86.97 Outlays from new permanent authority	69	57	71
87.00 Total outlays (gross)	150	152	159

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-69	-57	-71

Net budget authority and outlays:

89.00 Budget authority	65	96	87
90.00 Outlays	81	95	88

This activity supports research and information dissemination on health care services and technology, medical effectiveness, and patient outcomes.

Object Classification (in millions of dollars)

Identification code 75-1700-0-1-552	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	6	12	13
11.3 Other than full-time permanent	1	1	2
11.5 Other personnel compensation		1	
11.9 Total personnel compensation	7	14	15
12.1 Civilian personnel benefits	1	4	4
23.1 Rental payments to GSA	1	3	3
23.3 Communications, utilities, and miscellaneous charges	1	1	1
24.0 Printing and reproduction	1	2	2
25.2 Other services	2	4	4
25.3 Purchases of goods and services from Government accounts	1	2	2
25.5 Research and development contracts	11	11	30
31.0 Equipment		1	1
41.0 Grants, subsidies, and contributions	40	54	25
99.0 Subtotal, direct obligations	65	96	87
99.0 Reimbursable obligations	69	57	71
99.9 Total obligations	134	153	158

Personnel Summary

Identification code 75-1700-0-1-552	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	104	197	197
1005 Full-time equivalent of overtime and holiday hours	1	1	1
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	148	55	55

HEALTH CARE FINANCING ADMINISTRATION**Federal Funds****General and special funds:****GRANTS TO STATES FOR MEDICAID**

For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, [\$75,056,618,000] \$71,530,429,000, to remain available until expended.

For making, after May 31, [1997] 1998, payments to States under title XIX of the Social Security Act for the last quarter of fiscal year [1997] 1998 for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

For making payments to States under title XIX of the Social Security Act for the first quarter of fiscal year [1998, \$27,988,993,000] 1999, \$27,800,689,000, to remain available until expended.

Payment under title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-0512-0-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Medicaid vendor payments	88,642	93,346	99,144
00.02 State and local administration	3,881	4,634	4,875
00.03 Vaccine purchases	204	523	365
10.00 Total obligations (object class 41.0)	92,728	98,503	104,384
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	12,740	2,155	4,864
22.00 New budget authority (gross)	82,142	101,212	99,519
23.90 Total budgetary resources available for obligation	94,882	103,367	104,384
23.95 New obligations	-92,728	-98,503	-104,384
24.40 Unobligated balance available, end of year:			
Uninvested balance	2,155	4,864	
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	55,094	75,057	71,530
Permanent:			
65.00 Advance appropriation (definite)	27,048	26,155	27,989
70.00 Total new budget authority (gross)	82,142	101,212	99,519
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	4,606	5,344	5,344
73.10 New obligations	92,728	98,503	104,384
73.20 Total outlays (gross)	-91,990	-98,503	-104,384
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	5,344	5,344	5,344
Outlays (gross), detail:			
86.90 Outlays from new current authority	47,596	64,849	66,187
86.93 Outlays from current balances	17,346	7,499	10,208
86.97 Outlays from new permanent authority	27,048	26,155	27,989
87.00 Total outlays (gross)	91,990	98,503	104,384
Net budget authority and outlays:			
89.00 Budget authority	82,142	101,212	99,519
90.00 Outlays	91,990	98,503	104,384

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1996 actual	1997 est.	1998 est.
Budget Authority	82,142	101,212	99,519
Outlays	91,990	98,503	104,384
Legislative proposal, subject to PAYGO:			
Budget Authority			1,456
Outlays		39	1,417
Total:			
Budget Authority	82,142	101,212	100,975
Outlays	91,990	98,542	105,801

Medicaid assists States in providing medical care to their low-income population by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Current and projected vaccine excise tax receipts to the Vaccine Injury Compensation Program Trust Fund far exceed current and projected claims to the Trust Fund. The Budget proposes lowering revenue to the Trust Fund by exempting Federal programs (which provide free vaccine to low-income, uninsured, and underinsured children) from payment of the vaccine excise tax in FY 1998. Exempting these programs will result in Federal savings to the Grants to States for Medicaid account.

GRANTS TO STATES FOR MEDICAID

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0512-4-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)		39	1,417
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance			-39
22.00 New budget authority (gross)			1,456
23.90 Total budgetary resources available for obligation			1,417
23.95 New obligations		-39	-1,417
24.40 Unobligated balance available, end of year:			
Uninvested balance		-39	
New budget authority (gross), detail:			
40.00 Appropriation			1,456
Change in unpaid obligations:			
73.10 New obligations		39	1,417
73.20 Total outlays (gross)		-39	-1,417
Outlays (gross), detail:			
86.90 Outlays from new current authority		39	1,456
86.93 Outlays from current balances			-39
87.00 Total outlays (gross)		39	1,417
Net budget authority and outlays:			
89.00 Budget authority			1,456
90.00 Outlays		39	1,417

This schedule reflects the effects of Medicaid, Medicare, welfare, children's health, and other mandatory proposals included in the Budget on the Grants to States for Medicaid account.

PAYMENTS TO HEALTH CARE TRUST FUNDS

For payment to the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as provided under sections 217(g) and 1844 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d) of Public Law 97-248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, [\$60,079,000,000] \$63,581,000,000. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-0580-0-1-571	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Supplementary medical insurance (SMI)	61,702	59,354	63,416
00.02 Hospital insurance for uninsured (HI)	358	405	-52
00.03 Federal uninsured payment (HI)	61	76	86
00.04 Program management (HI)	145	142	131

00.06	Federal payments from taxation of OASDI benefits (HI)	4,069	4,163	4,351
00.07	SECA tax credits (HI)	-10		
00.08	Fraud and abuse control, FBI		47	56
00.10	Fraud and abuse control, criminal fines		15	15
00.11	Fraud and abuse control, civil monetary penalties		218	237
10.00	Total obligations	66,325	64,420	68,240
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	67,372	64,522	68,240
22.30	Unobligated balance expiring	-1,047	-102	
23.90	Total budgetary resources available for obligation	66,325	64,420	68,240
23.95	New obligations	-66,325	-64,420	-68,240
New budget authority (gross), detail:				
Current:				
40.00	Appropriation	63,313	60,079	63,581
Permanent:				
60.05	Appropriation (indefinite)	4,059	4,443	4,659
70.00	Total new budget authority (gross)	67,372	64,522	68,240
Change in unpaid obligations:				
73.10	New obligations	66,325	64,420	68,240
73.20	Total outlays (gross)	-66,325	-64,420	-68,240
Outlays (gross), detail:				
86.90	Outlays from new current authority	62,266	59,977	63,581
86.97	Outlays from new permanent authority	4,059	4,443	4,659
87.00	Total outlays (gross)	66,325	64,420	68,240
Net budget authority and outlays:				
89.00	Budget authority	67,372	64,522	68,240
90.00	Outlays	66,325	64,420	68,240

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1996 actual	1997 est.	1998 est.
Budget Authority	67,372	64,522	68,240
Outlays	66,325	64,420	68,240
Legislative proposal, not subject to PAYGO:			
Budget Authority			14,668
Outlays			14,668
Total:			
Budget Authority	67,372	64,522	82,908
Outlays	66,325	64,420	82,908

Payments are made (1) to the Federal Supplementary Medical Insurance Trust Fund; and (2) to the Federal Hospital Insurance Trust Fund for individuals aged 65 and over who meet certain transitional insured status requirements, and for civil service employees and annuitants who worked before 1983 and who were employed during January 1983. Beginning in 1992, amounts are included for non-Medicare activities of HCFA Program Management.

Object Classification (in millions of dollars)

Identification code 75-0580-0-1-571	1996 actual	1997 est.	1998 est.
41.0 Grants, subsidies, and contributions	65,761	63,797	68,075
42.0 Insurance claims and indemnities	419	481	34
92.0 Undistributed	145	142	131
99.9 Total obligations	66,325	64,420	68,240

PAYMENTS TO HEALTH CARE TRUST FUNDS
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 75-0580-2-1-571	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)			14,668

Budgetary resources available for obligation:			
22.00	New budget authority (gross)		14,668
23.95	New obligations		-14,668
New budget authority (gross), detail:			
40.00	Appropriation		14,668
Change in unpaid obligations:			
73.10	New obligations		14,668
73.20	Total outlays (gross)		-14,668
Outlays (gross), detail:			
86.90	Outlays from new current authority		14,668
Net budget authority and outlays:			
89.00	Budget authority		14,668
90.00	Outlays		14,668

This schedule reflects the effects of the Medicare reform proposals contained in the Administration's balanced budget proposal on the Payments to Health Care Trust Funds account.

PROGRAM MANAGEMENT

For carrying out, except as otherwise provided, titles XI, XVIII, and XIX of the Social Security Act, title XIII of the Public Health Service Act, and the Clinical Laboratory Improvement Amendments of 1988, not to exceed **[\$1,735,125,000]** \$1,774,500,000, to be transferred from the Federal Hospital Insurance and the Federal Supplementary Medical Insurance Trust Funds, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the Public Health Service Act, the latter funds to remain available until expended, together with such sums as may be collected from authorized user fees and the sale of data, which shall remain available until expended: *Provided*, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the Public Health Service Act are to be credited to and available for carrying out the purposes of this appropriation. (*Department of Health and Human Services Appropriations Act, 1997.*)

Unavailable Collections (in millions of dollars)

Identification code 75-0511-0-1-550	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Survey and certification fees, HCFA, legislative proposal			10
Appropriation:			
05.01 Program management, legislative proposal			-10
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-0511-0-1-550	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct program:			
00.01 Research, demonstrations, and evaluation projects	53	44	45
00.02 Medicare contractors	1,555	1,207	1,223
00.04 State certification	145	158	148
00.05 Administrative costs	325	325	359
00.91 Total direct program	2,078	1,734	1,775
Reimbursable program:			
08.01 CLIA	29	43	43
08.02 Other reimbursements	4	2	2
08.91 Total reimbursable program	33	45	45
10.00 Total obligations	2,111	1,779	1,820
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	15	17	17
22.00 New budget authority (gross)	2,159	1,779	1,820
22.30 Unobligated balance expiring	-46		
23.90 Total budgetary resources available for obligation	2,128	1,796	1,837

General and special funds—Continued**PROGRAM MANAGEMENT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 75-0511-0-1-550	1996 actual	1997 est.	1998 est.
23.95 New obligations	-2,111	-1,779	-1,820
24.40 Unobligated balance available, end of year:			
Uninvested balance	17	17	17
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	2,159	1,779	1,820
	2,159	1,779	1,820
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	445	452	452
73.10 New obligations	2,111	1,779	1,820
73.20 Total outlays (gross)	-2,116	-1,779	-1,820
73.40 Adjustments in expired accounts	12		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	452	452	452
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	2,116	1,779	1,820
86.98 Outlays from permanent balances			
87.00 Total outlays (gross)	2,116	1,779	1,820
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2,124	-1,734	-1,775
88.40 Non-Federal sources	-35	-45	-45
88.90 Total, offsetting collections (cash)	-2,159	-1,779	-1,820
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-43		

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1996 actual	1997 est.	1998 est.
Budget Authority			
Outlays	-43		
Legislative proposal, subject to PAYGO:			
Budget Authority			2,610
Outlays			2,523
Total:			
Budget Authority			2,610
Outlays	-43		2,523

Program management activities include funding for research, Medicare contractors, survey and certification, CLIA, and administrative costs.

Object Classification (in millions of dollars)

Identification code 75-0511-0-1-550	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent	216	228	239
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	1	2	2
11.9 Total personnel compensation	222	235	246
12.1 Civilian personnel benefits	38	41	47
21.0 Travel and transportation of persons	5	3	5
23.1 Rental payments to GSA	16	23	31
23.3 Communications, utilities, and miscellaneous charges	7	4	8
24.0 Printing and reproduction	5	3	6
25.1 Advisory and assistance services	34	27	29
25.2 Other services	274	225	213
25.6 Medical care	1,466	1,205	1,221
26.0 Supplies and materials	3	1	1
31.0 Equipment	13		6
41.0 Grants, subsidies, and contributions	28	12	6
99.5 Below reporting threshold			1
99.9 Total obligations	2,111	1,779	1,820

Personnel Summary

Identification code 75-0511-0-1-550	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment	4,081	4,085	4,085
1005 Full-time equivalent of overtime and holiday hours	9	9	9

PROGRAM MANAGEMENT**(Legislative proposal, subject to PAYGO)****Program and Financing (in millions of dollars)**

Identification code 75-0511-4-1-550	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Healthy working families grants			1,738
00.02 Grants for health insurance cooperatives			25
00.03 Healthy kids State partnership grants			750
00.04 Survey and certification user fee			10
10.00 Total obligations			2,523
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance			
22.00 New budget authority (gross)			2,610
23.90 Total budgetary resources available for obligation			2,610
23.95 New obligations			-2,523
24.40 Unobligated balance available, end of year:			
Uninvested balance			87
New budget authority (gross), detail:			
60.00 Appropriation			2,600
60.25 Appropriation (special fund, indefinite)			10
63.00 Appropriation (total)			2,610
70.00 Total new budget authority (gross)			2,610
Change in unpaid obligations:			
73.10 New obligations			2,523
73.20 Total outlays (gross)			-2,523
Outlays (gross), detail:			
86.97 Outlays from new permanent authority			2,523
Net budget authority and outlays:			
89.00 Budget authority			2,610
90.00 Outlays			2,523

This schedule reflects the effects on the Program Management account of the Administration's proposals to: (1) create a four year demonstration called "Healthy Working Families" to finance health insurance for the temporarily unemployed, (2) create a State grant program to foster the development of purchasing cooperatives, (3) create a State grant demonstration program called "Healthy Kids" to support State efforts to expand insurance options for uninsured children, and (4) require States to collect and retain fees from providers to cover the cost of initial surveys required for participation in the Medicare program.

Object Classification (in millions of dollars)

Identification code 75-0511-4-1-550	1996 actual	1997 est.	1998 est.
25.2 Other services			10
41.0 Grants, subsidies, and contributions			2,513
99.9 Total obligations			2,523

HEALTH MAINTENANCE ORGANIZATION LOAN AND LOAN GUARANTEE FUND

For carrying out subsections (d) and (e) of section 1308 of the Public Health Service Act, any amounts received by the Secretary

in connection with loans and loan guarantees under title XIII of the Public Health Service Act, to be available without fiscal year limitation for the payment of outstanding obligations. During fiscal year [1997] 1998, no commitments for direct loans or loan guarantees shall be made. (*Department of Health and Human Services Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-4420-0-3-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.05 Interest payments to FFB	1	1	
10.00 Total obligations (object class 43.0)	1	1	
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	11	11	10
22.00 New budget authority (gross)	4	2	2
22.60 Redemption of debt	-3	-2	-2
23.90 Total budgetary resources available for obligation	12	11	10
23.95 New obligations	-1	-1	
24.90 Unobligated balance available, end of year: Fund balance	11	10	10
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	4	2	2
Change in unpaid obligations:			
73.10 New obligations	1	1	
73.20 Total outlays (gross)	-1	-1	-1
Outlays (gross), detail:			
86.93 Outlays from current balances	1	1	1
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Non-Federal sources:			
88.40 Interest	-1		
88.40 Other	-3	-2	-2
88.90 Total, offsetting collections (cash)	-4	-2	-2
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-3	-1	-1

Trust Funds**FEDERAL HOSPITAL INSURANCE TRUST FUND**

Unavailable Collections (in millions of dollars)

Identification code 20-8005-0-7-571	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year	114,830	106,229	96,363
Receipts:			
02.01 Transfers from general fund (FICA taxes)	97,866	102,064	105,744
02.02 Receipts from Railroad Retirement Board	362	366	367
02.03 Transfers from general fund (SECA taxes)	6,752	6,750	8,056
02.04 Federal employer contributions (FICA)	1,787	1,805	1,943
02.05 Postal service employer contributions (FICA)	522	595	618
02.06 Refunds	17		
02.07 Interest received by trust funds	10,350	9,914	8,855
02.08 Other proprietary receipts from the public	1		
02.09 Interest received by trust funds, legislative proposal			302
02.10 Taxation on OASDI benefits	4,069	4,163	4,351
02.11 Premiums collected for uninsured individuals not otherwise eligible, legislative proposal			-180
02.12 Interest payments by Railroad Retirement Board	39	35	35
02.13 Payments from the general fund (uninsured and program management)	554	623	165
02.14 Payments for military service credits	73	70	64
02.15 Premiums collected for uninsured individuals not otherwise eligible	1,107	1,265	1,385
02.16 Payment from the general fund		47	56
02.17 Criminal fines, transfers from the general fund		15	15
02.18 Civil monetary penalties, transfers from the general fund		218	237

02.20 Civil penalties and damages		50	50
02.99 Total receipts	123,499	127,980	132,063
04.00 Total: Balances and collections	238,329	234,209	228,426
Appropriation:			
05.01 Federal hospital insurance trust fund	-132,100	-137,259	-148,484
05.02 Health care fraud and abuse control account		-591	-676
05.04 Federal hospital insurance trust fund, legislative proposal subject to PAYGO			19,410
05.99 Subtotal appropriation	-132,100	-137,850	-129,750
06.20 Reduction pursuant to Public Law 104-208		4	
07.99 Total balance, end of year	106,229	96,363	98,676

Program and Financing (in millions of dollars)

Identification code 20-8005-0-7-571	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Benefit payments, HI	128,003	136,021	147,171
00.02 Administration, HI	1,172	1,123	1,218
00.03 Peer review organizations, HI	521	80	63
00.04 Research, HI	38	31	31
00.05 Quinquennial adjustment for military service credits	2,366		
10.00 Total obligations	132,100	137,255	148,484
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	132,100	137,255	148,484
23.95 New obligations	-132,100	-137,255	-148,484
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	1,169	1,114	1,209
Permanent:			
60.27 Appropriation (trust fund, indefinite)	122,330	126,866	130,732
60.28 Appropriation (unavailable balances)	8,601	9,279	16,543
60.75 Reduction pursuant to P.L. 104-208		-4	
63.00 Appropriation (total)	130,931	136,141	147,274
70.00 Total new budget authority (gross)	132,100	137,255	148,484
Change in unpaid obligations:			
72.41 Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value	14,691	19,107	18,890
73.10 New obligations	132,100	137,255	148,484
73.20 Total outlays (gross)	-127,683	-137,471	-148,686
74.41 Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value	19,107	18,890	18,688

Outlays (gross), detail:			
86.90 Outlays from new current authority	1,098	1,045	1,140
86.93 Outlays from current balances	130	148	113
86.97 Outlays from new permanent authority	111,894	117,319	128,656
86.98 Outlays from permanent balances	14,561	18,959	18,777
87.00 Total outlays (gross)	127,683	137,471	148,686

Net budget authority and outlays:			
89.00 Budget authority	132,100	137,255	148,484
90.00 Outlays	127,683	137,471	148,686

Summary of Budget Authority and Outlays

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Enacted/requested:			
Budget Authority	132,100	137,255	148,483
Outlays	127,683	137,471	148,686
Legislative proposal, subject to PAYGO:			
Budget Authority			-19,410
Outlays			-19,410
Total:			
Budget Authority	132,100	137,255	129,073
Outlays	127,683	137,471	129,276

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows:

FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

Status of Funds (in millions of dollars)

Identification code 20-8005-0-7-571	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Treasury balance	-344	-467	1
0101 U.S. Securities: Par value	129,864	125,803	115,253
0199 Total balance, start of year	129,520	125,336	115,254
Cash income during the year:			
Governmental receipts:			
0200 FHI Trust Fund, Transfers from general fund (FICA taxes)	97,865	102,064	105,744
0201 FHI Trust Fund, Transfers from general fund (SECA taxes)	6,752	6,750	8,056
0202 Refunds	17		
0205 FHI Trust Fund, Receipts from Railroad Retirement Board	362	366	367
0206 HCFAC: Civil Penalties and Damages		50	50
Proprietary receipts:			
0221 FHI Trust Fund, Premiums collected for uninsured individuals not otherwise eligible	1,107	1,265	1,385
0222 FHI Trust Fund, Premiums collected for uninsured individuals not otherwise eligible, proposed legislation			-180
0223 Other proprietary receipts	1		
Intragovernmental transactions:			
0240 FHI Trust Fund, Federal employer contributions (FICA)	1,787	1,805	1,943
0241 FHI Trust Fund, Postal service employer contributions (FICA)	522	595	618
0242 FHI Trust Fund, Federal payment for transitional coverage for uninsured Federal employees	61	76	86
0243 FHI Trust Fund, Federal payment for transitional coverage for the uninsured	358	405	-52
0244 FHI Trust Fund, Federal payment for SECA tax credits	-10		
0245 FHI Trust Fund, General Fund transfer, Program management (HI)	145	142	131
0246 FHI Trust Fund, Federal payments for OASDI taxes	4,069	4,163	4,351
0248 FHI Trust fund, Interest payment from Railroad Retirement Board	39	35	35
0249 FHI Trust Fund, Interest on investments	10,350	9,914	8,855
0250 FHI Trust Fund, Interest on investments			302
0251 FHI Trust Fund, Transfer from Department of Defense for military service credits	73	70	64
0252 HCFAC: FBI		47	56
0253 HCFAC: Criminal Fines		15	15
0254 HCFAC: Civil Monetary Penalties		218	237
0297 Income under present law	123,499	127,980	131,941
0298 Income under proposed legislation			122
0299 Total cash income	123,499	127,980	132,063
Cash outgo during year:			
0500 Benefit payments	-123,908	-136,022	-147,171
0501 Benefit payments (proposed legislation)			19,410
0502 Administration	-1,178	-1,139	-1,215
0504 Peer review organizations	-181	-257	-262
0505 Research	-50	-54	-38
0506 HCFAC		-591	-676
0507 Quinquennial Adjustment for Military Service Credits	-2,366		
0597 Outgo under present law (-)	-127,683	-138,062	-149,362
0598 Outgo under proposed legislation (-)			19,410
0599 Total cash outgo (-)	-127,683	-138,062	-129,952
Unexpended balance, end of year:			
0700 Uninvested balance	-467	1	1
0701 U.S. Securities: Par value	125,803	115,253	117,364
0799 Total balance, end of year	125,336	115,254	117,365

Object Classification (in millions of dollars)

Identification code 20-8005-0-7-571	1996 actual	1997 est.	1998 est.
25.3 Purchases of goods and services from Government accounts	673	603	618
Grants, subsidies, and contributions:			
41.0 Payment for health insurance experiments and demonstration projects	38	31	31
41.0 Payment for peer review organization (PRO) activities	521	80	63
42.0 Insurance claims and indemnities	128,003	136,021	147,171

92.0	Quinquennial Adjustment for Military Service Credits	2,366		
92.0	Reimbursement for administrative expenses for other than SSA LAE	41	40	40
93.0	Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration	458	481	560
99.5	Below reporting threshold		-1	1
99.9	Total obligations	132,100	137,255	148,484

The estimate of administrative expenses borne by each of the financing sources, including the Hospital Insurance (HI) and Supplemental Medical Insurance (SMI) trust funds for Medicare-related activities performed by the Social Security Administration (SSA), has been made by SSA based on the current cost allocation methodology. Pursuant to section 201(g) of the Social Security Act, a final accounting of actual payments is made after the close of each fiscal year. In the Conference Committee Report for Public Law 103-296, The Social Security Independence and Program Improvements Act of 1994, the conferees requested that the Secretary of Health and Human Services and the Commissioner of Social Security make a joint examination of the most appropriate cost allocation methodology and report their joint findings to the Congress within 36 months of enactment. The Secretary and the Commissioner are in the process of designing and carrying out this study and preparing the required report to Congress.

FEDERAL HOSPITAL INSURANCE TRUST FUND
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8005-4-7-571	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Benefit payments, HI			-19,410
10.00 Total obligations (object class 42.0)			-19,410
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			-19,410
23.95 New obligations			19,410
New budget authority (gross), detail:			
60.28 Appropriation (unavailable balances)			-19,410
Change in unpaid obligations:			
73.10 New obligations			-19,410
73.20 Total outlays (gross)			19,410
Outlays (gross), detail:			
86.97 Outlays from new permanent authority			-19,410
Net budget authority and outlays:			
89.00 Budget authority			-19,410
90.00 Outlays			-19,410

This schedule reflects the effects of the Medicare reform proposals contained in the Administration's balanced budget proposal on the Hospital Insurance Trust Fund.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT
(FEDERAL HOSPITAL INSURANCE TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 75-8393-0-7-571	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Medicare integrity program		440	500
00.02 FBI fraud and abuse control		47	56
00.03 Other fraud and abuse control		104	120
10.00 Total obligations		591	676

Budgetary resources available for obligation:			
22.00	New budget authority (gross)	591	676
23.95	New obligations	-591	-676
New budget authority (gross), detail:			
60.26	Appropriation (trust fund, definite)	591	676
Change in unpaid obligations:			
73.10	New obligations	591	676
73.20	Total outlays (gross)	-591	-676
Outlays (gross), detail:			
86.97	Outlays from new permanent authority	591	676
Net budget authority and outlays:			
89.00	Budget authority	591	676
90.00	Outlays	591	676

The Health Care Fraud and Abuse Control (HCFAC) Account was established by Sec. 201 of Public Law 104-191, the Health Insurance Portability and Accountability Act of 1996. P.L. 104-191 established the HCFAC Account within the Federal Hospital Insurance Trust Fund and appropriated funds, to be available without further appropriation, from the Trust Fund to the HCFAC Account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

Object Classification (in millions of dollars)

Identification code 75-8393-0-7-571	1996 actual	1997 est.	1998 est.
Direct obligations:			
25.6	Medical care (HCFA)	440	500
92.0	Undistributed (FBI)	47	56
99.0	Subtotal, direct obligations	487	556
Allocation Account:			
Personnel compensation:			
Full-time permanent:			
11.1	Full-time permanent (OIG)	43	50
11.1	Full-time permanent (DoJ)	7	9
11.1	Full-time permanent (OGC)	1	1
11.9	Total personnel compensation	51	60
Civilian personnel benefits:			
12.1	Civilian personnel benefits (OIG)	9	10
12.1	Civilian personnel benefits (DoJ)	2	3
Travel and transportation of persons:			
21.0	Travel and transportation of persons (OIG)	3	3
21.0	Travel and transportation of persons (DoJ)	1	1
21.0	Travel and transportation of persons (OGC)	1	1
Rental payments to GSA:			
23.1	Rental payments to GSA (OIG)	4	5
23.1	Rental payments to GSA (DoJ)	1	1
Communications, utilities, and miscellaneous charges:			
23.3	Communications, utilities, and miscellaneous charges (OIG)	1	1
23.3	Communications, utilities, and miscellaneous charges (DoJ)	1	1
Advisory and assistance services:			
25.1	Advisory and assistance services (OIG)	1	1
25.1	Advisory and assistance services (HCFA)	2	2
Other services:			
25.2	Other services (OIG)	1	2
25.2	Other services (DoJ)	9	10
25.2	Other services (AoA)	1	1
25.2	Other services (Adverse Action Data Bank)	2	2
25.2	Other services (Other non-HHS, non-DoJ)	3	4
25.3	Purchases of goods and services from Government accounts (OIG)	6	7
Supplies and materials:			
26.0	Supplies and materials (OIG)	1	1
26.0	Supplies and materials (DoJ)	1	1
Equipment:			
31.0	Equipment (OIG)	1	1
31.0	Equipment (DoJ)	2	2
99.0	Subtotal, allocation account	104	120
99.9	Total obligations	591	676

This schedule reflects estimated distribution of the Allocation Account. Actual FY 1998 distribution will be determined by the Secretary of HHS and the Attorney General.

	1996 actual	1997 est.	1998 est.
Department of Justice (DOJ)	24	24	28
Office of the Inspector General, HHS	70	70	81
Health Care Financing Administration	2	2	2
Office of General Counsel, HHS	2	2	2
Administration on Aging	1	1	1
Other HHS	2	2	2
Other non-HHS/DOJ	3	3	4
Total	104	104	120

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8004-0-7-571	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99	Balance, start of year	8,848	21,963
Receipts:			
02.01	Federal contributions	61,702	59,354
02.02	Interest received by trust fund	1,388	1,440
02.03	Other proprietary receipts from the public	4	
02.05	Premiums collected for the aged	16,858	16,779
02.06	Premiums collected for the disabled	2,073	2,249
02.07	Federal contributions, proposed legislation		14,668
02.09	Premiums collected for the aged, proposed legislation		-30
02.10	Premiums collected for the disabled, proposed legislation		-1
02.99	Total receipts	82,025	79,822
04.00	Total: Balances and collections	90,873	101,785
Appropriation:			
05.01	Federal supplementary medical insurance trust fund	-68,910	-76,416
05.03	Federal supplementary medical insurance trust fund, proposed legislation		-14,889
05.99	Subtotal appropriation	-68,910	-76,416
07.99	Total balance, end of year	21,963	25,369

Program and Financing (in millions of dollars)

Identification code 20-8004-0-7-571	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01	Benefit payments, SMI	67,107	74,923
00.02	Administration, SMI	1,759	1,476
00.03	Peer review organizations, SMI	27	4
00.04	Research, SMI	17	13
10.00	Total obligations	68,910	76,416
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	68,910	76,416
23.95	New obligations	-68,910	-76,416
New budget authority (gross), detail:			
Current:			
40.26	Appropriation (trust fund, definite)	1,770	1,484
Permanent:			
60.27	Appropriation (trust fund, indefinite)	80,255	78,338
60.28	Appropriation (unavailable balances)	-13,115	-3,406
63.00	Appropriation (total)	67,139	74,931
70.00	Total new budget authority (gross)	68,910	76,416
Change in unpaid obligations:			
72.41	Unpaid obligations, start of year: Obligated balance:		
	U.S. Securities: Par value	5,027	4,991
73.10	New obligations	68,910	76,416
73.20	Total outlays (gross)	-68,946	-76,487
74.41	Unpaid obligations, end of year: Obligated balance:		
	U.S. Securities: Par value	4,991	4,919
Outlays (gross), detail:			
86.90	Outlays from new current authority	1,647	1,361
86.93	Outlays from current balances	123	189
86.97	Outlays from new permanent authority	62,272	70,135

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—
Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-8004-0-7-571	1996 actual	1997 est.	1998 est.
86.98 Outlays from permanent balances	4,904	4,802	4,795
87.00 Total outlays (gross)	68,946	76,487	84,015
Net budget authority and outlays:			
89.00 Budget authority	68,910	76,416	84,010
90.00 Outlays	68,946	76,487	84,015

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1996 actual	1997 est.	1998 est.
Budget Authority	68,909	76,415	84,009
Outlays	68,946	76,487	84,015
Legislative proposal, subject to PAYGO:			
Budget Authority			14,889
Outlays			14,889
Total:			
Budget Authority	68,909	76,415	98,898
Outlays	68,946	76,487	98,904

The Supplementary Medical Insurance (SMI) program is a voluntary program which affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8004-0-7-571	1996 actual	1997 est.	1998 est.
Unexpended balance, start of year:			
0100 Treasury balance	361	-222	1
0101 U.S. Securities: Par value	13,513	27,175	30,287
0199 Total balance, start of year	13,874	26,953	30,288
Cash income during the year:			
Proprietary receipts:			
0221 Premiums collected for the aged, FSMI Fund	16,858	16,779	18,060
0222 Premiums collected for the aged, FSMI Fund, proposed legislation			-30
Proprietary receipts:			
0223 Premiums collected for the disabled, FSMI Fund	2,073	2,249	2,538
0223 Premiums collected for the disabled, FSMI Fund, proposed legislation			-1
0224 Proprietary receipts	4		
Intragovernmental transactions:			
0240 Federal contributions, FSMI Fund	61,702	59,354	63,416
0241 Federal contributions, FSMI Fund, proposed legislation			14,668
0242 Interest received by trust fund, FSMI Fund	1,388	1,440	1,424
0297 Income under present law	82,025	79,822	85,438
0298 Income under proposed legislation			14,637
0299 Total cash income	82,025	79,822	100,075
Cash outgo during the year:			
Cash outgo during the year (-):			
0501 Benefit payments & ESRD	-67,167	-74,924	-82,457
0501 Benefit payments, proposed legislation			-14,889
0502 Administration	-1,747	-1,526	-1,527
0504 Peer review organizations	-10	-14	-14
0505 Research	-23	-24	-17
0597 Outgo under present law (-)	-68,946	-76,487	-84,015
0598 Outgo under proposed legislation (-)			-14,889
0599 Total cash outgo (-)	-68,946	-76,487	-98,904
Unexpended balance, end of year:			
0700 Treasury balance	-222	1	1
0701 U.S. Securities: Par value	27,175	30,287	31,458
0799 Total balance, end of year	26,953	30,288	31,459

Object Classification (in millions of dollars)

Identification code 20-8004-0-7-571	1996 actual	1997 est.	1998 est.
25.3 Purchases of goods and services from Government accounts	1,405	1,110	1,133
Grants, subsidies, and contributions:			
41.0 Payment for peer review organization (PRO) activity	27	4	3
41.0 Payment for health insurance experiments and demonstration projects	17	13	14
42.0 Insurance claims and indemnities	67,107	74,923	82,456
93.0 Administrative expenses: Portion of limitation on salaries and expenses: Social Security Administration	354	365	404
99.9 Total obligations	68,910	76,416	84,010

The estimate of administrative expenses borne by each of the financing sources, including the Hospital Insurance (HI) and Supplemental Medical Insurance (SMI) trust funds for Medicare-related activities performed by the Social Security Administration (SSA), has been made by SSA based on the current cost allocation methodology. Pursuant to section 201(g) of the Social Security Act, a final accounting of actual payments is made after the close of each fiscal year. In the Conference Committee Report for Public Law 103-296, The Social Security Independence and Program Improvements Act of 1994, the conferees requested that the Secretary of Health and Human Services and the Commissioner of Social Security make a joint examination of the most appropriate cost allocation methodology and report their joint findings to the Congress within 36 months of enactment. The Secretary and the Commissioner are in the process of designing and carrying out this study and preparing the required report to Congress.

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-8004-4-7-571	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Benefit payments, SMI			14,889
10.00 Total obligations (object class 42.0)			14,889
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			14,889
23.95 New obligations			-14,889
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)			14,889
Change in unpaid obligations:			
73.10 New obligations			14,889
73.20 Total outlays (gross)			-14,889
Outlays (gross), detail:			
86.97 Outlays from new permanent authority			14,889
Net budget authority and outlays:			
89.00 Budget authority			14,889
90.00 Outlays			14,889

This schedule reflects the effects of the Medicare reform proposals contained in the Administration's balanced budget proposal on the Supplementary Medical Insurance Trust Fund.

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

ADMINISTRATION FOR CHILDREN AND FAMILIES**Federal Funds****General and special funds:***TEMPORARY ASSISTANCE FOR NEEDY FAMILIES*

Program and Financing (in millions of dollars)

Identification code 75-1552-0-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 State family assistance grant	111	13,535	16,489
00.02 Territories—family assistance grants		78	78
00.03 Matching grants to territories		11	11
00.05 Supplemental grants for population increases			87
00.07 Tribal work programs		8	8
00.91 Subtotal	111	13,632	16,673
Loan program:			
01.01 Loan fund disbursements		26	121
01.02 Loan fund repayments			-38
01.91 Total loan program		26	83
10.00 Total obligations (object class 41.0)	111	13,658	16,756
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	111	13,658	16,756
23.95 New obligations	-111	-13,658	-16,756
New budget authority (gross), detail:			
60.05 Appropriation (indefinite)	111	13,658	16,756
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation		111	1,369
73.10 New obligations	111	13,658	16,756
73.20 Total outlays (gross)		-12,365	-16,586
73.40 Adjustments in expired accounts		-35	
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	111	1,369	1,539
Outlays (gross), detail:			
86.97 Outlays from new permanent authority		12,295	15,422
86.98 Outlays from permanent balances		70	1,164
87.00 Total outlays (gross)		12,365	16,586
Net budget authority and outlays:			
89.00 Budget authority	111	13,658	16,756
90.00 Outlays		12,365	16,586

This account provides funding for activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193). The Temporary Assistance for Needy Families block grant provides funding to States for aid to poor families with children.

This account also includes Federal loans for State welfare programs, established by section 406 of the Social Security Act as amended. Administrative expenses for this activity are estimated to be \$300,000 in fiscal year 1998 and are funded through ACF Federal administration in the Children and Families Services Programs account.

CONTINGENCY FUND

Program and Financing (in millions of dollars)

Identification code 75-1522-0-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)		45	80
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		45	80
23.95 New obligations		-45	-80

New budget authority (gross), detail:		
60.00 Appropriation	45	80
Change in unpaid obligations:		
72.90 Unpaid obligations, start of year: Obligated balance:		
Fund balance		22
73.10 New obligations	45	80
73.20 Total outlays (gross)	-23	-96
74.90 Unpaid obligations, end of year: Obligated balance:		
Fund balance	22	6
Outlays (gross), detail:		
86.97 Outlays from new permanent authority	23	74
86.98 Outlays from permanent balances		22
87.00 Total outlays (gross)	23	96
Net budget authority and outlays:		
89.00 Budget authority	45	80
90.00 Outlays	23	96

The Contingency Fund for State Welfare Programs supplements the Temporary Assistance for Needy Families block grant by providing matching amounts to States that reach certain unemployment or Food Stamp caseload thresholds and maintain 100 percent of historical State spending on block-granted programs.

FAMILY SUPPORT PAYMENTS TO STATES

【For making payments of such sums as necessary to each State for carrying out the program of Aid to Families with Dependent Children under title IV-A of the Social Security Act in fiscal year 1997 before the effective date of the program of Temporary Assistance to Needy Families (TANF) with respect to such State: *Provided*, That the sum of the amounts available to a State with respect to expenditures under such title IV-A in fiscal year 1997 under this appropriation and under such title IV-A as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 shall not exceed the limitations under section 116(b) of such Act.】

【For making payments to States for carrying out title IV-A (other than section 402(g)(6)) of the Social Security Act in calendar quarters prior to October 1, 1996, such sums as may be necessary.】

【For making payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9), \$2,158,000,000 to remain available until expended.】

【For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act, for the last three months of the current year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.】

【For making payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960 (24 U.S.C. ch. 9) for the first quarter of fiscal year 1998, \$607,000,000 to remain available until expended.】
(Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-1501-0-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Aid to families with dependent children (AFDC) payments:			
Benefit payments:			
00.01 Properly issued payments	10,420	2,054	28
00.02 Erroneously issued payments	665		
00.03 Collection of excess State errors			-15
00.91 Subtotal, benefit payments	11,085	2,054	13
01.02 Payments to territories	27	27	27
01.03 Emergency assistance	1,546	743	233
01.04 Repatriation		1	1
01.05 AFDC day care	761	26	13
01.06 Transitional day care	303	11	5
01.07 At-risk day care	291		
01.08 State welfare administrative costs	1,531	235	8
01.91 Subtotal, other payments	4,459	1,043	287

General and special funds—Continued**FAMILY SUPPORT PAYMENTS TO STATES—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 75-1501-0-1-609		1996 actual	1997 est.	1998 est.
02.00	Subtotal, AFDC	15,544	3,097	300
	Child support enforcement (CSE):			
02.01	Gross Federal share of collections	-1,263		
02.02	Federal incentive payments to States	406	437	437
02.03	State child support administrative costs	1,984	2,335	2,386
02.04	Access and Visitation Grants		10	10
02.91	Subtotal, CSE	1,127	2,782	2,833
10.00	Total obligations (object class 41.0)	16,671	5,879	3,133
	Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year:			
	Uninvested balance	105	1,343	3,089
22.00	New budget authority (gross)	18,014	7,625	1,044
22.30	Unobligated balance expiring	-105		
23.90	Total budgetary resources available for obligation	18,014	8,968	4,133
23.95	New obligations	-16,671	-5,879	-3,133
24.40	Unobligated balance available, end of year:			
	Uninvested balance	1,343	3,089	1,000
	New budget authority (gross), detail:			
	Current:			
40.00	Appropriation	13,614	2,158	
	Permanent:			
65.00	Advance appropriation (definite)	4,400	4,800	607
68.00	Spending authority from offsetting collections: Off-			
	setting collections (cash)		667	437
70.00	Total new budget authority (gross)	18,014	7,625	1,044
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	1,690	1,691	475
73.10	New obligations	16,671	5,879	3,133
73.20	Total outlays (gross)	-16,670	-7,093	-3,461
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	1,691	475	147
	Outlays (gross), detail:			
86.90	Outlays from new current authority	10,592	1,158	
86.93	Outlays from current balances	1,678	2,754	519
86.97	Outlays from new permanent authority	4,400	3,181	1,044
86.98	Outlays from permanent balances			1,898
87.00	Total outlays (gross)	16,670	7,093	3,461
	Offsets:			
	Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal			
	sources		-667	-437
	Net budget authority and outlays:			
89.00	Budget authority	18,014	6,958	607
90.00	Outlays	16,670	6,426	3,024

States have transitioned to the new Temporary Assistance for Needy Families program more quickly than anticipated. As a result, unobligated balances remain in this account which are sufficient to cover expected State needs for child support enforcement activities through the first quarter of fiscal year 1999. It is anticipated that this account will return to its traditional appropriations cycle after then.

P.L. 104-193 modified the treatment of child support collections, making it a combination of offsetting collections and receipts rather than a reduction in obligations to States. The text table below shows the traditional display of child support enforcement costs:

Net Costs of Child Support Enforcement

(In millions of dollars)

	1996	1997	1998
Gross Federal share of collections	-1,263	-1,506	-1,469

Federal incentive payments to States	406	437	437
State child support administrative costs	1,984	2,335	2,386
Access and visitation grants		10	10
Subtotal	1,126	1,276	1,364

LOW INCOME HOME ENERGY ASSISTANCE

For making payments under title XXVI of the Omnibus Budget Reconciliation Act of 1981, **[\$1,000,000,000.] \$300,000,000: Provided, That these funds are hereby designated by Congress to be emergency requirements pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985: Provided further, That these funds shall be made available only after submission to Congress of a formal budget request by the President that includes designation of the entire amount of the request as an emergency requirement as defined in the Balanced Budget and Emergency Deficit Control Act.**

For making payments under title XXVI of [the Omnibus Budget Reconciliation] such Act [of 1981], \$1,000,000,000, to be available for obligation in the period October 1, [1997] 1998 through September 30, [1998] 1999. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-1502-0-1-609		1996 actual	1997 est.	1998 est.
	Obligations by program activity:			
10.00	Total obligations (object class 41.0)	1,080	1,005	1,000
	Budgetary resources available for obligation:			
22.00	New budget authority (gross)	1,080	1,005	1,000
23.95	New obligations	-1,080	-1,005	-1,000
	New budget authority (gross), detail:			
	Current:			
40.00	Appropriation	180	1,415	300
40.79	Contingent appropriation not available		-415	-300
43.00	Appropriation (total)	180	1,000	
	Permanent:			
65.00	Advance appropriation (definite)	900	5	1,000
70.00	Total new budget authority (gross)	1,080	1,005	1,000
	Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	346	358	267
73.10	New obligations	1,080	1,005	1,000
73.20	Total outlays (gross)	-1,067	-1,097	-996
73.40	Adjustments in expired accounts	-1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	358	267	271
	Outlays (gross), detail:			
86.90	Outlays from new current authority	135	750	
86.93	Outlays from current balances			230
86.97	Outlays from new permanent authority	627	5	750
86.98	Outlays from permanent balances	305	342	16
87.00	Total outlays (gross)	1,067	1,097	996
	Net budget authority and outlays:			
89.00	Budget authority	1,080	1,005	1,000
90.00	Outlays	1,067	1,097	996

This program makes grants to States and Indian tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers.

REFUGEE AND ENTRANT ASSISTANCE

For making payments for refugee and entrant assistance activities authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 (Public Law 96-422), **[\$412,076,000] \$392,332,000: Provided, That funds appropriated pursuant to section 414(a) of the Immigration and Nationality Act under Public Law [103-333] 104-134 for fiscal year [1995]**

1996 shall be available for the costs of assistance provided and other activities conducted in [such year and in] fiscal years [1996 and 1997] 1997 and 1998. (Department of Health and Human Services Appropriations Act, 1997.)

【REFUGEE RESETTLEMENT ASSISTANCE】

【For necessary expenses for the targeted assistance program authorized by title IV of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980 and administered by the Office of Refugee Resettlement of the Department of Health and Human Services, in addition to amounts otherwise available for such purposes, \$5,000,000】. (Foreign Operations, Export Financing, and Related Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-1503-0-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations	408	427	396
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	2	2	2
22.00 New budget authority (gross)	413	427	396
22.30 Unobligated balance expiring	-5		
23.90 Total budgetary resources available for obligation	410	429	398
23.95 New obligations	-408	-427	-396
24.40 Unobligated balance available, end of year:			
Uninvested balance	2	2	2
New budget authority (gross), detail:			
40.00 Appropriation	407	417	392
41.00 Transferred to other accounts	-4		
43.00 Appropriation (total)	403	417	392
50.00 Reappropriation	10	10	4
70.00 Total new budget authority (gross)	413	427	396
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	297	337	335
73.10 New obligations	408	427	396
73.20 Total outlays (gross)	-361	-429	-405
73.40 Adjustments in expired accounts	-7		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	337	335	326
Outlays (gross), detail:			
86.90 Outlays from new current authority	171	205	190
86.93 Outlays from current balances	190	224	215
87.00 Total outlays (gross)	361	429	405
Net budget authority and outlays:			
89.00 Budget authority	413	427	396
90.00 Outlays	361	427	404

States are subsidized for administering the refugee assistance program.

Object Classification (in millions of dollars)

Identification code 75-1503-0-1-609	1996 actual	1997 est.	1998 est.
25.1 Advisory and assistance services	2	2	2
25.3 Purchases of goods and services from Government accounts	4		
25.6 Medical care	3		
41.0 Grants, subsidies, and contributions	399	425	394
99.9 Total obligations	408	427	396

FAMILY PRESERVATION AND SUPPORT

For carrying out Section 430 of the Social Security Act, [\$240,000,000] \$255,000,000. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-1512-0-1-506	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Grants to States and Tribes	209	224	239
00.02 Training and technical assistance	6	6	6
00.03 State court assessment activities	10	10	10
10.00 Total obligations	225	240	255
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	225	240	255
23.95 New obligations	-225	-240	-255
New budget authority (gross), detail:			
40.00 Appropriation	225	240	255
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	171	270	324
73.10 New obligations	225	240	255
73.20 Total outlays (gross)	-126	-186	-227
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	270	324	352
Outlays (gross), detail:			
86.90 Outlays from new current authority	18	19	20
86.93 Outlays from current balances	108	167	207
87.00 Total outlays (gross)	126	186	227
Net budget authority and outlays:			
89.00 Budget authority	225	240	255
90.00 Outlays	126	186	227

Object Classification (in millions of dollars)

Identification code 75-1512-0-1-506	1996 actual	1997 est.	1998 est.
25.1 Advisory and assistance services	6	6	6
41.0 Grants, subsidies, and contributions	219	234	249
99.9 Total obligations	225	240	255

【JOB OPPORTUNITIES AND BASIC SKILLS】

【For carrying out aid to families with dependent children work programs, as authorized by part F of title IV of the Social Security Act, \$1,000,000,000.】 (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-1509-0-1-504	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	879	169	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	1,000	1,000	
22.30 Unobligated balance expiring	-121	-831	
23.90 Total budgetary resources available for obligation	879	169	
23.95 New obligations	-879	-169	
New budget authority (gross), detail:			
40.00 Appropriation	1,000	1,000	
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	314	254	99
73.10 New obligations	879	169	
73.20 Total outlays (gross)	-931	-324	-89
73.40 Adjustments in expired accounts	-7		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	254	99	10
Outlays (gross), detail:			
86.90 Outlays from new current authority	695	133	
86.93 Outlays from current balances	236	191	89

General and special funds—Continued**【JOB OPPORTUNITIES AND BASIC SKILLS】—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 75-1509-0-1-504	1996 actual	1997 est.	1998 est.
87.00 Total outlays (gross)	931	324	89
Net budget authority and outlays:			
89.00 Budget authority	1,000	1,000	
90.00 Outlays	931	324	89

This activity is replaced by Temporary Assistance for Needy Families.

STATE LEGALIZATION IMPACT-ASSISTANCE GRANTS

Program and Financing (in millions of dollars)

Identification code 75-1508-0-1-506	1996 actual	1997 est.	1998 est.
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation		2	
73.20 Total outlays (gross)	2	-2	
73.40 Adjustments in expired accounts	1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	2		
Outlays (gross), detail:			
86.93 Outlays from current balances		2	
86.98 Outlays from permanent balances	-2		
87.00 Total outlays (gross)	-2	2	
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-2	2	

CHILD CARE ENTITLEMENT TO STATES

Program and Financing (in millions of dollars)

Identification code 75-1550-0-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Mandatory child care		1,238	1,240
00.02 Matching child care		717	896
00.03 Training and technical assistance		5	5
10.00 Total obligations (object class 41.0)		1,960	2,141
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		1,967	2,175
22.30 Unobligated balance expiring		-7	-34
23.90 Total budgetary resources available for obligation		1,960	2,141
23.95 New obligations		-1,960	-2,141
New budget authority (gross), detail:			
Current:			
50.00 Reappropriation			108
Permanent:			
60.00 Appropriation		1,967	2,067
70.00 Total new budget authority (gross)		1,967	2,175
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation			368
73.10 New obligations		1,960	2,141
73.20 Total outlays (gross)		-1,592	-1,922
73.40 Adjustments in expired accounts			-108
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation		368	479
Outlays (gross), detail:			
86.97 Outlays from new permanent authority		1,592	1,666

86.98 Outlays from permanent balances			256
87.00 Total outlays (gross)		1,592	1,922
Net budget authority and outlays:			
89.00 Budget authority		1,967	2,175
90.00 Outlays		1,592	1,922

This account provides child care funding for welfare recipients and low-income working families established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193).

CHILD CARE AND DEVELOPMENT BLOCK GRANT

【(INCLUDING TRANSFER OF FUNDS)】

For carrying out sections 658A through 658R of the Omnibus Budget Reconciliation Act of 1981 (The Child Care and Development Block Grant Act of 1990), 【\$956,120,000, of which \$937,000,000 shall become available on October 1, 1997 and shall remain available through September 30, 1998】 in addition to amounts already appropriated for fiscal year 1998, \$63,000,000; and to become available on October 1, 1998 and remain available until September 30, 1999, \$1,000,000,000: Provided, That of funds appropriated for each of fiscal years 1998 and 1999, \$19,120,000 shall 【become】 be available 【for obligation on October 1, 1996】 for child care resource and referral and school-aged child care activities【, of which \$6,120,000 shall be derived from an amount that shall be transferred from the amount appropriated under section 452(j) of the Social Security Act (42 U.S.C. 652(j)) for fiscal year 1996 and remaining available for expenditure】. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-1515-0-1-609	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Block grant payments to States	932	19	997
00.02 Advisory and assistance services	3		3
10.00 Total obligations	935	19	1,000
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	935	19	1,000
23.95 New obligations	-935	-19	-1,000
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	935	13	63
42.00 Transferred from other accounts		6	
43.00 Appropriation (total)	935	19	63
Permanent:			
65.00 Advance appropriation (definite)			937
70.00 Total new budget authority (gross)	935	19	1,000
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	1,415	1,413	473
73.10 New obligations	935	19	1,000
73.20 Total outlays (gross)	-933	-959	-998
73.40 Adjustments in expired accounts	-4		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	1,413	473	475
Outlays (gross), detail:			
86.90 Outlays from new current authority		13	42
86.93 Outlays from current balances	933	946	328
86.97 Outlays from new permanent authority			628
87.00 Total outlays (gross)	933	959	998
Net budget authority and outlays:			
89.00 Budget authority	935	19	1,000
90.00 Outlays	933	959	998

This appropriation helps low-income families pay for child care and related services.

Object Classification (in millions of dollars)

Identification code 75-1515-0-1-609	1996 actual	1997 est.	1998 est.
25.1 Advisory and assistance services	2		3
41.0 Grants, subsidies, and contributions	932	19	997
99.5 Below reporting threshold	1		
99.9 Total obligations	935	19	1,000

SOCIAL SERVICES BLOCK GRANT

For making grants to States pursuant to section 2002 of the Social Security Act, [S2,500,000,000: *Provided*, That notwithstanding section 2003(c) of such Act, as amended, the amount specified for allocation under such section for fiscal year 1997 shall be \$2,500,000,000] \$2,380,000,000. (*Department of Health and Human Services Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-1534-0-1-506	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Block grant	2,381	2,500	2,380
00.02 Empowerment zone	360		
10.00 Total obligations (object class 41.0)	2,741	2,500	2,380
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	360		
22.00 New budget authority (gross)	2,381	2,500	2,380
23.90 Total budgetary resources available for obligation	2,741	2,500	2,380
23.95 New obligations	-2,741	-2,500	-2,380
New budget authority (gross), detail:			
40.00 Appropriation	2,381	2,500	2,380
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	962	1,217	1,023
73.10 New obligations	2,741	2,500	2,380
73.20 Total outlays (gross)	-2,484	-2,694	-2,621
73.40 Adjustments in expired accounts	-2		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	1,217	1,023	782
Outlays (gross), detail:			
86.90 Outlays from new current authority	2,203	2,250	2,142
86.93 Outlays from current balances	281	261	249
86.98 Outlays from permanent balances		183	230
87.00 Total outlays (gross)	2,484	2,694	2,621
Net budget authority and outlays:			
89.00 Budget authority	2,381	2,500	2,380
90.00 Outlays	2,484	2,694	2,621

Social services block grant.—The proposed level will support grants to States for social services to: (1) prevent, reduce, or eliminate dependency; (2) prevent neglect, abuse, or exploitation of children and adults; (3) prevent or reduce inappropriate institutional care; (4) secure admission or referral for institutional care when other forms of care are not appropriate; and (5) provide services to individuals in institutions.

CHILDREN AND FAMILIES SERVICES PROGRAMS

(INCLUDING RESCISSIONS)

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Developmental Disabilities Assistance and Bill of Rights Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, [the Temporary Child Care for Children with Disabilities and Crisis Nurseries Act of 1986.] *Adoption Initiative activities under title IV-E and IV-B of the Social Security Act*, section 429A, part B of title IV of the Social Security Act, section 413 of the Social Security Act, [the Family Violence Prevention and Services

Act,] the Native American Programs Act of 1974, title II of Public Law 95-266 (adoption opportunities), the Abandoned Infants Assistance Act of 1988, and part B(1) of title IV of the Social Security Act; for making payments under the Community Services Block Grant Act; and for necessary administrative expenses to carry out said Acts and titles I, IV, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960 (24 U.S.C. ch. 9), the Omnibus Budget Reconciliation Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, and section 126 and titles IV and V of Public Law 100-485, [S5,363,569,000], \$5,498,900,000 of which [S536,432,000] \$414,720,000 shall be for making payments under the Community Services Block Grant Act[: *Provided*, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: *Provided further*, That of the amount appropriated for fiscal year 1997 under section 672(a) of the Community Services Block Grant Act, the Secretary shall use up to one percent of the funds available to correct allocation errors that occurred in fiscal year 1995 and fiscal year 1996 to ensure that the minimum allotment to each State for each of fiscal years 1995 and 1996 would be \$2,222,460: *Provided further*, That no more than one-half of one percent of the funds available under section 672(a) shall be used for the purposes of section 674(a) of the Community Services Block Grant Act].

[In addition, \$20,000,000, to be derived from the Violent Crime Reduction Trust Fund, for carrying out sections 40155, 40211 and 40241 of Public Law 103-322.]

[Funds appropriated for fiscal year 1996 and fiscal year 1997 under section 429A(e), part B of title IV of the Social Security Act shall be reduced by \$6,000,000 in each such year.]

[Funds appropriated for fiscal year 1997 under section 413(h)(1) of the Social Security Act shall be reduced by \$15,000,000.] (*Department of Health and Human Services Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-1536-0-1-506	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Head Start	3,569	3,981	4,305
Social services programs:			
Children, youth, and families:			
01.03 Runaway and homeless youth	44	44	44
01.04 Runaway youth transitional living	15	15	15
01.07 Child abuse state grants	21	21	21
01.08 Child abuse discretionary grants	14	14	14
01.09 Community based resource centers	23	33	33
01.11 Abandoned infants assistance	12	12	12
01.13 Temporary child care and crisis nurseries	10		
01.14 Child welfare services	277	292	292
01.15 Child welfare training	2	4	4
01.17 Adoption opportunities	11	13	13
01.18 Adoption Initiative			21
01.19 Family violence grants	33	62	
01.20 Social services and income maintenance re- search		44	18
Developmental disabilities:			
01.23 State grants	65	65	65
01.24 Protection and advocacy	27	27	27
01.25 Special projects	5	5	5
01.26 University affiliated projects	17	17	17
01.27 Native american programs	35	35	35
01.91 Subtotal social services programs	611	703	636
02.01 ACF Federal administration	150	143	143
02.93 Total direct program	4,330	4,827	5,084
Community services programs:			
03.01 Community services block grants	390	490	415
03.03 Community food and nutrition	4	4	
03.04 Community services discretionary	41	43	
03.91 Subtotal, community services	435	537	415
04.01 Reimbursable program	7	11	11
10.00 Total obligations	4,772	5,375	5,510

Budgetary resources available for obligation:

21.40 Unobligated balance available, start of year:			
Uninvested balance	13	7	7

General and special funds—Continued**CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued****[(INCLUDING RESCISSIONS)]—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 75-1536-0-1-506	1996 actual	1997 est.	1998 est.
22.00 New budget authority (gross)	4,768	5,375	5,510
22.30 Unobligated balance expiring	-2		
23.90 Total budgetary resources available for obligation	4,779	5,382	5,517
23.95 New obligations	-4,772	-5,375	-5,510
24.40 Unobligated balance available, end of year: Uninvested balance	7	7	7
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	4,766	5,364	5,499
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1	11	11
68.10 Change in orders on hand from Federal sources	1		
68.90 Spending authority from offsetting collections (total)	2	11	11
70.00 Total new budget authority (gross)	4,768	5,375	5,510
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Obligated balance: Appropriation	3,308	3,225	3,523
72.95 Orders on hand from Federal sources		1	1
72.99 Total unpaid obligations, start of year	3,308	3,226	3,524
73.10 New obligations	4,772	5,375	5,510
73.20 Total outlays (gross)	-4,752	-5,078	-5,403
73.40 Adjustments in expired accounts	-103		
Unpaid obligations, end of year:			
74.40 Obligated balance: Appropriation	3,225	3,523	3,630
74.95 Orders on hand from Federal sources	1	1	1
74.99 Total unpaid obligations, end of year	3,226	3,524	3,631
Outlays (gross), detail:			
86.90 Outlays from new current authority	2,073	2,335	2,392
86.93 Outlays from current balances	2,678	2,732	3,000
86.97 Outlays from new permanent authority	1	11	11
87.00 Total outlays (gross)	4,752	5,078	5,403
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-11	-11
88.95 Change in orders on hand from Federal sources	-1		
Net budget authority and outlays:			
89.00 Budget authority	4,766	5,364	5,499
90.00 Outlays	4,750	5,067	5,392

Head Start.—The proposed level is consistent with the President's goal to serve 1 million children by 2002, and will enable Head Start projects to continue comprehensive early childhood development services.

Social Services.—The proposed level will continue to support State, local, and private efforts to provide developmental and other services for children and youth, grants to improve support networks for persons with developmental disabilities, and economic development assistance to Native American populations.

Object Classification (in millions of dollars)

Identification code 75-1536-0-1-506	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	96	93	93
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	97	94	94
12.1 Civilian personnel benefits	17	16	17
21.0 Travel and transportation of persons	3	3	1

23.1 Rental payments to GSA	13	12	12
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	1	2	1
25.1 Advisory and assistance services	69	77	85
25.2 Other services	2	2	2
25.3 Purchases of goods and services from Government accounts	12	13	13
25.7 Operation and maintenance of equipment	4	3	3
26.0 Supplies and materials	1		
31.0 Equipment	2	1	1
41.0 Grants, subsidies, and contributions	4,543	5,139	5,268
99.0 Subtotal, direct obligations	4,766	5,364	5,499
99.0 Reimbursable obligations	6	11	11
99.9 Total obligations	4,772	5,375	5,510

Personnel Summary

Identification code 75-1536-0-1-506	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment	1,732	1,669	1,627
1005 Full-time equivalent of overtime and holiday hours	2	2	2

Violent Crime Reduction Programs

For activities authorized by sections 30401, 40155, 40211, and 40241 of Public Law 103-322, \$99,000,000, to remain available until expended, which shall be derived from the Violent Crime Reduction Trust Fund, of which \$12,800,000 shall be for the Community Schools Youth Services and Supervision Grant Program; \$70,000,000 for Family Violence (including grants for Battered Women's Shelters); \$15,000,000 for Education and Prevention Grants to Reduce Sexual Abuse of Runaway, Homeless, and Street Youth; and \$1,200,000 for the National Domestic Violence Hotline.

Program and Financing (in millions of dollars)

Identification code 75-8605-0-1-754	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Family violence/battered women's shelters and do- mestic violence hotline	15	12	71
00.02 Education and prevention grants to reduce sexual abuse of runaway, homeless and street youth	6	8	15
00.03 Community schools program			13
10.00 Total obligations	21	20	99
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	21	20	99
23.95 New obligations	-21	-20	-99
New budget authority (gross), detail:			
42.00 Transferred from other accounts	21	20	99
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	11	21	24
73.10 New obligations	21	20	99
73.20 Total outlays (gross)	-11	-17	-35
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	21	24	88
Outlays (gross), detail:			
86.90 Outlays from new current authority	4	4	20
86.93 Outlays from current balances	7	13	15
87.00 Total outlays (gross)	11	17	35
Net budget authority and outlays:			
89.00 Budget authority	21	20	99
90.00 Outlays	11	17	35

Object Classification (in millions of dollars)

Identification code 75-8605-0-1-754	1996 actual	1997 est.	1998 est.
25.1 Advisory and assistance services		1	4
41.0 Grants, subsidies, and contributions	21	19	95

99.9 Total obligations 21 20 99

CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 75-1553-0-1-609		1996 actual	1997 est.	1998 est.
Obligations by program activity:				
00.01	Training and technical assistance		19	15
00.02	Federal parent locator service	24	25	30
00.03	Child welfare study			6
00.04	Welfare research			15
10.00	Total obligations (object class 41.0)	24	44	66
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance		12	
22.00	New budget authority (gross)	37	32	66
22.30	Unobligated balance expiring	-1		
23.90	Total budgetary resources available for obligation	36	44	66
23.95	New obligations	-24	-44	-66
24.40	Unobligated balance available, end of year:			
	Uninvested balance	12		
New budget authority (gross), detail:				
Current:				
41.00	Transferred to other accounts		-6	
Permanent:				
60.00	Appropriation	37	38	66
70.00	Total new budget authority (gross)	37	32	66
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation		24	27
73.10	New obligations	24	44	66
73.20	Total outlays (gross)		-41	-59
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	24	27	34
Outlays (gross), detail:				
86.97	Outlays from new permanent authority		29	35
86.98	Outlays from permanent balances		12	24
87.00	Total outlays (gross)		41	59
Net budget authority and outlays:				
89.00	Budget authority	37	32	66
90.00	Outlays		41	59

This provides funding for research and technical assistance activities established in P.L. 104-193. Amounts for child welfare study and welfare research are in addition to research amounts in the Children and Families Services Program account.

PAYMENTS TO STATES FOR FOSTER CARE AND ADOPTION ASSISTANCE

For making payments to States or other non-Federal entities, under title IV-E of the Social Security Act, **[\$4,445,031,000]** *\$3,200,000,000 of which \$200,000,000 shall be placed in reserve for use only in such amounts and in such times as may become necessary to make payments to States.*

For making payments to States or other non-Federal entities, under title IV-E of the Social Security Act, for the first quarter of fiscal year **[1998, \$1,111,000,000]** *1999, \$1,157,500,000.* (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-1545-0-1-506		1996 actual	1997 est.	1998 est.
Obligations by program activity:				
00.01	Foster care	3,148	3,319	3,340
00.02	Independent living	70	70	70
00.03	Adoption assistance	486	568	701

10.00 Total obligations (object class 41.0) 3,704 3,957 4,111

Budgetary resources available for obligation:				
22.00	New budget authority (gross)	4,322	4,445	4,311
22.30	Unobligated balance expiring	-618	-488	-200
23.90	Total budgetary resources available for obligation	3,704	3,957	4,111
23.95	New obligations	-3,704	-3,957	-4,111

New budget authority (gross), detail:				
Current:				
40.00	Appropriation	4,322	4,445	3,200
Permanent:				
65.00	Advance appropriation (definite)			1,111
70.00	Total new budget authority (gross)	4,322	4,445	4,311

Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	919	870	1,038
73.10	New obligations	3,704	3,957	4,111
73.20	Total outlays (gross)	-3,691	-3,789	-4,071
73.40	Adjustments in expired accounts	-62		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	870	1,038	1,078

Outlays (gross), detail:				
86.90	Outlays from new current authority	2,993	3,117	2,408
86.93	Outlays from current balances	698	672	796
86.97	Outlays from new permanent authority			867
87.00	Total outlays (gross)	3,691	3,789	4,071

Net budget authority and outlays:				
89.00	Budget authority	4,322	4,445	4,311
90.00	Outlays	3,691	3,789	4,071

Foster care.—The proposed level will support eligible low-income children who must be placed outside the home. An average of 300,000 children per month will be served in 1998.

Adoption assistance.—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 153,000 children per month will be served in 1998.

The budget includes funding for the adoption initiative that seeks to double by 2002 the number of children adopted or permanently placed from the foster care system each year. Incentive payments to States increasing adoptions would begin in 1999. Discretionary resources to support the initiative are included in the Children and Families Services Programs account.

ADMINISTRATION ON AGING

Federal Funds

General and special funds:

AGING SERVICES PROGRAMS

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965, as amended, **[\$830,168,000]** *\$838,168,000: Provided, That notwithstanding section 308(b)(1) of such Act, the amounts available to each State for administration of the State plan under title III of such Act shall be reduced not more than 5 percent below the amount that was available to such State for such purpose for fiscal year 1995: Provided further, That in considering grant applications for nutrition services for elder Indian recipients, the Assistant Secretary shall provide maximum flexibility to applicants who seek to take into account subsistence, local customs and other characteristics that are appropriate to the unique cultural, regional and geographic needs of the American Indian, Alaskan and Hawaiian native communities to be served.* (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-0142-0-1-506		1996 actual	1997 est.	1998 est.
Obligations by program activity:				
01.01	Supportive services and centers	301	301	292

General and special funds—Continued**AGING SERVICES PROGRAMS—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 75-0142-0-1-506	1996 actual	1997 est.	1998 est.
01.02 Preventive health	15	15	15
01.03 Grants for protection of vulnerable older Americans			9
01.05 Congregate meals	365	365	360
01.06 Home-delivered meals	105	105	110
01.07 In-home services	9	9	9
01.08 Grants to Indian tribes	16	16	16
01.09 Research, training and discretionary projects	3	4	4
01.10 Federal administration	15	15	15
01.13 Alzheimer's Initiative			8
01.15 DOL transfer for senior community service employment program			440
10.00 Total obligations	829	830	1,278
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	829	830	1,278
23.95 New obligations	-829	-830	-1,278
New budget authority (gross), detail:			
40.00 Appropriation	829	830	838
42.00 Transferred from other accounts			440
43.00 Appropriation (total)	829	830	1,278
70.00 Total new budget authority (gross)	829	830	1,278
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	215	223	201
73.10 New obligations	829	830	1,278
73.20 Total outlays (gross)	-818	-851	-914
73.40 Adjustments in expired accounts	-3		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	223	201	564
Outlays (gross), detail:			
86.90 Outlays from new current authority	647	645	732
86.93 Outlays from current balances	171	206	182
87.00 Total outlays (gross)	818	851	914
Net budget authority and outlays:			
89.00 Budget authority	829	830	1,278
90.00 Outlays	818	851	914

Administration on Aging.—The proposed level will continue to provide supportive and nutrition services, research, training and demonstration projects for the elderly.

Object Classification (in millions of dollars)

Identification code 75-0142-0-1-506	1996 actual	1997 est.	1998 est.
11.1 Personnel compensation: Full-time permanent	9	9	9
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	1	1
25.2 Other services	2	2	2
41.0 Grants, subsidies, and contributions	814	816	1,264
99.9 Total obligations	829	830	1,278

Personnel Summary

Identification code 75-0142-0-1-506	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment	149	150	150
1005 Full-time equivalent of overtime and holiday hours	1	1	1

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

DEPARTMENTAL MANAGEMENT**Federal Funds****General and special funds:****GENERAL DEPARTMENTAL MANAGEMENT**

For necessary expenses, not otherwise provided, for general departmental management, including hire of six sedans, and for carrying out titles III, XVII, and XX of the Public Health Service Act, and research studies under section 1110 of the Social Security Act, **[\$174,523,000] \$166,326,000**, together with \$5,851,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund: *Provided*, That of the funds made available under this heading for carrying out title XVII of the Public Health Service Act, \$11,500,000 shall be available until expended for extramural construction: *Provided further*, That notwithstanding section 2010 (b) and (c) under title XX of the Public Health Service Act, as amended, of the funds made available under this heading, \$10,879,000 shall be for activities specified under section 2003(b)(2) of title XX of the Public Health Service Act, as amended, and of which \$9,011,000 shall be for prevention grants under section 510(b)(2) of title V of the Social Security Act, as amended: *Provided further*, That of the amount provided, \$5,775,000 is designated by Congress as an emergency requirement pursuant to section 251(b)(2)(D)(i) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended. (*Department of Health and Human Services Appropriations Act, 1997.*)

OFFICE OF CONSUMER AFFAIRS

For necessary expenses of the Office of Consumer Affairs, including services authorized by 5 U.S.C. 3109[, but at rates for individuals not to exceed the per diem rate equivalent to the rate for GS-18, **\$1,500,000] \$1,800,000**. *Provided*, That the Office may accept and deposit to this account, during fiscal year 1998, gifts for the purpose of defraying its costs of printing, publishing, and distributing consumer information and educational materials; not to exceed **\$1,110,000** of total gift fund balances are available for those purposes, in addition to any amounts appropriated herein; and any balance shall remain available for such purposes to the extent provided in subsequent appropriations Acts: *Provided further*, That none of the funds provided under this heading may be made available for any other activities within the Department of Health and Human Services. (*Omnibus Consolidated Appropriations Act, 1997.*)

OFFICE FOR CIVIL RIGHTS

For expenses necessary for the Office for Civil Rights, **[\$16,216,000] \$17,216,000**, together with not to exceed \$3,314,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Hospital Insurance Trust Fund and the Supplemental Medical Insurance Trust Fund. (*Department of Health and Human Services Appropriations Act, 1997.*)

[POLICY RESEARCH]

[For carrying out, to the extent not otherwise provided, research studies under section 1110 of the Social Security Act and section 301(l) of Public Law 104-191, **\$18,500,000**: *Provided*, That \$9,500,000, to remain available until September 30, 1998, shall be for carrying out section 301(l) of Public Law 104-191]. (*Department of Health and Human Services Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-9912-0-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
Direct activities:			
00.01 General Departmental Management	139	180	172
00.02 U.S. Office of Consumer Affairs	2	2	2
00.03 Office for Civil Rights	20	19	21
00.04 Policy Research	9	19	
00.05 Emergency Supplement	25		
00.91 Total direct activities	195	220	195
01.01 Reimbursable activities	61	67	67
10.00 Total obligations	256	287	262

Budgetary resources available for obligation:

21.40 Unobligated balance available, start of year:			
Uninvested balance	16	26	26

22.00	New budget authority (gross)	267	287	262
23.90	Total budgetary resources available for obligation	283	313	288
23.95	New obligations	-256	-287	-262
24.40	Unobligated balance available, end of year: Uninvested balance	26	26	26
New budget authority (gross), detail:				
Current:				
40.00	Appropriation	188	210	185
42.00	Transferred from other accounts	2		
43.00	Appropriation (total)	190	210	185
Permanent:				
68.00	Spending authority from offsetting collections: Off- setting collections (cash)	77	77	77
70.00	Total new budget authority (gross)	267	287	262
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	108	153	139
73.10	New obligations	256	287	262
73.20	Total outlays (gross)	-223	-300	-270
73.40	Adjustments in expired accounts	12		
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	153	139	131
Outlays (gross), detail:				
86.90	Outlays from new current authority	101	106	100
86.93	Outlays from current balances	45	117	93
86.97	Outlays from new permanent authority	77	77	77
87.00	Total outlays (gross)	223	300	270
Offsets:				
88.00	Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources	-77	-77	-77
Net budget authority and outlays:				
89.00	Budget authority	190	210	185
90.00	Outlays	145	223	193

[Dollars in millions]

	1996 actual	1997 est.	1998 est.
Distribution of budget authority by account:			
General departmental management	140	174	166
Office of Consumer Affairs	2	2	2
Office for Civil Rights	16	16	17
Policy research	9	19	
Distribution of outlays by account:			
General departmental management	106	153	175
Office of Consumer Affairs	3	2	2
Office for Civil Rights	15	17	17
Policy research	15	12	
Emergency supplemental	6	40	

Departmental Management (DM) is a consolidated display of accounts that fund activities which provide leadership, policy, legal, and administrative guidance to HHS components; carry out the Department's civil rights and nondiscrimination enforcement programs; present consumer needs and viewpoints in the Federal government; and support research to develop policy initiatives and improve existing HHS programs. DM also includes the activities of the Office of Public Health and Science, including adolescent family life, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and emergency preparedness. These accounts will continue to be appropriated separately, and are being displayed in a consolidated format to improve the readability of the presentation. Detailed information will continue to be available through the Department of Health and Human Services.

Object Classification (in millions of dollars)

Identification code 75-9912-0-1-551	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	76	82	83
11.3 Other than full-time permanent	3	3	3

11.5	Other personnel compensation	1	1	1
11.9	Total personnel compensation	80	86	87
12.1	Civilian personnel benefits	12	16	17
21.0	Travel and transportation of persons	2	1	1
23.1	Rental payments to GSA	13	13	12
23.3	Communications, utilities, and miscellaneous charges	3	4	4
24.0	Printing and reproduction	1	2	1
25.1	Advisory and assistance services	1		
25.2	Other services	9	18	9
25.3	Purchases of goods and services from Government accounts	24	25	23
25.5	Research and development contracts	5	6	6
25.6	Medical care	2		
25.7	Operation and maintenance of equipment	5	5	
26.0	Supplies and materials	4	1	2
31.0	Equipment	3	2	3
41.0	Grants, subsidies, and contributions	31	41	30
99.0	Subtotal, direct obligations	195	220	195
99.0	Reimbursable obligations	61	67	67
99.9	Total obligations	256	287	262

Personnel Summary

Identification code 75-9912-0-1-551	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	1,326	1,352	1,331
1005 Full-time equivalent of overtime and holiday hours	8	8	8
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	118	83	83

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

PROGRAM SUPPORT CENTER

General and special funds:

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, and for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan and for medical care of dependents and retired personnel under the Dependents' Medical Care Act (10 U.S.C. ch. 55), and for payments pursuant to section 229(b) of the Social Security Act (42 U.S.C. 429(b)), such amounts as may be required during the current fiscal year. (*Department of Health and Human Services Appropriations Act, 1997.*)

Program and Financing (in millions of dollars)

Identification code 75-0379-0-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Retirement payments	119	139	149
00.02 Survivors' benefits	9	11	12
00.03 Medical care	24	26	28
00.04 Military service credits	3	3	2
10.00 Total obligations	155	179	191
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	167	179	191
22.30 Unobligated balance expiring	-12		
23.90 Total budgetary resources available for obligation	155	179	193
23.95 New obligations	-155	-179	-191
New budget authority (gross), detail:			
40.05 Appropriation (indefinite)	167	179	191

General and special funds—Continued**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 75-0379-0-1-551	1996 actual	1997 est.	1998 est.
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	14	8	7
73.10 New obligations	155	179	191
73.20 Total outlays (gross)	-170	-180	-190
73.40 Adjustments in expired accounts	9		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	8	7	8
Outlays (gross), detail:			
86.90 Outlays from new current authority	148	172	183
86.93 Outlays from current balances	22	8	7
87.00 Total outlays (gross)	170	180	190
Net budget authority and outlays:			
89.00 Budget authority	167	179	191
90.00 Outlays	170	180	190

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical care to active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

Object Classification (in millions of dollars)

Identification code 75-0379-0-1-551	1996 actual	1997 est.	1998 est.
13.0 Benefits for former personnel	128	150	161
25.2 Other services	24	26	28
25.3 Purchases of goods and services from Government accounts	3	3	2
99.9 Total obligations	155	179	191

HEALTH ACTIVITIES FUNDS

Program and Financing (in millions of dollars)

Identification code 75-9913-0-1-550	1996 actual	1997 est.	1998 est.
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	12	11	10
73.20 Total outlays (gross)	-1	-1	-1
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	11	10	9
Outlays (gross), detail:			
86.93 Outlays from current balances	1	1	1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	1	1

This display shows activities in support of St. Elizabeths Hospital and scientific activities overseas that were supported by foreign currencies by the United States abroad.

Intragovernmental funds:**HHS SERVICE AND SUPPLY FUND**

Program and Financing (in millions of dollars)

Identification code 75-9941-0-4-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Program support center	218	238	237

00.02 Federal employee occupational health	95	104	
00.03 OS activities	7	6	7
10.00 Total obligations	225	339	348

Budgetary resources available for obligation:

21.90 Unobligated balance available, start of year: Fund balance	30	32	32
22.00 New budget authority (gross)	221	339	348
22.10 Resources available from recoveries of prior year obligations	6		
23.90 Total budgetary resources available for obligation	257	371	380
23.95 New obligations	-225	-339	-348
24.90 Unobligated balance available, end of year: Fund balance	32	32	32

New budget authority (gross), detail:

Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	179	339	348
68.10 Change in orders on hand from Federal sources	42		
68.90 Spending authority from offsetting collections (total)	221	339	348
70.00 Total new budget authority (gross)	221	339	348

Change in unpaid obligations:

Unpaid obligations, start of year:			
72.90 Obligated balance: Fund balance	10	-9	
72.95 Orders on hand from Federal sources	107	149	149
72.99 Total unpaid obligations, start of year	117	140	149
73.10 New obligations	225	339	348
73.20 Total outlays (gross)	-196	-330	-348
73.45 Adjustments in unexpired accounts	-6		
Unpaid obligations, end of year:			
74.90 Obligated balance: Fund balance	-9		
74.95 Orders on hand from Federal sources	149	149	149
74.99 Total unpaid obligations, end of year	140	149	149

Outlays (gross), detail:

86.97 Outlays from new permanent authority	178	339	348
86.98 Outlays from permanent balances	18	-9	
87.00 Total outlays (gross)	196	330	348

Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-179	-339	-348
88.95 Change in orders on hand from Federal sources	-42		

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	18	-9	

The HHS Service and Supply Fund (SSF) provides common centralized services to HHS components. The Program Support Center (PSC) line includes activities funded through the SSF and the OS Working Capital Fund (WCF) in FY 1996. The WCF terminated in FY 1997 and the PSC and OS activities are funded solely through the SSF. The OS activities line includes the Fund Manager, Departmental Contracts Information System, Audit Resolution, OS Graphics, and the Regional Health Administrators. For FY 1996, Federal Employee Occupational Health funds operated through the Health Resources and Services Administration general fund account, but are funded through the SSF beginning in FY 1997.

Object Classification (in millions of dollars)

Identification code 75-9941-0-4-551	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.1 Full-time permanent	58	66	67
11.3 Other than full-time permanent	3	2	2
11.5 Other personnel compensation	1	2	2
11.9 Total personnel compensation	62	70	71
12.1 Civilian personnel benefits	12	14	14
21.0 Travel and transportation of persons	1	3	3

22.0	Transportation of things	2	2	2
23.1	Rental payments to GSA	12	12	10
23.3	Communications, utilities, and miscellaneous charges	11	15	16
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	1	1	1
25.2	Other services	63	140	144
25.3	Purchases of goods and services from Government accounts	28	29	27
26.0	Supplies and materials	28	47	53
31.0	Equipment	5	5	6
99.0	Subtotal, reimbursable obligations	225	339	348
99.9	Total obligations	225	339	348

Personnel Summary

Identification code 75-9941-0-4-551	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
2001 Full-time equivalent employment	1,236	1,319	1,279
2005 Full-time equivalent of overtime and holiday hours	15	22	22

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 75-9971-0-7-551	1996 actual	1997 est.	1998 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Contributions, Indian health facilities, Health Services Administration	31	31	31
02.02 Gifts and contributions, Miscellaneous trust funds	13	13	13
02.03 Interest, Miscellaneous trust funds	1	1	1
02.99 Total receipts	45	45	45
Appropriation:			
05.01 Miscellaneous trust funds	-45	-45	-45
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 75-9971-0-7-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.02 Gifts	22	14	14
00.03 Contributions, Indian health facilities	32	31	31
10.00 Total obligations	54	45	45
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance	20	25	25
U.S. Securities:			
21.41 Par value	23	9	9
21.42 Unrealized discounts	-1		
21.99 Total unobligated balance, start of year	42	34	34
22.00 New budget authority (gross)	45	45	45
23.90 Total budgetary resources available for obligation	87	79	79
23.95 New obligations	-54	-45	-45
Unobligated balance available, end of year:			
24.40 Uninvested balance	25	25	25
24.41 U.S. Securities: Par value	9	9	9
24.99 Total unobligated balance, end of year	34	34	34
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	45	45	45
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	95	96	90
73.10 New obligations	54	45	45
73.20 Total outlays (gross)	-53	-51	-48
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	96	90	87
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	15	12	12
86.98 Outlays from permanent balances	38	39	36

87.00	Total outlays (gross)	53	51	48
Net budget authority and outlays:				
89.00	Budget authority	45	45	45
90.00	Outlays	53	51	48

[Dollars in millions]

	1996	1997	1998
Distribution of budget authority by account:			
Gifts	14	14	14
Contributions, Indian health facilities	31	31	31
Distribution of outlays by account:			
Gifts	24	23	22
Contributions, Indian health facilities	29	28	26

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

Object Classification (in millions of dollars)

Identification code 75-9971-0-7-551	1996 actual	1997 est.	1998 est.
Personnel compensation:			
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	5	5	5
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	1	1	1
25.2 Other services	2	2	2
25.3 Purchases of goods and services from Government accounts	2	1	1
25.5 Research and development contracts	11	7	7
25.7 Operation and maintenance of equipment	5	3	3
26.0 Supplies and materials	3	3	3
31.0 Equipment	1	1	1
32.0 Land and structures	19	19	19
41.0 Grants, subsidies, and contributions	3	1	1
99.9 Total obligations	54	45	45

Personnel Summary

Identification code 75-9971-0-7-551	1996 actual	1997 est.	1998 est.
Total compensable workyears:			
1001 Full-time equivalent employment	100	100	100
1005 Full-time equivalent of overtime and holiday hours	20	20	20

OFFICE OF THE INSPECTOR GENERAL

General and special funds:

OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, [§32,999,000, together with any funds, to remain available until expended, that represent the equitable share from the forfeiture of property in investigations in which the Office of Inspector General participated, and which are transferred to the Office of Inspector General by the Department of Justice, the Department of the Treasury, or the United States Postal Service] \$31,921,000. (Department of Health and Human Services Appropriations Act, 1997.)

Program and Financing (in millions of dollars)

Identification code 75-0128-0-1-551	1996 actual	1997 est.	1998 est.
Obligations by program activity:			
00.01 Direct program	79	33	32
01.01 Reimbursable program	10	13	12
10.00 Total obligations	89	46	44
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1	1	1

General and special funds—Continued**OFFICE OF INSPECTOR GENERAL—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 75-0128-0-1-551	1996 actual	1997 est.	1998 est.
22.00 New budget authority (gross)	89	46	44
23.90 Total budgetary resources available for obligation	90	47	45
23.95 New obligations	-89	-46	-44
24.40 Unobligated balance available, end of year: Uninvested balance	1	1	1
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	58	33	32
Permanent:			
68.00 Spending authority from offsetting collections: Off- setting collections (cash)	31	13	12
70.00 Total new budget authority (gross)	89	46	44
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation		9	5
73.10 New obligations	89	46	44
73.20 Total outlays (gross)	-80	-50	-45
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	9	5	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	52	30	29
86.93 Outlays from current balances	-3	7	4
86.97 Outlays from new permanent authority	31	13	12
87.00 Total outlays (gross)	80	50	45
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-31	-13	-12
Net budget authority and outlays:			
89.00 Budget authority	58	33	32
90.00 Outlays	49	37	33

The Office of Inspector General identifies and recommends actions to correct fraud, waste, and abuse in HHS administered and assisted programs and operations through audits and investigations.

In addition to the discretionary resources appropriated to the Office of the Inspector General (IG), the Health Insurance Portability and Accountability Act of 1996 makes available mandatory funding for use by the IG to combat Medicare fraud and abuse through a coordinated Health Care Fraud and Abuse Control (HCFAC) Program with the Department of Justice. The following table shows total funding resources for the IG:

	1996 actual	1997 est.	1998 est.
Budget Authority:			
Discretionary Appropriations	58	33	32
Medicare Trust Fund Transfers	21	0	0
Mandatory (HCFAC Account)	0	70	81
Total	79	103	113
Outlays:			
Discretionary Appropriations	49	37	33
Medicare Trust Fund Transfers	21	0	0
Mandatory (HCFAC Account)	0	70	81
Total	70	107	114

This schedule reflects estimated distribution of mandatory funds from the HCFAC Account in FY 1998. Actual FY 1998 distribution will be determined by the Secretary of HHS and the Attorney General.

Object Classification (in millions of dollars)

Identification code 75-0128-0-1-551	1996 actual	1997 est.	1998 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	21	21
11.5 Other personnel compensation	1		
11.9 Total personnel compensation	49	21	21
12.1 Civilian personnel benefits	10	4	4
21.0 Travel and transportation of persons	2	1	1
23.1 Rental payments to GSA	5	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1		
25.2 Other services	1	1	
25.3 Purchases of goods and services from Government accounts	7	3	3
26.0 Supplies and materials	1		
31.0 Equipment	2		
99.0 Subtotal, direct obligations	79	33	32
99.0 Reimbursable obligations	10	13	12
99.9 Total obligations	89	46	44

Personnel Summary

Identification code 75-0128-0-1-551	1996 actual	1997 est.	1998 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	839	348	330
1005 Full-time equivalent of overtime and holiday hours	5	2	2
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	87	33	32
Allocation account:			
3001 Total compensable workyears: Full-time equivalent employment		610	691

ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Health Care Financing Administration: "Health Care Fraud and Abuse Control Account."

Note for Entire HHS Chapter.—The personnel summaries for all HHS accounts reflect current estimates and add to the HHS totals shown in the Federal Employment in the Executive Branch table of the Budget. Within the HHS totals, account-by-account estimates may be subject to change as staffing plans are implemented. The HHS FTE totals reflect compliance with section 416 of the Social Security Act, as amended by Public Law 104-193, the Personal Responsibility and Work Opportunity Act of 1996, which requires a reduction of FTE consistent with the replacement of Aid to Families with Dependent Children and Job Opportunities and Basic Skills training program with Temporary Assistance for Needy Families.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1996 actual	1997 est.	1998 est.
Offsetting receipts from the public:			
75-310700 Federal share of child support collections		839	1,032
General Fund Offsetting receipts from the public		839	1,032
Intragovernmental payments:			
20-310530 Quinquennial adjustment for military service credits, Federal hospital insurance	2,366		
General Fund Intragovernmental payments	2,366		

GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$37,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. The Secretary shall make available through assignment not more than 60 employees of the Public Health Service to assist in child survival activities and to work in AIDS programs through and with funds provided by the Agency for International Development, the United Nations International Children's Emergency Fund or the World Health Organization.

SEC. 203. None of the funds appropriated under this Act may be used to implement section 399L(b) of the Public Health Service Act or section 1503 of the National Institutes of Health Revitalization Act of 1993, Public Law 103-43.

SEC. 204. None of the funds made available by this Act may be used to withhold payment to any State under the Child Abuse Prevention and Treatment Act by reason of a determination that the State is not in compliance with section 1340.2(d)(2)(ii) of title 45 of the Code of Federal Regulations. This provision expires upon the date of enactment of the reauthorization of the Child Abuse Prevention and Treatment Act.]

SEC. [205] 204. None of the funds appropriated in this Act for the National Institutes of Health and the Substance Abuse and Mental Health Services Administration shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of \$125,000 per year.

SEC. 206. None of the funds appropriated in this Act may be expended pursuant to section 241 of the Public Health Service Act, except for funds specifically provided for in this Act, or for other taps and assessments made by any office located in the Department of Health and Human Services, prior to the Secretary's preparation and submission of a report to the Committee on Appropriations of the Senate and of the House detailing the planned uses of such funds.]

[(TRANSFER OF FUNDS)]

SEC. 207. Of the funds appropriated or otherwise made available for the Department of Health and Human Services, General Departmental Management, for fiscal year 1997, the Secretary of Health and Human Services shall transfer to the Office of the Inspector General such sums as may be necessary for any expenses with respect to the provision of security protection for the Secretary of Health and Human Services.]

SEC. 208. None of the funds appropriated in this Act may be obligated or expended for the Federal Council on Aging under the Older Americans Act or the Advisory Board on Child Abuse and Neglect under the Child Abuse Prevention and Treatment Act.]

[(TRANSFER OF FUNDS)]

SEC. 209. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control

Act, as amended) which are appropriated for the current fiscal year for the Department of Health and Human Services in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: *Provided*, That the Appropriations Committees of both House of Congress are notified at least fifteen days in advance of any transfer.])

[(TRANSFER OF FUNDS)]

SEC. [210] 205. The Director of the National Institutes of Health, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes, centers, and divisions from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: *Provided*, That the Congress is promptly notified of the transfer.

[(TRANSFER OF FUNDS)]

SEC. 211. Of the amounts made available in this Act for the National Institutes of Health, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the Public Health Service Act.]

SEC. 212. Not later than January 1, 1997, the Administrator of the Health Care Financing Administration, with the advice and technical assistance of the Agency for Health Care Policy and Research, shall transmit to the appropriate committees of the Congress a report including—

(1) a review of all available studies and research data on the treatment of end-stage emphysema and chronic obstructive pulmonary disease by both unilateral and bilateral lung volume reduction surgery, involving both invasive and noninvasive surgery and supplemental surgical methods, including laser applications; and

(2) a recommendation, based on such review, as to the appropriateness of Medicare coverage of such procedures and the conditions, if necessary, that facilities and physicians should be required to meet, to ensure the efficacy of such procedures, as more detailed clinical studies are conducted.]

SEC. 213. Section 304(a)(1) of the Family Violence Prevention and Services Act (42 U.S.C. 10403(a)(1)) is amended by striking "\$200,000" and inserting "\$400,000".]

SEC. 214. The new clinical research center at the National Institutes of Health is hereby named the Mark O. Hatfield Clinical Research Center.]

SEC. 215. Section 345 of Public Law 104-193 is amended by replacing "section 457(a)" wherever it appears with "a plan approved under this part". Amounts available under such section shall be calculated as though such section were effective October 1, 1995.] (*Department of Health and Human Services Appropriations Act, 1997.*)