



FEDERAL RESERVE BANK  
OF DALLAS

WILLIAM H. WALLACE  
FIRST VICE PRESIDENT  
AND CHIEF OPERATING OFFICER

April 23, 1990

DALLAS, TEXAS 75222

Circular 90-23

**TO:** The Chief Executive Officer of all  
member banks and others concerned in  
the Eleventh Federal Reserve District

**SUBJECT**

**Proposed Revisions to Forms  
Required Under Regulation Y**

**DETAILS**

The Federal Reserve Board has requested public comment on the proposed extension, with revisions, of various forms required to be filed under the provisions of Regulation Y. The following reports have been published for comment: Consolidated Financial Statement for Bank Holding Companies (FR Y-9C); Parent Company Only Financial Statements for Bank Holding Companies (FR Y-9LP); Supplement to Consolidated Financial Statements for Bank Holding Companies (FR Y-9CS) and Financial Statements for a Bank Holding Company Subsidiary Engaged in Ineligible Securities Underwriting and Dealing (FR Y-20). Comment is requested by May 7, 1990; the reporting forms are anticipated as being used beginning for the period ending June 30, 1990.

**ATTACHMENTS**

A copy of the Board's notice as it appeared in the Federal Register is attached.

**MORE INFORMATION**

For more information about these reports, please contact Dodie Orrill at (214) 744-7330. For additional copies of this circular, please contact the Public Affairs Department at (214) 651-6289.

Sincerely yours,

A handwritten signature in cursive script that reads "William H. Wallace".

of information will be incorporated into the official OMB inventory of currently approved collections of information. A copy of the SF 83 and supporting statement and the approved collection of information instrument(s) will be placed into OMB's public docket files. The following forms, which are being handled under this delegated authority, have received initial Board approval and are hereby published for comment. At the end of the comment period, the proposed information collection, along with an analysis of comments and recommendations received, will be submitted to the Board for final approval under OMB delegated authority.

**DATES:** Comments must be submitted on or before May 7, 1990.

**ADDRESSES:** Comments, which should refer to the OMB Docket number (or Agency form number in the case of a new information collection that has not yet been assigned an OMB number), should be addressed to Mr. William W. Wiles, Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets NW., Washington, DC 20551, or delivered to room B-2223 between 8:45 a.m. and 5:15 p.m. Comments received may be inspected in room B-1122 between 8:45 a.m. and 5:15 p.m., except as provided in 261.8(a) of the Board's Rules Regarding Availability of Information, 12 CFR 261.8(a).

A copy of the comments may also be submitted to the OMB desk officer for the Board: Gary Waxman, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, room 3208, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** Stephen M. Lovette, Manager (202-452-3622) or Arleen E. Lustig, Senior Financial Analyst (202/452-2987), Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System. A copy of the proposed form, the request for clearance (SF 83), supporting statement, instructions, and other documents that will be placed into OMB's public docket files once approved may be requested from the agency clearance officer, whose name appears below. Federal Reserve Board Clearance Officer—Frederick J. Schroeder—Division of Research and Statistics, Board of Governors of the Federal Reserve System, Washington, DC 20551 (202/452-3822).

*Proposal to approve under OMB delegated authority the extension, with revision, of the following reports:*

## FEDERAL RESERVE SYSTEM

### Agency Forms Under Review

April 2, 1990.

**BACKGROUND:** On June 15, 1984, the Office of Management and Budget (OMB) delegated to the Board of Governors of the Federal Reserve System (Board) its approval authority under the Paperwork Reduction Act of 1980, as per 5 CFR 1320.9, "to approve of and assign OMB control numbers to collection of information requests and requirements conducted or sponsored by the Board under conditions set forth in 5 CFR 1320.9." Board-approved collections

1. **Report titles:** Consolidated Financial Statement for Bank Holding Companies; Parent Company Only Financial Statements for Bank

Holding Companies; Supplement to Consolidated Financial Statements for Bank Holding Companies  
*Agency form number:* FR Y-9C, FR Y-

9LP, FR Y-9SP, FR Y-9CS.  
*OMB Docket number:* 7100-0128.  
*Reporters:* Bank holding companies.  
*Annual reporting hours:* 172,290.

Report	Number of respondents	Frequency	Average hours per Response
FR Y-9C and Y-9LP For bank holding companies with total consolidated assets of \$150 million or more.	935	Quarterly	30.0
For bank holding companies with consolidated assets of less than \$150 million but which have more than one subsidiary bank.	449	Quarterly	16.5
FR Y-9SP	4,501	Semiannually	3.25
FR Y-9CS	600	Quarterly	0.5

No significant effect on small business is expected.

**General description of report:** These reports are required by law [12 U.S.C. 1844 (b) and (c)] and are available to the public unless confidential treatment is requested by the respondent and granted by the Federal Reserve. However, the proposed FR Y-9CS and information on risk-based capital data (through year-end 1990), on past-due loans and on leveraged buyouts and related transactions are accorded confidential treatment.

These reports provide: (1) Essential information to assist the Federal Reserve in the formulation of supervisory policies; (2) the source of information for the Federal Reserve's evaluation of the condition and financial health of bank holding companies and (3) information to respond to requests on BHCs from Congress and the public.

2. **Report titles:** Combined Financial Statements of Nonbank Subsidiaries of Bank Holding Companies; Combined Financial Statements of Nonbank Subsidiaries of Bank Holding Companies, by type of Nonbank Subsidiary

*Agency form number:* FR Y-11Q, FR Y-11AS.

*OMB Docket number:* 7100-0244.

*Reporters:* Bank holding companies.

*Annual reporting hours:* 5,256.

Report	Number of respondents	Frequency	Average hours per response
FR Y-11Q	292	Quarterly	3.0
FR Y-11AS	292	Annually	6.0

No significant effect on small businesses is expected.

**General description of report:** These reports are required by law [12 U.S.C. 1844(c)] and are available to the public unless confidential treatment is requested by the respondent and granted by the Federal Reserve.

As part of the Federal Reserve System's supervisory function, these reports collect financial data on combined nonbank subsidiaries of bank holding companies with total consolidated assets of \$1 billion or more, and on bank holding companies with total consolidated assets of at least \$150 million but less than \$1 billion and that have material nonbanking activities.

Board of Governors of the Federal Reserve System, April 2, 1990.

William W. Wiles,

Secretary of the Board.

[FR Doc. 90-7966 Filed 4-5-90; 8:45 am]

BILLING CODE 6210-01-M

**Agency Forms Under Review**

April 2, 1990.

**BACKGROUND:** On June 15, 1984, the Office of Management and Budget (OMB) delegated to the Board of Governors of the Federal Reserve System (Board) its approval authority under the Paperwork Reduction Act of 1980, as per 5 CFR § 1320.9, "to approve of and assign OMB control numbers to collection of information requests and requirements conducted or sponsored by the Board under conditions set forth in 5 CFR 1320.9." Board-approved collections of information will be incorporated into the official OMB inventory of currently approved collections of information. A copy of the SF 83 and supporting statement and the approved collection of information instrument(s) will be placed into OMB's public docket files. The following form, which is being handled under this delegated authority, has received initial Board approval and is hereby published for comment. At the end of the the comment period, the proposed information collection, along with an analysis of comments and recommendations received, will be submitted to the Board for final

approval under OMB delegated authority.

**DATES:** Comments must be submitted on or before April 20, 1990.

**ADDRESSES:** Comments, which should refer to the OMB Docket number (or Agency form number in the case of a new information collection that has not yet been assigned an OMB number), should be addressed to Mr. William W. Wiles, Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets NW., Washington, DC 20551, or delivered to room B-2223 between 8:45 a.m. and 5:15 p.m. Comments received may be inspected in room B-1122 between 8:45 a.m. and 5:15 p.m., except as provided in § 261.8(a) of the Board's Rules Regarding Availability of Information, 12 CFR 261.8(a).

A copy of the comments may also be submitted to the OMB desk officer for the Board: Gary Waxman, Office of Information and Regulatory Affairs, Office of Management and Budget, New Executive Office Building, room 3206, Washington, DC 20503.

**FOR FURTHER INFORMATION CONTACT:** Stephen M. Lovette, Manager (202/452-3622) or Harry E. Moore, Senior Financial Analyst (202/452-3493), Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System. A copy of the proposed form, the request for clearance (SF 83), supporting statement, instructions, and other documents that will be placed into OMB's public docket files once approved may be requested from the agency clearance officer, whose name appears below. Federal Reserve Board Clearance Officer—Frederick J. Schroeder—Division of Research and Statistics, Board of Governors of the Federal Reserve System, Washington, DC 20551 (202-452-3822).

Proposal to approve under OMB delegated authority the implementation of the following report:

1. *Report title:* Financial Statements for a Bank Holding Company Subsidiary Engaged in Ineligible Securities Underwriting and Dealing.

*Agency form number:* FR Y-20.

*OMB Docket number:* 7100-0248.

*Reporters:* Bank holding companies.

*Annual reporting hours:* 300.

Report	Number of respondents	Frequency	Average hours per response
FR Y-20.....	25	Quarterly.....	3

No significant effect on small businesses is expected.

*General description of report:* This report is required by law (12 U.S.C. 1844(b) and (c)) and is given confidential treatment. The FR Y-20 is being proposed to facilitate and simplify the submission of information which the Board has required to be submitted in orders approving applications by bank holding companies to engage in ineligible securities underwriting and/or dealing.

The FR Y-20 report includes a balance sheet, income statement, a schedule for securities held for dealing and investment, a statement of changes in stockholders' equity, and several memoranda items. The data for the report will be collected from bank holding companies with subsidiaries authorized to engage in the underwriting of and dealing in securities that are ineligible to be underwritten or dealt in by member banks.

Board of Governors of the Federal Reserve System, April 2, 1990.

William W. Wiles,

*Secretary of the Board.*

[FR Doc. 90-7965 Filed 4-5-90; 8:45 am]

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