

DEPARTMENT OF TRANSPORTATION

The Department is developing a plan to improve service delivery and efficiency of field offices. Details will be provided subsequent to release of the 1997 President's Budget.

Federal Funds

General and special funds:

MISCELLANEOUS APPROPRIATIONS

Program and Financing (in millions of dollars)

FEDERAL HIGHWAY ADMINISTRATION

Title 23 U.S.C. ("Highways") and other supporting legislation provide authority for the various programs of the Federal Highway Administration designed to improve highways throughout the Nation.

In summary, the 1997 Budget consists of \$22,368 million in budget authority and \$19,798 million in outlays. The following table compares 1997 program levels (obligations) with those of prior years.

	[In millions of dollars]		
Obligations:	1995 actual	1996 est.	1997 est.
Federal-aid highways	20,114	19,897	19,029
Regular limitation programs	17,192	17,714	17,714
National highway system	3,457	3,327	3,013
Surface transportation program	4,956	4,664	5,608
Bridge program	2,103	2,554	2,312
Interstate completion	901		
Interstate maintenance	2,390	2,693	2,438
Interstate substitutions	224		
Interstate system reimbursement		1,848	1,674
Congestion mitigation and air quality improvement	950	951	861
Intelligent transportation systems	143	103	113
Federal lands	416	438	426
Applied research & technology			41
Administration & Research:			
Intelligent transportation systems	88	110	222
Other research & development programs	79	88	109
Other programs	8	23	19
Administration and operating expenses	304	294	303
Other Federal-Aid takedown programs	84	78	70
Miscellaneous programs	656	68	74
Donor state bonus	433	475	431
Bonus limitation	[242]	[222]	[241]
Exempt obligations	2,922	2,183	1,315
Emergency relief	482	291	100
Minimum allocation	1,338	803	660
Demonstration projects ¹	1,087	1,048	555
Applied research & technology	15	41	
State infrastructure banks			250
Orange County (CA) toll road demo	8		
High priority corridors	6		
Alameda corridor project			59
Miscellaneous appropriations ¹	375	126	50
Highway-related safety grants ²	11	11	
Motor carrier safety grants	74	77	85
Miscellaneous trust funds	3	13	8
Miscellaneous highway trust funds ¹	86	64	25
Right-of-way revolving fund	43		
Total program level	20,720	20,188	19,506

Note: Totals may not add due to rounding of details.

¹ The 1997 estimate reflects an obligation limitation.

² This program is merged into National Highway Traffic Safety Administration.

Identification code 69-9911-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Pennsylvania reconstruction demonstration	1	1	
00.04 Rail line consolidation		1	
00.06 Interstate transfer grants	3	2	
00.07 Highway widening demonstration	2		
00.08 Baltimore-Washington parkway	18	4	
00.09 Bridge improvement demonstration project		2	
00.10 Feasibility, design, environmental and engineering	4	3	
00.11 Highway widening and improvement demonstration project	10		
00.14 Climbing lane demonstration	3	4	
00.15 Indiana industrial corridor	2		
00.21 Urban highway corridor	1	1	
00.22 Urban airport access	8		
00.24 Highway demonstration projects	46	25	
00.26 Corridor D improvement project		2	
00.30 Highway demonstration projects—preliminary engineering	2	2	
00.31 Turquoise trail project	1		
00.32 Corridor G improvement project	55		
00.44 Des Moines Inner Loop	1		
00.45 Highway bypass demonstration		3	
00.46 Railroad highway crossing demonstration	6	10	
00.68 Appalachian Corridor improvement project	54		
00.73 Schenectady bridge		1	
00.79 Surface transportation projects	158	65	
00.80 Undistributed obligations			50
10.00 Total obligations (object class 41.0)	375	126	50
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance		379	252
22.00 New budget authority (gross)	321		
22.10 Resources available from recoveries of prior year obligations	3		
23.90 Total budgetary resources available for obligation	753	379	252
23.95 New obligations	-375	-126	-50
24.40 Unobligated balance available, end of year:			
Uninvested balance	379	252	203
New budget authority (gross), detail:			
40.00 Appropriation	321		
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	339	519	350
73.10 New obligations	375	126	50
73.20 Total outlays (gross)	-192	-295	-175
73.45 Adjustments in unexpired accounts	-3		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	519	350	227
Outlays (gross), detail:			
86.90 Outlays from new current authority	62		
86.93 Outlays from current balances	130	295	175
87.00 Total outlays (gross)	192	295	175
Net budget authority and outlays:			
89.00 Budget authority	321		
90.00 Outlays	192	295	175

General and special funds—Continued

MISCELLANEOUS APPROPRIATIONS—Continued

This consolidated schedule shows the obligation and outlay of amounts made available for programs in prior years. No further appropriation is requested. A proposed general provision would limit obligations in 1997.

Credit accounts:

ORANGE COUNTY (CA) TOLL ROAD DEMONSTRATION PROJECT PROGRAM ACCOUNT

Note.—The subsidy rate shown for these projects has been modified due to legislation enacted in FY 1996. It is assumed that the interest rate charged to these loans will be sufficient to ensure that the subsidy budget authority provided will support the full line of credit authorized using the current risk assumptions.

Program and Financing (in millions of dollars)

Identification code 69-0543-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	8		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	8		
23.95 New obligations	-8		
New budget authority (gross), detail:			
40.00 Appropriation	8		
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	10	18	18
73.10 New obligations	8		
73.20 Total outlays (gross)			-2
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	18	18	16
Outlays (gross), detail:			
86.93 Outlays from current balances			2
87.00 Total outlays (gross)			2
Net budget authority and outlays:			
89.00 Budget authority	8		
90.00 Outlays			2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-0543-0-1-401	1995 actual	1996 est.	1997 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Foothills	100	20	
1159 Total direct loan levels	100	20	
Direct loan subsidy (in percent):			
1320 Eastern Foothills Corridor—Capital Expenditures (30 yr loans)	18.30	6.67	
1320 Eastern Foothills Corridor—Operation and Maintenance (3-yr loans)	5.40	5.40	
1329 Weighted average subsidy rate	8.00	6.67	
Direct loan subsidy budget authority:			
1330 Eastern Foothills Corridor	8		
1339 Total subsidy budget authority	8		

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and later years (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

ORANGE COUNTY (CA) TOLL ROAD DEMONSTRATION PROJECT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4200-0-3-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct loans			24
00.02 Interest paid to Treasury			1
10.00 Total obligations			25
Budgetary resources available for obligation:			
22.00 New financing authority (gross)			25
23.95 New obligations			-25
New financing authority (gross), detail:			
67.15 Authority to borrow (indefinite)			23
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			2
70.00 Total new financing authority (gross)			25
Change in unpaid obligations:			
73.10 New obligations			25
73.20 Total financing disbursements (gross)			-25
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)			25
Offsets:			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Payments from program account			-2
Net financing authority and financing disbursements:			
89.00 Financing authority			23
90.00 Financing disbursements			23

Status of Direct Loans (in millions of dollars)

Identification code 69-4200-0-3-401	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	100	20	
1112 Unobligated direct loan limitation	-100	-120	-120
1113 Unobligated limitation carried forward		100	120
1150 Total direct loan obligations			
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year			
1231 Disbursements: Direct loan disbursements			24
1261 Adjustments: Capitalized interest			1
1290 Outstanding, end of year			25

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and later years (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4200-0-3-401	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross				24
1402 Interest receivable				1
1405 Allowance for subsidy cost (-)				-2
1499 Net present value of assets related to direct loans				23
1999 Total assets				23

LIABILITIES:			
2103	Federal liabilities: Debt		23
2999	Total liabilities		23
4999	Total liabilities and net position		23

HIGH PRIORITY CORRIDORS LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-0510-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00	Total obligations (object class 41.0)	6	
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	6	
23.95	New obligations	-6	
New budget authority (gross), detail:			
40.00	Appropriation	6	
Change in unpaid obligations:			
73.10	New obligations	6	
73.20	Total outlays (gross)	-6	
Outlays (gross), detail:			
86.90	Outlays from new current authority	6	
87.00	Total outlays (gross)	6	
Net budget authority and outlays:			
89.00	Budget authority	6	
90.00	Outlays	6	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-0510-0-1-401	1995 actual	1996 est.	1997 est.
Direct loan levels supportable by subsidy budget authority:			
1150	Direct loan levels	40	
1159	Total direct loan levels	40	
Direct loan subsidy (in percent):			
1320	Arkansas loan subsidy rate	11.19	
1320	Michigan loan subsidy rate	11.96	
1329	Weighted average subsidy rate	11.46	
Direct loan subsidy budget authority:			
1330	Subsidy budget authority	6	
1339	Total subsidy budget authority	6	
Direct loan subsidy outlays:			
1340	Subsidy outlays	6	
1349	Total subsidy outlays	6	

This program provides funds to make loans to a maximum of \$40 million in 1995 to construct projects identified as High Priority Corridors in section 1105(f) of Public Law 102-240. This funding will assist in expediting the construction of projects already funded by section 1105(f).

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and later years (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

HIGH PRIORITY CORRIDORS LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4249-0-3-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01	Direct loans	37	
00.02	Interest paid to Treasury	1	2
10.00	Total obligations	38	2
Budgetary resources available for obligation:			
22.00	New financing authority (gross)	38	2
23.95	New obligations	-38	-2
New financing authority (gross), detail:			
67.15	Authority to borrow (indefinite)	32	2
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	6	
70.00	Total new financing authority (gross)	38	2
Change in unpaid obligations:			
73.10	New obligations	38	2
73.20	Total financing disbursements (gross)	-38	-2
Outlays (gross), detail:			
86.97	Outlays from new permanent authority	31	1
87.00	Total financing disbursements (gross)	38	2
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00	Federal sources	-6	
88.40	Non-Federal sources		-37
88.90	Total, offsetting collections (cash)	-6	-37
Net financing authority and financing disbursements:			
89.00	Financing authority	32	2
90.00	Financing disbursements	32	2

Status of Direct Loans (in millions of dollars)

Identification code 69-4249-0-3-401	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111	Limitation on direct loans	40	
1150	Total direct loan obligations	40	
Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year		37
1231	Disbursements: Direct loan disbursements	37	
1251	Repayments: Repayments and prepayments		-37
1290	Outstanding, end of year	37	37

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and later years (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4249-0-3-401	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Net value of assets related to post-1991 direct loans receivable:				
1401	Direct loans receivable, gross	37	37	
1405	Allowance for subsidy cost (-)	-6	-6	
1499	Net present value of assets related to direct loans	31	31	
1999	Total assets	31	31	

Credit accounts—Continued

HIGH PRIORITY CORRIDORS LOAN FINANCING ACCOUNT—Continued

Balance Sheet (in millions of dollars)—Continued

Identification code 69-4249-0-3-401	1994 actual	1995 actual	1996 est.	1997 est.
LIABILITIES:				
2103 Federal liabilities: Debt		31	31	
2999 Total liabilities		31	31	
4999 Total liabilities and net position		31	31	

ALAMEDA CORRIDOR PROJECT LOAN PROGRAM ACCOUNT

For the cost of direct loans, \$58,680,000, as authorized by Section 1105(i) of Public Law 102-240: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$400,000,000.

Program and Financing (in millions of dollars)

Identification code 69-0536-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)			59
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			59
23.95 New obligations			-59
New budget authority (gross), detail:			
40.00 Appropriation			59
Change in unpaid obligations:			
73.10 New obligations		59	
73.20 Total outlays (gross)			-21
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation			38
Outlays (gross), detail:			
86.90 Outlays from new current authority			21
87.00 Total outlays (gross)			21
Net budget authority and outlays:			
89.00 Budget authority			59
90.00 Outlays			21

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-0536-0-1-401	1995 actual	1996 est.	1997 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Direct loan levels			400
1159 Total direct loan levels			400
Direct loan subsidy (in percent):			
1320 Subsidy rate			14.67
Direct loan subsidy budget authority:			
1330 Subsidy budget authority			59
1339 Total subsidy budget authority			59

The National Highway System Designation Act of 1995 included the Alameda Transportation Corridor as a High Priority Corridor for which direct loans are authorized under ISTEA Section 1105(i). The Corridor is an intermodal project connecting the Ports of Los Angeles and Long Beach to downtown Los Angeles. The project replaces the current 20 miles of at-grade rail lines with a high-speed, below-grade corridor, thereby eliminating over 200 grade crossings. It also widens and improves the adjacent major highway on this alignment and mitigates the impact of increased international traffic transferring through the San Pedro Ports. Segments of the

Alameda Transportation Corridor are currently under construction. The loan will permit construction to continue without interruption through the date of an anticipated revenue bond sale, the proceeds of which will fund the majority of the project's costs.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loan(s) obligated in FY 1997. The subsidy amounts are estimated on a present value basis.

ALAMEDA CORRIDOR PROJECT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4183-0-3-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations			400
Budgetary resources available for obligation:			
22.00 New financing authority (gross)			400
23.95 New obligations			-400
New financing authority (gross), detail:			
67.15 Authority to borrow (indefinite)			341
68.10 Spending authority from offsetting collections: Change in orders on hand from Federal sources			59
70.00 Total new financing authority (gross)			400
Change in unpaid obligations:			
73.10 New obligations			400
73.20 Total financing disbursements (gross)			
Unpaid obligations, end of year:			
74.40 Obligated balance: Appropriation			341
74.95 Receivables from program account			59
74.99 Total unpaid obligations, end of year			400
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)			
88.95 Change in receivables from program accounts			-59
Net financing authority and financing disbursements:			
89.00 Financing authority			341
90.00 Financing disbursements			

Status of Direct Loans (in millions of dollars)

Identification code 69-4183-0-3-401	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans			400
1150 Total direct loan obligations			400

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loan(s) obligated in FY 1997. The amounts in this account are a means of financing and are not included in the budget totals.

Trust Funds

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

(LIMITATION ON DIRECT LOANS)

(HIGHWAY TRUST FUND)

None of the funds under this head are available for obligations for right-of-way acquisition during fiscal year [1996] 1997. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)				
Identification code 69-8402-0-8-401	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
10.00 Total obligations (object class 33.0)	66			
Budgetary resources available for obligation:				
21.40 Unobligated balance available, start of year: Appropriation	56	6	36	
22.00 New budget authority (gross)	4	30	30	
22.10 Resources available from recoveries of prior year obligations	12			
23.90 Total budgetary resources available for obligation	72	36	66	
23.95 New obligations	-66			
24.40 Unobligated balance available, end of year: Appropriation	6	36	66	
New budget authority (gross), detail:				
66.36 Contract authority rescinded (unobligated balances)	-20			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	24	30	30	
70.00 Total new budget authority (gross)	4	30	30	
Change in unpaid obligations:				
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	93	120	66	
73.10 New obligations	66			
73.20 Total outlays (gross)	-27	-54	-55	
73.45 Adjustments in unexpired accounts	-12			
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	120	66	11	
Outlays (gross), detail:				
86.93 Outlays from current balances	3	24	24	
86.98 Outlays from permanent balances	23	30	30	
87.00 Total outlays (gross)	27	54	55	
Offsets:				
Against gross budget authority and outlays:				
88.40 Offsetting collections (cash) from: Non-Federal sources	-24	-30	-30	
Net budget authority and outlays:				
89.00 Budget authority	-20			
90.00 Outlays	3	24	25	

Status of Direct Loans (in millions of dollars)				
Identification code 69-8402-0-8-401	1995 actual	1996 est.	1997 est.	
Position with respect to appropriations act limitation on obligations:				
1111 Limitation on direct loans	43			
1150 Total direct loan obligations	43			
Cumulative balance of direct loans outstanding:				
1210 Outstanding, start of year	151	153	147	
1231 Disbursements: Direct loan disbursements	26	24	25	
1251 Repayments: Repayments and prepayments	-24	-30	-30	
1290 Outstanding, end of year	153	147	142	

The Federal-Aid Highway Act of 1968 authorized \$300 million for the establishment of a right-of-way revolving fund. This fund is used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs.

This program was terminated in 1996 but will continue to be shown for reporting purposes as loan balances remain outstanding. A prohibition on further obligations is requested for 1997.

Statement of Operations (in millions of dollars)				
Identification code 69-8402-0-8-401	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	44	24	30	30

0102 Expense	-1	-27	-54	-55
0109 Net income or loss (-)	43	-3	-24	-25

STATE INFRASTRUCTURE BANKS
(HIGHWAY TRUST FUND)

To carry out the State Infrastructure Bank Pilot Program (Public Law 104-59, section 350), \$250,000,000, to be derived from the Highway Trust Fund and to remain available until expended, to be distributed by the Secretary to more than 10 States: Provided, That these funds shall be used to advance projects or programs under the terms and conditions of section 350: Provided further, That any State that receives such funds may deposit any portion of those funds into either the highway or transit account of the State Infrastructure Bank: Provided further, That the funds appropriated and deposited into transit accounts authorized by section 350(b)(3) shall be drawn from the Mass Transit account of the Highway Trust Fund and that funds appropriated and deposited into highway accounts authorized by section 350(b)(2) shall be drawn from the Highway Trust Fund (other than the Mass Transit Account): Provided further, That the Secretary shall ensure that the Federal disbursements shall be at a rate consistent with historic rates for the Federal-aid highways program.

Program and Financing (in millions of dollars)				
Identification code 69-8297-0-7-401	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
10.00 Total obligations (object class 41.0)				250
Budgetary resources available for obligation:				
22.00 New budget authority (gross)				250
23.95 New obligations				-250
New budget authority (gross), detail:				
40.26 Appropriation (trust fund, definite)				250
Change in unpaid obligations:				
73.10 New obligations				250
73.20 Total outlays (gross)				-37
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation				213
Outlays (gross), detail:				
86.90 Outlays from new current authority				37
87.00 Total outlays (gross)				37
Net budget authority and outlays:				
89.00 Budget authority				250
90.00 Outlays				37

State Infrastructure Banks are a promising way of facilitating needed infrastructure investment, especially when all levels of government are facing constrained resources. State Infrastructure Banks are a means of increasing and improving both public and private investment in transportation.

The National Highway System Designation Act of 1995 authorized up to ten pilot states to test State Infrastructure Banks ("SIBs") which would provide greater flexibility to support the financing of projects by using Federal-aid funds for revolving loans and other forms of non-traditional financial assistance for both public and private entities developing eligible transportation projects. States have shown significant interest in exploring the infrastructure financing benefits offered by this concept.

The Department is currently accepting applications from interested states, with substantially more than ten states expressing interest in the program and expected to apply for pilot status. Given the level of interest, the administration proposes that the program be expanded to include additional states and to provide \$250 million in funding to capitalize SIBs.

HIGHWAY TRUST FUND (TOTAL)
Unavailable Collections (in millions of dollars)

Identification code 20-8102-0-7-401	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Encumbered balance, start of year	-19,684	-18,976	-15,516
Receipts:			
02.01 Highway trust fund, deposits	22,611	24,564	24,900
02.02 Highway trust fund, interest	1,168	1,306	1,402
02.03 Highway trust fund, deposits, proposed legislation		-10	4
02.05 CMA interest, Highway trust fund	1		
02.99 Total receipts	23,780	25,860	26,306
04.00 Total: Balances and collections	4,096	6,884	10,790
Appropriation:			
05.01 Highway-related safety grants	-11	-11	
05.02 Motor carrier safety grants	-74	-77	-85
05.03 Federal-aid highways	-19,946	-19,323	-19,528
05.05 Operations and research (trust fund share)	-47	-52	-60
05.06 Highway traffic safety grants	-151	-155	-194
05.07 Trust fund share of next generation high speed rail program		-5	
05.08 Discretionary grants (trust fund)	-1,691	-1,665	-1,799
05.09 Trust fund share of expenses	-1,150	-1,110	-1,931
05.10 Trust fund share of rental payments	-2	-2	-2
05.12 State infrastructure banks			-250
05.99 Subtotal appropriation	-23,072	-22,400	-23,849
07.99 Encumbered balance, end of year	-18,976	-15,516	-13,059

The Highway Revenue Act of 1956, as amended, provides for the transfer from the general fund to the highway trust fund of revenue from the motor fuel tax and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law.

The budget does not propose policy changes related to the spending and revenues of the Highway Trust Fund. The Administration plans to address issues related to the Highway Trust Fund as part of the upcoming Surface Transportation Authorization due to Congress with the 1998 budget.

Receipts increase in 1996 because receipts generated by 2.5 cents of the motor fuel tax are deposited in the Highway Trust Fund instead of the General Fund.

The status of the fund is as follows:

Status of Funds (in millions of dollars)

Identification code 20-8102-0-7-401	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year:			
0100 Uninvested balance	178		
0101 U.S. Securities: Par value	17,694	19,000	21,388
0199 Total balance, start of year	17,872	19,000	21,388
Cash income during the year:			
Governmental receipts:			
0200 Highway trust fund, deposits	22,611	24,564	24,900
0201 Governmental receipts		-10	4
Proprietary receipts:			
0221 Proprietary receipts	1		
Intragovernmental transactions:			
0240 Highway trust fund, interest	1,168	1,306	1,402
Offsetting collections:			
0280 Federal-aid highways	49	75	75
0281 Discretionary grants (trust fund)	11		
0282 Right-of-way revolving fund liquidating account	24	30	30
0297 Income under present law	23,864	25,975	26,407
0298 Income under proposed legislation		-10	4
0299 Total cash income	23,864	25,965	26,411
Cash outgo during year:			
0500 Federal-aid highways	-19,162	-19,999	-19,445
0502 State Infrastructure banks (-)			-37
0505 Motor carrier safety grants	-66	-75	-79
0506 Highway-related safety grants	-9	-12	-8
0507 Right-of-way revolving fund (trust revolving fund)	-26	-54	-54
0508 Miscellaneous highway trust funds	-106	-98	-75
0510 Operations and research (trust fund share)	-6	-77	-75

0511 Highway traffic safety grants	-161	-152	-171
0512 Trust fund share of next generation high speed rail program		-7	-8
0514 Discretionary grants (trust fund)	-2,040	-1,979	-1,981
0516 Trust fund share of expenses	-1,150	-1,110	-1,931
0518 Trust fund share of rental payments	-2	-2	-2
0519 Construction, National Park Service, Interior	-8	-12	-8
0599 Total cash outgo (-)	-22,736	-23,577	-23,874
Unexpended balance, end of year:			
0701 U.S. Securities: Par value	19,000	21,388	23,925

The following table covers that part of the trust fund that pertains to the highway account. It shows the annual income and outlays of highway programs funded by the trust fund.

HIGHWAY TRUST FUND
(HIGHWAY ACCOUNT ONLY)

(In millions of dollars)

	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year	7,927	9,421	11,317
Cash income during the year, governmental receipts:			
Excise taxes	20,420	21,632	21,920
Interest on investments	547	648	764
Total annual income	20,967	22,280	22,684
Cash outgo during the year (outlays)	19,472	20,384	19,858
Unexpended balance, end of year	9,421	11,317	14,143

FEDERAL-AID HIGHWAYS
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

None of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of **[\$17,550,000,000]** \$17,714,000,000 for Federal-aid highways and highway safety construction programs for fiscal year **[1996]** 1997, of which not to exceed \$241,173,000 shall be distributed as provided in section 310(d) of this Act.

(LIQUIDATION OF CONTRACT AUTHORIZATION)
(HIGHWAY TRUST FUND)

For carrying out the provisions of title 23, United States Code, that are attributable to Federal-aid highways, including the National Scenic and Recreational Highway as authorized by 23 U.S.C. 148, not otherwise provided, including reimbursements for sums expended pursuant to the provisions of 23 U.S.C. 308, **[\$19,200,000,000]** \$19,800,000,000 or so much thereof as may be available in and derived from the Highway Trust Fund, to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-8083-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 National highway program	3,457	3,327	3,013
00.02 Surface transportation program	4,956	4,664	5,608
00.03 Bridge program	2,103	2,554	2,312
00.04 Interstate completion	901		
00.05 Interstate maintenance	2,390	2,693	2,438
00.06 Interstate substitutions	224		
00.07 Interstate system reimbursement		1,848	1,674
00.08 Congestion mitigation and air quality improvement	950	951	861
00.09 Intelligent vehicle highway systems	143	103	113
00.10 Applied research and technology			41
00.11 Federal lands highways	416	438	426
00.12 Administration	388	372	373
00.13 Research and development	175	221	350
00.14 Miscellaneous programs	656	68	74
00.15 Donor state bonus	433	475	431
00.91 Programs subject to obligation limitation	17,192	17,714	17,714
02.12 Emergency relief program	108	237	100
02.13 Minimum allocation	1,338	803	660
02.14 Demonstration projects	1,087	1,048	555

02.15	Applied research program	15	41	
02.91	Programs exempt from obligation limitation	2,548	2,129	1,315
03.01	Emergency supplementals	374	54	
06.00	Total direct program	20,114	19,897	19,029
07.01	Reimbursable program	49	75	75
10.00	Total obligations	20,163	19,972	19,104
Budgetary resources available for obligation:				
Unobligated balance available, start of year:				
21.40	Appropriation	528	55	
21.49	Contract authority	11,677	12,912	10,938
21.99	Total unobligated balance, start of year	12,205	12,967	10,938
22.00	New budget authority (gross)	20,925	17,943	22,036
23.90	Total budgetary resources available for obligation	33,130	30,910	32,974
23.95	New obligations	-20,163	-19,972	-19,104
Unobligated balance available, end of year:				
24.40	Appropriation	55		
24.49	Contract authority	12,912	10,938	13,870
24.99	Total unobligated balance, end of year	12,967	10,938	13,870
New budget authority (gross), detail:				
Current:				
40.26	Appropriation (trust fund, definite)	17,000	19,200	19,800
40.49	Portion applied to liquidate contract authority	-17,000	-19,200	-19,800
43.00	Appropriation (total)			
Permanent:				
66.10	Contract authority (definite)	21,111	20,237	21,961
66.35	Contract authority rescinded	-235	-2,355	
66.75	Reduction pursuant to P.L. 104-50		-14	
66.90	Contract authority (total)	20,876	17,868	21,961
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	49	75	75
70.00	Total new budget authority (gross)	20,925	17,943	22,036
Change in unpaid obligations:				
Unpaid obligations, start of year:				
Obligated balance:				
72.40	Appropriation	4,618	2,602	2,473
72.49	Contract authority	24,657	27,674	27,776
72.99	Total unpaid obligations, start of year	29,275	30,276	30,249
73.10	New obligations	20,163	19,972	19,104
73.20	Total outlays (gross)	-19,162	-19,999	-19,445
Unpaid obligations, end of year:				
Obligated balance:				
74.40	Appropriation	2,602	2,473	
74.49	Contract authority	27,674	27,776	29,908
74.99	Total unpaid obligations, end of year	30,276	30,249	29,908
Outlays (gross), detail:				
86.90	Outlays from new current authority	2,569	2,645	2,645
86.93	Outlays from current balances	14,696	14,977	14,697
86.97	Outlays from new permanent authority	477	432	296
86.98	Outlays from permanent balances	1,420	1,945	1,807
87.00	Total outlays (gross)	19,162	19,999	19,445
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-39	-65	-65
88.40	Non-Federal sources	-10	-10	-10
88.90	Total, offsetting collections (cash)	-49	-75	-75
Net budget authority and outlays:				
89.00	Budget authority	20,876	17,868	21,961
90.00	Outlays	19,113	19,924	19,370

Appropriation from the highway trust fund to liquidate contract authority	(17,000)	(19,200)	(19,800)
Unfunded balance end of year	40,310	38,978	41,139

The Federal-Aid Highways (FAH) budget authority consists of several programs designed to aid in the construction, rehabilitation, traffic management and safety of our nation's highways. This program is funded by contract authority found in substantive legislation currently provided by the six-year (1992-1997) Intermodal Surface Transportation Efficiency Act of 1991 (P.L. 102-240), (ISTEA).

All programs included within FAH are financed from the Highway Trust Fund and distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority. The budget proposes to fund programs from within the Federal-Aid Highway obligation limitation and a separate obligation ceiling for the section 310(d) Bonus Limitation. Emergency Relief and Minimum Allocation programs that are currently exempt from the limitation will continue to be exempt from the limitation. All highway demonstration projects will be covered by separate limitations.

National highway program.—The ISTEA established a National Highway System (NHS) Program to provide funding for a designated National Highway System consisting of roads that are of primary Federal interest. Legislation designating a 161,000 mile system was enacted in November of 1995. The National Highway System consists of the current Interstate, other rural principal arterials, urban freeways and connecting urban principal arterials, and facilities on the Defense Department's designated Strategic Highway Network.

Surface Transportation Program (STP).—The ISTEA established a new block grant-type program that may be used by States and localities for any roads that are not classified as local or rural minor collector roads. The ISTEA authorized a total of \$23.9 billion for the STP over six years. The authority may be augmented by transfers of authority from other programs and by the apportionment adjustments authorized by section 1015 of ISTEA and minimum allocation funds which may be used as if they were STP funds.

Bridge replacement and rehabilitation.—The ISTEA continues the bridge program to enable States to respond to the problem of unsafe and inadequate bridges. The funds will be available for use on all bridges, both on and off the National Highway System, including those on roads functionally classified as rural minor collectors and as local. Highway bridges designated as a hazard to navigation by the U.S. Coast Guard are eligible for funding under the NHS, STP or bridge program.

Emergency relief.—The Emergency Relief (ER) program provides funds for the repair or reconstruction of Federal-aid highways and bridges and Federally-owned roads and bridges which have suffered serious damage as the result of natural disasters or catastrophic failures. The ER program supplements the commitment of resources by States, their political subdivisions, or Federal agencies to help pay for unusually heavy expenses resulting from extraordinary conditions.

Federal lands.—This category includes the Public Lands Highways, Park Roads and Parkways, and Indian Reservation Roads programs. Roads funded under this program are open to public travel. State and local roads (25,000 miles) that provide important access to and within the National Forest System are designated Forest Highways. These roads should not be confused with the Forest Development Roads which are under the jurisdiction of the Forest Service. Park roads and Parkways (8,000 miles) are owned by the National Park Service and provide access to and within the National Park System. Indian Reservation Roads program consists of the Bureau of Indian Affairs (20,000 miles) and State and local

Status of Contract Authority

[In millions of dollars]

	1995 actual	1996 est.	1997 est.
Unfunded balance start of year	36,334	40,310	38,978
Contract authority	20,976	17,868	21,961

FEDERAL-AID HIGHWAYS—Continued

(LIQUIDATION OF CONTRACT AUTHORIZATION)—Continued

(HIGHWAY TRUST FUND)—Continued

roads (25,000 miles) that provide access to, and within, Indian lands.

Miscellaneous.—This category includes Ferry Boat Construction, Scenic Byways, University Transportation Centers, University Research Institutes, Tax Evasion Projects, and Bureau of Transportation Statistics. The 1997 budget funds the National Recreational Trails program from administrative contract authority as required by P.L. 104-59. The Administration believes this program should not be funded within the Department of Transportation in future years. In addition, the budget proposes to eliminate funding for the timber bridge research program. To the extent additional research is warranted in this area, it should be funded as part of the materials research budget of FHWA.

Object Classification (in millions of dollars)

Identification code 69-8083-0-7-401	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	10	10	11
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	11	11	12
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	3	3	3
22.0 Transportation of things	1	1	1
25.2 Other services	30	26	26
32.0 Land and structures	129	145	143
41.0 Grants, subsidies, and contributions	19,154	18,907	17,920
93.0 Limitation on general operating expenses (see separate schedule)	476	515	653
99.0 Subtotal, direct obligations	19,807	19,610	18,760
99.0 Reimbursable obligations	49	75	75
Allocation Account—Direct Obligations:			
Personnel compensation:			
11.1 Full-time permanent	27	26	27
11.3 Other than full-time permanent	5	5	5
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	34	33	34
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	3	3	3
22.0 Transportation of things	2	2	2
23.3 Communications, utilities, and miscellaneous charges	4	5	5
25.2 Other services	112	132	123
25.3 Purchases of goods and services from Government accounts	2	2	2
25.4 Operation and maintenance of facilities	35	38	37
25.8 Subsistence and support of persons	1	1	1
26.0 Supplies and materials	3	3	3
31.0 Equipment	3	3	3
32.0 Land and structures	37	46	36
41.0 Grants, subsidies, and contributions	65	13	14
99.0 Subtotal, allocation account—direct obligations	307	287	269
99.9 Total obligations	20,163	19,972	19,104

Obligations are distributed as follows:

Transportation:			
Federal Highway Administration	19,807	19,610	18,760
Federal Railroad Administration	10	9	10
Federal Transit Administration	0	0	0
National Highway Traffic Safety Administration	51	0	0
Bureau of Transportation Statistics	15	18	25
Agriculture: Forest Service	15	24	9
Interior:			
Bureau of Indian Affairs	199	213	209
National Park Service	16	21	15
Bureau of Land Management	1	2	1

Personnel Summary

Identification code 69-8083-0-7-401	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	261	257	257
1005 Full-time equivalent of overtime and holiday hours	28	25	26
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	239	232	217
2005 Full-time equivalent of overtime and holiday hours	5	10	8

FEDERAL HIGHWAY ADMINISTRATION

LIMITATION ON GENERAL OPERATING EXPENSES

Necessary expenses for administration, operation, including motor carrier safety program operations, and research of the Federal Highway Administration not to exceed **[\$509,660,000]** *\$652,905,000* shall be paid in accordance with law from appropriations made available by this Act to the Federal Highway Administration together with advances and reimbursements received by the Federal Highway Administration: *Provided, That* **[\$208,946,000]** *\$353,045,000* of the amount provided herein shall remain available until September 30, **[1998]** 1999. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

	1995 actual	1996 est.	1997 est.
Program by activities:			
Program direction and coordination:			
Executive direction	2	2	2
Program review	1	1	1
Public affairs	1	1	1
Legal services	3	3	3
Civil rights	12	12	11
General program support:			
Policy	14	16	19
Research and development	43	66	73
Administrative support	105	88	85
Career development programs	1	1	1
Highway programs:			
Program development	27	28	32
Safety and system applications operations	114	137	255
Motor carrier safety	14	30	32
Federal lands highway office	2	2	4
Field operations	140	142	150
Total obligations	479	529	669
Financing:			
Reimbursable Programs	-4	-14	-16
Unobligated balance available, start of year	-19	-21	
Unobligated balance available, end of year	21		
Limitation	477	494	653
Relation of obligations to outlays:			
Total obligations	479	529	669
Obligated balance, start of year	-594	-567	-517
Obligated balance, end of year	567	517	374
Outlays from limitation	452	479	526

This limitation provides for the salaries and expenses of the Federal Highway Administration. Resources are allocated from the Federal-aid highways program.

Program direction and coordination.—Provides overall management of the highway transportation program.

General program support.—Recognizing the importance of research as an investment in the efficiency of future transportation, the 1997 budget includes \$334 million for highway research and technology of which \$224 million is requested for development of intelligent transportation systems.

Highway programs.—Provides engineering guidance to Federal and State agencies and to foreign governments, and conducts a program to encourage use of modern traffic engineering procedures to increase the vehicle-carrying capacity of existing highways and urban streets; and finances construc-

tion skill training programs for disadvantaged workers hired by contractors on federally aided highway projects.

Field operations.—Provides staff advisory and support services in field offices of the Federal Highway Administration; and provides program and engineering supervision through regional and division offices.

Object Classification (in millions of dollars)

Identification code 69-8083-0-7-401	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	156	162	168
11.3 Other than full-time permanent	3	4	4
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	163	168	175
12.1 Civilian personnel benefits	40	43	43
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	16	12	12
22.0 Transportation of things	2	1	1
23.3 Communications, utilities, and miscellaneous charges	25	27	26
24.0 Printing and reproduction	4		
25.2 Other services	42	47	53
25.5 Research and development contracts	175	221	350
26.0 Supplies and materials	4	2	2
31.0 Equipment	8	6	6
93.0 Limitation on expenses	-479	-529	-669
99.0 Subtotal, limitation acct—direct obligations			

Personnel Summary

Identification code 69-8083-0-7-401	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
6001 Full-time equivalent employment	3,104	3,230	3,245
6005 Full-time equivalent of overtime and holiday hours	19	19	19

HIGHWAY-RELATED SAFETY GRANTS
(LIQUIDATION OF CONTRACT AUTHORIZATION)

(HIGHWAY TRUST FUND)

[(INCLUDING TRANSFER OF FUNDS)]

For payment of obligations incurred in carrying out the provisions of title 23, United States Code, section 402 administered by the Federal Highway Administration, to remain available until expended, **[\$11,000,000] \$2,049,000** to be derived from the Highway Trust Fund: *Provided*, That not to exceed \$100,000 of the amount made available herein shall be available for "Limitation on general operating expenses"; *Provided further*, That none of the funds in this Act shall be available for the planning or execution of programs the obligations for which are in excess of \$11,000,000 in fiscal year 1996 for "Highway-Related Safety Grants". (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-8019-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	11	11	
Budgetary resources available for obligation:			
21.49 Unobligated balance available, start of year: Contract authority	29	18	9
22.00 New budget authority (gross)		2	
22.20 Unobligated balance transferred			-9
23.90 Total budgetary resources available for obligation	29	20	
23.95 New obligations	-11	-11	
24.49 Unobligated balance available, end of year: Contract authority	18	9	
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	11	11	2
40.49 Portion applied to liquidate contract authority	-11	-11	-2
43.00 Appropriation (total)			

Permanent:			
66.10 Contract authority (definite)		2	
70.00 Total new budget authority (gross)		2	
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	10	12	11
72.49 Contract authority	2	2	2
72.99 Total unpaid obligations, start of year	12	14	13
73.10 New obligations	11	11	
73.20 Total outlays (gross)	-9	-12	-8
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation	12	11	6
74.49 Contract authority	2	2	
74.99 Total unpaid obligations, end of year	14	13	6
Outlays (gross), detail:			
86.90 Outlays from new current authority	4	5	
86.93 Outlays from current balances	5	7	8
87.00 Total outlays (gross)	9	12	8
Net budget authority and outlays:			
89.00 Budget authority		2	
90.00 Outlays	9	12	8

Status of Contract Authority (in millions of dollars)

Identification code 69-8019-0-7-401	1995 actual	1996 est.	1997 est.
Unfunded balance, start of year	31	21	12
Contract authority	20	17	0
Contract authority (rescinded)	-20	-15	0
Unobligated balance of contract authority transferred			-10
Appropriation to liquidate contract authority	-11	-11	-2
Unfunded balance, end of year	21	12	0

Note: Totals may not add due to rounding of details.

The Highway Safety Act of 1970 authorized grants to States and communities for implementing and maintaining highway-related safety standards. The Highway-Related Safety Grants program is proposed to be merged with the highway traffic safety programs of the National Highway Traffic Safety Administration in FY 1997. The FY 1997 appropriation request, together with the unpaid balance of cash appropriations, is sufficient to liquidate unpaid obligations of 1996 and earlier years.

MOTOR CARRIER SAFETY GRANTS
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out 49 U.S.C. 31102, **[\$68,000,000] \$74,000,000**, to be derived from the Highway Trust Fund and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of **[\$77,225,000] \$85,000,000** for "Motor Carrier Safety Grants". (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-8048-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Motor carrier grants	73	76	84
00.02 Administration and research	1	1	1
10.00 Total obligations	74	77	85
Budgetary resources available for obligation:			
21.49 Unobligated balance available, start of year: Contract authority	27	36	33

MOTOR CARRIER SAFETY GRANTS—Continued

(LIQUIDATION OF CONTRACT AUTHORIZATION)—Continued

(HIGHWAY TRUST FUND)—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-8048-0-7-401	1995 actual	1996 est.	1997 est.
22.00 New budget authority (gross)	83	74	90
23.90 Total budgetary resources available for obligation	110	110	123
23.95 New obligations	-74	-77	-85
24.49 Unobligated balance available, end of year: Contract authority	36	33	39
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	73	68	74
40.49 Portion applied to liquidate contract authority	-73	-68	-74
43.00 Appropriation (total)			
Permanent:			
66.10 Contract authority (definite)	83	74	90
70.00 Total new budget authority (gross)	83	74	90
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	17	24	17
72.49 Contract authority	28	29	38
72.99 Total unpaid obligations, start of year	45	53	55
73.10 New obligations	74	77	85
73.20 Total outlays (gross)	-65	-75	-79
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation	24	17	12
74.49 Contract authority	29	38	49
74.99 Total unpaid obligations, end of year	53	55	61
Outlays (gross), detail:			
86.90 Outlays from new current authority	21	22	24
86.93 Outlays from current balances	45	53	55
87.00 Total outlays (gross)	65	75	79
Net budget authority and outlays:			
89.00 Budget authority	83	74	90
90.00 Outlays	66	75	79

Status of Contract Authority (in millions of dollars)

Identification code 69-8048-0-7-401	1995 actual	1996 est.	1995 est.
Balance, start of year	55	65	72
Contract authority	83	74	90
Appropriation to liquidate contract authority	-73	-68	-74
Balance, end of year	65	72	88

The Motor Carrier Safety Grant program provides grants to States to enforce Federal and compatible State standards applicable to commercial motor vehicle safety. Uniform safety standards both enhance the coordination of enforcement activities and simplify the safety requirements of interstate trucking parties. The purpose of the grant program is to raise the level of enforcement, not to substitute Federal funds for State and local dollars.

Object Classification (in millions of dollars)

Identification code 69-8048-0-7-401	1995 actual	1996 est.	1997 est.
25.2 Other services	1	1	1
41.0 Grants, subsidies, and contributions	73	76	84
99.9 Total obligations	74	77	85

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 69-9971-0-7-999	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	13	9	8
Receipts:			
02.01 Advances from other Federal agencies, FHA miscellaneous trust, DOT		5	5
02.03 Contributions from States, etc., cooperative work, forest highways, FHA, Miscellaneous trust, DOT		2	2
02.99 Total receipts		7	7
04.00 Total: Balances and collections	13	16	15
Appropriation:			
05.01 Miscellaneous trust funds	-4	-8	-8
07.99 Total balance, end of year	9	8	7

Program and Financing (in millions of dollars)

Identification code 69-9971-0-7-999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Cooperative work, forest highways		2	2
00.02 Technical assistance, U.S. dollars advanced from foreign governments		1	
00.03 Contributions for highway research programs	1		1
00.04 Advances from State cooperating agencies	2	10	5
10.00 Total obligations	3	13	8
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance	4	5	
22.00 New budget authority (gross)	4	8	8
23.90 Total budgetary resources available for obligation	8	13	8
23.95 New obligations	-3	-13	-8
24.40 Unobligated balance available, end of year: Uninvested balance	5		
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	4	8	8
Change in unpaid obligations:			
Unpaid obligations, start of year: Obligated balance:			
72.40 Appropriation	7	2	4
73.10 New obligations	3	13	8
73.20 Total outlays (gross)	-8	-11	-8
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2	4	4
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	4	6	7
86.98 Outlays from permanent balances	4	4	
87.00 Total outlays (gross)	8	11	8
Net budget authority and outlays:			
89.00 Budget authority	4	8	8
90.00 Outlays	8	11	8
Distribution of budget authority by account:			
Cooperative work, forest highways		2	2
Contributions for highway research programs	1	1	1
Advances from State cooperating agencies	4	5	5
Distribution of outlays by account:			
Cooperative work, forest highways	1	2	2
Technical assistance, U.S. dollars advanced from foreign governments		1	1
Contributions for highway research programs		1	1
Advances from State cooperating agencies	6	7	4

Miscellaneous Trust Funds contains the following programs funded out of the highway trust fund and reimbursed by the requesting parties.

Cooperative work, forest highways.—Contributions are received from States and counties in connection with cooperative engineering, survey, maintenance, and construction projects for forest highways.

Technical assistance, U.S. dollars advanced from foreign governments.—The Federal Highway Administration renders technical assistance and acts as agent for the purchase of equipment and materials for carrying out highway programs in foreign countries.

Contributions for highway research programs.—In association with the General Services Administration and the Department of Defense, tests of highway equipment are conducted for the purpose of establishing performance standards upon which to base specifications for use by the Government in purchasing such equipment.

Advances from State cooperating agencies.—Funds are contributed by the State highway departments or local subdivisions thereof for construction and/or maintenance of roads or bridges. The work is performed under the supervision of the Federal Highway Administration.

International highway transportation outreach.—Funds are collected to inform the domestic highway community of technological innovations, promote highway transportation expertise internationally, and increase transfers of transportation technology to foreign countries.

73.10	New obligations	86	64	25
73.20	Total outlays (gross)	-106	-98	-75
73.45	Adjustments in unexpired accounts	-1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	183	149	99
Outlays (gross), detail:				
86.93	Outlays from current balances	106	98	75
87.00	Total outlays (gross)	106	98	75
Net budget authority and outlays:				
89.00	Budget authority	-11		
90.00	Outlays	106	98	75

No further budget authority is requested for 1997. Other accounts in this consolidated schedule show the obligation and outlay amounts made available in prior years. A proposed general provision would limit obligations in 1997.

BUREAU OF TRANSPORTATION STATISTICS

The Intermodal Surface Transportation Efficiency Act (ISTEA) of 1991, section 6006, (49 U.S.C. 111), established in the Department of Transportation a new Bureau of Transportation Statistics. Its Director is appointed by the President with the advice and consent of the Senate to a four-year term.

The work of the Bureau consists of: compiling transportation statistics, implementing a long-term data collection program, issuing guidelines for information collection, coordination of information collection, making statistics accessible, and identifying information needs.

Financing of the Bureau's operations is authorized as contract authority out of the Highway Trust Fund at \$5 million for 1992, \$10 million for 1993, \$15 million for 1994 and 1995, \$20 million for 1996, and \$25 million for 1997. The contract authority is included in the Federal-Aid Highways program and subject to the obligation limitation applicable to that program. Funds are transferred to the Bureau from Federal-Aid Highways, where all obligations and outlays are counted.

Obligations are estimated at \$17.5 million in 1996 and \$24.7 million in 1997. Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriation as follows: Department of Transportation, Federal Highway Administration, Federal-Aid Highways.

The Bureau also includes the Office of Airline Information, formerly the Office of Airline Statistics in the Research and Special Programs Administration. Financing of the Bureau's activities related to airline statistics is proposed to be derived from the Airport and Airway Trust Fund at \$3.1 million for 1997.

Federal Funds

General and special funds:

[BUREAU OF TRANSPORTATION STATISTICS]

[For expenses necessary to conduct activities related to airline statistics, \$2,200,000, of which \$272,000 shall remain available until expended.] (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Object Classification (in millions of dollars)

Identification code 69-9971-0-7-999	1995 actual	1996 est.	1997 est.
25.2 Other services	1	3	3
32.0 Land and structures	2	10	5
99.9 Total obligations	3	13	8

Personnel Summary

Identification code 69-9971-0-7-999	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment		14	14

MISCELLANEOUS HIGHWAY TRUST FUNDS

Program and Financing (in millions of dollars)

Identification code 69-9972-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.02 Intermodal urban demonstration project	6	11	
00.04 Highway safety improvement demonstration project		1	
00.05 Highway-railroad grade crossing safety demonstration project		7	
00.08 Bridge capacity improvement		2	
00.13 Climbing lane and safety demonstration project		1	
00.16 Virginia HOV safety demonstration project	2		
00.17 Urban highway corridor bicycle study		1	
00.18 Urban airport access safety demonstration	4		
00.19 Pennsylvania reconstruction demonstration project		2	
00.22 Trust fund share of other highway programs	8	2	
00.26 Highway projects	65	34	
00.30 Mincola grade crossing		3	
00.31 Undistributed obligations			25
10.00 Total obligations (object class 41.0)	86	64	25
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	287	191	128
22.00 New budget authority (gross)	-11		
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	277	191	128
23.95 New obligations	-86	-64	-25
24.40 Unobligated balance available, end of year: Uninvested balance	191	128	103
New budget authority (gross), detail:			
40.36 Unobligated balance rescinded	-11		
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	204	183	149

Program and Financing (in millions of dollars)

Identification code 69-0305-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations		2	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		2	
23.95 New obligations		-2	

General and special funds—Continued

[BUREAU OF TRANSPORTATION STATISTICS]—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0305-0-1-407	1995 actual	1996 est.	1997 est.
New budget authority (gross), detail:			
40.00 Appropriation		2	
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation			1
73.10 New obligations		2	
73.20 Total outlays (gross)	-1	-1	
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation		1	
Outlays (gross), detail:			
86.90 Outlays from new current authority		1	
86.93 Outlays from current balances			1
87.00 Total outlays (gross)		1	1
Net budget authority and outlays:			
89.00 Budget authority		2	
90.00 Outlays		1	1

This appropriation finances the cost of collection, analysis, and disbursement of data information relating to the programs, services, and operations of the Office of Airline Information.

Object Classification (in millions of dollars)

Identification code 69-0305-0-1-407	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent		1	
25.2 Other services		1	
99.9 Total obligations		2	

Personnel Summary

Identification code 69-0305-0-1-407	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	26	45	

Trust Funds

AVIATION STATISTICS

(AIRPORT AND AIRWAY TRUST FUND)

For expenses necessary to conduct activities related to airline statistics, \$3,100,000, to be derived from the Airport and Airway Trust Fund, of which \$800,000 shall remain available until expended.

Program and Financing (in millions of dollars)

Identification code 69-8392-0-7-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations			3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			3
23.95 New obligations			-3
New budget authority (gross), detail:			
40.26 Highway trust fund			3
Change in unpaid obligations:			
73.10 New obligations			3
73.20 Total outlays (gross)			-2
Outlays (gross), detail:			
86.90 Outlays from new current authority			2
87.00 Total outlays (gross)			2

Net budget authority and outlays:			
89.00 Budget authority			3
90.00 Outlays			2

This appropriation finances the cost of collection, analysis, and disbursement of data information relating to the programs, services, and operations of the Office of Airline Information.

Object Classification (in millions of dollars)

Identification code 69-8392-0-7-407	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent			1
25.2 Other services			1
99.5 Below reporting threshold			1
99.9 Total obligations			3

Personnel Summary

Identification code 69-8392-0-7-407	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment			60

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

The programs administered by the National Highway Traffic Safety Administration (NHTSA) are authorized by four separate laws: The National Traffic and Motor Vehicle Safety Act, the Highway Safety Act, the Motor Vehicle Information and Cost Savings Act and the National Highway Traffic Safety Administration Authorization Act of 1991. The following table shows the funding for NHTSA programs.

[In millions of dollars]

	1995 actual	1996 est.	1997 est.
Budget authority:			
Operations and research	79	72	99
Operations and research (Highway trust fund)	47	51	60
Highway traffic safety grants	196	175	191
Total budget authority	322	298	350
Program level (obligations):			
Operations and research	78	85	99
Operations and research (Highway trust fund)	47	51	60
Highway traffic safety grants	151	155	194
Total program level	276	291	353
Outlays:			
Operations and research	111	73	85
Operations and research (Highway trust fund)	6	77	75
Highway traffic safety grants	161	152	171
Total outlays	278	302	331

Federal Funds

General and special funds:

OPERATIONS AND RESEARCH

For expenses necessary to discharge the functions of the Secretary with respect to traffic and highway safety under part C of subtitle VI of title 49, United States Code, and chapter 301 of title 49, United States Code, [\$73,316,570, of which \$37,825,850 shall] \$98,976,000, to remain available until September 30, [1998: Provided, That none of the funds appropriated by this Act may be obligated or expended to plan, finalize, or implement any rulemaking to add to section 575.104 of title 49 of the Code of Federal Regulations any requirement pertaining to a grading standard that is different from the three grading standards (treadwear, traction, and temperature resistance) already in effect] 1999. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)			
Identification code 69-0650-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Safety performance standards	11	12	14
00.02 Safety assurance	18	18	20
00.03 Highway safety programs	34	39	43
00.04 Research and analysis	50	54	68
00.05 Office of the Administrator	4	4	4
00.06 General administration	9	9	9
00.91 Total direct program	126	136	158
01.01 Reimbursable program	22	23	29
10.00 Total obligations	148	159	187
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	14	14	
22.00 New budget authority (gross)	148	145	187
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	163	159	187
23.95 New obligations	-148	-159	-187
24.40 Unobligated balance available, end of year:			
Uninvested balance	14		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	79	74	99
40.75 Reduction pursuant to P.L. 104-50		-2	
43.00 Appropriation (total)	79	72	99
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	69	73	88
70.00 Total new budget authority (gross)	148	145	187
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	54	21	34
73.10 New obligations	148	159	187
73.20 Total outlays (gross)	-180	-146	-172
73.40 Adjustments in expired accounts	1		
73.45 Adjustments in unexpired accounts	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	21	34	48
Outlays (gross), detail:			
86.90 Outlays from new current authority	46	42	57
86.93 Outlays from current balances	65	31	27
86.97 Outlays from new permanent authority	69	73	88
87.00 Total outlays (gross)	180	146	172
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-69	-73	-88
Net budget authority and outlays:			
89.00 Budget authority	79	72	99
90.00 Outlays	111	73	84

Programs funded under the Operations and Research appropriation are described below.

Safety Performance Standards (Rulemaking) Programs.—Supports the promulgation of Federal motor vehicle safety standards for motor vehicles, and safety-related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act; international harmonization of vehicle standards; theft and consumer information on motor vehicle safety, including the New Car Assessment Program. The budget also includes funding for a Fuel Economy Environmental Impact Statement. The agency's Strategic Plan and regulatory provisions will continue to guide the vehicle safety program in 1997.

Safety Assurance (Enforcement) Programs.—Provides support to ensure compliance with motor vehicle safety and auto-

motive fuel economy standards, investigate safety-related motor vehicle defects, enforce Federal and State Odometer law, conduct recalls when warranted, obtain safety information via the Auto Safety Hotline and monitor domestic content labeling requirements of the Automobile Labeling Act.

Research and Analysis.—Provides motor vehicle safety research and development in support of all NHTSA programs, including the collection and analysis of data to identify safety problems, develop alternative solutions, and assess costs, benefits and effectiveness. Research will continue to concentrate on improving vehicle crashworthiness and crash avoidance. The 1997 budget includes funds to continue a national crash data collection program and to improve problem identification, regulatory reform and program evaluation activities as well as an occupant protection survey. New grants will be offered to states desiring to link crash and health care data for determining the true costs of traffic crashes. Continued funding is provided for biomechanics research and the fabrication, test, and validation phase for the national advanced driving simulator at the University of Iowa. Funding is also provided to support the Administration's Partnership for a New Generation of Vehicles (PNGV) initiative. Support of NHTSA's Intelligent Transportation Systems (ITS) program will be provided by funds to be transferred from the Federal Highway Administration. Resources will also be provided to support the Vehicle Research Test Center (VRTC).

Object Classification (in millions of dollars)			
Identification code 69-0650-0-1-401	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	33	34	34
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	35	36	36
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	2	2
24.0 Printing and reproduction	2	2	1
25.2 Other services	28	30	34
25.4 Operation and maintenance of facilities	20	25	30
25.5 Research and development contracts	21	34	48
26.0 Supplies and materials	6		
31.0 Equipment	5		
99.0 Subtotal, direct obligations	126	136	158
99.0 Reimbursable obligations	22	23	29
99.9 Total obligations	148	159	187

Personnel Summary			
Identification code 69-0650-0-1-401	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	642	653	632
1005 Full-time equivalent of overtime and holiday hours	2	2	2

Trust Funds

OPERATIONS AND RESEARCH

(HIGHWAY TRUST FUND)

For expenses necessary to discharge the functions of the Secretary with respect to traffic and highway safety under 23 U.S.C. 403 and section 2006 of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102-240), to be derived from the Highway Trust Fund, [S\$1,884,430, of which \$32,247,000 shall] \$59,537,000, to remain available until September 30, [1998] 1999. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

OPERATIONS AND RESEARCH—Continued
(HIGHWAY TRUST FUND)—Continued
Program and Financing (in millions of dollars)

Identification code 69-8016-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.3)	47	51	60
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	47	51	60
23.95 New obligations	-47	-51	-60
New budget authority (gross), detail:			
40.26 Appropriation (trust fund, definite)	47	52	60
40.75 Reduction pursuant to P.L. 104-50		-1	
43.00 Appropriation (total)	47	51	60
70.00 Total new budget authority (gross)	47	51	60
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance	68	109	83
73.10 New obligations	47	51	60
73.20 Total outlays (gross)	-6	-77	-75
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance	109	83	68
Outlays (gross), detail:			
86.90 Outlays from new current authority	6	30	35
86.93 Outlays from current balances		47	40
87.00 Total outlays (gross)	6	77	75
Net budget authority and outlays:			
89.00 Budget authority	47	51	60
90.00 Outlays	6	77	75

This account represents the portion of the Operations and Research appropriation authorized under 23 U.S.C. 403, as well as the Drug Recognition Expert Training Program, financed from the Highway Trust Fund for the following activities:

Highway Safety Programs.—Provides for demonstrations, technical assistance and national leadership for highway safety programs conducted by State and local governments, the private sector, universities and research units, and various safety associations and organizations. This assistance includes demonstration programs emphasizing alcohol and drug countermeasures, occupant protection, traffic law enforcement, emergency medical and trauma care systems, traffic records and licensing, State and community evaluation, motorcycle riders, pedestrian/bicycle safety and young and older driver safety programs. Provides funding for three initiatives: a Safe Communities demonstration providing grants to communities and injury prevention centers to develop and manage local injury prevention programs; a new technical assistance initiative for state program evaluation; and a railroad-highway safety demonstration grant program. The Department has set two important traffic safety program goals for the nation: reduce alcohol-related traffic fatalities to no more than 11,000 by the year 2005 (with a near-term goal of 15,075 by 1997) and increase safety belt use to 75 percent by 1997. Provides for highway safety research on new countermeasures to improve safety belt usage, to prevent alcohol impaired driving, and to reduce unsafe driving speeds. Research also addresses young and older driver safety, and driver education. A new research initiative in state and community program effectiveness is also proposed.

Research and analysis.—Provides support for the collection and analysis of data to determine the relationship between driver performance characteristics and crashes causing death and injury.

HIGHWAY TRAFFIC SAFETY GRANTS
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(HIGHWAY TRUST FUND)

For payment of obligations incurred carrying out the provisions of 23 U.S.C. 153, 402, 408, and 410, chapter 303 of title 49, United States Code, and section 209 of Public Law 95-599, as amended, to remain available until expended, **[\$155,100,000] \$191,000,000**, to be derived from the Highway Trust Fund: *Provided*, That, notwithstanding subsection 2009(b) of the Intermodal Surface Transportation Efficiency Act of 1991, none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year 1996, are in excess of **[\$155,100,000] \$193,600,000** for programs authorized under 23 U.S.C. 402 and 410, as amended, of which **[\$127,700,000] \$151,200,000** shall be for "State and community highway safety grants", \$2,400,000 shall be for the "National Driver Register" **[subject to authorization] as authorized by 49 U.S.C. 30308(a), \$15,000,000 shall be for highway safety grants as authorized by section 1003(a)(7) of Public Law 102-240, and \$25,000,000 shall be for section 410 "Alcohol-impaired driving counter-measures programs":** *Provided further*, That none of these funds shall be used for construction, rehabilitation or remodeling costs, or for office furnishings and fixtures for State, local, or private buildings or structures: *Provided further*, That not to exceed **[\$5,211,000] \$5,268,000** of the funds made available for section 402 may be available for administering "State and community highway safety grants": *Provided further*, That not to exceed **\$150,000** of the funds made available for section 402 may be available for administering the highway safety grants authorized by section 1003(a)(7) of Public Law 102-240: *Provided further*, That the unobligated balances of the appropriation "Highway-Related Safety Grants" shall be transferred to and merged with this "Highway Traffic Safety Grants" appropriation: *Provided further*, That not to exceed \$500,000 of the funds made available for section 410 "Alcohol-impaired driving counter-measures programs" shall be available for technical assistance to the States: *Provided further*, That not to exceed \$890,000 of the funds made available for the "National Driver Register" may be available for administrative expenses]. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Identification code 69-8020-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Section 402 formula grants	123	128	167
00.03 Section 410 incentive grants	25	25	25
00.04 National driver register	3	2	2
10.00 Total obligations	151	155	194
Budgetary resources available for obligation:			
21.49 Unobligated balance available, start of year: Contract authority	1	45	65
22.00 New budget authority (gross)	196	175	191
22.20 Unobligated balance transferred			9
23.90 Total budgetary resources available for obligation	197	220	265
23.95 New obligations	-151	-155	-194
24.49 Unobligated balance available, end of year: Contract authority	45	65	71
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	151	155	191
40.49 Portion applied to liquidate contract authority	-151	-155	-191
43.00 Appropriation (total)			
Permanent:			
66.10 Contract authority (definite)	196	175	191
70.00 Total new budget authority (gross)	196	175	191
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	21	11	14
72.49 Contract authority	127	127	127
72.99 Total unpaid obligations, start of year	148	138	141
73.10 New obligations	151	155	194
73.20 Total outlays (gross)	-161	-152	-171

Unpaid obligations, end of year:				
Obligated balance:				
74.40	Appropriation	11	14	34
74.49	Contract authority	127	127	130
74.99	Total unpaid obligations, end of year	138	141	164
Outlays (gross), detail:				
86.90	Outlays from new current authority	62	64	80
86.93	Outlays from current balances	99	88	91
87.00	Total outlays (gross)	161	152	171
Net budget authority and outlays:				
89.00	Budget authority	196	175	191
90.00	Outlays	161	152	171
Distribution of budget authority:				
	Section 402 formula grants	168	147	163
	Section 410 incentive grants	25	25	25
	National Driver Register	3	3	3
Distribution of outlays:				
	Section 402 formula grants	133	126	142
	Section 408 incentive grants	9	9	10
	Section 410 incentive grants	7	6	7
	Section 153 incentive grants	9	8	9
	National Driver Register	3	3	3

Status of Contract Authority (in millions of dollars)

Identification code 69-8020-0-7-401	1995 actual	1996 est.	1997 est.
Unfunded balance, start of year	123	168	188
Contract authority (P.L. 102-240, P.L. 102-388)	196	175	191
Unobligated Balance Transfer			10
Appropriation to liquidate contract authority	-151	-155	-191
Unfunded balance, end of year	168	188	198

Section 402 formula grants.—Grant allocations are determined on the basis of a statutory formula established by Congress under 23 U.S.C. 402. States use this funding for reducing traffic crashes, fatalities, and injuries. The grants are used to support State highway safety programs within national priorities established by Congress and implemented jointly with all members of the highway safety community. States develop plans to manage use of grants to reduce death and injury associated with excessive speeds, failure to use occupant restraints, alcohol/drug impaired driving and roadway safety. Grants are also devoted to improvements in safety of motorcyclists, pedestrians, bicyclists and older/younger drivers, and emergency medical services/trauma care, school bus safety, and better traffic records systems. In 1997, funding for the Safe Communities initiative will continue community-based efforts to mount multi-year injury prevention programs through locally based partnerships. In FY 1997 the Highway-Related Safety Grants program of the Federal Highway Administration (FHWA) will be merged into the National Highway Traffic Safety Administration (NHTSA) Section 402 formula grants program. NHTSA proposes to spend \$15 million on programs previously funded by FHWA for roadway safety.

Section 410 incentive grants.—Alcohol-impaired driving countermeasure grants are provided to States that qualify by adopting laws and program measures specified by Congress—to reduce safety problems stemming from driving while impaired by alcohol and other drugs. Basic grants are issued for achieving criteria that include administrative driver license actions within stated timeframes, lower blood-alcohol content (BAC) laws, statewide police roadside checkpoints, effective under age 21 impairment deterrence, mandatory sentences for repeat offenders, and programs that are financially self-sufficient. Supplemental grants are provided to States that adopt additional specified measures, including .02 BAC laws for under-21 drivers, license plate confiscation, laws

against open alcohol containers in vehicles and mandatory BAC testing by police of suspected DWI offenders.

National Driver Register.—NDR program funding is provided to improve traffic safety by assisting State motor vehicle administrators in communicating effectively and efficiently with other States to identify drivers whose licenses have been suspended or revoked for serious traffic offenses including impaired driving and hit and run. States are completing conversion to an electronic inquiry system (Problem Driver Point-er System) developed pursuant to the National Driver Register Act of 1982. The new communication system will accelerate information exchanges among States and increase the accuracy of data used in State driver licensing decisions.

Object Classification (in millions of dollars)

Identification code 69-8020-0-7-401	1995 actual	1996 est.	1997 est.
25.2 Other services	6	6	6
41.0 Grants, subsidies, and contributions	145	149	188
99.9 Total obligations	151	155	194

FEDERAL RAILROAD ADMINISTRATION

The following tables show the funding for all Federal Railroad Administration programs:

[In millions of dollars]

Budget authority:	1995 actual	1996 est.	1997 est.
Office of the Administrator	16	14	17
Local rail freight assistance	10		
Railroad safety	48	49	52
Railroad research and development	20	24	25
Northeast corridor high-speed rail infrastructure program	195	116	210
High-speed rail trainsets and facilities			80
Railroad rehabilitation activities		10	
Grants to the National Railroad Passenger Corporation	794	635	639
Amtrak corridor improvement loans (liquidating account)	-1	-1	-1
Railroad rehabilitation and improvement (liquidating account)	-9	-7	-7
Trust fund share of next generation high-speed rail ¹	-145	4	
Next generation high-speed rail	20	19	27
Total budget authority	948	863	1,042

¹Contract authority.

[In millions of dollars]

Program level (obligations):	1995 actual	1996 est.	1997 est.
Office of the Administrator	23	20	17
Local rail freight assistance	12		
Railroad safety	48	49	52
Railroad research and development	23	28	25
Northeast corridor high-speed rail infrastructure program	205	120	210
High-speed rail trainsets and facilities			80
Railroad rehabilitation activities		10	
Grants to the National Railroad Passenger Corporation	882	713	639
Trust fund share of next generation high-speed rail ¹	5	5	1
Next generation high-speed rail	19	20	27
Total program level	1,217	965	1,051

¹Obligation limitation.

[In millions of dollars]

Outlays:	1995 actual	1996 est.	1997 est.
Office of the Administrator	19	25	19
Local rail freight assistance	16	13	11
Railroad safety	46	45	53
Railroad research and development	21	30	24
Conrail commuter transition assistance	1	2	13
Northeast corridor high-speed rail infrastructure program	127	224	271
High-speed rail trainsets and facilities			16
Penn Station redevelopment project	6		1
Railroad rehabilitation activities		4	6
Grants to the National Railroad Passenger Corporation	806	550	600
Amtrak corridor improvement Loans (liquidating account)	-1	-1	-1
Railroad rehabilitation and improvement (liquidating account)	-9	-7	-7
Trust fund share of next generation high-speed rail		7	8
Next generation high-speed rail	3	15	25

	1995 actual	1996 est.	1997 est.
Total outlays	1,035	907	1,039

Federal Funds

General and special funds:

OFFICE OF THE ADMINISTRATOR

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, [\$14,018,000] \$16,883,000 of which [\$1,508,000] \$1,323,000 shall remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of a program making commitments to guarantee new loans under the Emergency Rail Services Act of 1970, as amended, and no new commitments to guarantee loans under section 211(a) or 211(h) of the Regional Rail Reorganization Act of 1973, as amended, shall be made: *Provided further*, That, as part of the Washington Union Station transaction in which the Secretary assumed the first deed of trust on the property and, where the Union Station Redevelopment Corporation or any successor is obligated to make payments on such deed of trust on the Secretary's behalf, including payments on and after September 30, 1988, the Secretary is authorized to receive such payments directly from the Union Station Redevelopment Corporation, credit them to the appropriation charged for the first deed of trust, and make payments on the first deed of trust with those funds: *Provided further*, That such additional sums as may be necessary for payment on the first deed of trust may be advanced by the Administrator from unobligated balances available to the Federal Railroad Administration, to be reimbursed from payments received from the Union Station Redevelopment Corporation. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0700-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Salaries and expenses	18	16	15
00.02 Contract support	1	1	1
00.03 Washington Union Station		1	
00.04 Local rail freight assistance	3		
00.05 Alaska railroad liabilities	1	2	1
00.91 Total, direct program	23	20	17
01.01 Reimbursable program		1	1
10.00 Total obligations	23	21	18
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	12	6	
22.00 New budget authority (gross)	16	14	18
22.10 Resources available from recoveries of prior year obligations	1		
23.90 Total budgetary resources available for obligation	29	20	18
23.95 New obligations	-23	-21	-18
24.40 Unobligated balance available, end of year:			
Uninvested balance	6		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	13	14	17
42.00 Transferred from other accounts	3		
43.00 Appropriation (total)	16	14	17
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1	1
70.00 Total new budget authority (gross)	16	14	18
Change in unpaid obligations:			
74.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	9	12	6
73.10 New obligations	23	21	18
73.20 Total outlays (gross)	-19	-26	-21
73.45 Adjustments in unexpired accounts	-1		

74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	12	6	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	12	13	15
86.93 Outlays from current balances	7	13	5
87.00 Total outlays (gross)	19	26	21
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-1	-1
Net budget authority and outlays:			
89.00 Budget authority	16	14	17
90.00 Outlays	20	25	20

The Office of the Administrator is authorized in the Department of Transportation Act (P.L. 88-670). The programs under this account are:

Salaries and expenses.—Provides the administrative and policy support for all FRA activities and the technical support for the passenger and freight programs funded under the Office of the Administrator.

Contract support.—Provides support for policy oriented economic, industry, and systems analysis.

Washington Union Station.—The Department of Transportation purchased Washington Union Station on November 1, 1988. Receipts are estimated to cover the mortgage payments in 1996 and 1997.

Alaska Railroad liabilities.—Provides reimbursement to the Department of Labor for compensation payments to former Federal employees of the Alaska Railroad who were on the rolls during the period of Federal ownership and support for clean-up activities at hazardous waste sites located at properties once owned by the FRA. The FY 1997 request is for workers' compensation.

Object Classification (in millions of dollars)

Identification code 69-0700-0-1-401	1995 actual	1996 est.	1997 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	10	11	10
12.1 Civilian personnel benefits	3	3	3
25.2 Other services	3	5	2
26.0 Supplies and materials	1		
31.0 Equipment	1		
41.0 Grants, subsidies, and contributions	3	1	
99.0 Subtotal, direct obligations	21	20	15
99.0 Reimbursable obligations		1	1
99.5 Below reporting threshold	2		2
99.9 Total obligations	23	21	18

Personnel Summary

Identification code 69-0700-0-1-401	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	168	168	160

LOCAL RAIL FREIGHT ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 69-0714-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	12		
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1		
22.00 New budget authority (gross)	10		
23.90 Total budgetary resources available for obligation	11		

23.95	New obligations	-12		
New budget authority (gross), detail:				
40.00	Appropriation	10		
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	35	30	17
73.10	New obligations	12		
73.20	Total outlays (gross)	-16	-13	-11
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	30	17	6
Outlays (gross), detail:				
86.90	Outlays from new current authority	10		
86.93	Outlays from current balances	6	13	11
87.00	Total outlays (gross)	16	13	11
Net budget authority and outlays:				
89.00	Budget authority	10		
90.00	Outlays	16	13	11

This program provided discretionary and flat-rate grants to States for rail planning, and for acquisition, track rehabilitation, and rail facility construction with respect to light density freight lines. No funds are requested for this account in 1997.

RAILROAD SAFETY

For necessary expenses in connection with railroad safety, not otherwise provided for, **[\$49,919,000] \$51,864,000**, of which **[\$2,687,000] \$2,476,000** shall remain available until expended: *Provided, That notwithstanding any other law, funds appropriated under this heading are available for the reimbursement of out-of-state travel and per diem costs incurred by employees of state governments directly supporting the Federal railroad safety program, including regulatory development and compliance-related activities. (Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 69-0702-0-1-401	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
Direct program:				
00.01	Federal enforcement	36	37	40
00.02	Automated track inspection program	2	1	1
00.03	Safety regulation and program administration	10	11	11
10.00	Total obligations	48	49	52
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	48	49	52
23.95	New obligations	-48	-49	-52
New budget authority (gross), detail:				
40.00	Appropriation	48	49	52
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	7	8	11
73.10	New obligations	48	49	52
73.20	Total outlays (gross)	-46	-46	-53
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	8	11	10
Outlays (gross), detail:				
86.90	Outlays from new current authority	41	39	42
86.93	Outlays from current balances	5	6	11
87.00	Total outlays (gross)	46	46	53
Net budget authority and outlays:				
89.00	Budget authority	48	49	52
90.00	Outlays	46	46	53

The Federal role in the Railroad Safety program is to protect railroad employees and the public by ensuring the safe

operation of passenger and freight trains. The authority to accomplish this role is found in Subtitle V of Title 49, United States Code. The programs of the Railroad Safety appropriation are grouped under three major activities.

Federal enforcement.—Provides support for the field staff of safety inspectors and clerical positions located in eight regional offices throughout the United States. This staff is responsible for the enforcement of Federal safety regulations and standards.

Automated track inspection program.—Provides support for vehicles which are used to survey Class I and regional and shortline routes for track maintenance and rehabilitation.

Safety regulation and program administration.—Provides support for safety headquarters which issues standards, procedures, and regulations, administers post-accident and random testing of railroad employees, provides technical training and manages highway-rail grade crossing projects.

Object Classification (in millions of dollars)

Identification code 69-0702-0-1-401	1995 actual	1996 est.	1997 est.	
11.1	Personnel compensation: Full-time permanent	27	29	30
12.1	Civilian personnel benefits	7	8	8
21.0	Travel and transportation of persons	5	5	6
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services	5	5	6
31.0	Equipment	2	1	1
99.5	Below reporting threshold	1		
99.9	Total obligations	48	49	52

Personnel Summary

Identification code 69-0702-0-1-401	1995 actual	1996 est.	1997 est.	
Total compensable workyears:				
1001	Full-time equivalent employment	527	543	543
1005	Full-time equivalent of overtime and holiday hours	2	2	2

RAILROAD RESEARCH AND DEVELOPMENT

For necessary expenses for railroad research and development, **[\$24,550,000] \$24,565,000**, to remain available until expended. *(Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 69-0745-0-1-401	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
Direct program:				
00.01	Equipment, operations, and hazardous materials	7	7	6
00.02	Track, structures and train control	9	8	9
00.03	Safety of high speed ground transportation	4	10	7
00.05	Research and development facilities	1	1	1
00.06	Administration	2	2	2
00.91	Total direct program	23	28	25
01.01	Reimbursable program		2	2
10.00	Total obligations	23	30	27
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance	7	4	
22.00	New budget authority (gross)	20	26	27
23.90	Total budgetary resources available for obligation	27	30	27
23.95	New obligations	-23	-30	-27
24.40	Unobligated balance available, end of year:			
	Uninvested balance	4		
New budget authority (gross), detail:				
Current:				
40.00	Appropriation	20	24	25
Permanent:				
68.00	Spending authority from offsetting collections: Off-setting collections (cash)		2	2

General and special funds—Continued

RAILROAD RESEARCH AND DEVELOPMENT—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-0745-0-1-401	1995 actual	1996 est.	1997 est.
70.00 Total new budget authority (gross)	20	26	27
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	23	25	21
73.10 New obligations	23	30	27
73.20 Total outlays (gross)	-21	-34	-27
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	25	21	20
Outlays (gross), detail:			
86.90 Outlays from new current authority	7	14	15
86.93 Outlays from current balances	14	18	11
87.00 Total outlays (gross)	21	34	27
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-2	-2
Net budget authority and outlays:			
89.00 Budget authority	20	24	25
90.00 Outlays	21	32	25

The objective of the Railroad Research and Development (R&D) program is to provide science and technology support for rail safety rulemaking and enforcement and to stimulate technological advances in conventional and high-speed railroads. This activity is conducted with the cooperation and some cost-sharing from private sector organizations.

Equipment, operations and hazardous materials research.—Provides for research in safety and performance improvements to freight and passenger equipment, operating practices and hazardous materials.

Track, structures and train control.—Provides for research in safety and performance improvements to track structure, track components, railroad bridge and tunnel structures, signal and train control, and track-vehicle interaction.

Safety of high-speed ground transportation.—Provides for research in the development of safety performance standards, technological advances, and the conduct of safety and environmental assessments for new high-speed ground transportation systems.

R&D facilities.—Provides support for the Transportation Test Center (TTC) near Pueblo, Colorado, which is a government-owned, contractor-operated facility. The Association of American Railroads (AAR) is the private operator under a contract for care, custody and control.

Administration.—Provides support for the salaries and related administrative expenses of the Office of Research and Development.

Object Classification (in millions of dollars)

Identification code 69-0745-0-1-401	1995 actual	1996 est.	1997 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services	7	5
25.5 Research and development contracts	13	21	22
41.0 Grants, subsidies, and contributions	1	1	1
99.0 Subtotal, direct obligations	22	28	24
99.0 Reimbursable obligations		2	2
99.5 Below reporting threshold	1	1
99.9 Total obligations	23	30	27

Personnel Summary

Identification code 69-0745-0-1-401	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	17	20	19

CONRAIL COMMUTER TRANSITION ASSISTANCE

Program and Financing (in millions of dollars)

Identification code 69-0747-0-1-401	1995 actual	1996 est.	1997 est.
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	21	20	18
73.10 New obligations			
73.20 Total outlays (gross)	-1	-2	-13
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	20	18	4
Outlays (gross), detail:			
86.93 Outlays from current balances	1	2	13
87.00 Total outlays (gross)	1	2	13
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	1	2	13

These funds helped to defray the one-time-only start-up costs of commuter service and other transition expenses connected with the transfer of rail commuter services from Conrail to other operators. Between 1986 and 1993, funds were appropriated to fund commuter rail and bridge improvements in the Philadelphia, Pennsylvania region. No additional funds are requested in 1997.

NORTHEAST CORRIDOR [IMPROVEMENT] HIGH-SPEED RAIL INFRASTRUCTURE PROGRAM

For necessary expenses related to Northeast Corridor improvements authorized by title VII of the Railroad Revitalization and Regulatory Reform Act of 1976, as amended (45 U.S.C. 851 et seq.) and 49 U.S.C. 24909, **[\$115,000,000] \$200,000,000**, to remain available until September 30, **[1998] 1999**. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

RHODE ISLAND RAIL DEVELOPMENT

For the costs associated with construction of a third track on the Northeast Corridor between Davisville and Central Falls, Rhode Island, with sufficient clearance to accommodate double stack freight cars, **[\$1,000,000] \$10,000,000** to be matched by the State of Rhode Island or its designee on a dollar for dollar basis and to remain available until expended: *Provided*, That as a condition of accepting such funds, the Providence and Worcester (P&W) Railroad shall enter into an agreement with the Secretary to reimburse Amtrak and/or the Federal Railroad Administration, on a dollar for dollar basis, up to the first **[\$6,000,000] \$16,000,000** in damages resulting from the legal action initiated by the P&W Railroad under its existing contracts with Amtrak relating to the provision of vertical clearances between Davisville and Central Falls in excess of those required for present freight operations. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-9914-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Northeast Corridor improvement program	200	119	200
00.02 Rhode Island Rail development program	5	1	10
10.00 Total obligations	205	120	210
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	14	4

22.00	New budget authority (gross)	195	116	210
23.90	Total budgetary resources available for obligation	209	120	210
23.95	New obligations	-205	-120	-210
24.40	Unobligated balance available, end of year: Uninvested balance	4		
New budget authority (gross), detail:				
40.00	Appropriation	195	116	210
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	449	528	424
73.10	New obligations	205	120	210
73.20	Total outlays (gross)	-127	-224	-271
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	528	424	363
Outlays (gross), detail:				
86.90	Outlays from new current authority	56	23	42
86.93	Outlays from current balances	71	201	229
87.00	Total outlays (gross)	127	224	271
Net budget authority and outlays:				
89.00	Budget authority	195	116	210
90.00	Outlays	127	224	271

(In millions of dollars)

Distribution of budget authority by account:				
		FY 1995	FY 1996	FY 1997
	Northeast Corridor Improvement Program	190	115	200
	Rhode Island Rail Development	5	1	10
	Total Budget Authority	195	116	210
Distribution of outlays by account:				
		FY 1995	FY 1996	FY 1997
	Northeast Corridor Improvement Program	127	223	266
	Rhode Island Rail Development	0	1	5
	Total Outlays	127	224	271

This program funds capital improvements in the Northeast Corridor. This includes the upgrading of passenger rail service between Washington, D.C. and Boston, MA, and the construction of a third rail line and related costs between Davisville and Central Falls, RI. These accounts will continue to be funded under separate appropriations, and are being displayed in a consolidated format to enhance presentation. Detailed information will be provided in the Federal Railroad Administration's budget justification.

Object Classification (in millions of dollars)

Identification code 69-9914-0-1-401				
		1995 actual	1996 est.	1997 est.
25.2	Other services		4	
41.0	Grants, subsidies, and contributions	205	116	210
99.9	Total obligations	205	120	210

HIGH-SPEED RAIL TRAINSETS AND FACILITIES

For the National Railroad Passenger Corporation, \$80,000,000, to remain available until September 30, 1999, to pursue public/private partnerships for high-speed rail trainset and maintenance facility financing arrangements.

Program and Financing (in millions of dollars)

Identification code 69-0755-0-1-401				
		1995 actual	1996 est.	1997 est.
Obligations by program activity:				
10.00	Total obligations (object class 41.0)			80
Budgetary resources available for obligation:				
22.00	New budget authority (gross)			80
23.95	New obligations			-80
New budget authority (gross), detail:				
40.00	Appropriation			80
Change in unpaid obligations:				
73.10	New obligations			80

73.20	Total outlays (gross)			-16
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation			64
Outlays (gross), detail:				
86.90	Outlays from new current authority			16
87.00	Total outlays (gross)			16
Net budget authority and outlays:				
89.00	Budget authority			80
90.00	Outlays			16

Amtrak, the National Railroad Passenger Corporation, is planning to acquire trainsets specially designed to offer enhanced high-speed (150 mph) service on the Northeast Corridor from Washington, DC, to Boston, Massachusetts. Funds provided by this appropriation will help finance the acquisition of the trainsets and related maintenance facilities.

PENNSYLVANIA STATION REDEVELOPMENT PROJECT

Program and Financing (in millions of dollars)

Identification code 69-0723-0-1-401				
		1995 actual	1996 est.	1997 est.
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	8	2	2
73.10	New obligations			
73.20	Total outlays (gross)	-6		-1
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	2	2	1
Outlays (gross), detail:				
86.93	Outlays from current balances	6		1
87.00	Total outlays (gross)	6		1
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	6		1

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building as a train station and commercial center, and basic upgrades to Penn Station. Funding for this project is included in the Grants to the National Railroad Passenger Corporation appropriation in fiscal years 1995 through 1997.

[ALASKA RAILROAD REHABILITATION] RAILROAD REHABILITATION ACTIVITIES

[To enable the Secretary of Transportation to make grants to the Alaska Railroad, \$10,000,000 shall be for capital rehabilitation and improvements benefiting its passenger operations.] (Department of Transportation and Related Agencies Appropriations Act, 1996.)

RAILROAD REHABILITATION AND IMPROVEMENT PROGRAM ACCOUNT

The Secretary of Transportation is authorized to issue to the Secretary of the Treasury notes or other obligations pursuant to section 512 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, in such amounts and at such times as may be necessary to pay any amounts required pursuant to the guarantee of the principal amount of obligations under sections 511 through 513 of such Act, such authority to exist as long as any such guaranteed obligation is outstanding: *Provided*, That no new loan guarantee commitments shall be made during fiscal year [1996] 1997. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

General and special funds—Continued

RAILROAD REHABILITATION AND IMPROVEMENT PROGRAM ACCOUNT—Continued

Program and Financing (in millions of dollars)

Identification code 69-0730-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)		10	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		10	
23.95 New obligations		-10	
New budget authority (gross), detail:			
40.00 Appropriation		10	
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation			6
73.10 New obligations		10	
73.20 Total outlays (gross)		-4	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation		6	
Outlays (gross), detail:			
86.90 Outlays from new current authority		4	
86.93 Outlays from current balances			6
87.00 Total outlays (gross)		4	6
Net budget authority and outlays:			
89.00 Budget authority		10	
90.00 Outlays		4	6

Data above includes funds for the Alaska Railroad Rehabilitation account, and the Railroad Rehabilitation and Improvement and Amtrak Corridor Improvement Loans program accounts. These accounts were funded under separate appropriations, and are being displayed in a consolidated format to enhance presentation. The Alaska Railroad Rehabilitation account provided a direct payment to a for-profit State-run railroad. The remaining two accounts are loan administration accounts. No funding is requested in FY 1997 for any of these accounts. Detailed information will be provided in the Federal Railroad Administration's budget justification.

**GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION
[INCLUDING TRANSFER OF FUNDS]**

To enable the Secretary of Transportation to make grants to the National Railroad Passenger Corporation authorized by 49 U.S.C. 24104, **[\$635,000,000] \$638,500,000**, to remain available until expended, of which **[\$305,000,000] \$342,000,000** shall be available for operating losses and for mandatory passenger rail service payments, **[\$100,000,000]** shall be for transition costs incurred by the Corporation, and **[\$230,000,000] \$296,500,000** shall be for capital improvements: *Provided*, That **[up to] \$15,000,000** of the amount made available under this head for capital improvements **[may, at the discretion of the Corporation, be transferred to the Northeast Corridor Improvement Program]** shall be used for the Pennsylvania Station Redevelopment Project: *Provided further*, That funding under this head for capital improvements shall not be made available before July 1, **[1996] 1997**: *Provided further*, That none of the funds herein appropriated shall be used for lease or purchase of passenger motor vehicles or for the hire of vehicle operators for any officer or employee, other than the president of the Corporation, excluding the lease of passenger motor vehicles for those officers or employees while in official travel status. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0704-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operating grants	542	306	342

00.02 Capital grants	319	307	282
00.03 NY Penn Station Project	21		15
00.04 Transition costs		100	
10.00 Total obligations (object class 41.0)	882	713	639

Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	167	78	
22.00 New budget authority (gross)	794	635	639
23.90 Total budgetary resources available for obligation	961	713	639
23.95 New obligations	-882	-713	-639
24.40 Unobligated balance available, end of year:			
Uninvested balance	78		

New budget authority (gross), detail:			
40.00 Appropriation	794	635	639

Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	2	78	240
73.10 New obligations	882	713	639
73.20 Total outlays (gross)	-806	-550	-600
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	78	240	279

Outlays (gross), detail:			
86.90 Outlays from new current authority	794	457	456
86.93 Outlays from current balances	12	93	144
87.00 Total outlays (gross)	806	550	600

Net budget authority and outlays:			
89.00 Budget authority	794	635	639
90.00 Outlays	806	550	600

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for profit corporation with all Board members effectively appointed by the Executive Branch of the Federal Government and is not an agency or instrumentality of the U.S. Government.

Operating grants.—Provides support for the on-going operations of Amtrak. Includes activities previously funded under mandatory passenger rail service payments.

Capital grants.—Provides support for Amtrak capital requirements.

Transition costs.—In FY 1996, these funds provide support for costs associated with long-term restructuring of the Corporation.

NEXT GENERATION HIGH-SPEED RAIL

For necessary expenses for Next Generation High-Speed Rail studies, corridor planning, development, demonstration, and implementation, **[\$19,205,000] \$26,525,000**, to remain available until expended: *Provided*, That funds under this head may be made available for grants to States for high-speed rail corridor design, feasibility studies, environmental analyses and track and signal improvements. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0722-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Corridor planning and development	5		
00.02 Technology development	11	20	27
00.03 National transportation plan	3		
10.00 Total obligations	19	20	27

Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance		1	
22.00 New budget authority (gross)	20	19	27
23.90 Total budgetary resources available for obligation	20	20	27

23.95	New obligations	-19	-20	-27
24.40	Unobligated balance available, end of year:			
	Uninvested balance	1		
New budget authority (gross), detail:				
40.00	Appropriation	20	19	27
Change in unpaid obligations:				
74.20	Unpaid obligations, start of year: Obligated balance:			
	Appropriation		16	21
73.10	New obligations	19	20	27
73.20	Total outlays (gross)	-3	-15	-25
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	16	21	23
Outlays (gross), detail:				
86.90	Outlays from new current authority	3	8	11
86.93	Outlays from current balances		7	14
87.00	Total outlays (gross)	3	15	25
Net budget authority and outlays:				
89.00	Budget authority	20	19	27
90.00	Outlays	3	15	25

The Next Generation High-Speed Rail Program will fund: (1) the research, development, and technology demonstration programs authorized in section 1036(c) of ISTEA and chapter 261 of subtitle V of title 49, U.S.C., as added by Public Law 103-440; and (2) planning and analysis required to evaluate technology proposals under the program.

Object Classification (in millions of dollars)

Identification code 69-0722-0-1-401	1995 actual	1996 est.	1997 est.
25.2 Other services	9	18	25
41.0 Grants, subsidies, and contributions	9	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	19	20	27

Personnel Summary

Identification code 69-0722-0-1-401	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	2	4	4

Credit accounts:

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4420-0-3-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	6		
Budgetary resources available for obligation:			
22.00 New financing authority (gross)	6		
23.95 New obligations	-6		
New financing authority (gross), detail:			
67.15 Authority to borrow (indefinite)	6		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2		
68.47 Portion applied to debt reduction	-2		
68.90 Spending authority from offsetting collections (total)			
70.00 Total new financing authority (gross)	6		
Change in unpaid obligations:			
73.10 New obligations	6		
73.20 Total financing disbursements (gross)	-6		

Outlays (gross), detail:			
87.00 Total financing disbursements (gross)	6		
Offsets:			
Against gross financing authority and financing disbursements:			
88.40 Offsetting collections (cash) from: Loan prepayment	-2		
Net financing authority and financing disbursements:			
89.00 Financing authority	4		
90.00 Financing disbursements	4		

Status of Direct Loans (in millions of dollars)

Identification code 69-4420-0-3-401	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year		4	4
Disbursements:			
1231 Direct loan disbursements	4		
1233 Purchase of loans assets from a liquidating account		2	
1251 Repayments: Repayments and prepayments	-2		
1290 Outstanding, end of year	4	4	4

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

RAILROAD REHABILITATION AND IMPROVEMENT LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4411-0-3-401	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.91 Unobligated balance available, start of year: U.S. Securities: Par value	3		
22.00 New budget authority (gross)	-2		
23.90 Total budgetary resources available for obligation	1		
New budget authority (gross), detail:			
Current:			
40.05 Appropriation (indefinite)	1		
41.00 Transferred to other accounts	-3		
43.00 Appropriation (total)	-2		
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	7	7	7
68.47 Portion applied to debt reduction	-7	-7	-7
68.90 Spending authority from offsetting collections (total)			
70.00 Total new budget authority (gross)	-2		
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
86.97 Outlays from new permanent authority			
86.98 Outlays from permanent balances	-2		
87.00 Total outlays (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-7	-7	-7
Net budget authority and outlays:			
89.00 Budget authority	-9	-7	-7
90.00 Outlays	-9	-7	-7

Credit accounts—Continued

RAILROAD REHABILITATION AND IMPROVEMENT LIQUIDATING ACCOUNT—Continued

Status of Direct Loans (in millions of dollars)

Identification code 69-4411-0-3-401	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	70	67	64
1251 Repayments: Repayments and prepayments	-3	-3	-3
1290 Outstanding, end of year	67	64	61

Section 505—Redeemable preference shares.—Authority for the section 505 redeemable preference shares program expired on September 30, 1988. The account reflects actual outlays of -\$6.7 million in 1995, and projected outlays of -\$6.6 million in 1996 resulting from payments of principal and interest as well as repurchases of redeemable preference shares and the sale of redeemable preference shares to the private sector.

Section 511—Loan repayments.—This program reflects repayments of principal and interest on outstanding borrowings by the railroads to the Federal Financing Bank under the section 511 loan guarantee program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program accounts and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 69-4411-0-3-401	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Investments in US securities:				
1102 Federal assets: Treasury securities, par	3			
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross	74	95	88	81
1999 Total assets	77	95	88	81
NET POSITION:				
3200 Invested capital	77	70	67	63
3300 Cumulative results of operations		25	21	18
3999 Total net position	77	95	88	81
4999 Total liabilities and net position	77	95	88	81

AMTRAK CORRIDOR IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4164-0-3-401	1995 actual	1996 est.	1997 est.
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	2	2	
73.10 New obligations			
73.20 Total financing disbursements (gross)		-2	
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	2		
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)		2	
Net financing authority and financing disbursements:			
89.00 Financing authority			
90.00 Financing disbursements		2	

Status of Direct Loans (in millions of dollars)

Identification code 69-4164-0-3-401	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	3	3	5
1231 Disbursements: Direct loan disbursements		2	
1290 Outstanding, end of year	3	5	5

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

AMTRAK CORRIDOR IMPROVEMENT LOANS LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-0720-0-1-401	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	1	1	1
68.47 Portion applied to debt reduction	-1	-1	-1
68.90 Spending authority from offsetting collections (total)			
70.00 Total new budget authority (gross)			
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority	-1	-1	-1
90.00 Outlays	-1	-1	-1

Status of Direct Loans (in millions of dollars)

Identification code 69-0720-0-1-401	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	7	7	6
1251 Repayments: Repayments and prepayments		-1	-1
1290 Outstanding, end of year	7	6	5

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program accounts and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 69-0720-0-1-401	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	7	9	8	7

1699	Value of assets related to direct loans	7	9	8	7
1999	Total assets	7	9	8	7

Trust Funds

TRUST FUND SHARE OF NEXT GENERATION HIGH-SPEED RAIL

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(HIGHWAY TRUST FUND)

For grants and payment of obligations incurred in carrying out the provisions of the High-Speed Ground Transportation program as defined in subsections 1036(c) and 1036(d)(1)(B) of the Intermodal Surface Transportation Efficiency Act of 1991 including planning and environmental analyses, [\$7,118,000] \$2,855,000, to be derived from the Highway Trust Fund and to remain available until expended: Provided, That none of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of \$5,000,000].

[NATIONAL MAGNETIC LEVITATION PROTOTYPE DEVELOPMENT]

[(LIMITATION ON OBLIGATIONS)]

[(HIGHWAY TRUST FUND)]

[None of the funds in this Act shall be available for the planning or execution of the National Magnetic Levitation Prototype Development program as defined in subsections 1036(b) and 1036(d)(1)(A) of the Intermodal Surface Transportation Efficiency Act of 1991.] (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-9973-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	5	5	1
Budgetary resources available for obligation:			
21.49 Unobligated balance available, start of year: Contract authority	152	2	1
22.00 New budget authority (gross)	-145	4	
23.90 Total budgetary resources available for obligation	7	6	1
23.95 New obligations	-5	-5	-1
24.49 Unobligated balance available, end of year: Contract authority	2	1	
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	3	7	3
40.49 Portion applied to liquidate contract authority	-3	-7	-3
43.00 Appropriation (total)			
Permanent:			
66.10 Contract authority (definite)	-145	4	
70.00 Total new budget authority (gross)	-145	4	
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Appropriation	8	13	11
73.10 New obligations	5	5	1
73.20 Total outlays (gross)		-7	-8
74.40 Unpaid obligations, end of year: Obligated balance: Appropriation	13	11	4
Outlays (gross), detail:			
86.90 Outlays from new current authority		2	
86.93 Outlays from current balances		5	8
87.00 Total outlays (gross)		7	8
Net budget authority and outlays:			
89.00 Budget authority	-145	4	
90.00 Outlays		7	8

This account provides funds for research development, and demonstrations to support the advancement of high-speed rail technology.

Status of Contract Authority (in millions of dollars)

Identification code 69-9973-0-7-401	1995 actual	1996 est.	1997 est.
Unfunded balance, start of year	154	6	3
Contract authority	105	130	
Rescission (P.L. 104-19)	-250		
Rescission (P.L. 104-59)		-109	
Reductions under Section 1003 ISTEAA		-16	
Appropriation to liquidate contract authority	-3	-7	-3
Unfunded balance, end of year	6	3	

FEDERAL TRANSIT ADMINISTRATION

The Federal Transit Administration (FTA) provides funding to transit operators, State and local governments and other recipients for the construction of facilities; the purchase of vehicles and equipment; the improvement of technology, service techniques, and methods; the support of regionwide transportation planning; and transit operations. In addition to improving general mobility, FTA provides financial assistance to help implement other national goals relating to mobility for the elderly, people with disabilities, and economically disadvantaged individuals. In FY 1997, FTA's budget request totals \$4.3 billion, of which \$3.7 billion will come from the Mass Transit Account of the Highway Trust Fund.

The following tables show the funding for Federal Transit Administration programs.

	[In millions of dollars]		
	1995 actual	1996 est.	1997 est.
Budget Authority:			
Administrative expenses	42	41	44
Transit planning and research	93	86	86
Interstate transfer grants—transit	48	0	0
Washington Metro	200	200	200
Formula grants	1,342	942	221
Formula grants (trust fund)	1,150	1,110	1,920
University Transportation Centers	6	6	6
Discretionary grants (trust fund)	1,691	1,665	2,880
Violent crime reduction	0	0	10
Total, budget authority	4,572	4,049	5,367
Program level (obligations):			
Administrative expenses	42	41	44
Research, training and human resources	1	0	0
Transit planning and research	100	100	86
Interstate transfer grants—transit	82	40	0
Washington Metro	200	202	200
Formula grants	1,460	1,560	221
Formula grants (trust fund)	1,150	1,110	1,931
University Transportation Centers	6	6	6
Discretionary grants (trust fund)	2,576	2,018	1,799
Violent crime reduction	0	0	10
Total, program level	5,617	5,077	4,297
Outlays:			
Administrative expenses	42	38	43
Research, training and human resources (general fund)	6	8	5
Transit planning and research	74	80	96
Interstate transfer grants—transit (general fund)	152	27	12
Washington Metro (general fund)	218	206	159
Formula grants	751	999	41
Formula grants (trust fund)	1,150	1,110	1,931
University Transportation Centers	2	8	8
Miscellaneous accounts (general fund)	12	14	10
Discretionary grants (trust fund)	2,029	1,980	1,981
Violent crime reduction	0	0	1
Total, Outlays	4,436	4,470	4,286

Note: Detail may not add to total due to rounding.

Federal Funds

General and special funds:

ADMINISTRATIVE EXPENSES

For necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49, United States Code, [S42,000,000] \$43,652,000. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-1120-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	42	41	44
01.01 Reimbursable program	1	2	2
10.00 Total obligations	43	43	46
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	43	43	46
23.95 New obligations	-43	-43	-46
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	42	42	44
40.75 Reduction pursuant to P.L. 104-50		-1	
43.00 Appropriation (total)	42	41	44
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	2	2
70.00 Total new budget authority (gross)	43	43	46
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	2	2	4
73.10 New obligations	43	43	46
73.20 Total outlays (gross)	-43	-40	-45
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	2	4	5
Outlays (gross), detail:			
86.90 Outlays from new current authority	40	37	40
86.93 Outlays from current balances	2	2	4
86.97 Outlays from new permanent authority	1	2	2
87.00 Total outlays (gross)	43	40	45
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-2	-2
Net budget authority and outlays:			
89.00 Budget authority	42	41	44
90.00 Outlays	42	38	43

For 1997, \$44 million is requested to fund the personnel and other support costs associated with managing and directing FTA program responsibilities including executive direction, policy, budget, financial management, public affairs, legal, grants delivery and management, planning, technical assistance and research and demonstration support.

Object Classification (in millions of dollars)

Identification code 69-1120-0-1-401	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	27	30
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	27	28	31
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	7	6	6
31.0 Equipment	1		
99.0 Subtotal, direct obligations	42	41	44

99.0 Reimbursable obligations		2	2
99.5 Below reporting threshold	1		
99.9 Total obligations	43	43	46

Personnel Summary

Identification code 69-1120-0-1-401	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	477	503	495
1005 Full-time equivalent of overtime and holiday hours	2	2	2
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	8	25	22

RESEARCH, TRAINING, AND HUMAN RESOURCES

Program and Financing (in millions of dollars)

Identification code 69-1121-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 99.5)	1		
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			
23.95 New obligations	-1		
New budget authority (gross), detail:			
70.00 Total new budget authority (gross)			
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	23	17	9
73.10 New obligations	1		
73.20 Total outlays (gross)	-6	-8	-5
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	17	9	4
Outlays (gross), detail:			
86.93 Outlays from current balances	6	8	5
87.00 Total outlays (gross)	6	8	5
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	6	8	5

The activities of this account, beginning in 1993, are financed in the Transit Planning and Research account along with other activities authorized by the Intermodal Surface Transportation Efficiency Act of 1991.

INTERSTATE TRANSFER GRANTS—TRANSIT

Program and Financing (in millions of dollars)

Identification code 69-1127-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	82	40	
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	64	40	
22.00 New budget authority (gross)	48		
22.10 Resources available from recoveries of prior year obligations	11		
23.90 Total budgetary resources available for obligation	123	40	
23.95 New obligations	-82	-40	
24.40 Unobligated balance available, end of year:			
Uninvested balance	40		
New budget authority (gross), detail:			
40.00 Appropriation	48		

Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation	83	2
73.10	New obligations	82	40
73.20	Total outlays (gross)	-152	-27
73.45	Adjustments in unexpired accounts	-11	
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation	2	15
Outlays (gross), detail:			
86.90	Outlays from new current authority	5	
86.93	Outlays from current balances	147	27
87.00	Total outlays (gross)	152	27
Net budget authority and outlays:			
89.00	Budget authority	48	
90.00	Outlays	152	27

Funding in 1995 exhausted the Federal commitment to transit capital projects substituted for previously withdrawn segments of the Interstate Highway System under the provisions of 23 U.S.C. 103(e)(4).

WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

For necessary expenses to carry out the provisions of section 14 of Public Law 96-184 and Public Law 101-551, \$200,000,000, to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-1128-0-1-401	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
10.00	Total obligations (object class 41.0)	200	202	200
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance	1	2	
22.00	New budget authority (gross)	200	200	200
23.90	Total budgetary resources available for obligation	201	202	200
23.95	New obligations	-200	-202	-200
24.40	Unobligated balance available, end of year:			
	Uninvested balance	2		
New budget authority (gross), detail:				
40.00	Appropriation	200	200	200
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	468	449	445
73.10	New obligations	200	202	200
73.20	Total outlays (gross)	-218	-206	-159
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	449	445	486
Outlays (gross), detail:				
86.90	Outlays from new current authority	4	4	4
86.93	Outlays from current balances	214	202	155
87.00	Total outlays (gross)	218	206	159
Net budget authority and outlays:				
89.00	Budget authority	200	200	200
90.00	Outlays	218	206	159

The National Capital Transportation Amendments of 1979 (Stark-Harris), authorized \$1.7 billion in Federal funds to support the construction of the Washington Metrorail system. In addition, the National Capital Transportation Amendments of 1990 authorized another \$1.3 billion in Federal capital assistance to complete construction of the planned 103-mile system.

For 1997, \$200 million is requested under the 1990 authorization to continue funding the final 13.5 miles of the 103-mile system under Federal Transit Administration's Full

Funding Grant Agreements with Washington Metropolitan Area Transit Authority. These funds will be used to complete construction of the Franconia/Springfield line, which is scheduled to open for revenue service in mid-1997; to continue construction of the Glenmont, Mid-City, and Branch Avenue lines; and to provide for project management, real estate acquisition, and other expenses such as contingencies and insurance.

FORMULA GRANTS

For necessary expenses to carry out 49 U.S.C. 5307, 5310(a)(2), 5311, and 5336, to remain available until expended, [\$942,925,000] \$221,122,000: Provided, That no more than [\$2,052,925,000] \$2,151,972,000 of budget authority shall be available for these purposes: Provided further, That of the funds provided under this head for formula grants, no more than [\$400,000,000] \$500,000,000 may be used for operating assistance under 49 U.S.C. 5336(d): Provided further, That the limitation on operating assistance provided under this heading shall, for urbanized areas of less than 200,000 in population, be no less than seventy-five percent of the amount of operating assistance such areas are eligible to receive under Public Law 103-331: Provided further, That in the distribution of the limitation provided under this heading to urbanized areas that had a population under the 1990 census of 1,000,000 or more, the Secretary shall direct each such area to give priority consideration to the impact of reductions in operating assistance on smaller transit authorities operating within the area and to consider the needs and resources of such transit authorities when the limitation is distributed among all transit authorities operating in the area. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-1129-0-1-401	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
Direct program:				
00.01	FY 1995 Atlanta Olympics	16		
00.02	Urban formula-capital	503	847	
00.03	Urban formula-operating	727	540	51
00.04	Elderly and disabled	57	52	55
00.05	Nonurban formula	157	121	115
00.91	Total direct program	1,460	1,560	221
01.01	Reimbursable program	1,150	1,110	1,931
10.00	Total obligations	2,610	2,670	2,152
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance	669	618	
22.00	New budget authority (gross)	2,492	2,052	2,152
22.10	Resources available from recoveries of prior year obligations	68		
22.20	Unobligated balance transferred	-1		
23.90	Total budgetary resources available for obligation	3,228	2,670	2,152
23.95	New obligations	-2,610	-2,670	-2,152
24.40	Unobligated balance available, end of year:			
	Uninvested balance	618		
New budget authority (gross), detail:				
Current:				
40.00	Appropriation	1,350	943	221
40.75	Reduction pursuant to P.L. 104-50		-1	
41.00	Transferred to other accounts	-8		
43.00	Appropriation (total)	1,342	942	221
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1,150	1,110	1,931
70.00	Total new budget authority (gross)	2,492	2,052	2,152
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation	2,871	3,512	4,073
73.10	New obligations	2,610	2,670	2,152
73.20	Total outlays (gross)	-1,901	-2,109	-1,972
73.45	Adjustments in unexpired accounts	-68		

General and special funds—Continued

FORMULA GRANTS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-1129-0-1-401	1995 actual	1996 est.	1997 est.
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	3,512	4,073	4,253
Outlays (gross), detail:			
86.90 Outlays from new current authority	458	267	39
86.93 Outlays from current balances	746	979	915
86.97 Outlays from new permanent authority	58	56	344
86.98 Outlays from permanent balances	639	808	674
87.00 Total outlays (gross)	1,901	2,109	1,972
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1,150	-1,110	-1,931
Net budget authority and outlays:			
89.00 Budget authority	1,342	942	221
90.00 Outlays	751	999	41

In 1997, a total of \$2.152 billion is requested for Formula Grants. These funds may be used for a wide variety of mass transit purposes, including planning, construction of facilities, purchase of buses and railcars, and for operating assistance. Activities funded under this account are:

Urban Formula Grants.—\$1.982 billion will be apportioned by legislative formula for use in urbanized areas with populations of more than 50,000. Not to exceed \$500 million of Urban Formula Grants funding will be available for operating assistance to mitigate reductions in service and increases in fares brought about by significant cuts in operating assistance over the last two years. This increase is also needed to defray the additional operating costs that will result from full implementation of the Americans with Disabilities Act paratransit requirements in 1997. Urban formula grants funds not available for operating assistance may be transferred to the Federal Highway Administration for use on highway projects at State and local discretion.

Nonurban Formula Grants.—\$115 million will be apportioned according to a legislative formula based on each State's nonurban population to areas with populations of less than 50,000.

Elderly and Disabled Grants.—\$55 million will be apportioned to each State according to a legislatively required formula to assist in providing transportation to the elderly and persons with disabilities. Funds may be made available to private nonprofit organizations and to public bodies when certain requirements are met.

Object Classification (in millions of dollars)

Identification code 69-1129-0-1-401	1995 actual	1996 est.	1997 est.
Direct obligations:			
25.1 Advisory and assistance services	5	5	
25.2 Other services	14	15	
41.0 Grants, subsidies, and contributions	1,441	1,540	221
99.0 Subtotal, direct obligations	1,460	1,560	221
99.0 Reimbursable obligations	1,150	1,110	1,931
99.9 Total obligations	2,610	2,670	2,152

UNIVERSITY TRANSPORTATION CENTERS

For necessary expenses for university transportation centers as authorized by 49 U.S.C. 5317(b), to remain available until expended, \$6,000,000. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-1136-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	6	6	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6	6	6
23.95 New obligations	-6	-6	-6
New budget authority (gross), detail:			
40.00 Appropriation	6	6	6
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	12	15	13
73.10 New obligations	6	6	6
73.20 Total outlays (gross)	-2	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	15	13	11
Outlays (gross), detail:			
86.90 Outlays from new current authority		1	1
86.93 Outlays from current balances	2	7	7
87.00 Total outlays (gross)	2	8	8
Net budget authority and outlays:			
89.00 Budget authority	6	6	6
90.00 Outlays	2	8	8

For 1997, \$6 million is requested for the University Transportation Centers program which will be combined with a like amount of funding from the Federal Highway Administration to support research, education and technology transfer activities aimed at addressing regional and national transportation problems.

TRANSIT PLANNING AND RESEARCH

For necessary expenses for transit planning and research as authorized by 49 U.S.C. 5303, 5311, 5313, 5314, and 5315, to remain available until expended, \$85,500,000 of which \$39,500,000 shall be for activities under 49 U.S.C. 5303, *Metropolitan Planning*; \$4,500,000 for activities under 49 U.S.C. 5311(b)(2), *Rural Transit Assistance Program*; \$8,250,000 for activities under 49 U.S.C. 5313(b), *State Planning and Research*; \$22,000,000 for activities under 49 U.S.C. 5314, *National Planning and Research*; \$8,250,000 for activities under 49 U.S.C. 5313(a), *Transit Cooperative Research*; and \$3,000,000 for activities under 49 U.S.C. 5315, *National Transit Institute. (Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 69-1137-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	100	100	86
01.01 Reimbursable program	14	10	
10.00 Total obligations	114	110	86
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	17	14	
22.00 New budget authority (gross)	107	96	86
22.10 Resources available from recoveries of prior year obligations	1		
22.20 Unobligated balance transferred	4		
23.90 Total budgetary resources available for obligation	129	110	86
23.95 New obligations	-114	-110	-86
24.40 Unobligated balance available, end of year:			
Uninvested balance	14		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	85	86	86
42.00 Transferred from other accounts	8		

43.00	Appropriation (total)	93	86	86
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	14	10	
70.00	Total new budget authority (gross)	107	96	86
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	128	153	173
73.10	New obligations	114	110	86
73.20	Total outlays (gross)	-88	-90	-96
73.45	Adjustments in unexpired accounts	-1		
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	153	173	163
Outlays (gross), detail:				
86.90	Outlays from new current authority	5	8	8
86.93	Outlays from current balances	69	72	88
86.97	Outlays from new permanent authority	14	10	
87.00	Total outlays (gross)	88	90	96
Offsets:				
88.00	Against gross budget authority and outlays: Offsetting collections (cash) from: Federal sources	-14	-10	
Net budget authority and outlays:				
89.00	Budget authority	93	86	86
90.00	Outlays	74	80	96

Funding of \$85.5 million is requested for the Transit Planning and Research (TPR) Program to fund a wide variety of activities. Of the TPR funding, \$39.5 million will be apportioned to States for Metropolitan Planning (49 U.S.C. 5303), \$4.5 million for the Rural Transit Assistance Program (49 U.S.C. 5311(b)(2)), \$8.25 million for the Transit Cooperative Research Program, \$8.25 million for the State TPR Program, \$22.0 million for the National TPR Program and \$3.0 million for the National Transit Institute.

Under the national component of the program, the FTA is a catalyst in the research, development and deployment of transportation methods and technologies addressing such issues as accessibility for the disabled, air quality, traffic congestion and service and operational improvements. The National Planning and Research Program also supports the development of innovative transit technologies, such as the Advanced Technology Transit Bus, and fuel cells and batteries. Beginning in 1997, all funding for Intelligent Transportation Systems, a portion of which was previously included in this account, is consolidated within the Federal-aid highway account.

Funds for the State and local component of the program improve the State and local planning process and allow participation in research efforts.

Identification code 69-1137-0-1-401	1995 actual	1996 est.	1997 est.	
Direct obligations:				
25.1	Advisory and assistance services	5	5	4
25.5	Research and development contracts	23	23	20
41.0	Grants, subsidies, and contributions	72	72	62
99.0	Subtotal, direct obligations	100	100	86
99.0	Reimbursable obligations	14	10	
99.9	Total obligations	114	110	86

VIOLENT CRIME REDUCTION PROGRAMS

For activities authorized by section 40131 of Public Law 103-322, \$10,000,000, to remain available until expended, which shall be derived from the Violent Crime Reduction Trust Fund.

Identification code 69-8354-0-1-754	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00	Total obligations (object class 41.0)		10
Budgetary resources available for obligation:			
22.00	New budget authority (gross)		10
23.95	New obligations		-10
New budget authority (gross), detail:			
42.00	Transferred from other accounts		10
Change in unpaid obligations:			
73.10	New obligations		10
73.20	Total outlays (gross)		-1
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation		9
Outlays (gross), detail:			
86.90	Outlays from new current authority		1
87.00	Total outlays (gross)		1
Net budget authority and outlays:			
89.00	Budget authority		10
90.00	Outlays		1

Funding of \$10 million is requested as authorized under the provisions of the Violent Crime Control and Law Enforcement Act of 1994 to increase safety and security in public transit. This program will provide grants to States and local public bodies for capital projects to prevent crime, to increase security in existing and future public transportation systems, to study ways to reduce violent crimes against women in public transit and to report on the effectiveness of improvements in reducing crime and improving security in public transportation systems.

MISCELLANEOUS EXPIRED ACCOUNTS

Identification code 69-9913-0-1-401	1995 actual	1996 est.	1997 est.	
Budgetary resources available for obligation:				
22.00	New budget authority (gross)			
22.10	Resources available from recoveries of prior year obligations	3		
22.30	Unobligated balance expiring	-3		
23.90	Total budgetary resources available for obligation			
23.95	New obligations			
New budget authority (gross), detail:				
70.00	Total new budget authority (gross)			
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	39	24	10
73.10	New obligations			
73.20	Total outlays (gross)	-12	-14	-10
73.45	Adjustments in unexpired accounts	-3		
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	24	10	
Outlays (gross), detail:				
86.93	Outlays from current balances	12	14	10
87.00	Total outlays (gross)	12	14	10
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays	12	14	10

This schedule displays programs that no longer require appropriations and thus reflect obligations and outlays made under prior year appropriations. Among these programs is

General and special funds—Continued

MISCELLANEOUS EXPIRED ACCOUNTS—Continued

the general funded appropriation for Discretionary grants for 1983 and earlier years.

Trust Funds

DISCRETIONARY GRANTS

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

None of the funds in this Act shall be available for the implementation or execution of programs the obligations for which are in excess of **[\$1,665,000,000]** *\$1,799,000,000* in fiscal year **[1996]** 1997 for grants under the contract authority in 49 U.S.C. 5338(b): *Provided*, That there shall be available for fixed guideway modernization, **[\$666,000,000]** *\$725,000,000*; there shall be available for the replacement, rehabilitation, and purchase of buses and related equipment and the construction of bus-related facilities, **[\$333,000,000]** *\$274,000,000*; and **[**, notwithstanding any other provision of law, except for fixed guideway modernization projects, **\$21,631,250** made available under Public Law 102-388 under "Federal Transit Administration, Discretionary Grants" for projects specified in that Act or identified in reports accompanying that Act, not obligated by September 30, 1995, shall be made available for new fixed guideway systems together with the **\$666,000,000** made available **]** *there shall be available* for new fixed guideway systems **[in this Act]**, *\$800,000,000*, to be available as follows:

- [\$42,410,000** for the Atlanta-North Springs project;
- \$20,060,000** for the South Boston Piers (MOS-2) project;
- \$4,250,000** for the Canton-Akron-Cleveland commuter rail project;
- \$1,000,000** for the Cincinnati Northeast/Northern Kentucky rail line project;
- \$16,941,000** for the Dallas South Oak Cliff LRT project;
- \$3,000,000** for the DART North Central light rail extension project;
- \$6,000,000** for the Dallas-Fort Worth RAILTRAN project;
- \$10,000,000** for the Florida Tri-County commuter rail project;
- \$22,630,000** for the Houston Regional Bus project;
- \$9,720,625** for the Jacksonville ASE extension project;
- \$85,000,000** for the Los Angeles Metro Rail (MOS-3);
- \$8,500,000** for the Los Angeles-San Diego commuter rail project;
- \$10,000,000** for the MARC commuter rail project;
- \$15,315,000** for the Maryland Central Corridor LRT project;
- \$2,000,000** for the Miami-North 27th Avenue project;
- \$1,250,000** for the Memphis, Tennessee Regional Rail Plan;
- \$80,250,000** for the New Jersey Urban Core-Secaucus project;
- \$5,000,000** for the New Orleans Canal Street Corridor project;
- \$126,725,125** for the New York Queens Connection project;
- \$22,630,000** for the Pittsburgh Airport Phase 1 project;
- \$130,140,000** for the Portland Westside LRT project;
- \$2,000,000** for the Sacramento LRT extension project;
- \$12,500,000** for the St. Louis Metro Link LRT project;
- \$9,759,500** for the Salt Lake City light rail project, of which not more than **\$5,000,000** may be available for high-occupancy vehicle lane and intermodal corridor design costs;
- \$10,000,000** for the San Francisco BART extension to the San Francisco airport project;
- \$7,500,000** for the San Juan, Puerto Rico Tren Urbano project;
- \$500,000** for the Tampa to Lakeland commuter rail project;
- \$2,500,000** for the Whitehall ferry terminal, New York, New York;
- \$14,400,000** for the Wisconsin central commuter project; and
- \$5,650,000** for the Burlington-Charlotte, Vermont commuter rail project.]
- \$66,820,000* for the Atlanta-North Springs project;
- \$158,860,000* for the Los Angeles-MOS-3 project;
- \$10,260,000* for the Baltimore-LRT Extension project;
- \$53,720,000* for the Boston Piers-MOS-2 project;
- \$121,190,000* for the Portland-Westside/Hillsboro Extension project;
- \$35,020,000* for the New York-Queens project;
- \$105,530,000* for the New Jersey Urban Core/Secaucus project;
- \$40,590,000* for the Houston Regional Bus project;
- \$50,000,000* for the MARC Commuter Rail Improvements project;

- \$35,000,000* for the Salt Lake City-South LRT project;
- \$10,000,000* for the San Francisco Area-San Jose Tasman West LRT project;
- \$51,070,000* for the San Francisco Area BART Airport Extension project;
- \$10,000,000* for the New Jersey Urban Core-Hudson-Bergen LRT project;
- \$10,000,000* for the San Juan Tren Urbano project;
- \$8,000,000* for the Sacramento LRT Extension project;
- \$8,000,000* for the Denver Southwest Corridor project;
- \$20,000,000* for the St. Louis St. Clair Extension project; and
- \$5,940,000* for activities authorized by U.S.C. 5327. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

MASS TRANSIT CAPITAL FUND

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out 49 U.S.C. 5338(b) administered by the Federal Transit Administration, **\$2,000,000,000** to be derived from the Highway Trust Fund and to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-8191-0-7-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	2,576	2,018	1,799
01.01 Reimbursable program	11		
10.00 Total obligations	2,587	2,018	1,799
Budgetary resources available for obligation:			
21.49 Unobligated balance available, start of year: Contract authority	1,185	353	
22.00 New budget authority (gross)	1,702	1,665	2,880
22.10 Resources available from recoveries of prior year obligations	56		
22.20 Unobligated balance transferred	-3		
23.90 Total budgetary resources available for obligation	2,940	2,018	2,880
23.95 New obligations	-2,587	-2,018	-1,799
24.49 Unobligated balance available, end of year: Contract authority	353		1,081
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	1,850	2,000	2,000
40.49 Portion applied to liquidate contract authority	-1,850	-2,000	-2,000
43.00 Appropriation (total)			
Permanent:			
66.10 Contract authority (definite)	1,691	1,665	2,880
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	11		
70.00 Total new budget authority (gross)	1,702	1,665	2,880
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	181	2	22
72.49 Contract authority	4,248	4,918	4,937
72.99 Total unpaid obligations, start of year	4,429	4,920	4,959
73.10 New obligations	2,587	2,018	1,799
73.20 Total outlays (gross)	-2,040	-1,980	-1,981
73.45 Adjustments in unexpired accounts	-56		
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation	2	22	41
74.49 Contract authority	4,918	4,937	4,736
74.99 Total unpaid obligations, end of year	4,920	4,959	4,777
Outlays (gross), detail:			
86.90 Outlays from new current authority	126	83	90
86.93 Outlays from current balances	1,913	1,892	1,888
86.97 Outlays from new permanent authority	1		
86.98 Outlays from permanent balances		4	3

87.00	Total outlays (gross)	2,040	1,980	1,981
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-11		
Net budget authority and outlays:				
89.00	Budget authority	1,691	1,665	2,880
90.00	Outlays	2,029	1,980	1,981

Status of Contract Authority (in millions of dollars)

Identification Code 69-8191-0-7-401	1995 actual	1996 est.	1997 est.
Balance, start of year	5,436	5,277	4,567
Contract authority	1,691	1,665	2,880
Appropriation to liquidate contract authority	-1,850	-2,000	-2,000
Appropriation to liquidate contract authority, supplemental request		-375	
Balance, end of year	5,277	4,567	5,447

The \$1.799 billion request will be allocated among the following three activities:

Fixed guideway modernization.—\$725 million for the acquisition, reconstruction and improvement of facilities and equipment for use on fixed guideways including heavy and light rail, commuter rail, and ferry boat operations.

New Starts.—\$800 million for the construction of new fixed guideway systems and extensions to existing fixed guideway systems. This will fund all projects that are expected to be under Full Funding Grant Agreements by the end of 1996.

Bus and bus-related facilities.—\$274 million for the replacement, rehabilitation and purchase of buses and related equipment and the construction of bus-related facilities. This funding will assist public transit authorities in meeting the requirements of the Clean Air Act Amendments and the Americans with Disabilities Act.

Object Classification (in millions of dollars)

Identification code 69-8191-0-7-401	1995 actual	1996 est.	1997 est.	
Direct obligations:				
25.1	Advisory and assistance services	5	4	3
25.2	Other services	10	8	7
41.0	Grants, subsidies, and contributions	2,561	2,006	1,789
99.0	Subtotal, direct obligations	2,576	2,018	1,799
99.0	Reimbursable obligations	11		
99.9	Total obligations	2,587	2,018	1,799

TRUST FUND SHARE OF EXPENSES

(LIQUIDATION OF CONTRACT AUTHORIZATION)
(HIGHWAY TRUST FUND)

For payment of obligations incurred in carrying out 49 U.S.C. 5338(a), [\$1,120,850,000] \$1,920,000,000, to remain available until expended and to be derived from the Highway Trust Fund: *Provided*, That [\$1,120,850,000] \$1,920,000,000 shall be paid from the Mass Transit Account of the Highway Trust Fund to the Federal Transit Administration's formula grants account. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-8350-0-7-401	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
10.00	Total obligations (object class 92.0)	1,150	1,110	1,931
Budgetary resources available for obligation:				
Unobligated balance available, start of year:				
21.40	Uninvested balance			11
21.49	Contract authority	11	11	
21.99	Total unobligated balance, start of year	11	11	11

22.00	New budget authority (gross)	1,150	1,110	1,920
23.90	Total budgetary resources available for obligation	1,161	1,121	1,931
23.95	New obligations	-1,150	-1,110	-1,931
Unobligated balance available, end of year:				
24.40	Uninvested balance		11	
24.49	Contract authority	11		
24.99	Total unobligated balance, end of year	11	11	

New budget authority (gross), detail:

Current:				
40.26	Appropriation (trust fund, definite)	1,150	1,121	1,920
40.49	Portion applied to liquidate contract authority	-1,150	-1,121	-1,920
43.00	Appropriation (total)			
Permanent:				
66.10	Contract authority (definite)	1,150	1,110	1,920
70.00	Total new budget authority (gross)	1,150	1,110	1,920
Change in unpaid obligations:				
73.10	New obligations	1,150	1,110	1,931
73.20	Total outlays (gross)	-1,150	-1,110	-1,931

Outlays (gross), detail:

86.90	Outlays from new current authority	1,150	1,110	1,931
87.00	Total outlays (gross)	1,150	1,110	1,931
Net budget authority and outlays:				
89.00	Budget authority	1,150	1,110	1,920
90.00	Outlays	1,150	1,110	1,931

Status of Contract Authority (in millions of dollars)

	1995 actual	1996 est.	1997 est.
Balance, start of year	11	11	0
Contract authority	1,150	1,110	1,920
Appropriation to liquidate contract authority	-1,150	-1,121	-1,920
Balance, end of year	11	0	0

The program and performance narrative statement for the amounts reflected in this account appears with the Formula Grants account.

STATUS OF THE MASS TRANSIT ACCOUNT OF THE HIGHWAY TRUST FUND

(In millions of dollars)

	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year	9,945	9,579	10,081
Cash income during the year, Governmental receipts:			
Motor fuel taxes	2,192	2,932	2,960
Interest on investments	621	659	680
Total annual income	2,813	3,591	3,640
Cash outlays during the year:			
Discretionary grants (liquidation of contract authorization)	2,029	1,979	1,981
Trust fund share of transit programs	1,150	1,110	1,931
Total annual outlays	3,179	3,089	3,912
Unexpended balance, end of year	9,579	10,081	9,809

Detail may not add due to rounding.

FEDERAL AVIATION ADMINISTRATION

The following table depicts the total funding for all Federal Aviation Administration programs, for which more detail is furnished in the budget schedules:

(In millions of dollars)

	1995 actual	1996 est.	1997 est.
Budget authority:			
Operations	4,573	4,643	4,768
Trust fund	(2,450)	(2,223)	(2,743)
Grants-in-aid for airports (trust)	67	2,214	1,350

	1995 actual	1996 est.	1997 est.
Facilities and equipment (trust)	1,960	1,875	1,789
Research, engineering, and development (trust)	252	186	196
Total net	6,852	8,917	8,103
Obligations:			
Operations	4,573	4,644	4,918
Trust fund	(2,450)	(2,223)	(2,743)
Offsetting collections			(150)
Grants-in-aid for airports (trust)	1,444	1,450	1,350
Facilities and equipment (trust)	2,345	1,953	1,835
Research, engineering, and development (trust)	263	190	196
Miscellaneous expired accounts		1	
Total net	8,625	8,238	8,299
Outlays:			
Operations	4,513	4,701	4,753
Trust fund	(2,546)	(2,223)	(2,743)
Grants-in-aid for airports (trust)	1,826	1,622	1,483
Facilities and equipment (trust)	2,638	1,996	1,914
Research, engineering, and development (trust)	232	234	216
Aviation insurance revolving fund	-2	-4	-4
Miscellaneous expired accounts		2	
Total net	9,207	8,551	8,362

NOTES

The amount shown as Operations includes the trust fund share of operations.
The 1995 budget authority for Grants-in-aid for Airports is net of the enacted rescission.

Federal Funds

General and special funds:

OPERATIONS

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities and the operation (including leasing) and maintenance of aircraft, and carrying out the provisions of subchapter I of chapter 471 of title 49, U.S.Code, or other provisions of law authorizing the obligation of funds for similar programs of airport and airway development or improvement, [lease or purchase of four passenger motor vehicles for replacement only, \$4,645,712,000] \$4,918,269,000, of which [\$2,222,859,100] \$2,742,602,000 shall be derived from the Airport and Airway Trust Fund: *Provided*, That notwithstanding any other provision of law, not to exceed \$150,000,000 of offsetting collections from additional fees to be imposed by the Administrator and collected under the terms described below, shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further*: That the sum herein appropriated from the General Fund shall be reduced as such offsetting collections are received during fiscal year 1997, so as to result in a final fiscal year 1997 appropriation from the General Fund estimated at not more than \$2,025,667,000: *Provided further*, that any such additional fees received in excess of \$150,000,000 shall be retained and remain available until expended, but shall not be available for obligation until October 1, 1997: *Provided further*, That beginning in fiscal year 1997 and thereafter, and notwithstanding chapter 453 of title 49, U.S. Code, and any other provision of law, the Administrator may, under 31 U.S.C. 9701, establish and adjust user fees for any services provided: *Provided further*, That such fees shall be implemented by publication of an initial fee schedule as an interim final rule in the Federal Register not later than 60 days after enactment of this provision: *Provided further*, that there may be credited to this appropriation, to remain available until expended, funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources, [for expenses incurred in the provision of agency services] on account of agency activities, including receipts for the maintenance and operation of air navigation facilities [and], for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms: *Provided further*, That funds may be used to enter into a grant

agreement with a nonprofit standard setting organization to assist in the development of aviation safety standards: *Provided further*, That none of the funds in this Act shall be available for new applicants for the second career training program: *Provided further*, That none of the funds in this Act shall be available for paying premium pay under 5 U.S.C. 5546(a) to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay: *Provided further*, That [the Secretary may transfer funds to this account, from Coast Guard "Operating expenses", not to exceed \$60,000,000 in total for the fiscal year, fifteen days after written notification to the House and Senate Committees on Appropriations, solely for the purpose of providing additional funds for air traffic control operations and maintenance to enhance aviation safety and security: *Provided further*, That the unexpended balances of the appropriation "Office of Commercial Space Transportation, Operations and Research" shall be transferred to and merged with this appropriation]: *none of the funds provided in this Act or hereafter may be used to operate a manned auxiliary flight service station in the contiguous United States: Provided further*, That none of the funds derived from the Airport and Airway Trust Fund may be used to support the operations and activities of the Associate Administrator for Commercial Space Transportation. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-1301-0-1-402	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Air traffic services	3,572	3,623	3,826
00.02 Regulation and certification	405	438	487
00.03 Civil aviation security	67	67	73
00.04 Airports	39	41	46
00.05 Research and acquisitions	93	76	78
00.06 Commercial space transportation		6	7
00.07 Administration	322	325	333
00.08 Staff Offices	75	68	69
00.91 Total direct program	4,573	4,644	4,919
01.01 Reimbursable program	60	61	63
10.00 Total obligations	4,633	4,705	4,982
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1	1	
22.00 New budget authority (gross)	4,637	4,705	4,981
22.30 Unobligated balance expiring	-3		
23.90 Total budgetary resources available for obligation	4,635	4,706	4,981
23.95 New obligations	-4,633	-4,705	-4,982
24.40 Unobligated balance available, end of year:			
Uninvested balance	1		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	2,126	2,423	2,026
40.75 Reduction pursuant to P.L. 104-50		-3	
41.00 Transferred to other accounts	-3		
43.00 Appropriation (total)	2,123	2,420	2,026
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	2,513	2,284	2,956
70.00 Total new budget authority (gross)	4,637	4,705	4,981
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	460	592	540
73.10 New obligations	4,633	4,705	4,982
73.20 Total outlays (gross)	-4,480	-4,762	-4,965
73.30 Obligated balance transferred, net		4	
73.40 Adjustments in expired accounts	-21		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	592	540	555
Outlays (gross), detail:			
86.90 Outlays from new current authority	1,638	1,886	1,471
86.93 Outlays from current balances	329	592	540
86.97 Outlays from new permanent authority	2,513	2,284	2,956

87.00	Total outlays (gross)	4,480	4,762	4,965
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-2,493	-2,263	-2,784
88.40	Non-Federal sources	-20	-21	-172
88.90	Total, offsetting collections (cash)	-2,513	-2,284	-2,956
Net budget authority and outlays:				
89.00	Budget authority	2,124	2,421	2,026
90.00	Outlays	1,967	2,478	2,009

This account supports the operation and maintenance of a safe national aviation system. It finances the personnel and support costs of operating and maintaining the air traffic control system, and ensuring the safety and security of its operation. The 1997 budget proposes a 6 percent increase for the operations account. This will fund a net increase of 250 air traffic controllers and 258 safety inspectors. It also completes the hiring of over 500 maintenance inspectors to support the national airspace infrastructure.

The 1997 budget proposes the establishment and collection of \$150 million in user fees for services provided by the FAA. These fees will be credited to the Operations appropriation and are the first step to fully funding FAA through user fees.

Object Classification (in millions of dollars)

Identification code 69-1301-0-1-402	1995 actual	1996 est.	1997 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	2,473	2,575	2,677
11.3	Other than full-time permanent	23	29	30
11.5	Other personnel compensation	260	247	253
11.8	Special personal services payments	1	3	3
11.9	Total personnel compensation	2,757	2,854	2,963
12.1	Civilian personnel benefits	708	703	746
13.0	Benefits for former personnel	13	3	3
21.0	Travel and transportation of persons	86	82	89
22.0	Transportation of things	25	18	19
23.2	Rental payments to others	28	14	14
23.3	Communications, utilities, and miscellaneous charges	371	389	428
24.0	Printing and reproduction	10	8	8
25.1	Advisory and assistance services	3	2	2
25.2	Other services	433	483	550
26.0	Supplies and materials	89	77	84
31.0	Equipment	49	10	12
42.0	Insurance claims and indemnities	1	1	1
99.0	Subtotal, direct obligations	4,573	4,644	4,919
99.0	Reimbursable obligations	59	61	63
99.5	Below reporting threshold	1		
99.9	Total obligations	4,633	4,705	4,982

Personnel Summary

Identification code 69-1301-0-1-402	1995 actual	1996 est.	1997 est.	
Direct:				
Total compensable workyears:				
1001	Full-time equivalent employment	45,187	45,185	45,476
1005	Full-time equivalent of overtime and holiday hours	400	400	400
Reimbursable:				
Total compensable workyears:				
2001	Full-time equivalent employment	350	353	353
2005	Full-time equivalent of overtime and holiday hours	8	8	8

MISCELLANEOUS EXPIRED ACCOUNTS

Program and Financing (in millions of dollars)

Identification code 69-9912-0-1-402	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00	Total obligations (object class 25.2)		1
Budgetary resources available for obligation:			
21.40	Unobligated balance available, start of year:		
	Uninvested balance	1	1
22.00	New budget authority (gross)		
23.90	Total budgetary resources available for obligation	1	1
23.95	New obligations		-1
24.40	Unobligated balance available, end of year:		
	Uninvested balance	1	
New budget authority (gross), detail:			
70.00	Total new budget authority (gross)		
Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance:		
	Appropriation	1	1
73.10	New obligations		1
73.20	Total outlays (gross)		-2
74.40	Unpaid obligations, end of year: Obligated balance:		
	Appropriation	1	
Outlays (gross), detail:			
86.93	Outlays from current balances		2
87.00	Total outlays (gross)		2
Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays		2

This schedule displays programs of Facilities, Engineering, and Development that no longer require appropriations and thus reflects outlays made under prior year appropriations.

Credit accounts:

AIRCRAFT PURCHASE LOAN GUARANTEE PROGRAM

None of the funds in this Act shall be available for activities under this head [the obligations for which are in excess of \$1,600,000] during fiscal year [1996] 1997. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-1399-0-1-402	1995 actual	1996 est.	1997 est.
Cumulative balance of guaranteed loans outstanding:			
2210	Outstanding, start of year	5	2
2251	Repayments and prepayments	-3	-2
2290	Outstanding, end of year	2	
Memorandum:			
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1	

This account is continuing only for the purpose of reflecting air carrier repayments of prior loan defaults. No new loan guarantees are being made.

Public enterprise funds:

AVIATION INSURANCE REVOLVING FUND

The Secretary of Transportation is hereby authorized to make such expenditures and investments, within the limits of funds available pursuant to 49 U.S.C. 44307, and in accordance with section 104 of the Government Corporation Control Act, as amended (31 U.S.C. 9104), as may be necessary in carrying out the program for aviation insurance activities under chapter 443 of title 49, U.S. Code. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Public enterprise funds—Continued

AVIATION INSURANCE REVOLVING FUND—Continued

Program and Financing (in millions of dollars)

Identification code 69-4120-0-3-402	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance			1
U.S. Securities:			
21.91 Par value	58	61	64
21.92 Unrealized discounts	1	1	
21.99 Total unobligated balance, start of year	59	62	65
22.00 New budget authority (gross)	3	4	4
23.90 Total budgetary resources available for obligation	62	66	69
New obligations			
Unobligated balance available, end of year:			
24.90 Fund balance		1	1
U.S. Securities:			
24.91 Par value	61	64	68
24.92 Unrealized discounts	1		
24.99 Total unobligated balance, end of year	62	65	69
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	3	4	4
Change in unpaid obligations:			
72.95 Unpaid obligations, start of year: Orders on hand			
from Federal sources	1	1	1
73.10 New obligations			
74.95 Unpaid obligations, end of year: Orders on hand			
from Federal sources	1	1	1
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-4	-4
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-2	-4	-4

The fund currently provides direct support for the aviation insurance program authorized under chapter 443 of title 49, U.S. Code (formerly Title XIII of the Federal Aviation Act of 1958). Income to the fund is derived from premium deposits for premium insurance coverage issued, income from authorized investments, and binder fees for nonpremium coverage issued. The binders provide aviation insurance coverage for U.S. air carrier aircraft used in connection with certain Government contract operations by the Department of Defense and the Department of State.

Statement of Operations (in millions of dollars)

Identification code 69-4120-0-3-402	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	4	3	4	4
0102 Expense				
0109 Net income or loss (-)	4	3	4	4

Balance Sheet (in millions of dollars)

Identification code 69-4120-0-3-402	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	2	1	1	1
Investments in US securities:				
1102 Treasury securities, par	58	61	64	68
1999 Total assets	60	62	65	69
NET POSITION:				
3300 Cumulative results of operations	59	60	64	68

3999 Total net position	59	60	64	68
4999 Total liabilities and net position	59	60	64	68

Personnel Summary

Identification code 69-4120-0-3-402	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	3	3	3

Intragovernmental accounts:

ADMINISTRATIVE SERVICES FRANCHISE FUND

There is hereby established in the Treasury a fund, to be available without fiscal year limitation, for the costs of capitalizing and operating such administrative services as the FAA Administrator determines may be performed more advantageously as centralized services, including accounting, international training, payroll, travel, duplicating, multi-media and information technology services: Provided, That any inventories, equipment, and other assets pertaining to the services to be provided by such fund, either on hand or on order, less the related liabilities or unpaid obligations, and any appropriations made prior to the current year for the purpose of providing capital shall be used to capitalize such fund: Provided further, That such fund shall be paid in advance from funds available to the FAA and other Federal agencies for which such centralized services are performed, at rates which will return in full all expenses of operation, including accrued leave, depreciation of fund plant and equipment, amortization of Automated Data Processing (ADP) software and systems (either required or donated), and an amount necessary to maintain a reasonable operating reserve, as determined by the FAA Administrator: Provided further, That such fund shall provide services on a competitive basis: Provided further, That an amount not to exceed four percent of the total annual income to such fund may be retained in the fund for fiscal year 1998 and each year thereafter, to remain available until expended, to be used for the acquisition of capital equipment and for the improvement and implementation of FAA financial management, ADP, and support systems: Provided further, That no later than thirty days after the end of each fiscal year, amounts in excess of this reserve limitation shall be transferred to miscellaneous receipts in the Treasury.

Program and Financing (in millions of dollars)

Identification code 69-4562-0-4-402	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Accounting			7
00.02 Payroll			5
00.03 Travel			1
00.04 Duplicating services			5
00.05 Multi-media			1
00.06 Information technology			1
00.07 International training			2
10.00 Total obligations (object class 25.2)			22
Budgetary resources available for obligation:			
22.00 New budget authority (gross)			22
23.95 New obligations			-22
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)			22
Change in unpaid obligations:			
73.10 New obligations			22
73.20 Total outlays (gross)			-22
Outlays (gross), detail:			
86.97 Outlays from new permanent authority			22
87.00 Total outlays (gross)			22
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources			-22

Net budget authority and outlays:			
89.00	Budget authority		
90.00	Outlays		

In FY 1997, the Federal Aviation Administration will establish a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a fee-for-service basis. The fund will improve organizational efficiency and provide better support to our internal and external customers on a reimbursable basis. The activities included in this franchise fund in FY 1997 are international training, accounting, payroll, travel, duplicating services, multi-media services, and information technology. Initial estimates are based on a full cost recovery and do not assume any retained earnings at this point.

Trust Funds

AIRPORT AND AIRWAY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8103-0-7-402	1995 actual	1996 est.	1997 est.	
Balance, start of year:				
01.99	Balance, start of year	5,051	5,167	2,339
Receipts:				
02.01	Excise taxes	5,534	1,383	
02.02	Interest	757	782	
02.03	Excise taxes, legislative proposal		898	6,251
02.04	Interest, legislative proposal			560
02.99	Total receipts	6,291	3,063	6,811
04.00	Total: Balances and collections	11,342	8,230	9,150
Appropriation:				
05.01	Trust fund share of FAA operations	-2,450	-2,223	-2,743
05.02	Grants-in-aid for airports	-1,450	-1,450	-1,350
05.03	Facilities and equipment	-1,960	-1,935	-1,789
05.04	Research, engineering and development	-252	-186	-196
05.05	Trust fund share of rental payments	-40	-42	-39
05.06	Payments to air carriers	-23	-55	-56
05.08	Aviation statistics			-3
05.99	Subtotal appropriation	-6,175	-5,891	-6,176
07.99	Total balance, end of year	5,167	2,339	2,974

The Tax Equity and Fiscal Responsibility Act of 1982 (26 U.S.C. 9502), as amended by the Omnibus Budget Reconciliation Acts of 1990 (Public Law 101-508) and 1993 (Public Law 103-66), provides for the receipts received in the Treasury from the 10-percent passenger ticket tax and certain other taxes paid by airport and airway users to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants, facilities and equipment, research, and a portion of operations. However, the tax requirement expired on December 31, 1995, and reenactment is not assumed until August 1996. It is estimated that \$3.6 billion of aviation tax revenue will be foregone in 1996.

The status of the fund is as follows (in millions of dollars):

Status of Funds (in millions of dollars)

Identification code 20-8103-0-7-402	1995 actual	1996 est.	1997 est.	
Unexpended balance, start of year:				
0100	Uninvested balance	180	220	
0101	U.S. Securities: Par value	12,206	11,145	8,290
0199	Total balance, start of year	12,386	11,365	8,290
Cash income during the year:				
Governmental receipts:				
0201	Passenger ticket tax	4,768	1,936	5,431
0202	Waybill tax	361	158	374
0203	Fuel tax	211	83	215
0204	International departure tax	233	117	271
0205	Refund of taxes	-39	-13	-40
Intragovernmental transactions:				
0240	Interest, Airport and airway trust fund	757	782	560

Offsetting collections:				
0280	Facilities and equipment	67	124	127
0281	Research, engineering, and development	5	6	6
0299	Total cash income	6,363	3,193	6,944
Cash outgo during year:				
0500	Trust fund share of FAA operations	-2,546	-2,223	-2,743
0501	Grants-in-aid for airports (Airport and airway trust fund)	-1,826	-1,622	-1,483
Cash outgo during the year (-) Budget Acct:				
0502	Facilities and equipment (Airport and airway trust fund)	-2,639	-1,996	-1,914
0502	Facilities and equipment offsetting collections (-)	-67	-124	-127
Cash outgo during the year (-) Budget Acct:				
0503	Research, engineering and development (Airport and airway trust fund)	-232	-234	-216
0503	Research, engineering and development offsetting collections(-)	-5	-6	-6
0504	Trust fund share of rental payments	-40	-41	-39
0505	Payments to air carriers (trust fund)	-29	-21	-22
0506	BTS Office of Airline Information			-2
0599	Total cash outgo (-)	-7,384	-6,268	-6,552
Unexpended balance, end of year:				
0700	Uninvested balance	220		
0701	U.S. Securities: Par value	11,145	8,290	8,683
0799	Total balance, end of year	11,365	8,290	8,683
0801	Obligated balance (-)	-5,337	-5,080	-4,847
0802	Unobligated balance (-)	-901	-1,582	-1,536
0899	Total commitments (-)	-6,238	-6,662	-6,383
0900	Uncommitted balance, end of year	5,127	1,628	2,299

At the end of 1996, the uncommitted trust fund balance is estimated to be \$1.6 billion because of the delay reenacting the tax. The extension of the taxes in August 1996, and the 75 percent of FAA's budget to be financed from the trust fund, will result in an estimated uncommitted balance of \$2.3 billion by the end of fiscal year 1997.

GRANTS-IN-AID FOR AIRPORTS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(AIRPORT AND AIRWAY TRUST FUND)

For liquidation of obligations incurred for grants-in-aid for airport planning and development, and for noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, U.S. Code, and under other law authorizing such obligations, \$1,500,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the obligations for which are in excess of \$1,450,000,000 \$1,350,000,000 in fiscal year [1996] 1997 for grants-in-aid for airport planning and development, and noise compatibility planning and programs, notwithstanding section 47117(h) of title 49, U.S. Code[: *Provided further*, That none of the funds in this Act shall be available for the planning and execution of programs the obligations for which are in excess of \$26,000,000 for the "Military Airports Program" and \$48,000,000 for the "Reliever Airports Program"]. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-8106-0-7-402	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
10.00	Total obligations (object class 41.0)	1,495	1,450	1,350
Budgetary resources available for obligation:				
21.49	Unobligated balance available, start of year: Contract authority	1,383	6	771
22.00	New budget authority (gross)	67	2,214	1,350
22.10	Resources available from recoveries of prior year obligations	51		
23.90	Total budgetary resources available for obligation	1,501	2,220	2,121
23.95	New obligations	-1,495	-1,450	-1,350

GRANTS-IN-AID FOR AIRPORTS—Continued
(LIQUIDATION OF CONTRACT AUTHORIZATION)—Continued
(AIRPORT AND AIRWAY TRUST FUND)—Continued
Program and Financing (in millions of dollars)—Continued

Identification code 69-8106-0-7-402	1995 actual	1996 est.	1997 est.
24.49 Unobligated balance available, end of year: Contract authority	6	771	771
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	1,500	1,500	1,500
40.49 Portion applied to liquidate contract authority	-1,500	-1,500	-1,500
43.00 Appropriation (total)			
Permanent:			
66.10 Contract authority (definite)	67	2,214	1,350
70.00 Total new budget authority (gross)	67	2,214	1,350
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	7	132	
72.41 U.S. Securities: Par value	862	411	421
72.49 Contract authority	2,000	1,944	1,894
72.99 Total unpaid obligations, start of year	2,869	2,487	2,315
73.10 New obligations	1,495	1,450	1,350
73.20 Total outlays (gross)	-1,826	-1,622	-1,483
73.45 Adjustments in unexpired accounts	-51		
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Appropriation	132		
74.41 U.S. Securities: Par value	411	421	438
74.49 Contract authority	1,944	1,894	1,744
74.99 Total unpaid obligations, end of year	2,487	2,315	2,182
Outlays (gross), detail:			
86.90 Outlays from new current authority	261	261	243
86.93 Outlays from current balances	1,565	1,361	1,240
87.00 Total outlays (gross)	1,826	1,622	1,483
Net budget authority and outlays:			
89.00 Budget authority	67	2,214	1,350
90.00 Outlays	1,826	1,622	1,483

Status of Contract Authority (in millions of dollars)

Identification code 69-8106-0-7-402	1995 actual	1996 est.	1997 est.
Balance, start of year	3,384	1,951	2,665
Contract authority	2,161	2,214	1,350
Contract authority, rescinded	-2,094		
Appropriation to liquidate contract authority	-1,500	-1,500	-1,500
Balance, end of year	1,951	2,665	2,515

Subchapter I of chapter 471, title 49, U.S. Code (formerly the Airport and Airway Improvement Act of 1982, as amended) provides for airport improvement grants which emphasize capacity development, safety and security needs and chapter 475 provides for grants for aircraft noise mitigation and planning.

FACILITIES AND EQUIPMENT
(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, and improvement by contract or purchase, and hire of air navigation and experimental facilities and equipment as authorized under part A of subtitle VII of title 49, U.S. Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; and construction and

furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this head; to be derived from the Airport and Airway Trust Fund, **[\$1,934,883,000]** ~~\$1,788,700,000~~, of which **[\$1,708,883,000]** ~~\$1,571,700,000~~ shall remain available until September 30, **[1998]** ~~1999~~, of which **[\$216,000,000]** ~~\$217,000,000~~ shall remain available until September 30, **[1996]** ~~1997~~, and of which \$10,000,000, to remain available until expended, is for funding noncompetitive cooperative agreements with air carriers to assist them in acquiring and installing the following advanced security equipment: (1) hardened unit load devices, (2) explosive detection systems certified by the Federal Aviation Administration, and (3) computer-aided screener training and proficiency systems, in order to evaluate such equipment's operational feasibility and effectiveness in improving civil aviation security: *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment and modernization of air navigation facilities. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

[(RESCISSION)]

[Of the available balances under this heading, \$60,000,000 are rescinded.]

Program and Financing (in millions of dollars)

Identification code 69-8107-0-7-402	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Air route traffic control centers	48		
00.03 Engineering, development, test and evaluation	462	429	348
00.05 Airport traffic control towers	82		
00.07 Procurement and modernization of ATC facilities and equipment	1,214	926	891
00.09 Flight service facilities	8		
00.11 Procurement and modernization of non-ATC facilities and equipment	148	118	94
00.13 Air navigation facilities	43		
00.15 Mission support	252	241	285
00.17 Housing, utilities, and miscellaneous facilities	21		
00.19 Personnel and related costs	207	239	217
00.21 Aircraft and related equipment	4		
00.23 Development, test, and evaluation	2		
00.91 Total direct program	2,491	1,953	1,835
01.01 Reimbursable program	67	124	127
10.00 Total obligations	2,558	2,077	1,962
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1,285	888	810
22.00 New budget authority (gross)	2,026	1,999	1,916
22.10 Resources available from recoveries of prior year obligations	147		
22.30 Unobligated balance expiring	-12		
23.90 Total budgetary resources available for obligation	3,446	2,887	2,726
23.95 New obligations	-2,558	-2,077	-1,962
24.40 Unobligated balance available, end of year:			
Uninvested balance	888	810	764

New budget authority (gross), detail:

Current:			
40.26 Appropriation (trust fund, definite)	1,960	1,935	1,789
40.35 Appropriation rescinded		-60	
43.00 Appropriation (total)	1,960	1,875	1,789
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	67	124	127
70.00 Total new budget authority (gross)	2,026	1,999	1,916

Change in unpaid obligations:

Unpaid obligations, start of year:			
Obligated balance:			
72.40 Appropriation	66	74	
72.41 U.S. Securities: Par value	2,766	2,513	2,544
72.99 Total unpaid obligations, start of year	2,832	2,587	2,544

73.10	New obligations	2,558	2,077	1,962
73.20	Total outlays (gross)	-2,706	-2,120	-2,041
73.40	Adjustments in expired accounts	50		
73.45	Adjustments in unexpired accounts	-147		
Unpaid obligations, end of year:				
Obligated balance:				
74.40	Appropriation	74		
74.41	U.S. Securities: Par value	2,513	2,544	2,465
74.99	Total unpaid obligations, end of year	2,587	2,544	2,465
Outlays (gross), detail:				
86.90	Outlays from new current authority	625	469	447
86.93	Outlays from current balances	2,014	1,527	1,467
86.97	Outlays from new permanent authority	67	124	127
87.00	Total outlays (gross)	2,706	2,120	2,041
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-60	-111	-114
88.40	Non-Federal sources	-7	-13	-13
88.90	Total, offsetting collections (cash)	-67	-124	-127
Net budget authority and outlays:				
89.00	Budget authority	1,959	1,875	1,789
90.00	Outlays	2,638	1,996	1,914

Note.—Mission Support, has an estimated contingent liability of \$92 million (present value) associated with the FAA's long-term lease of facilities at the Mike Monroney Aeronautical Center, Oklahoma City, Oklahoma. This contingent liability will be funded through this account.

The proposed funding sustains the current infrastructure and supports the FAA's plan to modernize and improve the national airspace system. In particular, funds would provide for significant progress in developing the enroute, terminal and tower automation programs, designed to upgrade air traffic control technology; and the voice switching and control system, to modernize the system's communications network.

Object Classification (in millions of dollars)

Identification code 69-8107-0-7-402	1995 actual	1996 est.	1997 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	114	134	136
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	9	8	6
11.9	Total personnel compensation	124	143	143
12.1	Civilian personnel benefits	36	30	34
21.0	Travel and transportation of persons	30	36	36
22.0	Transportation of things	5	3	3
23.2	Rental payments to others	41	13	12
23.3	Communications, utilities, and miscellaneous charges	10	9	9
25.2	Other services	1,451	1,008	920
25.5	Research and development contracts			15
26.0	Supplies and materials	46	43	40
31.0	Equipment	532	441	408
32.0	Land and structures	213	199	190
41.0	Grants, subsidies, and contributions	3	28	25
99.0	Subtotal, direct obligations	2,491	1,953	1,835
99.0	Reimbursable obligations	66	124	127
99.5	Below reporting threshold	1		
99.9	Total obligations	2,558	2,077	1,962

Personnel Summary

Identification code 69-8107-0-7-402	1995 actual	1996 est.	1997 est.	
Direct:				
Total compensable workyears:				
1001	Full-time equivalent employment	2,151	2,250	2,231
1005	Full-time equivalent of overtime and holiday hours	60	100	100
Reimbursable:				
Total compensable workyears:				
2001	Full-time equivalent employment	50	55	55
2005	Full-time equivalent of overtime and holiday hours	3	4	4

RESEARCH, ENGINEERING, AND DEVELOPMENT
(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, U.S.C., including construction of experimental facilities and acquisition of necessary sites by lease or grant, **[\$185,698,000]** \$195,700,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, **[1998]** 1999: *Provided*, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred for research, engineering, and development. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-8108-0-7-402	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
Direct program:				
00.01	System development and infrastructure	11	10	14
00.02	Capacity and air traffic management technology	76	39	43
00.03	Communications, navigation, and surveillance	39	24	22
00.04	Weather	3	7	6
00.05	Airport technology	9	6	6
00.06	Aircraft safety technology	51	38	39
00.07	System security technology	37	37	36
00.08	Human factors and aviation medicine	35	24	23
00.09	Environment and energy	5	4	4
00.10	Innovative/cooperative research	7	1	3
00.91	Total direct program	273	190	196
01.01	Reimbursable program	5	6	6
10.00	Total obligations	278	196	202
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested balance	15	4	
22.00	New budget authority (gross)	257	192	202
22.10	Resources available from recoveries of prior year obligations	10		
23.90	Total budgetary resources available for obligation	282	196	202
23.95	New obligations	-278	-196	-202
24.40	Unobligated balance available, end of year:			
	Uninvested balance	4		
New budget authority (gross), detail:				
Current:				
40.26	Appropriation (trust fund, definite)	252	186	196
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	5	6	6
70.00	Total new budget authority (gross)	257	192	202
Change in unpaid obligations:				
Unpaid obligations, start of year:				
Obligated balance:				
72.40	Appropriation		9	212
72.41	U.S. Securities: Par value	226	247	
72.99	Total unpaid obligations, start of year	226	256	212
73.10	New obligations	278	196	202
73.20	Total outlays (gross)	-237	-240	-222
73.45	Adjustments in unexpired accounts	-10		
Unpaid obligations, end of year:				
Obligated balance:				
74.40	Appropriation	9	212	192
74.41	U.S. Securities: Par value	247		
74.99	Total unpaid obligations, end of year	256	212	192
Outlays (gross), detail:				
86.90	Outlays from new current authority	151	112	118
86.93	Outlays from current balances	81	122	98
86.97	Outlays from new permanent authority	5	6	6
87.00	Total outlays (gross)	237	240	222
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-2	-2

RESEARCH, ENGINEERING, AND DEVELOPMENT—Continued
(AIRPORT AND AIRWAY TRUST FUND)—Continued
Program and Financing (in millions of dollars)—Continued

Identification code 69-8108-0-7-402	1995 actual	1996 est.	1997 est.
88.40 Non-Federal sources	-4	-4	-4
88.90 Total, offsetting collections (cash)	-5	-6	-6
Net budget authority and outlays:			
89.00 Budget authority	252	186	196
90.00 Outlays	232	234	216

The 1997 budget proposes funding to conduct research, engineering and development programs to improve the national air traffic control system by increasing its safety, security, capacity and productivity to meet the expected air traffic demands of the future. The agency also administers human factors research aimed at increasing the effectiveness of air traffic controller operations, airway facilities maintenance, aviation medical research aimed at increasing the safety of aircrew members and environmental research aimed at mitigating aircraft noise and engine emissions.

These programs are conducted by the agency's technical personnel directly and through contracts with qualified private firms, universities, and individuals, and through agreements with other Government agencies.

Object Classification (in millions of dollars)

Identification code 69-8108-0-7-402	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	37	37
11.3 Other than full-time permanent	1	1	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	37	39	40
12.1 Civilian personnel benefits	7	8	8
21.0 Travel and transportation of persons	5	5	5
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	169	96	
25.5 Research and development contracts			101
26.0 Supplies and materials	5	4	4
31.0 Equipment	9	5	5
41.0 Grants, subsidies, and contributions	40	32	32
99.0 Subtotal, direct obligations	273	190	196
99.0 Reimbursable obligations	3	4	4
99.5 Below reporting threshold	2	2	2
99.9 Total obligations	278	196	202

Personnel Summary

Identification code 69-8108-0-7-402	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	631	703	696
1005 Full-time equivalent of overtime and holiday hours	4	8	8
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	3	6	6

TRUST FUND SHARE OF FAA OPERATIONS

Program and Financing (in millions of dollars)

Identification code 69-8104-0-7-402	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 92.0)	2,450	2,223	2,743
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2,450	2,223	2,743

23.95 New obligations	-2,450	-2,223	-2,743
New budget authority (gross), detail:			
40.26 Appropriation (trust fund, definite)	2,450	2,223	2,743
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation			
73.10 New obligations	2,450	2,223	2,743
73.20 Total outlays (gross)	-2,546	-2,223	-2,743
Outlays (gross), detail:			
86.90 Outlays from new current authority	2,450	2,223	2,743
86.93 Outlays from current balances	96		
87.00 Total outlays (gross)	2,546	2,223	2,743
Net budget authority and outlays:			
89.00 Budget authority	2,450	2,223	2,743
90.00 Outlays	2,546	2,223	2,743

Sections 48104 and 48105 of title 49, U.S. Code (formerly sections 506(c) and 506(d) of the Airport and Airway Improvement Act of 1982, as amended) and section 9502 of the Internal Revenue Code of 1986, as amended, authorize use of the Airport and Airway Trust Fund as the source of financing a portion of FAA's operating costs. For 1997, it is proposed that \$2,742,602,000 of the Operations appropriation be financed from the Trust Fund. This would provide an overall 75 percent cost recovery for FAA programs in total. Financing a portion of the operational costs from the Trust Fund is appropriate since it means that the users of the aviation system will help pay for the operation and maintenance of the system and the salaries and related expenses of FAA's safety employees, who have the daily responsibility of ensuring the safety of the flying public.

COAST GUARD

The following table depicts funding for all Coast Guard programs for which detail is furnished in the budget schedules, including net transfers and proposed legislation.

[In millions of dollars]

Budget authority:	1995 actual	1996 est.	1997 est.
Operating expenses ¹	2,625	2,577	2,638
Acquisition, construction and improvements ²	321	363	392
Environmental compliance and restoration	21	21	25
Port safety development		15	
Alteration of bridges	0	16	2
Retired pay	563	582	608
Reserve training	64	62	66
Research, development, test and evaluation ³	20	18	20
Boat safety ⁴	32	50	45
Emergency fund (OSLTF)	50	50	50
Payment of claims (OSLTF)	5	10	10
Total net	3,701	3,764	3,856
Program level:			
Operating expenses	2,633	2,579	2,638
Acquisition, construction, and improvements	335	465	423
Environmental compliance and restoration	24	21	25
Port safety development		15	
Alteration of bridges		16	2
Retired pay	550	582	608
Reserve training	64	62	66
Research, development, test, and evaluation	20	21	20
Boat safety	33	30	45
Emergency fund (OSLTF)	38	50	50
Payment of claims (OSLTF)	5	10	10
Supply fund	-9	-2	+11
Coast Guard yard fund	21	11	12
Total	3,714	3,860	3,910

For comparability purposes this table includes:

¹\$25 million in 1995 and \$20 million in 1996 from the Boat Safety account; \$25 million in 1995-97 from the Oil Spill Liability Trust Fund; \$11.2 million in 1995, \$300 million in 1996, and \$118.5 million in 1997 from the Department of Defense.

² \$32.5 million in 1995–96 and \$20 million in 1997 from the Oil Spill Liability Trust Fund.
³ \$3.15 million in 1995–96 and \$5.02 million in 1997 from the Oil Spill Liability Trust Fund.
⁴ \$7.5 million in 1996, \$30 million in 1996, and \$45 million in 1997 pursuant to Title V P.L. 102–587 and proposed legislation.

Federal Funds

General and special funds:

OPERATING EXPENSES

For necessary expenses for the operation and maintenance of the Coast Guard, not otherwise provided for; purchase of not to exceed five passenger motor vehicles for replacement only; payments pursuant to section 156 of Public Law 97–377, as amended (42 U.S.C. 402 note), and section 229(b) of the Social Security Act (42 U.S.C. 429(b)); and recreation and welfare; **[\$2,278,991,000] \$2,637,850,000**, of which \$25,000,000 shall be derived from the Oil Spill Liability Trust Fund; and of which **[\$20,000,000 shall be expended from the Boat Safety Account] \$118,500,000 shall be available for defense related activities: Provided**, That the number of aircraft on hand at any one time shall not exceed two hundred and eighteen, exclusive of aircraft and parts stored to meet future attrition: **[Provided further**, That none of the funds appropriated in this or any other Act shall be available for pay or administrative expenses in connection with shipping commissioners in the United States: **] Provided further**, That none of the funds provided in this Act shall be available for expenses incurred for yacht documentation under 46 U.S.C. 12109, except to the extent fees are collected from yacht owners and credited to this appropriation: **Provided further**, That the Commandant shall reduce both military and civilian employment levels for the purpose of complying with Executive Order No. 12839]. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69–0201–0–1–999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Search and rescue	387	384	391
00.02 Aids to navigation	526	500	513
00.03 Marine safety	331	312	320
00.04 Marine environmental protection	236	237	242
00.05 Enforcement of laws and treaties	951	954	974
00.06 Ice operations	91	91	94
00.07 Defense readiness	111	101	104
00.91 Total direct program	2,633	2,579	2,638
01.01 Reimbursable program	53	85	86
10.00 Total obligations	2,686	2,664	2,724
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	5	2	
22.00 New budget authority (gross)	2,678	2,662	2,724
22.10 Resources available from recoveries of prior year obligations	8		
22.30 Unobligated balance expiring	-1		
23.90 Total budgetary resources available for obligation	2,690	2,664	2,724
23.95 New obligations	-2,686	-2,664	-2,724
24.40 Unobligated balance available, end of year:			
Uninvested balance	2		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	2,537	2,234	2,613
40.75 Reduction pursuant to P.L. 104–50		-2	
41.00 Transferred to other accounts	-1		
42.00 Transferred from other accounts	39	300	
43.00 Appropriation (total)	2,575	2,532	2,613
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	103	130	111
70.00 Total new budget authority (gross)	2,678	2,662	2,724
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	578	577	651
73.10 New obligations	2,686	2,664	2,724

73.20 Total outlays (gross)	-2,662	-2,590	-2,641
73.40 Adjustments in expired accounts	-16		
73.45 Adjustments in unexpired accounts	-8		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	577	651	734
Outlays (gross), detail:			
86.90 Outlays from new current authority	2,060	2,026	2,090
86.93 Outlays from current balances	499	434	440
86.97 Outlays from new permanent authority	103	130	111
87.00 Total outlays (gross)	2,662	2,590	2,641
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Federal sources:			
88.00 Department of Defense	-27	-35	-36
88.00 Organized Crime Drug Enforcement Task Force	-1	-1	
88.00 Other Federal sources	-70	-88	-69
88.40 Non-Federal sources	-5	-6	-6
88.90 Total, offsetting collections (cash)	-103	-130	-111
Net budget authority and outlays:			
89.00 Budget authority	2,575	2,532	2,613
90.00 Outlays	2,559	2,460	2,530

To carry out its unique duties as a peacetime operating agency and one of the military services, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States and in selected areas overseas. The 1997 request provides for the safety of the public, and the Coast Guard's work force, with a continued emphasis on critical national security and law enforcement missions.

Object Classification (in millions of dollars)

Identification code 69–0201–0–1–999	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	171	171	173
11.3 Other than full-time permanent	4	5	5
11.5 Other personnel compensation	6	6	6
11.7 Military personnel	1,060	1,058	1,068
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	1,244	1,243	1,255
12.1 Civilian personnel benefits	42	44	44
12.2 Military personnel benefits	95	95	97
13.0 Benefits for former personnel	5	7	8
21.0 Travel and transportation of persons	76	76	77
22.0 Transportation of things	51	51	54
23.2 Rental payments to others	59	60	61
23.3 Communications, utilities, and miscellaneous charges	86	80	83
24.0 Printing and reproduction	8	7	7
25.1 Advisory and assistance services	4	4	4
25.2 Other services	111	98	117
25.4 Operation and maintenance of facilities	122	119	123
25.6 Medical care	127	120	118
25.7 Operation and maintenance of equipment	84	81	88
26.0 Supplies and materials	409	393	416
31.0 Equipment	54	50	55
32.0 Land and structures	5	5	5
42.0 Insurance claims and indemnities	1	1	1
99.0 Subtotal, direct obligations	2,583	2,534	2,613
99.0 Reimbursable obligations	103	130	111
99.9 Total obligations	2,686	2,664	2,724

Personnel Summary

Identification code 69–0201–0–1–999	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	4,598	4,810	4,526
1005 Full-time equivalent of overtime and holiday hours	85	82	79
1101 Full-time equivalent employment	36,266	36,279	35,742
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	60	64	64

General and special funds—Continued

OPERATING EXPENSES—Continued

Personnel Summary—Continued

Identification code 69-0201-0-1-999	1995 actual	1996 est.	1997 est.
2101 Full-time equivalent employment	108	152	152

ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of acquisition, construction, renovation, and improvement of aids to navigation, shore facilities, vessels, and aircraft, including equipment related thereto, **[\$362,375,000]** \$411,600,000, of which **[\$32,500,000]** \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund; of which **[\$167,600,000]** shall be available to acquire, repair, renovate or improve vessels, small boats and related equipment, to remain available until September 30, 2000; \$12,000,000 shall be available to acquire new aircraft and increase aviation capability, to remain available until September 30, 1998; \$49,200,000 shall be available for other equipment, to remain available until September 30, 1998; \$88,875,000 shall be available for shore facilities and aids to navigation facilities, to remain available until September 30, 1998; and **[\$44,700,000]** \$364,600,000 shall remain available for personnel compensation and benefits and related costs, to remain available until September 30, **[1996]** 1998. *Provided*, That funds received from the sale of the VC-11A and HU-25 aircraft shall be credited to this appropriation for the purpose of acquiring new aircraft and increasing aviation capacity; *Provided further*, That the Commandant may dispose of surplus real property by sale or lease and the proceeds of such sale or lease shall be credited to this appropriation; *Provided further*, That the proceeds from the sale of Wildwood, N.J. property shall be credited as offsetting collections to this account so as to result in a final fiscal year 1997 appropriation estimated at \$391,600,000, and that the estimated proceeds from the sale of such Coast Guard property shall be included in the budget baseline required by the Balanced Budget and Emergency Deficit Control Act of 1985, notwithstanding section 257(e) of the Act. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0240-0-1-999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Search and rescue	43	60	56
00.02 Aids to navigation	100	140	132
00.03 Marine safety	30	40	38
00.04 Marine environmental protection	35	50	46
00.05 Enforcement of laws and treaties	90	124	118
00.06 Ice operations	30	40	40
00.07 Defense readiness	7	10	10
00.91 Total direct program	335	464	440
01.01 Reimbursable program	-7	4	4
10.00 Total obligations	328	468	444
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	240	226	124
22.00 New budget authority (gross)	314	366	435
22.10 Resources available from recoveries of prior year obligations	3		
22.30 Unobligated balance expiring	-2		
23.90 Total budgetary resources available for obligation	555	592	559
23.95 New obligations	-328	-468	-444
24.40 Unobligated balance available, end of year:			
Uninvested balance	226	124	115
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	288	330	372
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	26	36	63

70.00 Total new budget authority (gross)	314	366	435
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	407	444	562
73.10 New obligations	328	468	444
73.20 Total outlays (gross)	-288	-350	-390
73.45 Adjustments in unexpired accounts	-3		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	444	562	616
Outlays (gross), detail:			
86.90 Outlays from new current authority	49	56	63
86.93 Outlays from current balances	213	257	264
86.97 Outlays from new permanent authority	26	36	63
87.00 Total outlays (gross)	288	350	390
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-26	-36	-63
Net budget authority and outlays:			
89.00 Budget authority	288	330	372
90.00 Outlays	262	314	327

The acquisition, construction, and improvements (AC&I) appropriation provides for the acquisition, construction, and improvement of vessels, aircraft, information management resources, shore facilities, and aids to navigation.

Vessels.—During 1997, acquisition of replacements for Seagoing and Coastal Buoy Tenders, Buoy Boats, and Coastal Patrol Boats will continue. Vessel renovation and modernization will also continue.

Aircraft.—Installation of the Traffic Alert and Collision Avoidance System (TCAS), and Global Positioning System (GPS) will continue in 1997. Conversion of HC-130 engines and improvements to the HC-65 helicopter will commence in 1997.

Other Equipment.—In 1997, new management information system replacements and upgrades will continue, including Marine Information for Safety and Law Enforcement (MISLE), Vessel Traffic System (VTS) 2000, Fleet Logistics System (FLS) and Communications System 2000. The National Distress System Modernization will commence in 1997.

Shore Facilities.—In 1997, shore facility projects will be balanced among new construction, renovations, improvements, and replacement of existing facilities, with an emphasis on recapitalization of aging shore facilities.

Personnel and Related Costs.—Personnel resources will be utilized in support of the AC&I projects described above.

Object Classification (in millions of dollars)

Identification code 69-0240-0-1-999	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	16	17
11.7 Military personnel	20	19	20
11.9 Total personnel compensation	34	35	37
12.1 Civilian personnel benefits	3	2	4
12.2 Military personnel benefits	2	1	2
21.0 Travel and transportation of persons	6	2	3
22.0 Transportation of things	1	1	3
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.1 Advisory and assistance services	1		
25.2 Other services	124	120	102
26.0 Supplies and materials	24	42	38
31.0 Equipment	96	198	184
32.0 Land and structures	42	62	65
99.0 Subtotal, direct obligations	334	464	440
99.0 Reimbursable obligations	-5	4	4
99.5 Below reporting threshold	-1		
99.9 Total obligations	328	468	444

Personnel Summary

Identification code 69-0240-0-1-999	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	283	288	288
1005 Full-time equivalent of overtime and holiday hours	1	2	2
1101 Full-time equivalent employment	387	370	370

[PORT SAFETY DEVELOPMENT]

[For necessary expenses for debt retirement of the Port of Portland, Oregon, \$15,000,000, to remain available until expended]. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0247-0-1-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)		15	
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		15	
23.95 New obligations		-15	
New budget authority (gross), detail:			
40.00 Appropriation		15	
Change in unpaid obligations:			
73.10 New obligations		15	
73.20 Total outlays (gross)		-15	
Outlays (gross), detail:			
86.90 Outlays from new current authority		15	
87.00 Total outlays (gross)		15	
Net budget authority and outlays:			
89.00 Budget authority		15	
90.00 Outlays		15	

This appropriation provides funding for the reduction of debt incurred by the Port of Portland, OR, from prior infrastructure development. No further appropriation is requested.

ENVIRONMENTAL COMPLIANCE AND RESTORATION

For necessary expenses to carry out the Coast Guard's environmental compliance and restoration functions under chapter 19 of title 14, United States Code, [\$21,000,000] \$25,000,000, to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0230-0-1-304	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	24	21	25
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1		
22.00 New budget authority (gross)	21	21	25
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	24	21	25
23.95 New obligations	-24	-21	-25
New budget authority (gross), detail:			
40.00 Appropriation	21	21	25
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	20	18	20
73.10 New obligations	24	21	25
73.20 Total outlays (gross)	-24	-19	-20

73.45 Adjustments in unexpired accounts	-2		
74.00 Unpaid obligations, end of year: Obligated balance:			
Appropriation	18	20	25
Outlays (gross), detail:			
86.90 Outlays from new current authority	6	6	8
86.93 Outlays from current balances	18	14	12
87.00 Total outlays (gross)	24	19	20
Net budget authority and outlays:			
89.00 Budget authority	21	21	25
90.00 Outlays	24	19	20

The environmental compliance and restoration account provides resources to the Coast Guard to satisfy environmental compliance and restoration related obligations arising under chapter 19 of title 14 of the United States Code.

Object Classification (in millions of dollars)

Identification code 69-0230-0-1-304	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	4	4	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
25.2 Other services	17	14	18
26.0 Supplies and materials	1	1	1
99.9 Total obligations	24	21	25

Personnel Summary

Identification code 69-0230-0-1-304	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	59	62	62
1101 Military: Full-time equivalent employment	11	11	11

ALTERATION OF BRIDGES

For necessary expenses for alteration or removal of obstructive bridges, [\$16,000,000] \$2,000,000, to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0244-0-1-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.2)		16	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		16	2
23.95 New obligations		-16	-2
New budget authority (gross), detail:			
40.00 Appropriation		16	2
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	37	33	32
73.10 New obligations		16	2
73.20 Total outlays (gross)	-4	-17	-18
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	33	32	16
Outlays (gross), detail:			
86.90 Outlays from new current authority		4	
86.93 Outlays from current balances	4	13	18
87.00 Total outlays (gross)	4	17	18
Net budget authority and outlays:			
89.00 Budget authority		16	2
90.00 Outlays	4	17	18

General and special funds—Continued

ALTERATION OF BRIDGES—Continued

This appropriation provides the Government's share of the costs for altering or removing railroad bridges determined to be obstructions to navigation. Consistent with pending legislation, beginning in 1997, the Coast Guard will no longer fund alteration of highway bridges determined to be unreasonably obstructive under the Truman-Hobbs Act of 1940 as amended, (33 U.S.C. 511 et seq.). The Federal share of such projects will be eligible for funding from the Federal Highway Administration, under the continuing program direction of the Coast Guard.

Coast Guard will continue to seek direct funding for the Federal share of the necessary alteration of railroad bridges under this appropriation.

RETIRED PAY

For retired pay, including the payment of obligations therefor otherwise chargeable to lapsed appropriations for this purpose, and payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C. ch. 55), **[\$582,022,000]** \$608,084,000. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0241-0-1-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Regular military personnel	457	477	507
00.02 Former Lighthouse Service personnel	1	1	1
00.03 Reserve personnel	26	28	28
00.04 Survivor benefit programs	13	13	15
00.05 Medical care	53	63	57
10.00 Total obligations	550	582	608
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	563	582	608
22.30 Unobligated balance expiring	-13		
23.90 Total budgetary resources available for obligation	550	582	608
23.95 New obligations	-550	-582	-608
New budget authority (gross), detail:			
40.00 Appropriation	563	582	608
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	59	62	93
73.10 New obligations	550	582	608
73.20 Total outlays (gross)	-547	-551	-604
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	62	93	98
Outlays (gross), detail:			
86.90 Outlays from new current authority	488	495	517
86.93 Outlays from current balances	59	56	87
87.00 Total outlays (gross)	547	551	604
Net budget authority and outlays:			
89.00 Budget authority	563	582	608
90.00 Outlays	547	551	604

This appropriation provides for retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the retired serviceman's family protection plan (10 U.S.C. 1431-46) and survivor benefits plans (10 U.S.C. 1447-55); and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

The following tabulation shows the average number of personnel on the rolls during 1995 compared with estimated numbers for 1996 and 1997:

Category:	AVERAGE NUMBER		
	1995 actual	1996 est.	1997 est.
Commissioned officers	4,823	5,088	5,229
Warrant officers	3,997	4,099	4,182
Enlisted personnel	16,955	17,465	17,823
Former Lighthouse Service personnel	27	22	17
Reserve personnel	2,860	2,875	2,910
Total	28,662	29,549	30,161

Object Classification (in millions of dollars)

Identification code 69-0241-0-1-403	1995 actual	1996 est.	1997 est.
13.0 Benefits for former personnel	497	519	551
25.6 Medical care	53	63	57
99.9 Total obligations	550	582	608

RESERVE TRAINING

For all necessary expenses for the Coast Guard Reserve, as authorized by law; maintenance and operation of facilities; and supplies, equipment, and services; **[\$62,000,000]** \$65,890,000. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0242-0-1-999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Initial training	1	1	1
00.02 Continuing training	36	37	40
00.03 Operation and maintenance of training facilities	9	8	8
00.04 Administration	18	16	17
10.00 Total obligations	64	62	66
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	2	1	
22.00 New budget authority (gross)	64	62	66
23.90 Total budgetary resources available for obligation	66	63	66
23.95 New obligations	-64	-62	-66
24.40 Unobligated balance available, end of year:			
Uninvested balance	1		
New budget authority (gross), detail:			
40.00 Appropriation	64	62	66
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	7	8	8
73.10 New obligations	64	62	66
73.20 Total outlays (gross)	-60	-62	-65
73.40 Adjustments in expired accounts	-2		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	8	8	9
Outlays (gross), detail:			
86.90 Outlays from new current authority	56	54	57
86.93 Outlays from current balances	4	8	8
87.00 Total outlays (gross)	60	62	65
Net budget authority and outlays:			
89.00 Budget authority	64	62	66
90.00 Outlays	60	62	65

The Coast Guard Reserve Forces provide qualified individuals and trained units for active duty in event of conflict, national emergency, or natural and man-made disasters. The reservists maintain their readiness through mobilization exercises, and duty alongside regular Coast Guard members dur-

ing routine and emergency operations. The 1997 Selected Reserve program level will support a fully funded strength of 8,000 reservists.

DAYS OF TRAINING

	1995 actual	1996 est.	1997 est.
Initial training: Initial active duty for training	12,256	39,964	42,120
Continuing training: Selected Reserve (with pay):			
Active duty training	97,912	97,800	101,800
Drill training	150,167	171,400	179,400
Other Ready Reserve (without pay):			
Active duty for training	3,159	1,000	1,000
Drill training	7,107	7,500	7,500

Object Classification (in millions of dollars)

Identification code 69-0242-0-1-999	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	3	3	3
11.7 Military personnel	45	44	48
11.9 Total personnel compensation	48	47	51
12.1 Civilian personnel benefits	1	1	1
12.2 Military personnel benefits	4	4	3
21.0 Travel and transportation of persons	3	3	3
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services	3	3	3
26.0 Supplies and materials	4	3	3
31.0 Equipment	1	1	1
99.9 Total obligations	64	62	66

Personnel Summary

Identification code 69-0242-0-1-999	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	95	92	88
1005 Full-time equivalent of overtime and holiday hours	2	2	2
1101 Full-time equivalent employment	473	425	412

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

For necessary expenses, not otherwise provided for, for applied scientific research, development, test, and evaluation; maintenance, rehabilitation, lease and operation of facilities and equipment, as authorized by law, **[\$18,000,000]** \$20,300,000, to remain available until expended, of which **[\$3,150,000]** \$5,020,000 shall be derived from the Oil Spill Liability Trust Fund: *Provided*, That there may be credited to this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries, for expenses incurred for research, development, testing, and evaluation. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0243-0-1-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Search and rescue	3	3	3
00.02 Aids to navigation	3	3	3
00.03 Marine safety	6	6	6
00.04 Marine environmental protection	3	3	3
00.05 Enforcement of laws and treaties	3	3	3
00.06 Ice operations	1	1	1
00.07 Defense readiness	1	1	1
00.91 Total direct program	20	20	20
01.01 Reimbursable program	1	1	1
10.00 Total obligations	21	21	21

Budgetary resources available for obligation:

21.40 Unobligated balance available, start of year:			
Uninvested balance	5	6	3
22.00 New budget authority (gross)	21	19	21
23.90 Total budgetary resources available for obligation	26	25	24
23.95 New obligations	-21	-21	-21
24.40 Unobligated balance available, end of year:			
Uninvested balance	6	3	3

New budget authority (gross), detail:

Current:			
40.00 Appropriation	17	15	15
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	4	4	6
70.00 Total new budget authority (gross)	21	19	21

Change in unpaid obligations:

72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	19	17	13
73.10 New obligations	21	21	21
73.20 Total outlays (gross)	-22	-25	-25
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	17	13	9

Outlays (gross), detail:

86.90 Outlays from new current authority	9	8	8
86.93 Outlays from current balances	9	13	11
86.97 Outlays from new permanent authority	4	4	6
87.00 Total outlays (gross)	22	25	25

Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-1	-1	-1
88.45 Offsetting governmental collections	-3	-3	-5
88.90 Total, offsetting collections (cash)	-4	-4	-6

Net budget authority and outlays:

89.00 Budget authority	17	15	15
90.00 Outlays	18	21	19

The Coast Guard's Research and Development program includes the development of techniques, methods, hardware, and systems which directly contribute to increasing the productivity and effectiveness of Coast Guard's operating missions.

Object Classification (in millions of dollars)

Identification code 69-0243-0-1-403	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.7 Military personnel	2	2	2
11.9 Total personnel compensation	6	6	6
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services	1	1	
25.5 Research and development contracts	8	8	8
26.0 Supplies and materials	1	1	1
99.0 Subtotal, direct obligations	20	20	19
99.0 Reimbursable obligations	1	1	1
99.5 Below reporting threshold			1
99.9 Total obligations	21	21	21

Personnel Summary

Identification code 69-0243-0-1-403	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	74	82	78
1101 Full-time equivalent employment	42	36	35

Intragovernmental funds:

COAST GUARD SUPPLY FUND

Program and Financing (in millions of dollars)

Identification code 69-4535-0-4-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 26.0)	68	72	74
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance		10	10
22.00 New budget authority (gross)	78	72	74
23.90 Total budgetary resources available for obligation	78	82	84
23.95 New obligations	-68	-72	-74
24.90 Unobligated balance available, end of year: Fund balance	10	10	10
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	78	72	74
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance	20	10	10
73.10 New obligations	68	72	74
73.20 Total outlays (gross)	-78	-72	-74
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance	10	10	9
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	78	72	74
87.00 Total outlays (gross)	78	72	74
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-68	-62	-64
88.40 Non-Federal sources	-10	-10	-10
88.90 Total, offsetting collections (cash)	-78	-72	-74
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

The Coast Guard supply fund, in accordance with 14 U.S.C. 650, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from sale of goods.

COAST GUARD YARD FUND

Program and Financing (in millions of dollars)

Identification code 69-4743-0-4-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Cost of goods sold	17	15	15
00.02 Other	31	34	32
00.91 Total operating expenses	48	49	47
01.01 Capital investment: Purchase of equipment	2	2	1
10.00 Total obligations	50	51	48
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	38	17	19
22.00 New budget authority (gross)	28	52	37
23.90 Total budgetary resources available for obligation	66	69	56
23.95 New obligations	-50	-51	-48
24.90 Unobligated balance available, end of year: Fund balance	17	19	7

New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	28	52	37
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance	6	2	
73.10 New obligations	50	51	48
73.20 Total outlays (gross)	-54	-52	-37
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance	2		12
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	28	52	37
86.98 Outlays from permanent balances	26		
87.00 Total outlays (gross)	54	52	37
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-28	-52	-37
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	26		

This fund finances the industrial operation of the Coast Guard Yard, Curtis Bay, MD (14 U.S.C.). The yard finances its operations out of advances received from Coast Guard appropriations and other agencies for all direct and indirect costs.

ANALYSIS BY TYPE OF WORK

	[Percent]		
	1995 actual	1996 est.	1997 est.
Vessel repairs and alterations	67	75	68
Boat repairs and construction	1	3	7
Fabrication of special and miscellaneous items	32	22	25
Total	100	100	100

Object Classification (in millions of dollars)

Identification code 69-4743-0-4-403	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	21	22	22
11.3 Other than full-time permanent	2		
11.5 Other personnel compensation	3	3	3
11.7 Military personnel	1	1	1
11.9 Total personnel compensation	27	26	26
12.1 Civilian personnel benefits	5	6	6
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services	4	3	2
26.0 Supplies and materials	10	12	11
31.0 Equipment	2	2	1
99.0 Subtotal, reimbursable obligations	50	51	48
99.9 Total obligations	50	51	48

Personnel Summary

Identification code 69-4743-0-4-403	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
2001 Full-time equivalent employment	658	632	632
2005 Full-time equivalent of overtime and holiday hours	59	39	39
2101 Full-time equivalent employment	24	24	24

Trust Funds

BOAT SAFETY

(AQUATIC RESOURCES TRUST FUND)

[For payment of necessary expenses incurred for recreational boating safety assistance under Public Law 92-75, as amended, \$20,000,000, to be derived from the Boat Safety Account and to remain available until expended.] (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-8149-0-7-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 State recreational boating safety programs	33	34	
00.02 Operating expenses: Coast Guard	25	20	
10.00 Total obligations	58	54	
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	4	4	
22.00 New budget authority (gross)	58	50	
23.90 Total budgetary resources available for obligation	62	54	
23.95 New obligations	-58	-54	
24.40 Unobligated balance available, end of year:			
Uninvested balance	4		
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	50	40	
Permanent:			
60.26 Appropriation (trust fund, definite)	8	10	
70.00 Total new budget authority (gross)	58	50	
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	26	17	29
73.10 New obligations	58	54	
73.20 Total outlays (gross)	-67	-42	-16
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	17	29	13
Outlays (gross), detail:			
86.90 Outlays from new current authority	44	17	
86.93 Outlays from current balances	18	17	13
86.97 Outlays from new permanent authority	3	4	
86.98 Outlays from permanent balances	2	4	3
87.00 Total outlays (gross)	67	42	16
Net budget authority and outlays:			
89.00 Budget authority	58	50	
90.00 Outlays	67	42	16

Summary of Budget Authority and Outlays

[In millions of dollars]

	1995 actual	1996 est.	1997 est.
Enacted/requested:			
Budget Authority	58	50	
Outlays	67	42	16
Legislative proposal, subject to PAYGO:			
Budget Authority		20	45
Outlays		9	26
Total:			
Budget Authority	58	70	45
Outlays	67	51	42

This account has historically provided financial assistance for the development and implementation of a coordinated national recreational boating safety program. Boating Safety statistics reflect the success in meeting the program's objectives. No discretionary appropriation is requested in 1997 from the funds deposited in the Boat Safety Account of the Aquatic Resources Trust Fund. Federal funding for assistance to States, administrative costs of the program, and the nonprofit grant program will be provided under proposed legislation that would transfer an additional \$35 million in mandatory funds to the Secretary of Transportation from the mandatory appropriation of the Sport Fish Restoration Account under the authority of Title V of the "Oceans Act of 1992" (P.L. 102-587) for a total of \$45 million.

Object Classification (in millions of dollars)

Identification code 69-8149-0-7-403	1995 actual	1996 est.	1997 est.
25.3 Purchases of goods and services from Government accounts	1	1	

41.0 Grants, subsidies, and contributions	32	33	
92.0 Undistributed	25	20	
99.9 Total obligations	58	54	

BOAT SAFETY

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 69-8149-4-7-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)		20	45
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		20	45
23.95 New obligations		-20	-45
New budget authority (gross), detail:			
60.26 Appropriation (trust fund, definite)		20	45
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation			11
73.10 New obligations		20	45
73.20 Total outlays (gross)		-9	-26
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation		11	30
Outlays (gross), detail:			
86.97 Outlays from new permanent authority		9	19
86.98 Outlays from permanent balances			7
87.00 Total outlays (gross)		9	26
Net budget authority and outlays:			
89.00 Budget authority		20	45
90.00 Outlays		9	26

AQUATIC RESOURCES TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8147-0-7-403	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	641	654	664
Receipts:			
02.01 Motorboat fuels tax	244	250	255
02.02 Excise taxes on sport fishing equipment	62	70	70
02.03 Import duties on tackle and yachts	27	27	28
02.04 Interest on investments	16	37	42
02.99 Total receipts	349	384	395
04.00 Total: Balances and collections	990	1,038	1,059
Appropriation:			
05.01 Sport fish restoration	-278	-304	-344
05.02 Boat safety	-58	-50	
05.03 Boat safety, legislative proposal		-20	-45
05.99 Subtotal appropriation	-336	-374	-389
07.99 Total balance, end of year	654	664	670

The Internal Revenue Code of 1954, as amended, and the Federal Boat Safety Act of 1971 (Public Law 92-75), as amended, provide for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. The Secretary of the Treasury estimates the amounts to be so transferred. In turn, appropriations are authorized from this fund to meet expenditures for programs specified by law.

OIL SPILL LIABILITY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8185-0-7-304	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	878	1,028	997
Receipts:			
02.01 Oil barrel fees	92		
02.02 Interest on investments	63	56	53
02.03 Fines and penalties	10	10	10
02.04 Recoveries	11	15	15
02.05 Transfers from the trans-Alaska pipeline liability fund	119		
02.06 Oil barrel fees, legislative proposal		34	294
02.07 Interest on investments, legislative proposal			2
02.99 Total receipts	295	115	374
04.00 Total: Balances and collections	1,173	1,143	1,371
Appropriation:			
05.01 Emergency fund	-50	-50	-50
05.02 Payment of claims	-5	-10	-10
05.03 Trust fund share of expenses	-61	-61	-50
05.04 Environmental Protection Agency	-20	-15	-15
05.05 Minerals Management Service	-6	-6	-6
05.07 Research and special programs administration	-2	-3	-3
05.08 Corps of Engineers	-1	-1	-1
05.99 Subtotal appropriation	-145	-146	-135
07.99 Total balance, end of year	1,028	997	1,236

The Omnibus Budget Reconciliation Act of 1989, Public Law 101-239, triggered collection of a 5 cent tax on each barrel of oil produced domestically or imported to be deposited into the Oil Spill Liability Trust Fund. Resources from the Oil Spill Liability Trust Fund are used to finance oil pollution prevention and cleanup responsibilities by various Federal agencies. In accordance with the provisions of the Act, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the following accounts: trust fund share of expenses, emergency fund, and payment of claims. The authority to collect the tax expired on December 31, 1994. Legislation will be proposed to reinstate the authority to collect the tax and raise the cap from \$1 billion to \$2.5 billion.

Status of Funds (in millions of dollars)

Identification code 20-8185-0-7-304	1995 actual	1996 est.	1997 est.
Unexpended balance, start of year:			
0100 Uninvested balance	5	1	4
U.S. Securities:			
0101 Par value	1,007	1,184	1,144
0102 Unrealized discounts	-52	-64	-61
0199 Total balance, start of year	960	1,121	1,087
Cash income during the year:			
Governmental receipts:			
0201 Excise taxes	92		
0201 Excise taxes, legislative proposal		34	294
0202 Fines and penalties	10	10	10
0203 Transfers from Trans-Alaska pipeline liability fund	119		
0204 Recoveries	11	15	15
Intragovernmental transactions:			
0240 Earnings on investments, oil spill liability trust fund	63	56	53
0240 Earnings on investments, oil spill liability trust fund			2
Offsetting collections:			
0280 Offsetting collections	3	15	15
0297 Income under present law	298	96	93
0298 Income under proposed legislation		34	296
0299 Total cash income	298	130	389
Cash outgo during year:			
0500 Oil spill research	-4	-6	-5
0501 Oil spill response	-26	-33	-31
0502 Payment of claims	-5	-10	-10
0503 Emergency fund	-38	-50	-50
0504 Trust fund share of expenses	-61	-61	-50

0505 Trust fund share of pipeline safety	-2	-3	-3
0507 Oil spill research	-1	-1	-1
0599 Total cash outgo	-137	-164	-150
Unexpended balance, end of year:			
0700 Uninvested balance	1	4	5
U.S. Securities:			
0701 Par value	1,184	1,144	1,382
0702 Unrealized discounts	-64	-61	-60
0799 Total balance, end of year	1,121	1,087	1,327

TRUST FUND SHARE OF EXPENSES

Program and Financing (in millions of dollars)

Identification code 69-8314-0-7-304	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operating expenses	25	25	25
00.02 Acquisition, construction and improvements	33	33	20
00.03 Research, development, test and evaluation	3	3	5
10.00 Total obligations (object class 92.0)	61	61	50
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	61	61	50
23.95 New obligations	-61	-61	-50
New budget authority (gross), detail:			
40.26 Appropriation (trust fund, definite)	61	61	50
Change in unpaid obligations:			
73.10 New obligations	61	61	50
73.20 Total outlays (gross)	-61	-61	-50
Outlays (gross), detail:			
86.90 Outlays from new current authority	61	61	50
87.00 Total outlays (gross)	61	61	50
Net budget authority and outlays:			
89.00 Budget authority	61	61	50
90.00 Outlays	61	61	50

This account provides resources from the Oil Spill Liability Trust Fund for activities authorized under the Operating expenses; Acquisition, construction, and improvements; and Research, development, test and evaluation accounts.

EMERGENCY FUND

Program and Financing (in millions of dollars)

Identification code 69-8313-0-7-304	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.2)	34	50	50
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	21	39	39
22.00 New budget authority (gross)	50	50	50
22.10 Resources available from recoveries of prior year obligations	2		
23.90 Total budgetary resources available for obligation	73	89	89
23.95 New obligations	-34	-50	-50
24.40 Unobligated balance available, end of year:			
Uninvested balance	39	39	39
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)	50	50	50
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	42	36	36
73.10 New obligations	34	50	50
73.20 Total outlays (gross)	-38	-50	-50
73.45 Adjustments in unexpired accounts	-2		

74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	36	36	36
Outlays (gross), detail:				
86.97	Outlays from new permanent authority	38	50	50
87.00	Total outlays (gross)	38	50	50
Net budget authority and outlays:				
89.00	Budget authority	50	50	50
90.00	Outlays	38	50	50

This account provides resources from the Oil Spill Liability Trust Fund for emergency costs associated with the swift cleanup of oil spills.

PAYMENT OF CLAIMS

Program and Financing (in millions of dollars)

Identification code 69-8312-0-7-304		1995 actual	1996 est.	1997 est.
Obligations by program activity:				
10.00	Total obligations (object class 42.0)	5	10	10
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	6	10	10
23.95	New obligations	-5	-10	-10
New budget authority (gross), detail:				
60.27	Appropriation (trust fund, indefinite)	5	10	10
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Appropriation		1	
73.10	New obligations	5	10	10
73.20	Total outlays (gross)	-5	-10	-10
74.40	Unpaid obligations, end of year: Obligated balance:			
	Appropriation	1		
Outlays (gross), detail:				
86.97	Outlays from new permanent authority	5	10	10
87.00	Total outlays (gross)	5	10	10
Net budget authority and outlays:				
89.00	Budget authority	6	10	10
90.00	Outlays	5	10	10

This account provides resources from the Oil Spill Liability Trust Fund for the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable, or is without resources.

COAST GUARD GENERAL GIFT FUND

Program and Financing (in millions of dollars)

Identification code 69-8533-0-7-403		1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:				
21.41	Unobligated balance available, start of year: U.S. Securities: Par value	2	2	2
22.00	New budget authority (gross)			
23.90	Total budgetary resources available for obligation	2	2	2
23.95	New obligations			
24.41	Unobligated balance available, end of year: U.S. Securities: Par value	2	2	2
New budget authority (gross), detail:				
70.00	Total new budget authority (gross)			
Change in unpaid obligations:				
73.10	New obligations			
Outlays (gross), detail:				
87.00	Total outlays (gross)			

Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

This trust fund, maintained from gifts and bequests, is used for purposes as specified by the donor in connection with the Coast Guard training program (10 U.S.C. 2601).

MISCELLANEOUS TRUST REVOLVING FUNDS

Program and Financing (in millions of dollars)

Identification code 69-9981-0-8-403		1995 actual	1996 est.	1997 est.
Obligations by program activity:				
10.00	Total obligations (object class 25.2)	7	7	7
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	7	7	7
23.95	New obligations	-7	-7	-7
New budget authority (gross), detail:				
68.00	Spending authority from offsetting collections (gross):			
	Offsetting collections (cash)	7	7	7
Change in unpaid obligations:				
73.10	New obligations	7	7	7
73.20	Total outlays (gross)	-7	-7	-7
Outlays (gross), detail:				
86.97	Outlays from new permanent authority	7	7	7
87.00	Total outlays (gross)	7	7	7
Offsets:				
Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources	-7	-7	-7
Net budget authority and outlays:				
89.00	Budget authority			
90.00	Outlays			

The Coast Guard cadet fund is used by the Superintendent of the Coast Guard Academy to receive, plan, control, and expend funds for personal expenses and obligations of Coast Guard cadets.

The Coast Guard surcharge collections, sales of commissary stores fund is used to finance expenses incurred in connection with the operation of the Coast Guard commissary store in Kodiak, Alaska. Revenue is derived from a surcharge placed on sales (14 U.S.C. 487).

MARITIME ADMINISTRATION

The Maritime Administration is responsible for programs authorized by the Merchant Marine Act, 1936, as amended, and other related acts, to promote a strong U.S. Merchant Marine. Emphasis is placed on increasing the competitiveness and productivity of the U.S. maritime industries as well as ensuring adequate seafaring manpower for peacetime and national emergencies. Programs include: providing operating aid to U.S.-flag operators; administering the Federal Ship Financing Fund loan portfolio; reimbursing the Commodity Credit Corporation for the expanded cargo preference requirement in the Food Security Act of 1985; preserving and maintaining merchant ships retained in the National Defense Reserve Fleet including the Ready Reserve Force; emergency planning and coordination; promoting port and intermodal development; and conducting Federal technology assessment projects.

The following table shows the funding for the Maritime Administration programs:

		[In millions of dollars]		
		1995 actual	1996 est.	1997 est.
Budget authority:				
	Maritime security program (054)	0	46	100

	1995 actual	1996 est.	1997 est.
Ocean freight differential	63	43	25
Operations and training	76	67	78
Ready reserve force ¹	-9	0	0
Maritime guaranteed loan program (Title XI) (054)	25	0	0
Maritime guaranteed loan program (Title XI) (403)	27	44	44
Operating-differential subsidies (Appropriation to liquidate contract authority)	[214]	[163]	[148]
Total budget authority	182	200	247
Program level (obligations):			
Maritime security program (054)	0	46	100
Ocean freight differential	63	43	25
Operations and training	75	71	78
Ready reserve force ¹	176	1	0
Vessel operations revolving fund	189	578	575
Federal ship financing fund	9	65	65
Maritime guaranteed loan program (Title XI) (054)	30	42	0
Maritime guaranteed loan program (Title XI) (403)	15	78	44
Total program level	557	924	887
Outlays:			
Ship construction	-3	0	0
Operating-differential subsidies	200	155	155
Maritime security program (054)	0	46	100
Ocean freight differential	63	43	25
Operations and training	78	72	78
Ready reserve force ¹	135	104	21
Vessel operations revolving fund	10	-66	-90
War risk insurance revolving fund	-2	-1	-1
Federal ship financing fund	-79	-8	-19
Maritime guaranteed loan program (Title XI) (054)	30	42	0
Maritime guaranteed loan program (Title XI) (403)	15	78	44
Total outlays	447	465	313

¹ Funded through the Department of Defense in 1996 and 1997.

Federal Funds

General and special funds:

SHIP CONSTRUCTION

Program and Financing (in millions of dollars)

Identification code 69-1708-0-1-403	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	3	6	6
22.00 New budget authority (gross)	3		
23.90 Total budgetary resources available for obligation	6	6	6
23.95 New obligations			
24.40 Unobligated balance available, end of year:			
Uninvested balance	6	6	6
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	3		
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3		
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-3		

The Ship Construction account is currently inactive except for determinations regarding the use of vessels built under the program, final settlement of open contracts, and closing of financial accounts.

OPERATING-DIFFERENTIAL SUBSIDIES
(LIQUIDATION OF CONTRACT AUTHORITY)

For the payment of obligations incurred for operating-differential subsidies, as authorized by the Merchant Marine Act, 1936, as amended, \$148,430,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 69-1709-0-1-403	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	84	89	89
22.00 New budget authority (gross)			
22.10 Resources available from recoveries of prior year obligations	5		
23.90 Total budgetary resources available for obligation	89	89	89
23.95 New obligations			
24.40 Unobligated balance available, end of year:			
Uninvested balance	89	89	89
New budget authority (gross), detail:			
40.00 Appropriation	214	163	148
40.49 Portion applied to liquidate contract authority	-209	-163	-148
40.50 Balance of appropriation to liquidate contract authority withdrawn	-5		
43.00 Appropriation (total)			
70.00 Total new budget authority (gross)			
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
Appropriation	52	38	29
Contract authority	831	640	315
Total unpaid obligations, start of year	883	678	344
73.10 New obligations			
73.20 Total outlays (gross)	-200	-155	-155
73.40 Adjustments in expired accounts		-179	
73.45 Adjustments in unexpired accounts	-5		
Unpaid obligations, end of year:			
Obligated balance:			
Appropriation	38	29	27
Contract authority	640	315	162
Total unpaid obligations, end of year	678	344	189
Outlays (gross), detail:			
86.93 Outlays from current balances	200	155	155
87.00 Total outlays (gross)	200	155	155
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	200	155	155

Status of Contract Authority (in thousands of dollars)

Unfunded balance, start of year	826	678	299
Adjustment in expired accounts	66	-216	-2
Appropriation to liquidate contract authority	-214	-163	-148
Unfunded balance, end of year	678	299	149

The Operating-Differential Subsidies (ODS) account helps to maintain a U.S.-flag merchant fleet to serve both the commercial and national security needs of the U.S. by providing operating subsidies to U.S.-flag ship operators to offset certain differences between U.S. and foreign operating costs. Appropriations are provided to liquidate contract authority.

MARITIME SECURITY PROGRAM

For the Maritime Security Program as authorized by the Merchant Marine Act, 1936, as amended, \$100,000,000, to remain available until expended.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 69-1711-0-1-054	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)		46	100
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		46	100
23.95 New obligations		-46	-100
New budget authority (gross), detail:			
40.00 Appropriation		46	100
Change in unpaid obligations:			
73.10 New obligations		46	100
73.20 Total outlays (gross)		-46	-100
Outlays (gross), detail:			
86.90 Outlays from new current authority		46	100
87.00 Total outlays (gross)		46	100
Net budget authority and outlays:			
89.00 Budget authority		46	100
90.00 Outlays		46	100

The Maritime Security Program provides resources to maintain a U.S.-flag merchant fleet crewed by U.S. citizens to serve both the commercial and national security needs of the United States. The program provides direct payments to U.S.-flag ship operators engaged in U.S.-foreign trade. Participating operators would be required to keep the vessels in active commercial service and would be required to provide intermodal sealift support to the Department of Defense in time of war or national emergency.

OCEAN FREIGHT DIFFERENTIAL

Program and Financing (in millions of dollars)

Identification code 69-1751-0-1-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 22.0)	63	43	25
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	63	43	25
23.95 New obligations	-63	-43	-25
New budget authority (gross), detail:			
60.05 Appropriation (indefinite)	63	43	25
60.47 Portion applied to debt reduction	-63	-43	-25
63.00 Appropriation (total)			
67.15 Authority to borrow (indefinite)	63	43	25
70.00 Total new budget authority (gross)	63	43	25
Change in unpaid obligations:			
73.10 New obligations	63	43	25
73.20 Total outlays (gross)	-63	-43	-25
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	63	43	25
87.00 Total outlays (gross)	63	43	25
Net budget authority and outlays:			
89.00 Budget authority	63	43	25
90.00 Outlays	63	43	25

Public Law 99-198 amended section 901 of the Merchant Marine Act to increase from 50 to 75 percent the amount of agricultural commodities under specified programs that

must be carried on U.S.-flag vessels. The increased cost associated with this expanded U.S.-flag shipping requirement stems from higher rates charged by U.S.-flag carriers compared with foreign-flag carriers. The Maritime Administration is required to reimburse the Department of Agriculture for ocean freight differential costs for the added tonnage above 50 percent. These reimbursements are funded through borrowings from the Treasury. This account has a permanent, indefinite appropriation to liquidate debt provided in Public Law 100-202 to cover these costs.

The Maritime Administration's ocean freight differential costs are one portion of the government's cargo preference program. The ocean transportation subsidy costs related to cargo preference for all relevant agencies are presented in the following schedule.

CARGO PREFERENCE PROGRAM COSTS

AGENCY:	[In millions of dollars]					
	1995		1996		1997	
	Obligations	Outlays	Obligations	Outlays	Obligations	Outlays
Department of Agriculture	62	49	50	78	41	45
Department of Transportation—Maritime Administration	63	63	43	43	25	25
Department of Defense ¹	438	438	414	414	424	424
Agency for International Development	4	4	5	5	5	5
Export-Import Bank of the U.S.	40	40	61	61	71	71
Department of State	1	1	1	1	1	1
Total	608	595	574	602	567	571

¹ DOD estimates are preliminary.

OPERATIONS AND TRAINING

For necessary expenses of operations and training activities authorized by law, \$78,097,000, to remain available until expended: Provided, That reimbursements may be made to this appropriation from receipts to the "Federal Ship Financing Fund" for administrative support of that program in addition to any amount heretofore appropriated.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 69-1750-0-1-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Merchant Marine Academy		31	31
00.02 State marine schools		9	7
00.03 Additional training		2	2
00.10 Operating Programs		10	10
00.21 Research and development		2	2
00.22 General administration		21	21
00.91 Total direct program		75	71
01.01 Reimbursable program		57	59
10.00 Total obligations		132	130
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance		4	5
22.00 New budget authority (gross)		133	126
23.90 Total budgetary resources available for obligation		137	131
23.95 New obligations		-132	-130
24.40 Unobligated balance available, end of year:			
Uninvested balance		5	
New budget authority (gross), detail:			
Current:			
40.00 Appropriation		76	67

General and special funds—Continued

OPERATIONS AND TRAINING—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 69-1750-0-1-403	1995 actual	1996 est.	1997 est.
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	57	59	62
70.00 Total new budget authority (gross)	133	126	140
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Obligated balance: Appropriation	14	11	11
72.95 Orders on hand from Federal sources	17	17	17
72.99 Total unpaid obligations, start of year	31	28	28
73.10 New obligations	132	130	140
73.20 Total outlays (gross)	-135	-131	-139
Unpaid obligations, end of year:			
74.40 Obligated balance: Appropriation	11	11	12
74.95 Orders on hand from Federal sources	17	17	17
74.99 Total unpaid obligations, end of year	28	28	29
Outlays (gross), detail:			
86.90 Outlays from new current authority	64	57	66
86.93 Outlays from current balances	14	15	11
86.97 Outlays from new permanent authority	57	59	62
87.00 Total outlays (gross)	135	131	139
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-57	-59	-62
Net budget authority and outlays:			
89.00 Budget authority	76	67	78
90.00 Outlays	78	72	77

This appropriation finances costs incurred by headquarters and region staffs in the administration and direction of Maritime Administration programs; the total cost of officer training at the U.S. Merchant Marine Academy as well as Federal financial support to six State maritime academies; planning for coordination of U.S. maritime industry activities under emergency conditions; activities promoting port and intermodal development; and Federal technology assessment projects designed to achieve advancements in ship design, construction and operations.

Object Classification (in millions of dollars)

Identification code 69-1750-0-1-403	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	30	31	32
11.3 Other than full-time permanent	2	2	2
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	33	34	35
12.1 Civilian personnel benefits	8	8	9
13.0 Benefits for former personnel	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	3	3
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.2 Other services	18	15	20
26.0 Supplies and materials	3	3	3
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	3	2	2
99.0 Subtotal, direct obligations	75	71	78
99.0 Reimbursable obligations	57	59	62
99.9 Total obligations	132	130	140

Personnel Summary

Identification code 69-1750-0-1-403	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	559	552	546
1005 Full-time equivalent of overtime and holiday hours	6	6	6
Reimbursable:			
Total compensable workyears:			
2001 Full-time equivalent employment	503	513	509
2005 Full-time equivalent of overtime and holiday hours	18	18	18

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 69-1710-0-1-054	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.02 Maintenance and operations	173	1
00.03 Facilities	3
10.00 Total obligations	176	1
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
Uninvested balance:			
21.40 For completion of prior year plans	7	1
21.40 Available to finance new plans	158
21.99 Total unobligated balance, start of year	165	1
22.00 New budget authority (gross)	-9
22.10 Resources available from recoveries of prior year obligations	21
23.90 Total budgetary resources available for obligation	177	1
23.95 New obligations	-176	-1
24.40 Unobligated balance available, end of year:			
Uninvested balance	1
New budget authority (gross), detail:			
40.00 Appropriation	150
40.36 Unobligated balance rescinded	-158
40.75 Reduction pursuant to P.L. 104-50	-1
43.00 Appropriation (total)	-9
70.00 Total new budget authority (gross)	-9
Change in unpaid obligations:			
Unpaid obligations, start of year: Obligated balance:			
72.40 Appropriation	103	124	21
73.10 New obligations	176	1
73.20 Total outlays (gross)	-134	-104	-21
73.45 Adjustments in unexpired accounts	-21
Unpaid obligations, end of year: Obligated balance:			
74.40 Appropriation	124	21
Outlays (gross), detail:			
86.90 Outlays from new current authority	115
86.93 Outlays from current balances	19	104	21
87.00 Total outlays (gross)	134	104	21
Net budget authority and outlays:			
89.00 Budget authority	-9
90.00 Outlays	134	104	21

Beginning in 1996, funding for the Ready Reserve Force (RRF) account is included in appropriations for the Department of Defense. Management of the RRF remains with MARAD. Reimbursements from the Department of Defense for the RRF account are reflected in MARAD's Vessel Operations Revolving Fund account.

The RRF is comprised of Government-owned, U.S.-flag merchant ships laid up in the National Defense Reserve Fleet (NDRF). The RRF is maintained in an advanced state of readiness to meet surge shipping requirements during a national emergency.

Object Classification (in millions of dollars)

Identification code 69-1710-0-1-054	1995 actual	1996 est.	1997 est.
21.0 Travel and transportation of persons	1		
23.3 Communications, utilities, and miscellaneous charges	9		
25.2 Other services	164	1	
26.0 Supplies and materials	1		
31.0 Equipment	1		
99.9 Total obligations	176	1	

Public enterprise funds:

VESSEL OPERATIONS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 69-4303-0-3-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	189	578	575
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	25	28	
22.00 New budget authority (gross)	192	550	575
23.90 Total budgetary resources available for obligation	217	578	575
23.95 New obligations	-189	-578	-575
24.90 Unobligated balance available, end of year: Fund balance	28		
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	192	550	575
Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.90 Uninvested balance			76
72.92 U.S. Securities: Unrealized discounts	-6	-18	
72.99 Total unpaid obligations, start of year	-6	-18	76
73.10 New obligations	189	578	575
73.20 Total outlays (gross)	-202	-484	-485
Unpaid obligations, end of year:			
Obligated balance:			
74.90 Fund balance		76	166
74.92 U.S. Securities: Unrealized discounts	-18		
74.99 Total unpaid obligations, end of year	-18	76	166
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	192	479	403
86.98 Outlays from permanent balances	10	4	82
87.00 Total outlays (gross)	202	484	485
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-192	-550	-575
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	10	-66	-90

The Maritime Administration is authorized to reactivate, operate, deactivate, and charter merchant vessels. These operations are financed through the Vessel Operations Revolving Fund with reimbursements from sponsoring agencies. In addition, the fund is available to finance the necessary expenses to protect, maintain, preserve, acquire, and use vessels involved in mortgage foreclosure or forfeiture proceedings instituted by the United States other than those financed by the Federal Ship Financing Fund; and to process advances received from Federal agencies. Also the acquisition of ships under the trade-in/scrap-out program is financed through this account.

Programs are funded by reimbursements from other Federal agencies. These programs include various DOD/Navy-spon-

sored activities, such as the operation of activated RRF vessels, installation of sealift enhancement features and other special projects. Beginning in 1996, the Vessel Operations Revolving Fund account included DOD/Navy reimbursements for the RRF account. DOD/Navy funding for RRF provides for additional RRF vessels, RRF ship activations and deactivations, maintaining RRF ships in an advanced state of readiness, berthing costs, capital improvements at fleet sites, and other RRF support costs.

Statement of Operations (in millions of dollars)

Identification code 69-4303-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	184	189	552	577
0102 Expense	-120	-187	-552	-577
0109 Net income or loss (-)	64	2		

Balance Sheet (in millions of dollars)

Identification code 69-4303-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	19	10	20	21
Investments in US securities:				
1106 Receivables, net	277	61	16	11
1999 Total assets	296	71	36	32
LIABILITIES:				
2101 Federal liabilities: Accounts payable	51	33	1	1
2201 Non-Federal liabilities: Accounts payable	19			
2999 Total liabilities	70	33	1	1
NET POSITION:				
3200 Invested capital	226	38	35	31
3999 Total net position	226	38	35	31
4999 Total liabilities and net position	296	71	36	32

Object Classification (in millions of dollars)

Identification code 69-4303-0-3-403	1995 actual	1996 est.	1997 est.
21.0 Travel and transportation of persons		3	3
23.3 Communications, utilities, and miscellaneous charges		10	11
24.0 Printing and reproduction		1	1
25.2 Other services	189	491	470
26.0 Supplies and materials		2	2
31.0 Equipment		70	87
42.0 Insurance claims and indemnities		1	1
99.0 Subtotal, reimbursable obligations	189	578	575
99.9 Total obligations	189	578	575

WAR RISK INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 69-4302-0-3-403	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance	2		
21.91 U.S. Securities: Par value	21	24	25
21.99 Total unobligated balance, start of year	23	24	25
22.00 New budget authority (gross)	1	1	1
23.90 Total budgetary resources available for obligation	24	25	26
23.95 New obligations			
24.91 Unobligated balance available, end of year: U.S. Securities: Par value	24	25	25
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	1	1	1
Change in unpaid obligations:			
73.10 New obligations			

Public enterprise funds—Continued**WAR RISK INSURANCE REVOLVING FUND—Continued**

Program and Financing (in millions of dollars)—Continued

Identification code 69-4302-0-3-403	1995 actual	1996 est.	1997 est.
Outlays (gross), detail:			
86.97 Outlays from new permanent authority		1	1
87.00 Total outlays (gross)			
Offsets:			
Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on U.S. securities	-1	-1	-1
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-2	-1	-1

The Maritime Administration is authorized to insure against loss or damage from marine war risks until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and war risk cargo insurance standby program.

Statement of Operations (in millions of dollars)

Identification code 69-4302-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	1	1	1	1
0102 Expense	-1			
0109 Net income or loss (-)		1	1	1

Balance Sheet (in millions of dollars)

Identification code 69-4302-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	1	1	1	1
Investments in US securities:				
1102 Treasury securities, par	21	23	24	25
1106 Receivables, net		1	1	1
1999 Total assets	22	25	26	27
NET POSITION:				
3200 Invested capital	23	24	25	27
3999 Total net position	23	24	25	27
4999 Total liabilities and net position	23	24	25	27

Credit accounts:**FEDERAL SHIP FINANCING FUND LIQUIDATING ACCOUNT**

Program and Financing (in millions of dollars)

Identification code 69-4301-0-3-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operating expenses	1	15	15
01.01 Capital investment	8	50	50
10.00 Total obligations	9	65	65
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.90 Fund balance	22	9	
21.91 U.S. Securities: Par value	892	396	411
21.99 Total unobligated balance, start of year	914	405	411
22.00 New budget authority (gross)	75	71	84
22.40 Capital transfer to general fund	-574		
23.90 Total budgetary resources available for obligation	415	476	495
23.95 New obligations	-9	-65	-65

Unobligated balance available, end of year:			
24.90 Fund balance	9		
24.91 U.S. Securities: Par value	396	411	430
24.99 Total unobligated balance, end of year	405	411	430

New budget authority (gross), detail:			
Spending authority from offsetting collections (gross):			
68.00 Offsetting collections (cash)	75	71	84

Change in unpaid obligations:			
Unpaid obligations, start of year:			
Obligated balance:			
72.90 Fund balance	6	6	3
72.92 U.S. Securities: Unrealized discounts	-18	-5	
72.99 Total unpaid obligations, start of year	-12	1	3
73.10 New obligations	9	65	65
73.20 Total outlays (gross)	4	-63	-65
Unpaid obligations, end of year:			
Obligated balance:			
74.90 Fund balance	6	3	3
74.92 U.S. Securities: Unrealized discounts	-5		
74.99 Total unpaid obligations, end of year	1	3	3

Outlays (gross), detail:			
86.98 Outlays from permanent balances	-4	63	65
87.00 Total outlays (gross)	-4	63	65

Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities	-69	-36	-37
Non-Federal sources:			
88.40 Insurance premiums and fees	-4	-7	-7
88.40 Repayment of loans		-7	-7
88.40 Sale of assets		-13	-25
88.40 Interest and other income	-2	-8	-8
88.90 Total, offsetting collections (cash)	-75	-71	-84

Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays	-79	-8	-19

Status of Direct Loans (in millions of dollars)

Identification code 69-4301-0-3-403	1995 actual	1996 est.	1997 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	218	33	75
1231 Disbursements: Direct loan disbursements	8	50	50
Repayments:			
1251 Repayments and prepayments		-1	-1
1252 Proceeds from loan asset sales to the public or discounted		-7	-6
1264 Write-offs for default: Other adjustments, net	-193		
1290 Outstanding, end of year	33	75	118

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-4301-0-3-403	1995 actual	1996 est.	1997 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1,147	981	782
2251 Repayments and prepayments	-158	-150	-130
2261 Adjustments: Terminations for default that result in loans receivable	-8	-49	-49
2290 Outstanding, end of year	981	782	603
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	981	782	603
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	89	75	98
2331 Disbursements for guaranteed loan claims	8	50	50
2351 Repayments of loans receivable	-22	-27	-52
2390 Outstanding, end of year	75	98	96

The Merchant Marine Act of 1936, as amended, established the Federal Ship Financing Fund to assist in the development of the U.S. merchant marine by guaranteeing construction loans and mortgages on U.S.-flag vessels built in the United States. In 1995, \$574 million unobligated balance was returned to the Treasury to be used for other purposes. No new commitments for loan guarantees are projected for 1997 for the Federal Ship Financing Fund. Operating expenses incurred in the operation of the Federal Ship Financing Fund will continue to be paid from income to the fund. In 1997, the estimate includes \$50 million as a contingency against possible defaults. The fund receives income from insurance premiums on construction loans and mortgages, fees, and interest on mortgages held directly and sale of defaulted assets.

The 1995 end of year balance in the Federal Ship Financing Fund is primarily a result of sale of assets, normal collection of periodic loan guarantee fees, and interest income.

Statement of Operations (in millions of dollars)

Identification code 69-4301-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue	88	104	71	85
0102 Expense	-29	-610	-71	-85
0109 Net income or loss (-)	59	-506		

Balance Sheet (in millions of dollars)

Identification code 69-4301-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury	16	9		
Investments in US securities:				
1102 Treasury securities, par	892	396	411	430
1106 Receivables, net	2	9	9	11
1206 Non-Federal assets: Receivables, net	18	4	20	16
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross	218	33	75	118
1603 Allowance for estimated uncollectible loans and interest (-)	-196	-6		
1604 Direct loans and interest receivable, net	22	27	75	118
1699 Value of assets related to direct loans	22	27	75	118
1803 Other Federal assets: Property, plant and equipment, net	12	13	127	96
1999 Total assets	962	458	642	671
LIABILITIES:				
2201 Non-Federal liabilities: Accounts payable		1	3	3
2999 Total liabilities		1	3	3
NET POSITION:				
3200 Invested capital	962	457	639	668
3999 Total net position	962	457	639	668
4999 Total liabilities and net position	962	458	642	671

Object Classification (in millions of dollars)

Identification code 69-4301-0-3-403	1995 actual	1996 est.	1997 est.
25.2 Other services	1	15	15
33.0 Investments and loans	8	50	50
99.9 Total obligations	9	65	65

available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$800,000,000.

In addition, for administrative expenses to carry out the guaranteed loan program, not to exceed \$4,000,000, which shall be transferred to and merged with the appropriation for Operations and Training.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, P.L. 104-92, and P.L. 104-99.

Program and Financing (in millions of dollars)

Identification code 69-1752-0-1-999	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.02 Guaranteed loan subsidy	41	115	40
00.09 Administrative expenses	4	5	4
10.00 Total obligations	45	120	44
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	19	76	
22.00 New budget authority (gross)	52	44	44
22.20 Unobligated balance transferred	50		
23.90 Total budgetary resources available for obligation	121	120	44
23.95 New obligations	-45	-120	-44
24.90 Unobligated balance available, end of year: Fund balance	76		
New budget authority (gross), detail:			
40.00 Appropriation	27	44	44
42.00 Transferred from other accounts	25		
43.00 Appropriation (total)	52	44	44
70.00 Total new budget authority (gross)	52	44	44
Change in unpaid obligations:			
73.10 New obligations	45	120	44
73.20 Total outlays (gross)	-45	-120	-44
Outlays (gross), detail:			
86.90 Outlays from new current authority	42	44	44
86.93 Outlays from current balances	3	76	
87.00 Total outlays (gross)	45	120	44
Net budget authority and outlays:			
89.00 Budget authority	52	44	44
90.00 Outlays	45	120	44

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-1752-0-1-999	1995 actual	1996 est.	1997 est.
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantee level 1, Category A	418	224	103
2150 Loan guarantee level 1, Category B		224	103
2150 Loan guarantee level 1, Category C		215	50
2150 Loan guarantee level 2, Category A		262	79
2150 Loan guarantee level 2, Category B		261	81
2150 Loan guarantee level 2, Category C		138	25
2150 Loan guarantee level 3		316	130
2159 Total loan guarantee levels	418	1,640	571
Guaranteed loan subsidy (in percent):			
2320 Subsidy rate, level 1, Category A	9.98	3.28	3.28
2320 Subsidy rate, level 1, Category B	0.00	3.78	3.78
2320 Subsidy rate, level 1, Category C	0.00	4.28	4.28
2320 Subsidy rate, level 2, Category A	0.00	5.78	5.78
2320 Subsidy rate, level 2, Category B	0.00	7.28	7.28
2320 Subsidy rate, level 2, Category C	0.00	8.78	8.78
2320 Subsidy rate, level 3	0.00	13.78	13.78
2329 Weighted average subsidy rate	9.98	7.00	7.00
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority, level 1, Category A	41	7	3
2330 Subsidy budget authority, level 1, Category B		9	4
2330 Subsidy budget authority, level 1, Category C		9	2
2330 Subsidy budget authority, level 2, Category A		15	5
2330 Subsidy budget authority, level 2, Category B		19	6
2330 Subsidy budget authority, level 2, Category C		12	2

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT

For the cost of guaranteed loans, as authorized by the Merchant Marine Act, 1936, as amended, \$40,000,000, to remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be defined in section 502 of the Congressional Budget Act of 1974, as amended: Provided further, That these funds are

Credit accounts—Continued

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)—Continued

Identification code 69-1752-0-1-999	1995 actual	1996 est.	1997 est.
2330 Subsidy budget authority level 3		44	18
2339 Total subsidy budget authority	41	115	40
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays, level 1	41	25	9
2340 Subsidy outlays, level 2		46	13
2340 Subsidy outlays, level 3		44	18
2349 Total subsidy outlays	41	115	40
Administrative expense data:			
3510 Budget authority	4	5	4
3590 Outlays	4	5	4

This program provides for guaranteed loans for purchasers of ships from the U.S. shipbuilding industry and for modernization of U.S. shipyards. In 1996, the program began operating under seven risk categories and corresponding subsidy rate estimates for loans to be approved under the Title XI program.

As required by the Federal Credit Reform Act of 1990, this account includes the subsidy costs associated with the loan guarantees commitments made in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis, the administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 69-1752-0-1-999	1995 actual	1996 est.	1997 est.
25.2 Other services	4	5	4
41.0 Grants, subsidies, and contributions	41	115	40
99.9 Total obligations	45	120	44

MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4304-0-3-054	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	32	80	145
22.00 New financing authority (gross)	47	64	86
23.90 Total budgetary resources available for obligation	79	144	231
23.95 New obligations			
24.90 Unobligated balance available, end of year: Fund balance	80	145	230
New financing authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash)	47	64	86
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)			
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Program account	-41	-40	-40
88.25 Interest on uninvested funds	-3	-8	-9

88.40 Insurance premiums	-3	-16	-27
88.90 Total, offsetting collections (cash)	-47	-64	-76
Net financing authority and financing disbursements:			
89.00 Financing authority			10
90.00 Financing disbursements	-48	-64	-76

Status of Guaranteed Loans (in millions of dollars)

Identification code 69-4304-0-3-054	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	418	571	800
2150 Total guaranteed loan commitments	418	571	800
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	314	742	1,257
2231 Disbursements of new guaranteed loans	418	571	800
2251 Repayments and prepayments	-28	-56	-106
2264 Adjustments: Other adjustments, net	38		
2290 Outstanding, end of year	742	1,257	1,951
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	742	1,257	1,951
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	16	16	16
2331 Disbursements for guaranteed loan claims			3
2351 Repayments of loans receivable			-2
2390 Outstanding, end of year	16	16	17

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 69-4304-0-3-054	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
1101 Federal assets: Fund balances with Treasury	35	80	145	230
1999 Total assets	35	80	145	230
NET POSITION:				
3200 Invested capital	35	80	145	230
3999 Total net position	35	80	145	230

ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION

Notwithstanding any other provision of this Act, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration, and payments received therefor shall be credited to the appropriation charged with the cost thereof: Provided, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.

No obligations shall be incurred during the current fiscal year from the construction fund established by the Merchant Marine Act, 1936, or otherwise, in excess of the appropriations and limitations contained in this Act or in any prior appropriation Act, and all receipts which otherwise would be deposited to the credit of said fund shall be covered into the Treasury as miscellaneous receipts.

Note.—A regular 1996 appropriation for this account had not been enacted at the time this budget was prepared. The 1996 amounts included in this budget are based on the levels provided in three continuing resolutions: P.L. 104-91, 104-92, and P.L. 104-99.

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

Federal Funds

Public enterprise funds:

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-4089-0-3-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Operations and maintenance	10	11	11
00.02 Replacement and improvements	1	1	1
10.00 Total obligations	11	12	12
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.47 Authority to borrow	3	3	3
21.90 Fund balance	12	12	11
21.99 Total unobligated balance, start of year	15	15	14
22.00 New budget authority (gross)	11	11	11
23.90 Total budgetary resources available for obligation	26	26	25
23.95 New obligations	-11	-12	-12
Unobligated balance available, end of year:			
24.47 Authority to borrow	3	3	3
24.90 Fund balance	12	11	10
24.99 Total unobligated balance, end of year	15	14	13
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	11	11	11
Change in unpaid obligations:			
72.90 Unpaid obligations, start of year: Obligated balance:			
Fund balance	3	2	2
73.10 New obligations	11	12	12
73.20 Total outlays (gross)	-11	-12	-12
74.90 Unpaid obligations, end of year: Obligated balance:			
Fund balance	2	2	2
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	11	11	11
86.98 Outlays from permanent balances		1	1
87.00 Total outlays (gross)	11	12	12
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-10	-10	-10
88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-11	-11	-11
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays		1	1

The Saint Lawrence Seaway Development Corporation is a wholly owned Government Corporation responsible for the operation, maintenance and development of the United States portion of the St. Lawrence Seaway between Montreal and Lake Erie. Major priorities are to control Seaway Corporation costs and to encourage increased use of the Seaway system. Appropriations from the Harbor Maintenance Trust Fund and revenues from non-Federal sources are intended to fi-

nance the operation and maintenance of the portion of the Seaway for which the Corporation is responsible including the Great Lakes Pilotage functions delegated by the Secretary of Transportation and transferred from the Coast Guard.

Statement of Operations (in millions of dollars)

Identification code 69-4089-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
0101 Revenue		10	11	11
0102 Expense		-10	-11	-11
0109 Net income or loss (-)				

Balance Sheet (in millions of dollars)

Identification code 69-4089-0-3-403	1994 actual	1995 actual	1996 est.	1997 est.
ASSETS:				
1101 Federal assets: Fund balances with				
Treasury	4	3	2	1
1206 Non-Federal assets: Receivables, net	2			
Other Federal assets:				
1801 Cash and other monetary assets	11	13	14	13
1803 Property, plant and equipment, net	91	89	90	91
1901 Other assets		1	1	1
1999 Total assets	108	106	107	107
LIABILITIES:				
2101 Federal liabilities: Accounts payable	1			
Non-Federal liabilities:				
2201 Accounts payable	2	2	2	2
2206 Pension and other actuarial liabilities		1	1	1
2999 Total liabilities	3	3	3	3
NET POSITION:				
3200 Invested capital	106	104	105	105
3300 Cumulative results of operations	-1	-1	-1	-1
3999 Total net position	105	103	104	104
4999 Total liabilities and net position	108	106	107	107

Object Classification (in millions of dollars)

Identification code 69-4089-0-3-403	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	6	7	7
12.1 Civilian personnel benefits	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment		1	
32.0 Land and structures			1
99.5 Below reporting threshold	2	1	1
99.9 Total obligations	11	12	12

Personnel Summary

Identification code 69-4089-0-3-403	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	158	163	164
1005 Full-time equivalent of overtime and holiday hours	5	5	5

Trust Funds

OPERATIONS AND MAINTENANCE

(HARBOR MAINTENANCE TRUST FUND)

For necessary expenses for operation and maintenance of those portions of the Saint Lawrence Seaway operated and maintained by the Saint Lawrence Seaway Development Corporation, including the Great Lakes Pilotaged functions delegated by the Secretary of Transportation, [\$10,150,000] \$10,065,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

OPERATIONS AND MAINTENANCE—Continued
(HARBOR MAINTENANCE TRUST FUND)—Continued

Program and Financing (in millions of dollars)

Identification code 69-8003-0-7-403	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 25.2)	10	10	10
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	10	10	10
23.95 New obligations	-10	-10	-10
New budget authority (gross), detail:			
40.26 Appropriation (trust fund, definite)	10	10	10
Change in unpaid obligations:			
73.10 New obligations	10	10	10
73.20 Total outlays (gross)	-10	-10	-10
Outlays (gross), detail:			
86.90 Outlays from new current authority	10	10	10
87.00 Total outlays (gross)	10	10	10
Net budget authority and outlays:			
89.00 Budget authority	10	10	10
90.00 Outlays	10	10	10

The Water Resources Development Act of 1986 authorizes use of the Harbor Maintenance Trust Fund as the major source of funding for the Corporation's operations and maintenance activities.

OFFICE OF INSPECTOR GENERAL

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, **[\$40,238,000] \$39,771,000**, of which **\$1,931,000** shall be for the conduct of contract audits: *Provided, That once such sum has been obligated, amounts otherwise available for these services within the Department's account (including the Maritime Administration) may be used to reimburse the Inspector General for the provision of these services. (Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Program and Financing (in millions of dollars)

Identification code 69-0130-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	39	39	40
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	40	39	40
22.30 Unobligated balance expiring	-1		
23.90 Total budgetary resources available for obligation	39	39	40
23.95 New obligations	-39	-39	-40
New budget authority (gross), detail:			
40.00 Appropriation	40	40	40
40.75 Reduction pursuant to P.L. 104-50		-1	
43.00 Appropriation (total)	40	39	40
70.00 Total new budget authority (gross)	40	39	40
Change in unpaid obligations:			
74.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	4	4	4
73.10 New obligations	39	39	40
73.20 Total outlays (gross)	-39	-39	-40

74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	4	4	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	36	35	36
86.93 Outlays from current balances	3	4	4
87.00 Total outlays (gross)	39	39	40
Net budget authority and outlays:			
89.00 Budget authority	40	39	40
90.00 Outlays	39	39	40

This appropriation finances the cost of conducting and supervising audits, inspections and evaluations, and investigations relating to the programs and operations of the Department to promote economy, efficiency and effectiveness and to prevent and detect fraud and abuse in such programs and operations.

Object Classification (in millions of dollars)

Identification code 69-0130-0-1-407	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	23	25	26
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	24	26	27
12.1 Civilian personnel benefits	6	6	6
21.0 Travel and transportation of persons	1	2	2
25.2 Other services	6	5	4
99.5 Below reporting threshold	2		1
99.9 Total obligations	39	39	40

Personnel Summary

Identification code 69-0130-0-1-407	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
1001 Full-time equivalent employment	438	440	440
1005 Full-time equivalent of overtime and holiday hours	12	18	21

RESEARCH AND SPECIAL PROGRAMS ADMINISTRATION

The following table shows proposed program levels for the Research and Special Programs Administration.

	[In millions of dollars]		
Program level (obligations):	1995	1996	1997
Research and Special Programs	25	25	28
Emergency Preparedness Grants	7	9	7
Pipeline Safety	33	31	32
Trust Fund Share of Pipeline Safety	2	3	3
Pipeline Safety Subtotals	35	34	34
Transportation Systems Center	202	200	195
Total program level	270	268	264

Note: Totals may not add due to rounding.

Federal Funds

General and special funds:

RESEARCH AND SPECIAL PROGRAMS

For expenses necessary to discharge the functions of the Research and Special Programs Administration, **[\$23,937,000] \$28,169,000**, of which **\$574,000** shall be derived from the Pipeline Safety Fund, and of which **[\$7,606,000] \$7,101,000** shall remain available until September 30, **[1998] 1999: Provided, That up to [\$1,000,000] \$1,200,000** in fees collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: *Provided further, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports*

publication and dissemination. (*Department of Transportation and Related Agencies Appropriations Act, 1996*).

Program and Financing (in millions of dollars)

Identification code 69-0104-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Hazardous materials safety	13	15	13
00.02 Airline statistics	2		
00.03 Emergency transportation	1	1	1
00.04 Research and technology	2	3	7
00.05 Program and administrative support	7	7	7
00.91 Total direct program	25	26	28
01.01 Reimbursable program	40	43	43
10.00 Total obligations	65	69	71
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	2	2	
22.00 New budget authority (gross)	66	67	71
22.30 Unobligated balance expiring	-2		
23.90 Total budgetary resources available for obligation	66	69	71
23.95 New obligations	-65	-69	-71
24.40 Unobligated balance available, end of year:			
Uninvested balance	2		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	26	23	27
42.00 Transferred from other accounts		1	1
43.00 Appropriation (total)	26	24	28
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	32	51	43
68.10 Change in orders on hand from Federal sources	8	-8	
68.90 Spending authority from offsetting collections (total)	40	43	43
70.00 Total new budget authority (gross)	66	67	71
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Obligated balance: Appropriation	1	-6	6
72.95 Orders on hand from Federal sources		8	
72.99 Total unpaid obligations, start of year	1	2	6
73.10 New obligations	65	69	71
73.20 Total outlays (gross)	-63	-65	-69
Unpaid obligations, end of year:			
74.40 Obligated balance: Appropriation	-6	6	8
74.95 Orders on hand from Federal sources	8		
74.99 Total unpaid obligations, end of year	2	6	8
Outlays (gross), detail:			
86.90 Outlays from new current authority	20	16	19
86.93 Outlays from current balances	3	4	8
86.97 Outlays from new permanent authority	40	43	43
87.00 Total outlays (gross)	63	65	69
Offsets:			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources	-6	-7	-7
88.40 Non-Federal sources	-26	-44	-36
88.90 Total, offsetting collections (cash)	-32	-51	-43
88.95 Change in orders on hand from Federal sources	-8	8	
Net budget authority and outlays:			
89.00 Budget authority	26	24	28
90.00 Outlays	31	14	26

The Research and Special Programs Administration serves as a research, analytical, and technical development arm of the Department for multimodal research and development, as well as special programs. Particular emphasis is given

to transportation of hazardous cargo by all modes of transportation. In 1997, resources are requested for hazardous materials safety programs, including emergency preparedness activities. Funding is also provided for the management and execution of the Office of Emergency Transportation, the Office of Research, Technology and Analysis, the Transportation Safety Institute and the Volpe National Transportation Systems Center (VNTSC).

Object Classification (in millions of dollars)

Identification code 69-0104-0-1-407	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	11	11	11
11.9 Total personnel compensation	11	11	11
12.1 Civilian personnel benefits	2	3	3
21.0 Travel and transportation of persons	1		
23.3 Communications, utilities, and miscellaneous charges	1		
25.2 Other services	4	4	5
25.3 Purchases of goods and services from Government accounts	2	2	2
25.5 Research and development contracts	3	5	7
99.0 Subtotal, direct obligations	24	25	28
99.0 Reimbursable obligations	39	43	43
99.5 Below reporting threshold	2	1	
99.9 Total obligations	65	69	71

Personnel Summary

Identification code 69-0104-0-1-407	1995 actual	1996 est.	1997 est.
Direct:			
Total compensable workyears:			
1001 Full-time equivalent employment	190	176	177
1005 Full-time equivalent of overtime and holiday hours	1	1	1
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	37	36	35

PIPELINE SAFETY

(PIPELINE SAFETY FUND)

For expenses necessary to conduct the functions of the pipeline safety program, for grants-in-aid to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107 and the Hazardous Liquid Pipeline Safety Act of 1979, as amended, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, **[\$31,448,000] \$34,028,000**, of which **[\$2,698,000] \$2,528,000** shall be derived from the Oil Spill Liability Trust Fund and shall remain available until September 30, **[1998] 1999**, and of which **[\$28,750,000] \$31,500,000** shall be derived from the Pipeline Safety Fund, of which **[\$19,423,000] \$15,500,000** shall remain available until September 30, **[1998] 1999** **[** Provided, That from amounts made available herein from the Pipeline Safety Fund, not to exceed \$1,000,000 shall be available for grants to States for the development and establishment of one-call notification systems **]**. (*Department of Transportation and Related Agencies Appropriations Act, 1996*.)

Unavailable Collections (in millions of dollars)

Identification code 69-5172-0-2-407	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year	19	19	19
Receipts:			
02.01 Pipeline safety user fees	35	30	33
04.00 Total: Balances and collections	54	49	52
Appropriation:			
05.01 Pipeline safety	-35	-30	-33
07.99 Total balance, end of year	19	19	19

General and special funds—Continued

PIPELINE SAFETY—Continued

(PIPELINE SAFETY FUND)—Continued

Program and Financing (in millions of dollars)

Identification code 69-5172-0-2-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Operations	18	15	16
00.02 Research and development	2	5	2
00.03 Grants	12	12	14
00.91 Total, direct program	32	32	32
01.01 Reimbursable program	3	3	3
10.00 Total obligations	35	35	35
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1	3	
22.00 New budget authority (gross)	38	32	35
22.10 Resources available from recoveries of prior year obligations	1		
22.30 Unobligated balance expiring	-1		
23.90 Total budgetary resources available for obligation	39	35	35
23.95 New obligations	-35	-35	-35
24.40 Unobligated balance available, end of year:			
Uninvested balance	3		
New budget authority (gross), detail:			
Current:			
40.20 Appropriation (special fund, definite)	35	30	33
41.00 Transferred to other accounts	-1	-2	-2
43.00 Appropriation (total)	35	29	32
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	3	3	3
70.00 Total new budget authority (gross)	38	32	35
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	11	24	25
73.10 New obligations	35	35	35
73.20 Total outlays (gross)	-22	-34	-35
73.45 Adjustments in unexpired accounts	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	24	25	25
Outlays (gross), detail:			
86.90 Outlays from new current authority	16	14	16
86.93 Outlays from current balances	3	17	16
86.97 Outlays from new permanent authority	3	3	3
87.00 Total outlays (gross)	22	34	35
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-3	-3	-3
Net budget authority and outlays:			
89.00 Budget authority	35	29	32
90.00 Outlays	19	31	32

The Research and Special Programs Administration is responsible for the Department's pipeline safety program, which includes enforcement programs, research and development, and grants for State pipeline safety programs.

Object Classification (in millions of dollars)

Identification code 69-5172-0-2-407	1995 actual	1996 est.	1997 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	6	6
12.1 Civilian personnel benefits	1	1	2
21.0 Travel and transportation	1	1	1
25.2 Other services	9	7	6
25.3 Purchases of goods and services from Government accounts	2	1	1

25.5 Research and development contracts	2	4	2
41.0 Grants, subsidies, and contributions	12	12	14
99.0 Subtotal, direct obligations	31	32	32
99.0 Reimbursable obligations	3	3	3
99.5 Below reporting threshold	1		
99.9 Total obligations	35	35	35

Personnel Summary

Identification code 69-5172-0-2-407	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	71	105	105

EMERGENCY PREPAREDNESS GRANTS

(EMERGENCY PREPAREDNESS FUND)

For necessary expenses to carry out 49 U.S.C. 5127(c), [S400,000] \$200,000, to be derived from the Emergency Preparedness Fund, to remain available until September 30, [1998] 1999: *Provided, That [not more than \$8,890,000 shall be made available for obligation in fiscal year 1996 from amounts] none of the funds made available by 9 U.S.C. 5116(i) and 5127(d): Provided further, That no such funds shall be made available for obligation by individuals other than the Secretary of Transportation, or his designees. (Department of Transportation and Related Agencies Appropriations Act, 1996.)*

Unavailable Collections (in millions of dollars)

Identification code 69-5282-0-2-407	1995 actual	1996 est.	1997 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Emergency preparedness, hazardous materials	7	7	7
Appropriation:			
05.01 Emergency preparedness grants	-7	-7	-7
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 69-5282-0-2-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Grants	5	7	6
00.05 Emergency response guidebook		1	
00.06 Below reporting threshold	2	1	1
10.00 Total obligations	7	9	7
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	2	2	
22.00 New budget authority (gross)	7	7	7
23.90 Total budgetary resources available for obligation	9	9	7
23.95 New obligations	-7	-9	-7
24.40 Unobligated balance available, end of year:			
Uninvested balance	2		
New budget authority (gross), detail:			
60.20 Appropriation (special fund, definite)	7	7	7
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	11	12	13
73.10 New obligations	7	9	7
73.20 Total outlays (gross)	-6	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	12	13	12
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	2	1	1
86.98 Outlays from permanent balances	4	7	7
87.00 Total outlays (gross)	6	8	8
Net budget authority and outlays:			
89.00 Budget authority	7	7	7
90.00 Outlays	6	8	8

The Hazardous Materials Transportation Uniform Safety Act (HMTUSA) establishes a national registration program of shippers and carriers of hazardous materials. The registrants finance, through fees, emergency preparedness planning and training grants programs, a training curriculum for emergency responders, and monitoring and technical assistance to States, political subdivisions, and Indian tribes. In the HMTUSA, there are permanent appropriations for the planning and training grants, monitoring and technical assistance, and for administrative expenses. As enacted for 1996, the Budget proposes to limit 1997 activities to those authorized for the Department of Transportation. Appropriations are requested for the training curriculum.

Object Classification (in millions of dollars)

Identification code 69-5282-0-2-407	1995 actual	1996 est.	1997 est.
41.0 Grants, subsidies, and contributions	5	7	6
92.0 Undistributed	2	2	1
99.9 Total obligations	7	9	7

Intragovernmental funds:

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER

Program and Financing (in millions of dollars)

Identification code 69-4522-0-4-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations	202	200	195
Budgetary resources available for obligation:			
21.90 Unobligated balance available, start of year: Fund balance	157	136	116
22.00 New budget authority (gross)	177	180	180
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	338	316	296
23.95 New obligations	-202	-200	-195
24.90 Unobligated balance available, end of year: Fund balance	136	116	101
New budget authority (gross), detail:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	198	190	190
68.10 Change in orders on hand from Federal sources	-21	-10	-10
68.90 Spending authority from offsetting collections (total)	177	180	180
70.00 Total new budget authority (gross)	177	180	180
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.90 Obligated balance: Fund balance	-21		20
72.95 Orders on hand from Federal sources	95	74	64
72.99 Total unpaid obligations, start of year	74	74	84
73.10 New obligations	202	200	195
73.20 Total outlays (gross)	-198	-190	-190
73.45 Adjustments in unexpired accounts	-4		
Unpaid obligations, end of year:			
74.90 Obligated balance: Fund balance		20	35
74.95 Orders on hand from Federal sources	74	64	54
74.99 Total unpaid obligations, end of year	74	84	89
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	143	130	130
86.98 Outlays from permanent balances	55	59	59
87.00 Total outlays (gross)	198	190	190
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from:			
Federal sources	-197	-189	-189

88.40 Non-Federal sources	-1	-1	-1
88.90 Total, offsetting collections (cash)	-198	-190	-190
88.95 Change in orders on hand from Federal sources	21	10	10

Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-1		

The Working Capital Fund finances multidisciplinary research, evaluation, analytical and related activities undertaken at the Volpe National Transportation Systems Center (VNTSC) in Cambridge, MA. The fund is financed through negotiated agreements with the Office of the Secretary, Departmental operating administrations, and other governmental elements requiring the Center's capabilities. These agreements also define the activities undertaken at VNTSC.

Object Classification (in millions of dollars)

Identification code 69-4522-0-4-407	1995 actual	1996 est.	1997 est.
Personnel compensation:			
11.1 Full-time permanent	30	32	33
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	32	34	35
12.1 Civilian personnel benefits	6	6	7
21.0 Travel and transportation of persons	3	3	3
23.3 Communications, utilities, and miscellaneous charges	4	4	4
25.1 Advisory and assistance services	1	1	1
25.2 Other services	6	6	7
25.3 Purchases of goods and services from Government accounts	4	4	4
25.4 Operation and maintenance of facilities	3	3	3
25.5 Research and development contracts	134	129	121
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	3	3	3
31.0 Equipment	5	5	5
32.0 Land and structures		1	1
99.0 Subtotal, reimbursable obligations	202	200	195
99.9 Total obligations	202	200	195

Personnel Summary

Identification code 69-4522-0-4-407	1995 actual	1996 est.	1997 est.
Total compensable workyears:			
2001 Full-time equivalent employment	547	545	541
2005 Full-time equivalent of overtime and holiday hours	3	3	3

Trust Funds

ALASKA PIPELINE TASK FORCE

Program and Financing (in millions of dollars)

Identification code 69-8346-0-7-407	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested balance	1		
22.00 New budget authority (gross)			
23.90 Total budgetary resources available for obligation	1		
23.95 New obligations			
New budget authority (gross), detail:			
40.36 Unobligated balance rescinded	-1		
Change in unpaid obligations:			
73.10 New obligations			
Outlays (gross), detail:			
87.00 Total outlays (gross)			
Net budget authority and outlays:			
89.00 Budget authority			
90.00 Outlays			

ALASKA PIPELINE TASK FORCE—Continued

Title VIII of the Oil Pollution Act of 1990 established a Presidential Task Force comprised of Federal and State representatives to conduct an audit of the Trans-Alaska Pipeline System and to make recommendations on the future operation of the pipeline. In 1995, available funds were rescinded.

TRUST FUND SHARE OF PIPELINE SAFETY

Program and Financing (in millions of dollars)

Identification code 69-8121-0-7-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 92.0)	2	3	3
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	2	3	3
23.95 New obligations	-2	-3	-3
New budget authority (gross), detail:			
40.26 Appropriation (trust fund, definite)	2	3	3
Change in unpaid obligations:			
73.10 New obligations	2	3	3
73.20 Total outlays (gross)	-2	-3	-3
Outlays (gross), detail:			
86.90 Outlays from new current authority	2	3	3
87.00 Total outlays (gross)	2	3	3
Net budget authority and outlays:			
89.00 Budget authority	2	3	3
90.00 Outlays	2	3	3

The Oil Pollution Act of 1990 requires the preparation of oil spill response plans by pipeline operators to minimize the environmental impact of oil spills and to improve public and private sector response capabilities. The Office of Pipeline Safety is responsible for the review and approval of these plans, and to ensure they provide the public with an adequate level of protection from such spills.

OFFICE OF THE SECRETARY

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Office of the Secretary, [\$56,189,000] \$55,376,000, of which not to exceed \$40,000 shall be available as the Secretary may determine for allocation within the Department for official reception and representation expenses: *Provided*, That notwithstanding any other provision of law, there may be credited to this appropriation up to \$1,000,000 in funds received in user fees established to support the electronic tariff filing system: *Provided further*, That none of the funds appropriated in this Act or otherwise made available may be used to maintain custody of airline tariffs that are already available for public and departmental access at no cost; to secure them against detection, alteration, or tampering; and open to inspection by the Department. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0102-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 General administration	56	54	55
00.02 Minority business resource center	2		
00.03 Systems development		1	
00.04 Civil rights consolidation	4		

00.91 Total direct program	62	55	55
01.01 Reimbursable program	14	16	4
10.00 Total obligations	76	71	59

Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	2	1	
22.00 New budget authority (gross)	76	70	59
23.90 Total budgetary resources available for obligation	78	71	59
23.95 New obligations	-76	-71	-59
24.40 Unobligated balance available, end of year:			
Uninvested balance	1		

New budget authority (gross), detail:			
Current:			
40.00 Appropriation	58	56	55
40.75 Reduction pursuant to P.L. 104-50		-2	
42.00 Transferred from other accounts	4		
43.00 Appropriation (total)	62	54	55
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	14	16	4
70.00 Total new budget authority (gross)	76	70	59

Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	10	13	5
73.10 New obligations	76	71	59
73.20 Total outlays (gross)	-73	-79	-59
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	13	5	5

Outlays (gross), detail:			
86.90 Outlays from new current authority	48	49	50
86.93 Outlays from current balances	11	14	5
86.97 Outlays from new permanent authority	14	16	4
87.00 Total outlays (gross)	73	79	59

Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-14	-16	-4

Net budget authority and outlays:			
89.00 Budget authority	62	54	55
90.00 Outlays	59	63	55

General administration.—This appropriation finances the costs of policy development and central supervisory and coordinating functions necessary for the overall planning and direction of the Department. It covers the immediate secretarial offices as well as those of the assistant secretaries and the general counsel.

The Minority Business Resource Center.—This activity is funded under a separate account beginning in 1996.

Systems development.—This activity includes carryover funds for systems development of the departmentwide integrated personnel and payroll system (IPPS) and other management systems.

Object Classification (in millions of dollars)

Identification code 69-0102-0-1-407	1995 actual	1996 est.	1997 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	33	31
11.3 Other than full-time permanent	3	4	4
11.9 Total personnel compensation	38	37	35
12.1 Civilian personnel benefits	6	6	6
13.0 Benefits for former personnel	1		
21.0 Travel and transportation of persons	1		
25.2 Other services	12	11	13
26.0 Supplies and materials	1		
31.0 Equipment	1		
41.0 Grants, subsidies, and contributions	2		
99.0 Subtotal, direct obligations	62	54	54

99.0	Reimbursable obligations	15	16	3
99.5	Below reporting threshold	-1	1	2
99.9	Total obligations	76	71	59

Personnel Summary

Identification code 69-0102-0-1-407	1995 actual	1996 est.	1997 est.	
Direct:				
Total compensable workyears:				
1001	Full-time equivalent employment	607	565	496
1005	Full-time equivalent of overtime and holiday hours	1	5	5
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment	44	56	29

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$6,554,000, and in addition, \$809,000, to be derived from "Federal-aid Highways" subject to the "Limitation on General Operating Expenses"] \$5,574,000.** (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0118-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01	Direct program	6	6
01.01	Reimbursable program	1	1
10.00	Total obligations	7	6
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	7	6
23.95	New obligations	-7	-6
New budget authority (gross), detail:			
Current:			
40.00	Appropriation	7	6
40.75	Reduction pursuant to P.L. 104-50	-1	1
43.00	Appropriation (total)	6	6
Permanent:			
68.00	Spending authority from offsetting collections: Offsetting collections (cash)	1	1
70.00	Total new budget authority (gross)	7	6
Change in unpaid obligations:			
72.40	Unpaid obligations, start of year: Obligated balance: Appropriation	1	1
73.10	New obligations	7	6
73.20	Total outlays (gross)	-6	-6
74.40	Unpaid obligations, end of year: Obligated balance: Appropriation	1	1
Outlays (gross), detail:			
86.90	Outlays from new current authority	5	5
86.93	Outlays from current balances	1	1
86.97	Outlays from new permanent authority	1	1
87.00	Total outlays (gross)	6	6
Offsets:			
Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources	-1	1
Net budget authority and outlays:			
89.00	Budget authority	6	6
90.00	Outlays	5	6

This appropriation finances the costs of a Departmental Civil Rights office. This office is responsible for enforcing laws and regulations which prohibit discrimination in federally operated and federally assisted transportation programs. This office also handles all civil rights cases related to Department of Transportation employees. In 1995 the Office of Civil Rights was funded in the Salaries and Expenses account.

Object Classification (in millions of dollars)

Identification code 69-0118-0-1-407	1995 actual	1996 est.	1997 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	4
12.1	Civilian personnel benefits	1	1
99.0	Subtotal, direct obligations	5	5
99.0	Reimbursable obligations	1	1
99.5	Below reporting threshold	1	1
99.9	Total obligations	7	6

Personnel Summary

Identification code 69-0118-0-1-407	1995 actual	1996 est.	1997 est.
1001	Total compensable workyears: Full-time equivalent employment	79	76

MINORITY BUSINESS OUTREACH

For necessary expenses of the Minority Business Resource Center outreach activities, **\$2,900,000, of which [\$2,642,000] \$2,635,000 shall remain available until September 30, [1997] 1998: Provided, That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation.** (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0119-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00	Total obligations (object class 25.2)	3	3
Budgetary resources available for obligation:			
22.00	New budget authority (gross)	3	3
23.95	New obligations	-3	-3
New budget authority (gross), detail:			
40.00	Appropriation	3	3
Change in unpaid obligations:			
73.10	New obligations	3	3
73.20	Total outlays (gross)	-3	-3
Outlays (gross), detail:			
86.90	Outlays from new current authority	3	3
87.00	Total outlays (gross)	3	3
Net budget authority and outlays:			
89.00	Budget authority	3	3
90.00	Outlays	3	3

Minority business outreach.—This activity provides contractual support to assist minority business firms, entrepreneurs, and venture groups in securing contracts and subcontracts arising out of projects that involve Federal funding. It also provides support to historically black and hispanic colleges.

RENTAL PAYMENTS

For necessary expenses for rental of headquarters and field space **[not to exceed 8,580,000 square feet]** and for related services assessed by the General Services Administration, **[\$135,200,000] \$137,581,000: Provided, That of this amount, [\$1,897,000] \$2,022,000 shall be derived from the Highway Trust Fund, [\$41,441,000] \$39,113,000 shall be derived from the Airport and Airway Trust Fund, [\$836,000] \$840,000 shall be derived from the Pipeline Safety Fund, and [\$169,000] \$193,000 shall be derived from the Harbor Maintenance Trust Fund: Provided further, That in addition, for assessments by the General Services Administration related to the space needs of the Federal Highway Administration, [\$17,685,000] \$18,225,000, to be derived from "Federal-aid Highways", subject to**

General and special funds—Continued

RENTAL PAYMENTS—Continued

the "Limitation on General Operating Expenses." (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0117-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
00.01 Direct program	95	92	96
01.01 Reimbursable program	62	61	64
10.00 Total obligations	157	153	160
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	158	153	160
22.30 Unobligated balance expiring	-1		
23.90 Total budgetary resources available for obligation	157	153	160
23.95 New obligations	-157	-153	-160
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	95	91	95
42.00 Transferred from other accounts	1	1	1
43.00 Appropriation (total)	95	92	96
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	59	64	64
68.10 Change in orders on hand from Federal sources	3	-3	
68.90 Spending authority from offsetting collections (total)	62	61	64
70.00 Total new budget authority (gross)	158	153	160
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Obligated balance: Appropriation	3		3
72.95 Orders on hand from Federal sources		3	
72.99 Total unpaid obligations, start of year	3	3	3
73.10 New obligations	157	153	160
73.20 Total outlays (gross)	-157	-153	-160
Unpaid obligations, end of year:			
74.40 Obligated balance: Appropriation		3	3
74.95 Orders on hand from Federal sources	3		
74.99 Total unpaid obligations, end of year	3	3	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	95	92	96
86.97 Outlays from new permanent authority	62	61	64
87.00 Total outlays (gross)	157	153	160
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-59	-64	-64
88.95 Change in orders on hand from Federal sources	-3	3	
Net budget authority and outlays:			
89.00 Budget authority	96	92	96
90.00 Outlays	97	89	96

Payments to GSA for headquarters and field space rental and related services for all modes are consolidated into this account. Federal Highway Administration and Maritime Administration rental payments will be paid by this account beginning in 1996 through expenditure transfers from the Federal-aid highways and the Operations and Training account, respectively.

Object Classification (in millions of dollars)

Identification code 69-0117-0-1-407	1995 actual	1996 est.	1997 est.
23.1 Direct obligations: Rental payments to GSA	95	92	96
99.0 Reimbursable obligations: Subtotal, reimbursable obligations	62	61	64

99.9 Total obligations	157	153	160
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TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT

For necessary expenses for conducting transportation planning, research, systems development, and development activities, to remain available until expended, **[\$8,220,000]** \$7,919,000. (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Program and Financing (in millions of dollars)

Identification code 69-0142-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Transportation policy and planning	3	3	3
00.02 Systems development	6	6	5
00.91 Total direct program	9	9	8
01.01 Reimbursable program	1	1	
10.00 Total obligations	10	10	8
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	1	1	
22.00 New budget authority (gross)	9	9	8
23.90 Total budgetary resources available for obligation	10	10	8
23.95 New obligations	-10	-10	-8
24.40 Unobligated balance available, end of year:			
Uninvested balance	1		
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	8	8	8
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	
70.00 Total new budget authority (gross)	9	9	8
Change in unpaid obligations:			
Unpaid obligations, start of year: Obligated balance:			
72.40 Appropriation	1	1	3
73.10 New obligations	10	10	8
73.20 Total outlays (gross)	-9	-8	-8
Unpaid obligations, end of year: Obligated balance:			
74.40 Appropriation	1	3	3
Outlays (gross), detail:			
86.90 Outlays from new current authority	6	6	6
86.93 Outlays from current balances	2	1	2
86.97 Outlays from new permanent authority	1	1	
87.00 Total outlays (gross)	9	8	8
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	
Net budget authority and outlays:			
89.00 Budget authority	8	8	8
90.00 Outlays	9	7	8

This appropriation finances systems development and those research activities and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of national transportation policies.

The program is carried out primarily through contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms.

Transportation policy and planning.—This research supports the development of transportation policy, coordination of national level transportation planning, and such issues as regulatory modernization, energy conservation, and environmental and safety impacts of transportation and provides departmental leadership on aviation economic policy and international transportation issues.

Systems Development.—This activity funds system development of the departmentwide management systems to complete a Central Dockets Management System, extend departmentwide the Transportation Automated Procurement System, and overhaul the Aviation Information Management System.

Object Classification (in millions of dollars)			
Identification code 69-0142-0-1-407	1995 actual	1996 est.	1997 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	1
25.2 Other services	6	7	6
99.0 Subtotal, direct obligations	8	9	7
99.0 Reimbursable obligations	1	1
99.5 Below reporting threshold	1	1
99.9 Total obligations	10	10	8

Personnel Summary

Identification code 69-0142-0-1-407	1995 actual	1996 est.	1997 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment	28	21	17
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment	2	4

**OFFICE OF COMMERCIAL SPACE TRANSPORTATION
OPERATIONS AND RESEARCH**

Program and Financing (in millions of dollars)

Identification code 69-0108-0-1-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Operations	5
00.02 Research	1
10.00 Total obligations	6
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	6
23.95 New obligations	-6
New budget authority (gross), detail:			
40.00 Appropriation	6
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	3	4
73.10 New obligations	6
73.20 Total outlays (gross)	-4
73.30 Obligated balance transferred, net		-4
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	4
Outlays (gross), detail:			
86.90 Outlays from new current authority	2
86.93 Outlays from current balances	2
87.00 Total outlays (gross)	4
Net budget authority and outlays:			
89.00 Budget authority	6
90.00 Outlays	5

This appropriation finances regulatory activities, research and development, and studies needed to carry out the Secretary's responsibilities as defined in Executive Order 12465 to encourage, facilitate, and promote commercial space launches by the United States private sector and to license and regulate commercial launches, launch site operations, and certain payloads under the Commercial Space Launch Act

(Public Law 98-575). Beginning in 1996, this activity is funded within the Federal Aviation Administration Operations account.

Object Classification (in millions of dollars)

Identification code 69-0108-0-1-407	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	2
25.1 Advisory and assistance services	1
25.2 Other services	3
99.9 Total obligations	6

Personnel Summary

Identification code 69-0108-0-1-407	1995 actual	1996 est.	1997 est.
1001 Total compensable workyears: Full-time equivalent employment	27

PAYMENTS TO AIR CARRIERS

Program and Financing (in millions of dollars)

Identification code 69-0150-0-1-402	1995 actual	1996 est.	1997 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	4	8	1
22.00 New budget authority (gross)		-7
22.10 Resources available from recoveries of prior year obligations	4
23.90 Total budgetary resources available for obligation	8	1	1
23.95 New obligations			
24.40 Unobligated balance available, end of year:			
Uninvested balance	8	1	1
New budget authority (gross), detail:			
40.36 Unobligated balance rescinded		-7
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	7	3
73.10 New obligations			
73.20 Total outlays (gross)		-3
73.45 Adjustments in unexpired accounts	-4
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	3
Outlays (gross), detail:			
86.93 Outlays from current balances		3
87.00 Total outlays (gross)		3
Net budget authority and outlays:			
89.00 Budget authority		-7
90.00 Outlays		3

This program is now funded out of the Airport and Airway Trust Fund.

Intragovernmental funds:

[WORKING CAPITAL FUND] TRANSPORTATION ADMINISTRATIVE SERVICE CENTER

[Necessary expenses for operating costs and capital outlays of the Department of Transportation Working Capital Fund associated with the provision of services to entities within the Department of Transportation, not to exceed \$103,149,000 shall be paid, in accordance with law, from appropriations made available to the Department of Transportation.] (Department of Transportation and Related Agencies Appropriations Act, 1996.)

Intragovernmental funds—Continued

**[WORKING CAPITAL FUND] TRANSPORTATION ADMINISTRATIVE
SERVICE CENTER—Continued**

Program and Financing (in millions of dollars)

Identification code 69-4520-0-4-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Operating expenses:			
Printing and graphics activities:			
00.01 Direct operating expenses	16	17
00.02 Overhead expenses	1	1
Support service activities:			
00.10 Direct operating expenses	19	18
00.11 Overhead expenses	1	1
Library services:			
00.20 Direct operating expenses	3	2
Information resource management activities:			
00.30 Direct operating expenses	40	51
00.31 Overhead expenses	2	2
00.91 Total operating expenses	82	92
Capital investment (purchase of equipment):			
01.01 Printing and graphics activities	1	1
01.04 Information resource management activities	2	3
01.91 Total capital investment	3	4
02.01 Service center activities	133
10.00 Total obligations	85	96	133
Budgetary resources available for obligation:			
Unobligated balance available, start of year:			
21.40 Uninvested balance	11	17	17
22.00 New budget authority (gross)	85	96	133
22.10 Resources available from recoveries of prior year obligations	8
23.90 Total budgetary resources available for obligation	104	113	150
23.95 New obligations	-85	-96	-133
24.40 Unobligated balance available, end of year:			
Uninvested balance	17	17	17
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	85	96	133
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	17	14	14
73.10 New obligations	85	96	133
73.20 Total outlays (gross)	-82	-96	-133
73.45 Adjustments in unexpired accounts	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	14	14	14
Outlays (gross), detail:			
86.97 Outlays from new permanent authority	82	96	133
87.00 Total outlays (gross)	82	96	133
Offsets:			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-85	-96	-133
Net budget authority and outlays:			
89.00 Budget authority
90.00 Outlays	-3

The Transportation Administrative Service Center finances common administrative services that are centrally performed in the interest of economy and efficiency in the Department. The fund is financed through negotiated agreements with Departmental operating administrations, and other governmental elements requiring the center's capabilities.

Object Classification (in millions of dollars)

Identification code 69-4520-0-4-407	1995 actual	1996 est.	1997 est.
11.1 Personnel compensation: Full-time permanent	13	15	19
12.1 Civilian personnel benefits	2	3	7
13.0 Benefits for former personnel	3	2	2

23.3	Communications, utilities, and miscellaneous charges	7	7	10
25.2	Other services	50	58	85
26.0	Supplies and materials	3	3	3
31.0	Equipment	5	6	6
99.0	Subtotal, reimbursable obligations	83	94	132
99.5	Below reporting threshold	2	2	1
99.9	Total obligations	85	96	133

Personnel Summary

Identification code 69-4520-0-4-407	1995 actual	1996 est.	1997 est.	
Total compensable workyears:				
2001	Full-time equivalent employment	287	299	364
2005	Full-time equivalent of overtime and holiday hours	5	5	6

Credit accounts:

MINORITY BUSINESS RESOURCE CENTER PROGRAM ACCOUNT

For the cost of direct loans, \$1,500,000, as authorized by 49 U.S.C. 332: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: *Provided further*, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$15,000,000. In addition, for administrative expenses to carry out the direct loan program, \$400,000. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-0155-0-1-407	1995 actual	1996 est.	1997 est.	
Obligations by program activity:				
00.01	Direct loan subsidy	2	2	2
10.00	Total obligations (object class 41.0)	2	2	2
Budgetary resources available for obligation:				
22.00	New budget authority (gross)	2	2	2
23.95	New obligations	-2	-2	-2
New budget authority (gross), detail:				
40.00	Appropriation	2	2	2
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
Appropriation	1	2	
73.10	New obligations	2	2	2
73.20	Total outlays (gross)	-1	-4	-2
74.40	Unpaid obligations, end of year: Obligated balance:			
Appropriation	2	
Outlays (gross), detail:				
86.90	Outlays from new current authority	1	2	2
86.93	Outlays from current balances	2
87.00	Total outlays (gross)	1	4	2
Net budget authority and outlays:				
89.00	Budget authority	2	2	2
90.00	Outlays	1	4	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 69-0155-0-1-407	1995 actual	1996 est.	1997 est.	
Direct loan levels supportable by subsidy budget authority:				
1150	Direct loan levels	15	15	15
1159	Total direct loan levels	15	15	15
Direct loan subsidy (in percent):				
1329	Weighted average subsidy rate	10.00	10.00	10.00

Office of Small and Disadvantaged Business Utilization (OSDBU)/Minority Business Resource Center (MBRC).—The OSDBU/MBRC provides assistance in obtaining short-term working capital and bonding for disadvantaged, minority, and women-owned businesses (DBE/MBE/WBEs).

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

MINORITY BUSINESS RESOURCE CENTER DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 69-4186-0-3-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Direct loans	9	21	15
00.02 Interest paid to treasury	2	1	1
10.00 Total obligations	11	22	16
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	3	7	
22.00 New financing authority (gross)	15	15	15
23.90 Total budgetary resources available for obligation	18	22	15
23.95 New obligations	-11	-22	-16
24.40 Unobligated balance available, end of year:			
Uninvested balance	7		
New financing authority (gross), detail:			
67.15 Authority to borrow (indefinite)	13	14	14
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	11	22	16
68.10 Change in orders on hand from Federal sources	-1		
68.47 Portion applied to debt reduction	-7	-21	-14
68.90 Spending authority from offsetting collections (total)	3	1	2
70.00 Total new financing authority (gross)	15	15	15
Change in unpaid obligations:			
Unpaid obligations, start of year:			
72.40 Obligated balance: Appropriation		2	3
72.95 Orders on hand from Federal sources	1		
72.99 Total unpaid obligations, start of year	1	2	3
73.10 New obligations	11	22	16
73.20 Total financing disbursements (gross)	-10	-21	-16
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	2	3	3
Outlays (gross), detail:			
87.00 Total financing disbursements (gross)	10	21	16
Offsets:			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Federal sources	-2	-1	-1
88.40 Non-Federal sources	-9	-21	-15
88.90 Total, offsetting collections (cash)	-11	-22	-16
88.95 Change in receivables from program accounts	1		
Net financing authority and financing disbursements:			
89.00 Financing authority	5	-7	-1
90.00 Financing disbursements	-1	-1	

Status of Direct Loans (in millions of dollars)

Identification code 69-4186-0-3-407	1995 actual	1996 est.	1997 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans	15	15	15
1150 Total direct loan obligations	15	15	15

Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	7	9	9
1231 Disbursements: Direct loan disbursements	9	21	15
1251 Repayments: Repayments and prepayments	-7	-21	-15
1290 Outstanding, end of year	9	9	9

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Object Classification (in millions of dollars)

Identification code 69-4186-0-3-407	1995 actual	1996 est.	1997 est.
33.0 Investments and loans	9	21	15
43.0 Interest and dividends	2	1	1
99.9 Total obligations	11	22	16

Trust Funds

TRUST FUND SHARE OF RENTAL PAYMENTS

Program and Financing (in millions of dollars)

Identification code 69-8066-0-7-407	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 23.2)	42	44	41
Budgetary resources available for obligation:			
22.00 New budget authority (gross)	42	44	41
23.95 New obligations	-42	-44	-41
New budget authority (gross), detail:			
Appropriation (trust fund, definite):			
40.26 Highway trust fund	2	2	2
40.26 Airport and airway trust fund	40	42	39
43.00 Appropriation (total)	42	44	41
70.00 Total new budget authority (gross)	42	44	41
Change in unpaid obligations:			
73.10 New obligations	42	44	41
73.20 Total outlays (gross)	-42	-44	-41
Outlays (gross), detail:			
86.90 Outlays from new current authority	42	44	41
87.00 Total outlays (gross)	42	44	41
Net budget authority and outlays:			
89.00 Budget authority	42	44	41
Outlays:			
90.00 Outlays (Highway trust fund)	2	2	2
90.00 Outlays (Airport and airway trust fund)	40	42	39

Trust fund payments to GSA for headquarters and field space rental and related services are consolidated in this account.

PAYMENTS TO AIR CARRIERS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(AIRPORT AND AIRWAY TRUST FUND)

(INCLUDING RESCISSION OF CONTRACT AUTHORIZATION)

For liquidation of obligations incurred for payments to air carriers of so much of the compensation fixed and determined under subchapter II of chapter 417 of title 49, United States Code, as is payable by the Department of Transportation, [S\$22,600,000] \$21,922,000, to remain available until expended and to be derived from the Airport

PAYMENTS TO AIR CARRIERS—Continued

(AIRPORT AND AIRWAY TRUST FUND)—Continued

(INCLUDING RESCISSION OF CONTRACT AUTHORIZATION)—Continued

and Airway Trust Fund: *Provided*, That none of the funds in this Act shall be available for the implementation or execution of programs in excess of [\$22,600,000] \$21,922,000 for the Payments to Air Carriers program in fiscal year [1996] 1997. *Provided further*, That none of the funds in this Act shall be used by the Secretary of Transportation to make payment of compensation under subchapter II of chapter 417 of title 49, United States Code, in excess of the appropriation in this Act for liquidation of obligations incurred under the "Payments to air carriers" program: *Provided further*, That none of the funds in this Act shall be used for the payment of claims for such compensation except in accordance with this provision: *Provided further*, That none of the funds in this Act shall be available for service to communities in the forty-eight contiguous States that are located fewer than seventy highway miles from the nearest [large or medium] hub airport, or that require a rate of subsidy per passenger in excess of \$200 unless such point is greater than two hundred and ten miles from the nearest large or medium hub airport: *Provided further*, That of funds provided for "Small Community Air Service" by Public Law 101-508, [\$16,000,000] \$16,678,000 in fiscal year [1996] 1997 is hereby rescinded. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

PAYMENTS TO AIR CARRIERS

(RESCISSION)

Of the budgetary resources remaining available under this heading, [\$6,786,971] \$1,133,373 are rescinded. (*Department of Transportation and Related Agencies Appropriations Act, 1996.*)

Program and Financing (in millions of dollars)

Identification code 69-8304-0-7-402	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0)	29	23	22
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested balance	6	1	1
22.00 New budget authority (gross)	23	23	22
23.90 Total budgetary resources available for obligation	29	24	23
23.95 New obligations	-29	-23	-22
24.40 Unobligated balance available, end of year:			
Uninvested balance	1	1	1
New budget authority (gross), detail:			
Current:			
40.26 Appropriation (trust fund, definite)	23	39	39
40.35 Appropriation rescinded		-16	-17
40.49 Portion applied to liquidate contract authority	-23	-23	-22
43.00 Appropriation (total)			
Permanent:			
66.10 Contract authority (definite)	23	39	39
66.35 Contract authority rescinded		-16	-17
66.90 Contract authority (total)	23	23	22
70.00 Total new budget authority (gross)	23	23	22
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation	6	7	9
73.10 New obligations	29	23	22
73.20 Total outlays (gross)	-29	-21	-22
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation	7	9	9
Outlays (gross), detail:			
86.90 Outlays from new current authority	23	14	13
86.93 Outlays from current balances	6	7	9
87.00 Total outlays (gross)	29	21	22
Net budget authority and outlays:			
89.00 Budget authority	23	23	22

90.00 Outlays	29	21	22
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In 1997, the Department proposes to continue subsidized service at current service levels for all communities currently participating in the program in Alaska and Hawaii, and those within the 48 contiguous States that are beyond 70 miles of a hub airport.

SURFACE TRANSPORTATION BOARD

Federal Funds

General and Special Funds:

SALARIES AND EXPENSES

For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, \$3,000,000: Provided, That not to exceed \$15,344,000 of offsetting collections from fees collected pursuant to 31 U.S.C. 9701 shall be retained and be available, until expended, for necessary expenses under this heading: Provided further, That the sum herein appropriated from the General Fund shall be reduced as such offsetting collections are received during fiscal year 1997 so as to result in a final fiscal year 1997 appropriation from the General Fund of not more than \$0: Provided further, That any fees received in excess of \$15,344,000 in fiscal year 1997 shall remain available until expended, but shall not be available for obligation until October 1, 1997.

Program and Financing (in millions of dollars)

Identification code 69-0301-0-1-401	1995 actual	1996 est.	1997 est.
Obligations by program activity:			
Direct program:			
00.01 Rail carriers		14	
00.02 Other surface transportation carriers		1	
00.91 Total direct program		15	
Reimbursable program:			
01.02 Rail carriers		1	14
01.03 Other surface transportation carriers			1
01.91 Total reimbursable program		1	15
10.00 Total obligations		16	15
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		17	15
23.95 New obligations		-16	-15
New budget authority (gross), detail:			
Current:			
40.00 Appropriation		8	
42.00 Transferred from other accounts		7	
43.00 Appropriation (total)		15	
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1	15
70.00 Total new budget authority (gross)		17	15
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Appropriation			2
73.10 New obligations		16	15
73.20 Total outlays (gross)		-19	-15
73.30 Obligated balance transferred, net		4	
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriation		2	1
Outlays (gross), detail:			
86.90 Outlays from new current authority		13	
86.93 Outlays from current balances		4	2
86.97 Outlays from new permanent authority		1	13
87.00 Total outlays (gross)		19	15
Offsets:			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources		-1	-15

Net budget authority and outlays:	
89.00 Budget authority	16
90.00 Outlays	18

The Surface Transportation Board was created on January 1, 1996, by P.L. 104-88, the ICC Termination Act of 1995. Consistent with the continued trend toward less regulation of the surface transportation industry, the ICC Termination Act of 1995 abolished the ICC; eliminated certain functions that had previously been implemented by the ICC; transferred core rail and certain other functions to the Board; and transferred motor licensing and certain other motor functions to the Federal Highway Administration. The Board is specifically responsible for the regulation of the rail and pipeline industries and certain non-licensing regulation of motor carriers and water carriers. The new law empowers the Board through its exemption authority to promote deregulation administratively on a case-by-case basis and continues intact the important rail reforms of the Staggers Rail Act of 1980, which have helped substantially improve rail service and the profitability of the railroad industry.

Rail Carriers.—This regulatory oversight encompasses the regulation of rates, mergers, acquisitions, construction and abandonment of railroad lines, as well as the planning, analysis and policy development associated with these activities. Staff ensure compliance with railroad regulations in order to protect the public interest.

Other Surface Transportation Carriers.—This regulatory oversight includes certain regulation of the intercity bus industry and surface pipeline carriers as well as the rate regulation of water transportation in the non-contiguous domestic trade, household good carriers, and collectively determined motor rates.

FY 1997 Program Request.—A total of \$15,125,000 is requested to expand current Board staffing to meet projected one-time workload increases imposed by the ICC Termination Act of 1995 (ICCTA) as well as ongoing workload demands. This amount is proposed to be derived from user fees collected from the beneficiaries of the Board's activities. The fee financing proposal stems from a proposal originally put forward by the Board's predecessor, the Interstate Commerce Commission (ICC). This proposal suggested financing the ICC solely with fees in lieu of termination. Fee financing the ICC successor, in the same way as proposed for ICC, will relieve the general taxpayer of the burden of supporting the Board. Further, fee financing will increase the accountability of the Board as to the value of the activities it provides to its customers. In addition to the amounts described above, \$219,000 is expected to be collected through reimbursements from other agencies.

The following paragraph is presented in compliance with Section 703 of the ICCTA and does not reflect the views of the Administration. It is presented without changes or correction.

The Board's Request.—The Board had submitted to the Secretary of Transportation and the Office of Management and Budget a fiscal year 1997 appropriation request of \$13,444,000 and a request for \$2,119,000 from reimbursements from the offsetting collection of user fees and from other agencies. The appropriation request includes \$12,000,000 for the current level of funding provided by the ICC Termination Act of 1995 (ICCTA), \$444,000 for annual pay and on-pay adjustments, and \$1,000,000 for the statutory liability of severance payments and unemployment compensation costs for former Interstate Commerce Commission and Board employees who were separated from government service during FY 1996 and whose payments will continue into FY 1997. The \$2,119,000 request from reimbursements includes \$219,000 from reimbursements from other agencies and \$1,900,000 from the offsetting collection of user fees, which is based on the costs incurred by the Board for fee-

related activities and is commensurate with the costs of processing parties' submissions. This level of funding is necessary to complete several rulemakings and meet several deadlines to implement the ICCTA, in addition to the ongoing caseload. Furthermore, the Board needs adequate resources to perform key functions under the ICCTA, including rail rate reasonableness cases; rail consolidations and abandonments; motor carrier undercharges; and expected Amtrak compensation disputes.

Object Classification (in millions of dollars)

Identification code 69-0301-0-1-401	1995 actual	1996 est.	1997 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent		7	
12.1 Civilian personnel benefits		1	
13.0 Benefits for former personnel		4	
23.1 Rental payments to GSA		2	
25.3 Purchases of goods and services from Government accounts		1	
99.0 Subtotal, direct obligations		15	
99.0 Reimbursable obligations		1	15
99.9 Total obligations		16	15

Personnel Summary

Identification code 69-0301-0-1-401	1995 actual	1996 est.	1997 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment		95	
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment		7	134

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
Offsetting receipts from the public:			
20-031100 Tonnage duty increases	63	62	62
69-085500 Registration, filing, and permit fees, hazardous materials transportation		1	1
69-244400 Railroad safety inspection fees, DOT	36		
Legislative proposal, not subject to PAYGO			
Legislative proposal, subject to PAYGO		22	47
69-309900 Miscellaneous recoveries and refunds, not otherwise classified	1	2	2
General Fund Offsetting receipts from the public	100	87	112

OTHER CONSOLIDATED RECEIPT ACCOUNTS

(in millions of dollars)

	1995 actual	1996 est.	1997 est.
69-977110 Proprietary receipts, Miscellaneous trust funds	1		

TITLE III—GENERAL PROVISIONS

(INCLUDING TRANSFERS OF FUNDS)

SEC. 301. During the current fiscal year applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

SEC. 302. Funds for the Panama Canal Commission may be apportioned notwithstanding 31 U.S.C. 1341 to the extent necessary to

(INCLUDING TRANSFERS OF FUNDS)—Continued

permit payment of such pay increases for officers or employees as may be authorized by administrative action pursuant to law that are not in excess of statutory increases granted for the same period in corresponding rates of compensation for other employees of the Government in comparable positions.

SEC. 303. Funds appropriated under this Act for expenditures by the Federal Aviation Administration shall be available (1) except as otherwise authorized by title VIII of the Elementary and Secondary Education Act of 1965, 20 U.S.C. 7701, et seq., for expenses of primary and secondary schooling for dependents of Federal Aviation Administration personnel stationed outside the continental United States at costs for any given area not in excess of those of the Department of Defense for the same area, when it is determined by the Secretary that the schools, if any, available in the locality are unable to provide adequately for the education of such dependents, and (2) for transportation of said dependents between schools serving the area that they attend and their places of residence when the Secretary, under such regulations as may be prescribed, determines that such schools are not accessible by public means of transportation on a regular basis.

SEC. 304. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.

SEC. 305. None of the funds for the Panama Canal Commission may be expended unless in conformance with the Panama Canal Treaties of 1977 and any law implementing those treaties.

SEC. 306. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 307. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 308. The Secretary of Transportation may enter into grants, cooperative agreements, and other transactions with any person, agency, or instrumentality of the United States, any unit of State or local government, any educational institution, and any other entity in execution of the Technology Reinvestment Project authorized under the Defense Conversion, Reinvestment and Transition Assistance Act of 1992 and related legislation: *Provided*, That the authority provided in this section may be exercised without regard to section 3324 of title 31, United States Code.

SEC. 309. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 310. (a) For fiscal year [1996] 1997 the Secretary of Transportation shall distribute the obligation limitation for Federal-aid highways by allocation in the ratio which sums authorized to be appropriated for Federal-aid highways that are apportioned or allocated to each State for such fiscal year bear to the total of the sums authorized to be appropriated for Federal-aid highways that are apportioned or allocated to all the States for such fiscal year.

[(b) During the period October 1 through December 31, 1995, no State shall obligate more than 25 per centum of the amount distributed to such State under subsection (a), and the total of all State obligations during such period shall not exceed 12 per centum of the total amount distributed to all States under such subsection.]

[(c)] (b) Notwithstanding [subsections] subsection (a) [and (b)], the Secretary shall—

(1) provide all States with authority sufficient to prevent lapses of sums authorized to be appropriated for Federal-aid highways that have been apportioned to a State;

(2) after August 1, [1996] 1997, revise a distribution of the funds made available under subsection (a) if a State will not obligate the amount distributed during that fiscal year and redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year giving priority to those States having large unobligated balances of funds apportioned under sections 103(e)(4), 104, and 144 of title 23, United States Code, and under sections 1013(c) and 1015 of Public Law 102-240; and

(3) not distribute amounts authorized for administrative expenses and funded from the administrative takedown authorized by section 104(a), title 23 U.S.C., the Federal lands highway program, the intelligent transportation systems program[, and]; amounts made available under sections 1040, 1047, 1064, 6001, 6005, 6006, 6023, and 6024 of Public Law 102-240, and 49 U.S.C. 5316, 5317, and 5338; \$20,000,000 for activities authorized by section 140(b) of title 23, United States Code; \$15,000,000 for activities authorized by section 1012(b) of Public Law 102-240; and \$45,000,000 of the obligation limitation established by this Act for Federal-aid highways and highway safety construction: *Provided*, That \$30,000,000 of such undistributed obligation limitation shall be available for administrative costs and allocation to States under section 104(I) of title 23, United States Code, and \$15,000,000 shall be available for administrative costs and allocation to States under section 1302(d) of the Symms National Recreational Trails Act of 1991: *Provided further*, That amounts for section 1302(d) shall be deemed necessary for administration under section 104(a) of title 23, United States Code: *Provided further*, That amounts made available under section 6005 of Public Law 102-240 shall be subject to the obligation limitation for Federal-aid highways and highway safety construction programs under the head "Federal-Aid Highways" in this Act.

[(d)] (c) During the period October 1 through December 31, [1995] 1996, the aggregate amount of obligations under section 157 of title 23, United States Code, for projects covered under section 147 of the Surface Transportation Assistance Act of 1978, section 9 of the Federal-Aid Highway Act of 1981, sections 131(b), 131(j), and 404 of Public Law 97-424, sections 1061, 1103 through 1108, 4008, and 6023(b)(8) and 6023(b)(10) of Public Law 102-240, and for projects authorized by Public Law 99-500 and Public Law 100-17, shall not exceed \$277,431,840.

[(e) During the period August 2 through September 30, 1996, the aggregate amount which may be obligated by all States shall not exceed 2.5 percent of the aggregate amount of funds apportioned or allocated to all States—

(1) under sections 104 and 144 of title 23, United States Code, and 1013(c) and 1015 of Public Law 102-240, and

(2) for highway assistance projects under section 103(e)(4) of title 23, United States Code, which would not be obligated in fiscal year 1996 if the total amount of the obligation limitation provided for such fiscal year in this Act were utilized.]

(d) During the period August 2 through September 30, 1997, the aggregate amount provided pursuant to section 1002(f)(1) of P.L. 102-240 shall:

(1) not exceed \$241,173,000;

(2) be included in the total limitation on obligations provided under the heading for Federal-Aid Highways; and

(3) not exceed 2.5 percent of the aggregate amount of funds apportioned or allocated to all States—

(A) under section 104 and 144 of title 23, United States Code, and 1013(c) and 1015 of Public Law 102-240, and

(B) for highway assistance projects under section 103(e)(4) of title 23, United States Code,

which would not be obligated in fiscal year 1997 if the total amount of the obligation limitation provided for such fiscal year in this Act were utilized.

[(f) Paragraph (e) shall not apply] (e) *Obligation authority provided pursuant to section 1002(f)(1) of P.L. 102-240 shall not be distributed to any State which on or after August 1, [1996] 1997, has the amount distributed to such State under paragraph (a) for fiscal year [1996] 1997 reduced under paragraph [(c)(2)] (b)(2).*

(f) *Notwithstanding any other provision of law, the Secretary shall limit obligations for Demonstration/Special Projects of the Federal Highway Administration for fiscal year 1997, as follows:*

(1) *for those Demonstration Projects authorized by Public Laws 93-643, 94-280, 95-599, 97-134, 97-424, 100-17, and 102-240, and 104-59, not more than \$555,000,000 for fiscal year 1997: *Provided*, That such funds shall be distributed in the ratio in which sums authorized to be appropriated and made available but not obligated that are allocated to each State for projects bear to the total of sums authorized to be appropriated and made available but not obligated that are allocated to all States;*

(2) *for those Demonstration Projects appropriated by Public Laws 99-591, 100-71, 100-202, 100-457, 101-164, 101-516, 102-143,*

102-368, and 102-388 from the Highway Trust Fund, not more than \$25,000,000 for fiscal year 1997, to be distributed in the ratio in which sums appropriated and made available for projects but not obligated bear to the total of sums appropriated and made available but not obligated for projects that are allocated to all States; and

(3) for those Demonstration Projects appropriated by Public Laws 98-8, 99-190, 100-202, 100-457, 101-164, 101-516, 102-143, 102-368, 102-388, 103-122, 103-211, and 103-331 and from the General Fund, not more than \$50,000,000 for fiscal year 1997, to be distributed in the ratio in which sums appropriated and made available for projects but not obligated bear to the total of sums appropriated and made available but not obligated for projects that are allocated to all States.

(g) None of the funds in this Act shall be available for obligations under section 1039 of P.L. 102-240.

SEC. 311. None of the funds in this Act shall be available for salaries and expenses of more than one hundred *seventeen* political and Presidential appointees in the Department of Transportation: *Provided*, That none of the personnel covered by this provision may be assigned on temporary detail outside the Department of Transportation.

SEC. 312. The limitation on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation under the discretionary grants program.

SEC. 313. None of the funds in this Act shall be used to implement section 404 of title 23, United States Code.

SEC. 314. Such sums as may be necessary for fiscal year [1996] 1997 pay raises for programs funded in this Act shall be absorbed within the levels appropriated in this Act or *unexpired unobligated balances* of previous appropriations Acts.

[SEC. 315. Funds received by the Research and Special Programs Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training and for reports' publication and dissemination may be credited to the Research and Special Programs account.]

[SEC. 316. None of the funds in this Act shall be available to plan, finalize, or implement regulations that would establish a vessel traffic safety fairway less than five miles wide between the Santa Barbara Traffic Separation Scheme and the San Francisco Traffic Separation Scheme.]

SEC. [317] 315. Notwithstanding any other provision of law, airports may transfer, without consideration, to the Federal Aviation Administration (FAA) instrument landing systems (along with associated approach lighting equipment and runway visual range equipment) which conform to FAA design and performance specifications, the purchase of which was assisted by a Federal airport aid program, airport development aid program or airport improvement program grant. The FAA shall accept such equipment, which shall thereafter be operated and maintained by the FAA in accordance with agency criteria.

[SEC. 318. None of the funds in this Act shall be available to award a multiyear contract for production end items that (1) includes economic order quantity or long lead time material procurement in excess of \$10,000,000 in any one year of the contract or (2) includes a cancellation charge greater than \$10,000,000 which at the time of obligation has not been appropriated to the limits of the government's liability or (3) includes a requirement that permits performance under the contract during the second and subsequent years of the contract without conditioning such performance upon the appropriation of funds: *Provided*, That this limitation does not apply to a contract in which the Federal Government incurs no financial liability from not buying additional systems, subsystems, or components beyond the basic contract requirements.]

SEC. [319] 316. None of the funds provided in this Act shall be made available for planning and executing a passenger manifest program by the Department of Transportation that only applies to United States flag carriers.

SEC. [321] 317. Notwithstanding any other provision of law, and except for fixed guideway modernization projects, funds made available by this Act under "Federal Transit Administration, Discretionary grants" for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, [1998] 1999, shall be made available for other projects under 49 U.S.C. 5309.

SEC. [322] 318. Notwithstanding any other provision of law, any funds appropriated before October 1, 1993, under any section of chap-

ter 53 of title 49 U.S.C., that remain available for expenditure may be transferred to and administered under the most recent appropriation heading for any such section.

[SEC. 323. None of the funds in this Act shall be available to implement or enforce regulations that would result in the withdrawal of a slot from an air carrier at O'Hare International Airport under section 93.223 of title 14 of the Code of Federal Regulations in excess of the total slots withdrawn from that air carrier as of October 31, 1993 if such additional slot is to be allocated to an air carrier or foreign air carrier under section 93.217 of title 14 of the Code of Federal Regulations.]

[SEC. 324. None of the funds made available by this Act may be obligated or expended to design, construct, erect, modify or otherwise place any sign in any State relating to any speed limit, distance, or other measurement on any highway if such sign establishes such speed limit, distance, or other measurement using the metric system.]

[SEC. 325. Notwithstanding any other provisions of law, tolls collected for motor vehicles on any bridge connecting the boroughs of Brooklyn, New York, and Staten Island, New York, shall continue to be collected for only those vehicles exiting from such bridge in Staten Island.]

[SEC. 326. None of the funds in this Act may be used to compensate in excess of 335 technical staff years under the federally-funded research and development center contract between the Federal Aviation Administration and the Center for Advanced Aviation Systems Development during fiscal year 1996.]

[SEC. 327. Funds provided in this Act for the Department of Transportation working capital fund (WCF) shall be reduced by \$7,500,000, which limits fiscal year 1996 WCF obligational authority for elements of the Department of Transportation funded in this Act to no more than \$95,649,000: *Provided*, That such reductions from the budget request shall be allocated by the Department of Transportation to each appropriations account in proportion to the amount included in each account for the working capital fund.]

SEC. [328] 319. Funds received by the Federal Highway Administration, Federal Transit Administration, and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Limitation on General Operating Expenses" account, the Federal Transit Administration's "Transit Planning and Research" account, and to the Federal Railroad Administration's "Railroad Safety" account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.

[SEC. 329. (a) PURCHASE OF AMERICAN-MADE EQUIPMENT AND PRODUCTS.—It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available in this Act should be American-made.

(b) NOTICE REQUIREMENT.—In providing financial assistance to, or entering into any contract with, any entity using funds made available in this Act, the head of each Federal agency, to the greatest extent practicable, shall provide to such entity a notice describing the statement made in subsection (a) by the Congress.]

[SEC. 330. None of the funds in this Act shall be available to prepare, propose, or promulgate any regulations pursuant to title V of the Motor Vehicle Information and Cost Savings Act (49 U.S.C. 32901, et seq.) prescribing corporate average fuel economy standards for automobiles, as defined in such title, in any model year that differs from standards promulgated for such automobiles prior to enactment of this section.]

[SEC. 331. Notwithstanding 15 U.S.C. 631 et seq. and 10 U.S.C. 2301 et seq. as amended, the United States Coast Guard acquisition of 47-foot Motor Life Boats for fiscal years 1995 through 2000 shall be subject to full and open competition for all U.S. shipyards. Accordingly, the Federal Acquisition Regulations (FAR) (including but not limited to FAR Part 19), shall not apply to the extent they are inconsistent with a full and open competition.]

[SEC. 332. None of the funds in this Act may be used for planning, engineering, design, or construction of a sixth runway at the new Denver International Airport, Denver, Colorado: *Provided*, That this provision shall not apply in any case where the Administrator of the Federal Aviation Administration determines, in writing, that safety conditions warrant obligation of such funds.]

[SEC. 333. (a) Section 5302(a)(1) of title 49, United States Code, is amended by striking—

(1) in subparagraph (B), "that extends the economic life of the bus for at least 5 years"; and

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(2) in subparagraph (C), "that extends the economic life of the bus for at least 8 years".

(b) The amendments made by this section shall not take effect before March 31, 1996.]

SEC. [334] 320. Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to the provisions of section 6006 of the Intermodal Surface Transportation Efficiency Act of 1991, may be credited to the Federal-aid highways account for the purpose of reimbursing the Bureau for such expenses: *Provided*, That such funds shall not be subject to the obligation limitation for Federal-aid highways and highway safety construction.

SEC. 321. *The Director of the Bureau of Transportation Statistics may enter into grants, cooperative agreements, and other transactions with any person, agency, or instrumentality of the United States, any unit of state or local government, any educational institution, and any other entity to collect data on the impact of natural disasters on transportation systems: Provided, That no more than five percent of the resources provided to the Bureau for fiscal year 1997 may be used for such purposes.*

[SEC. 335. Of the budgetary resources provided to the Department of Transportation (excluding the Maritime Administration) during fiscal year 1996, \$25,000,000 are permanently canceled: *Provided*, That the Secretary of Transportation shall reduce the existing field office structure, and to the extent practicable collocate and consolidate the Department's surface transportation field offices and administrative activities: *Provided further*, That the Secretary may for the purpose of consolidation of offices and facilities other than those at Headquarters, after notification to and approval of the House and Senate Committees on Appropriations, transfer the funds made available by this Act for civilian and military personnel compensation and benefits and other administrative expenses to other appropriations made available to the Department of Transportation as the Secretary may designate, to be merged with and to be available for the same purposes and for the same time period as the appropriations of funds to which transferred: *Provided further*, That no appropriation shall be increased or decreased by more than ten per centum by all such transfers: *Provided further*, That, notwithstanding 5 U.S.C. 905(b), the President may prepare and transmit to Congress not later than the date for transmittal to Congress of the Budget Request for Fiscal Year 1997, a reorganization plan pursuant to chapter 9 of title 5, United States Code, for the reorganization of the surface transportation activities of the Department of Transportation and the relationship of the Saint Lawrence Seaway Development Corporation to the Department.]

SEC. [336] 322. The Secretary of Transportation is authorized to transfer funds appropriated in this Act to "Rental payments" for any expense authorized by that appropriation in excess of the amounts provided in this Act: *Provided*, That prior to any such transfer, notification shall be provided to the House and Senate Committees on Appropriations.

[SEC. 337. None of the funds in this Act may be obligated or expended for employee training which: (a) does not meet identified needs for knowledge, skills and abilities bearing directly upon the performance of official duties; (b) contains elements likely to induce high levels of emotional response or psychological stress in some participants; (c) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluations; (d) contains any methods or content associated with religious or quasi-religious belief systems or "new age" belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; (e) is offensive to, or designed to change, participants' personal values or lifestyle outside the workplace; or (f) includes content related to human immunodeficiency virus/acquired immune deficiency syndrome (HIV/AIDS) other than that necessary to make employees more aware of the medical ramifications of HIV/AIDS and the workplace rights of HIV-positive employees.]

[SEC. 338. None of the funds in this Act may be used to enforce the requirement that airport charges make the airport as self-sustaining as possible or the prohibition against revenue diversion in the Airport and Airway Improvement Act of 1982 (49 U.S.C. 47107) against Hot Springs Memorial Field in Hot Springs, Arkansas, on the grounds of such airport's failure to collect fair market rental value for the facilities known as Kimery Park and Family Park: *Provided*, That any fees collected by any person for the use of such

parks above those required for the operation and maintenance of such parks shall be remitted to such airport: *Provided further*, That the Federal Aviation Administration does not find that any use of, or structures on, Kimery Park and Family Park are incompatible with the safe and efficient use of the airport.]

[SEC. 339. None of the funds in this Act shall, in the absence of express authorization by Congress, be used directly or indirectly to pay for any personal service, advertisement, telegram, telephone, letter, printed or written matter, or other device, intended or designed to influence in any manner a Member of Congress, to favor or oppose, by vote or otherwise, any legislation or appropriation by Congress, whether before or after the introduction of any bill or resolution proposing such legislation or appropriation: *Provided*, That this shall not prevent officers or employees of the Department of Transportation or related agencies funded in this Act from communicating to Members of Congress on the request of any Member or to Congress, through the proper official channels, requests for legislation or appropriations which they deem necessary for the efficient conduct of the public business.]

[SEC. 340. None of the funds in this Act shall be available to pay the salaries and expenses of any individual to arrange tours of scientists or engineers employed by or working for the People's Republic of China, to hire citizens of the People's Republic of China to participate in research fellowships sponsored by the modal administrations of the Department of Transportation, or to provide training or any form of technology transfer to scientists or engineers employed by or working for the People's Republic of China: *Provided*, That this provision shall not apply to the Federal Aviation Administration or the joint Federal Aviation Administration, Department of Defense and Department of Commerce initiative designed to modernize the air traffic control system of the People's Republic of China.]

[SEC. 341. None of the funds in this Act may be used to support Federal Transit Administration's field operations and oversight of the Washington Metropolitan Area Transit Authority in any location other than from the Washington, D.C. metropolitan area.]

[SEC. 342. In addition to the sums made available to the Department of Transportation, \$8,421,000 shall be available on the effective date of legislation transferring certain rail and motor carrier functions from the Interstate Commerce Commission to the Department of Transportation: *Provided*, That such amount shall be available only to the extent authorized by law: *Provided further*, That of the fees collected pursuant to 31 U.S.C. 9701 in fiscal year 1996 by the successors of the Interstate Commerce Commission, one-twelfth of \$8,300,000 of those fees shall be made available for each month during fiscal year 1996 that the successors of the Interstate Commerce Commission carry out the transferred rail and motor carrier functions.]

[SEC. 343. None of the funds made available in this Act may be used for improvements to the Miller Highway in New York City, New York.]

[SEC. 344. Improvements identified as highest priority by section 1069(t) of Public Law 102-240 and funded pursuant to section 118(c)(2) of title 23, United States Code, shall not be treated as an allocation for Interstate maintenance for such fiscal year under section 157(a)(4) of title 23, United States Code, and sections 1013(c), 1015(a)(1), and 1015(b)(1) of Public Law 102-240: *Provided*, That any discretionary grant made pursuant to Public Law 99-663 shall not be subject to section 1015 of Public Law 102-240.]

[SEC. 345. The Secretary, in consultation with the Secretary of Labor and the Administrator of the Environmental Protection Agency shall, within three months of the date of enactment of this Act, carry out research to identify successful telecommuting programs in the public and private sectors and provide for the dissemination to the public of information regarding the establishment of successful telecommuting programs and the benefits and costs of telecommuting. Within one year of the date of enactment of this Act, the Secretary shall report to Congress its findings, conclusions, and recommendations regarding telecommuting developed under this section.]

[SEC. 346. Notwithstanding section 1003(c) of Public Law 102-240, authorizations for the Indian Reservation Roads under section 1003(a)(6)(A) of Public Law 102-240 shall be exempt from any reduction in authorizations for budget compliance.]

[SEC. 347. (a) In consultation with the employees of the Federal Aviation Administration and such non-governmental experts in personnel management systems as he may employ, and notwithstanding the provisions of title 5, United States Code, and other Federal personnel laws, the Administrator of the Federal Aviation Administration shall develop and implement, not later than January 1, 1996,

a personnel management system for the Federal Aviation Administration that addresses the unique demands on the agency's workforce. Such a new system shall, at a minimum, provide for greater flexibility in the hiring, training, compensation, and location of personnel.

(b) The provisions of title 5, United States Code, shall not apply to the new personnel management system developed and implemented pursuant to subsection (a), with the exception of—

- (1) section 2302(b), relating to whistleblower protection;
- (2) sections 3308–3320, relating to veterans' preference;
- (3) section 7116(b)(7), relating to limitations on the right to strike;
- (4) section 7204, relating to antidiscrimination;
- (5) chapter 73, relating to suitability, security, and conduct;
- (6) chapter 81, relating to compensation for work injury; and
- (7) chapters 83–85, 87, and 89, relating to retirement, unemployment compensation, and insurance coverage.

(c) This section shall take effect on April 1, 1996.]

[SEC. 348. (a) In consultation with such non-governmental experts in acquisition management systems as he may employ, and notwithstanding provisions of Federal acquisition law, the Administrator of the Federal Aviation Administration shall develop and implement, not later than January 1, 1996, an acquisition management system for the Federal Aviation Administration that addresses the unique needs of the agency and, at a minimum, provides for more timely and cost-effective acquisitions of equipment and materials.

(b) The following provisions of Federal acquisition law shall not apply to the new acquisition management system developed and implemented pursuant to subsection (a):

- (1) Title III of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 252–266).
- (2) The Office of Federal Procurement Policy Act (41 U.S.C. 401 et seq.).
- (3) The Federal Acquisition Streamlining Act of 1994 (Public Law 103–355).
- (4) The Small Business Act (15 U.S.C. 631 et seq.), except that all reasonable opportunities to be awarded contracts shall be provided to small business concerns and small business concerns owned and controlled by socially and economically disadvantaged individuals.
- (5) The Competition in Contracting Act.
- (6) Subchapter V of chapter 35 of title 31, relating to the procurement protest system.
- (7) The Brooks Automatic Data Processing Act (40 U.S.C. 759).
- (8) The Federal Acquisition Regulation and any laws not listed in (a) through (e) of this section providing authority to promulgate regulations in the Federal Acquisition Regulation.

(c) This section shall take effect on April 1, 1996.]

[SEC. 349. Funds provided in this Act for bonuses and cash awards for employees of the Department of Transportation shall be reduced by \$752,852, which limits fiscal year 1996 obligation authority to no more than \$25,875,075: *Provided*, That this provision shall be applied to funds for Senior Executive Service bonuses, merit pay, and other bonuses and cash awards.]

[SEC. 350. Not to exceed \$850,000 of the funds provided in this Act for the Department of Transportation shall be available for the necessary expenses of advisory committees.]

SEC. [351] 323. Notwithstanding any other provision of law, the Secretary may use funds appropriated under this Act, or any subsequent Act, to administer and implement the exemption provisions of 49 CFR 580.6 and to adopt or amend exemptions from the disclosure requirements of 49 CFR Part 580 for any class or category of vehicles that the Secretary deems appropriate.

[SEC. 352. (a) The Federal Aviation Administration Technical Center located at the Atlantic City International Airport in Pomona, New Jersey, shall be known and designated as the "William J. Hughes Technical Center".

(b) Any reference in a law, map, regulation, document, paper, or other record of the United States to the Federal Aviation Administration Technical Center referred to in section (a) shall be deemed to be a reference to the "William J. Hughes Technical Center".]

[SEC. 353. None of the funds in this Act may be used to close any multi-mission small boat stations or subunits: *Provided*, That the Secretary may implement any management efficiencies within the small boat unit system, such as modifying the operational posture of units or reallocating resources as necessary to ensure the safety of the maritime public nationwide, provided that no stations or subunits may be closed.]

[SEC. 354. TRANSFER OF CERTAIN FEDERAL PROPERTY IN NEW JERSEY.—The first section of the Act entitled "An Act transferring certain Federal property to the city of Hoboken, New Jersey", approved September 27, 1982 (Public Law 97–268, 96 Stat. 1140), is amended—

- (1) in subsection (a), by adding "and" at the end, and
- (2) by striking "Stat. 220), and" in subsection (b) and all that follows through "New Jersey; concurrent with" and inserting the following: "Stat. 220); concurrent with".]

[SEC. 355. SENSE OF SENATE REGARDING UNITED STATES/JAPAN AVIATION DISPUTE.—(a) FINDINGS.—The Congress finds that—

(1) The Governments of the United States and Japan entered into a bilateral aviation agreement in 1952 that has been modified periodically to reflect changes in the aviation relationship between the two countries;

(2) in 1994 the total revenue value of passenger and freight traffic for United States air carriers between the United States and Japan was approximately \$6,000,000,000;

(3) the United States/Japan bilateral aviation agreement guarantees three United States carriers "beyond rights" that authorize them to fly into Japan, take on additional passengers and cargo, and then fly to another country;

(4) the United States/Japan bilateral aviation agreement requires that, within 45 days of filing a notice with the Government of Japan, the Government of Japan must authorize United States air carriers to serve routes guaranteed by their "beyond rights";

(5) United States air carriers have made substantial economic investment in reliance upon the expectation their rights under the United States/Japan bilateral aviation agreement would be honored by the Government of Japan;

(6) the Government of Japan has violated the United States/Japan bilateral aviation agreement by preventing United States air carriers from serving routes clearly authorized by their "beyond rights"; and

(7) the refusal by the Government of Japan to respect the terms of the United States/Japan bilateral aviation agreement is having severe repercussions on United States air carriers and, in general, customers of these United States air carriers.

(b) **ACTION REQUESTED.—**The Congress—

(1) calls upon the Government of Japan to honor and abide by the terms of the United States/Japan bilateral aviation agreement and immediately authorize United States air cargo and passenger carriers which have pending route requests relating to their "beyond rights" to immediately commence service on the requested routes;

(2) calls upon the President of the United States to identify strong and appropriate forms of countermeasures that could be taken against the Government of Japan for its egregious violation of the United States/Japan bilateral aviation agreement; and

(3) calls upon the President of the United States to promptly impose against the Government of Japan whatever countermeasures are necessary and appropriate to ensure the Government of Japan abides by the terms of the United States/Japan bilateral aviation agreement.]

[SEC. 356. The Secretary of Transportation is hereby authorized and directed to enter into an agreement modifying the agreement entered into pursuant to section 339 of the Department of Transportation and Related Agencies Appropriations Act, 1993 (Public Law 102–388) to conform such agreement to the provisions of section 336 of the Department of Transportation and Related Agencies Appropriations Act, 1995 (Public Law 103–331). Nothing in this section changes the amount of the previous appropriation in section 339, and the line of credit provided for shall not exceed an amount supported by the previous appropriation. In implementing either section 339 or section 336, the Secretary may enter into an agreement requiring an interest rate that is higher than that specified therein.]

[SEC. 357. AUTHORITY TO USE FUNDS FOR SIDING AND INTERMODAL FACILITY IN RICHLAND COUNTY, NORTH DAKOTA.—Notwithstanding section 22101(a)(3) of title 49, United States Code, the State of North Dakota may use funds available to the State under section 22106(b) of such title for the building of a siding and intermodal facility proposed by the State in Sections 7 and 8, Township 133 North, Range 47 West, Richland County, North Dakota.]

SEC. 324. Notwithstanding any other provision of law, an amount not to exceed 5 percent of any discretionary appropriation (pursuant to the Balanced Budget and Emergency Deficit Control Act, as amended) which is available in this Act for the current fiscal year for the Department of Transportation may be transferred between such

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appropriations: Provided, That no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent

by any such transfers: Provided further, That any transfer pursuant to this section shall be treated as a reprogramming of funds.

SEC. 325. No funds other than those appropriated to the Surface Transportation Board shall be used for conducting the activities of the Board. (Department of Transportation and Related Agencies Appropriations Act, 1996.)