

FEDERAL RESERVE BANK OF DALLAS

DALLAS, TEXAS 75222

Circular No. 83-137
November 9, 1983

REGULATION G

SECURITIES CREDIT BY PERSONS
OTHER THAN BANKS, BROKERS, OR DEALERS

(Cross-Reference)

REGULATION U

CREDIT BY BANKS FOR THE PURPOSE OF
PURCHASING OR CARRYING MARGIN STOCK

(Cross-Reference)

TO ALL MEMBER BANKS
AND OTHERS CONCERNED IN THE
ELEVENTH FEDERAL RESERVE DISTRICT:

The Board of Governors of the Federal Reserve System has released a cross-reference for its Regulation G and its Regulation U. The attached cross-references serve as an aid to persons tracing the regulatory treatment of specific issues addressed in the revised Regulations G and U. Completely revised and simplified versions of Regulations G and U were transmitted in Circular No. 83-105 dated August 31, 1983.

Attached is the cross-reference material as published in the Federal Register. Questions regarding the material contained in this circular should be directed to the Legal Department, Extension 6171.

Additional copies of this circular will be furnished upon request to the Public Affairs Department, Extension 6289.

Sincerely yours,



William H. Wallace
First Vice President

FEDERAL RESERVE SYSTEM

Regulation G

[12 CFR 207]

SECURITIES CREDIT BY PERSONS OTHER THAN BANKS, BROKERS, OR DEALERS

Comparison Chart of Old and New Regulation G Sections

AGENCY: Board of Governors of the Federal Reserve System

ACTION: Regulation G Comparison Chart

SUMMARY: On July 27, 1983, the Board approved a completely revised and simplified Regulation G. The new regulation is effective as of August 31, 1983. To facilitate an understanding of the new regulation, this chart provides a cross-reference of section numbers in the old Regulation G to their corresponding section numbers in the new regulation and vice versa. This chart will serve as an aid to persons tracing the regulatory treatment of specific issues addressed in Regulation G.

FOR FURTHER INFORMATION CONTACT: Douglas Blass, Attorney, Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, (202) 452-2781.

SUPPLEMENTARY INFORMATION: The following comparison chart is being published as an aid to understanding the completely revised Regulation G.

COMPARISON CHART

Comparison of Old with
New Section Numbers

Comparison of New with
Old Section Numbers

Old with New

New with Old

207.1

207.1

- (a) 207.3(a)(1)(with modification)
- (b) 207.3(a)(2)(with modification)
- (c) 207.1(b), 207.2(e), (h), (1)
207.3(b), 207.7(a) and (b)
- (d)(1) 207.2(i), 207.3(b) and 207.7(a)
- (2) deleted
- (3) 207.3(c)
- (4) deleted
- (e)(1) 207.3(e), (f) and 207.2(e)(2)
- (2) deleted
- (f)(1) 207.4 (first sentence)
(partially deleted)
- (2) deleted
- (3) 207.4(b)
- (g) 207.3(g)(1)
- (h)(1) 207.3(g) and (h)
- (2) 207.3(g)(4) and 207.2 (e)(1)
- (i) 207.3(h) and 207.7(b)
- (j)(1) 207.3(i)(1)
- (i) 207.3(i)(1)(i)
- (ii) deleted
- (2) 207.3(i) (partially deleted)

- (a) added
 - (b) 207.1(c)
- 207.2
- First sentence 207.2(a)
 - (a) added
 - (b) 207.2(c)(2)
 - (c)(1)(i) added
 - (ii) added
 - (iii) added
 - (2) 207.1(i)
 - (d) 207.2(h)
 - (e)(1) 207.1(h)(2)
(modified)
 - (2) 207.1(e)
 - (f)(1) 207.2(i)
 - (2)(i) 207.2(i)(1)
 - (ii) 207.2(i)(2)
 - (iii) 207.2(i)(3)
 - (iv) 207.2(i)(3)
 - (g) 207.2(b)
 - (h) 207.1(c)
 - (i)(1) 207.2(d)(1),
207.2(e)(1) and (2)
 - (2) 207.2(d)(2)
 - (3) 207.2(d)(3)(i)
and (ii)
 - (4) 207.2(d)(4)
 - (5) 207.2(d)(5)
 - (j) 207.5(a) and (b)
 - (k) 207.2(f)(1) and (2)
 - (1) 207.1(c), and
207.2(c)(1)
- 207.3
- (a)(1) 207.1(a)(modified)
 - (2) 207.1(b) (modified)
 - (b) 207.1(c)
 - (c) added
 - (i) added
 - (ii) added
 - (iii) added
 - (d) 207.4(e)
 - (e) 207.1(e)(1) (modified)
 - (f)(1) added

207.2

- (a) 207.2 (first sentence)
- (b) 207.2(g)
- (c)(1) 207.2(1)
- (2) 207.2(b)
- (3) 207.2(1)
- (d)(1) 207.2(i)(1)
- (2) 207.2(i)(2)
- (3)(i) 207.2(i)(3)
- (ii) 207.2(i)(3)
- (4) 207.2(i)(4)
- (5) 207.2(i)(5)
- (e) 207.2(i)
- (f)(1) 207.2(k)
- (2) 207.2(k)
- (3) 207.6(c)
- (i) 207.6(c)(1)
- (ii) 207.6(c)(2)
- (4) 207.6(d)
- (5) 207.6(e)
- (g)(1) deleted

Old with New

207.2

- (2) deleted
- (h) 207.2(d)
- (i) 207.2(f)(1)
 - (1) 207.2(f)(2)(i)
 - (2) 207.2(f)(2)(ii)
 - (3) 207.2(f)(2)(iii) and (i)(2)(iv)
- (j) deleted
- (k) deleted

207.3

- (a) 207.3(o), (p)
- (b) deleted

207.4

- (a) 207.5(a)
 - (1) deleted
 - (2) 207.5(b) and 207.3(b)
 - (i) 207.5(b)(2)
 - (ii) deleted
 - (iii) deleted
 - (iv) deleted
 - (3) deleted
- (b) 207.3(k)
- (c) 207.3(j)
- (d) 207.3(n)
- (e) 207.3(d)
- (f) deleted
- (g) 207.3(l)

207.5

- (a) 207.7(a), (c) and 207.2(c)
- (b) 207.7(a)
- (c) deleted
- (d) 207.6(a)
 - (1) 207.6(a)(3)
 - (2) 207.6(a)(1)
 - (3) 207.6(a)(8)
 - (4) 207.6(a)(9)
 - (5) 207.6(a)(5)
 - (6) 207.6(a)(4)
 - (7) 207.6(a)(7)
 - (8) 207.6(a)(2)
 - (9) 207.6(a)(6)
- (e) 207.6(b)
 - (1) 207.6(b)(3)
 - (2) 207.6(b)(1)
 - (3) 207.6(b)(7)
 - (4) 207.6(b)(4)
 - (5) 207.6(b)(6)
 - (6) 207.6(b)(2)
 - (7) 207.6(b)(5)
- (f) deleted

New with Old

207.3

- (2) added
- (g)(1) 207.1(g)
- (2) 207.1(g)
- (3) added
- (4) 207.1(h)(1) and (2)
- (h) 207.1(i)
- (i)(1) 207.1(j)(1)
- (i) 207.1(j)(1)(i)
- (ii) 207.1(j)(1)(i)
- (2) added
- (j) 207.4(c)(modified)
- (k) 207.4(b)
- (l) 207.4(g)
- (m) added
- (n) 207.4(d)
- (o) 207.3(a)
- (p) 207.3(a)

207.4

- first sentence 207.1(f)(1)
- (a) added
- (b) 207.1(f)(3)

207.5

- (a)(1) 207.4(a)
- (2) 207.4(a)
- (b)(1) 207.4(a)(2) and 207.1(c)
- (2) 207.4(a)(2)(i) and 207.1(c)

207.6

- (a) 207.5(d)
 - (1) 207.5(d)(2)
 - (2) 207.5(d)(8)
 - (3) 207.5(d)(1)
 - (4) 207.5(d)(6)
 - (5) 207.5(d)(5)
 - (6) 207.5(d)(9)
 - (7) 207.5(d)(7)
 - (8) 207.5(d)(3)
 - (9) 207.5(d)(4)
- (b) 207.5(e)
 - (1) 207.5(e)(2)
 - (2) 207.5(e)(6)
 - (3) 207.5(e)(1)
 - (4) 207.5(e)(4)
 - (5) 207.5(e)(7)
 - (6) 207.5(e)(5)
 - (7) 207.5(e)(3)
- (c) 207.2(f)(3)
 - (1) 207.2(f)(3)(i)
 - (2) 207.2(f)(3)(ii)
- (d) 207.2(f)(4)
- (e) 207.2(f)(5)

Old with New

New with Old

207.7

(a)

(b)

(c)

207.5(a)

207.1(i)

207.5(a)

Board of Governors of the Federal Reserve System, October 17, 1983.

(signed) William W. Wiles

William W. Wiles
Secretary of the Board

FEDERAL RESERVE SYSTEM

Regulation U

[12 CFR 221]

CREDIT BY BANKS FOR THE PURPOSE OF PURCHASING OR CARRYING MARGIN STOCK

Comparison Chart of Old and New Regulation U Sections

AGENCY: Board of Governors of the Federal Reserve System

ACTION: Regulation U Comparison Chart

SUMMARY: On July 27, 1983, the Board approved a completely revised and simplified Regulation U. The new regulation is effective as of August 31, 1983. To facilitate an understanding of the new regulation, this chart provides a cross-reference of section numbers in the old Regulation U to their corresponding section numbers in the new regulation and vice versa. This chart will serve as an aid to persons tracing the regulatory treatment of specific issues addressed in Regulation U.

FOR FURTHER INFORMATION CONTACT: Douglas Blass, Attorney, Division of Banking Supervision and Regulation, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, (202) 452-2781.

SUPPLEMENTARY INFORMATION: The following comparison chart is being published as an aid to understanding the completely revised Regulation U.

COMPARISON CHART

Comparison of Old with
New Section Numbers

Comparison of New with
Old Section Numbers

Old with New

New with Old

221.1
(a)(T) 221.1(b), 221.3(a) and
 221.8(b)
 (2) deleted
 (b) 221.3(f)
 (partially deleted)
 (c)(1) deleted
 (2) deleted
 (d) 221.3(d)(1)

221.2
first 221.5(a) and
sentence 221.6(first sentence)
 (a) 221.6(a) and (b)
 (b) 221.6(e)
 (c) 221.5(c)(6)(partially deleted)
 (d) 221.5(b) and 221.5(c)(8)
 (e) 221.5(c)(1)
 (f) 221.5(c)(2) and 221.6(f)
 (g) 221.5(c)(3) and 221.6(g)
 (h) 221.5(c)(4)
 (i) 221.6(c)
 (j) 221.5(c)(5)
 (1) 221.5(c)(5)(i)
 (2) 221.5(c)(5)(ii)
 (k) 221.5(c)(7)
 (l) 221.5(c)(9)(i)
 (m) 221.5(c)(9)(ii)

221.3
(a) 221.3(b) and (c)(with
 modification) and 221.2(f)(2)
 (b)(1) 221.2(k)
 (2) 221.2(c)
 (3) deleted
 (c) 221.2(g)(1)
 (1) 221.2(g)(2)(i)
 (2) 221.2(g)(2)(ii)
 (3) 221.2(g)(2)(iii) and (iv)
 (d)(1) 221.2(j)
 (2) 221.2(j)
 (3) 221.7(c)
 (i) 221.7(c)(1)
 (ii) 221.7(c)(2)
 (4) 221.7(d)
 (5) 221.7(e)
 (e) 221.3(h)
 (f)(1) 221.3(i)(1) and (2)
 (2) 221.3(i)(1) and (3)
 (g) 221.3(g)(with modification)

221.1
(a) added
 (b) 221.1(a), 221.3(r) and
 (t)

221.2
(a)(T) added
 (2) added
 (3) added
 (b)(1) added
 (2)(i) added
 (ii) added
 (iii) added
 (iv) 221.3(k)
 (c) 221.3(b)(2)
 (d)(1) added
 (2) 221.1(a) and 221.3(s)
 (e) 221.3(a)
 (f)(1) 221.3(n)(3)(modified)
 (2) 221.3(a)
 (g)(1) 221.3(c)
 (2)(i) 221.3(c)(1)
 (ii) 221.3(c)(2)
 (iii) 221.3(c)(3)
 (iv) 221.3(c)(3)
 (h)(1) 221.3(v)(1)
 (2) 221.3(v)(2)
 (3) 221.3(v)(3)(i) and (ii)
 (4) 221.3(v)(4)
 (5) 221.3(v)(5)
 (i) 221.4(a), (b) and
 221.3(s)
 (j) 221.3(d)(1) and (2)
 (k) 221.3(b)(1)

221.3
(a)(T) 221.1(a), 221.3(m) and
 221.3(s)
 (2) 221.3(t)(3) and (4)
 (modified)
 (3) 221.3(u)
 (b) 221.3(a)
 (c)(i) added
 (ii) added
 (d)(1) 221.1(d) and 221.3(n)(1)
 (2) 221.1(d) and 221.3(n)(1)
 (3) added
 (4) 221.3(n)(3)
 (e) 221.3(n)(1)
 (f)(1) 221.1(b)
 (i) 221.1(b)(1)

Old with New

221.3 cont'd

- (h) 221.3(k)
- (i) 221.3(j)
- (j) deleted
- (k) 221.2 (first sentence)
and 221.2(b)(2)(iv)
- (l) deleted
- (m) 221.3(a)(1)
- (n)(1) 221.3(g) and (h)
- (2) deleted
- (3) 221.3(d)(4) and 221.2(f)(1)
- (4) 221.3(j), 221.6(h), 221.5(c)(8)
and 221.2(f)(2)
- (o) 221.5(c)(10) and 221.2(f)(1)
- (p) deleted
- (1) deleted
- (2) deleted
- (3) deleted
- (q) deleted (See Regulation G,
12 CFR 207)
- (r) 221.2(h), 221.3(a) and 221.8(a)
- (s) 221.8(b) and 221.2(f)(1)
- (t)(1) 221.2(h) and 221.3(a)
- (2) 221.3(d)(1) and 221.8(a)
(partially deleted)
- (3) 221.3(a)(2)
- (4) deleted
- (u) 221.3(a)(3)
- (v)(1) 221.2(h)(1)
- (2) 221.2(h)(2)
- (3) 221.2(h)(3)
- (4) 221.2(h)(4)
- (5) 221.2(h)(5)
- (w) 221.5(c)(11) and 221.2(f)(1)
(partially deleted)
- (x) deleted
- (y) 221.5(c)(12) and 221.2(f)(1)
(partially deleted)
- (z) 221.5(c)(13) and 221.2(f)(1)
(partially deleted)

221.4

- (a) 221.8(a) and (c)
- (b) 221.8(a)
- (c) deleted
- (d) 221.7(a)
- (1) 221.7(a)(3)
- (2) 221.7(a)(1)
- (3) 221.7(a)(8)
- (4) 221.7(a)(9)
- (5) 221.7(a)(5)
- (6) 221.7(a)(4)
- (7) 221.7(a)(7)
- (8) 221.7(a)(2)
- (9) 221.7(a)(6)

New with Old

221.3 cont'd

- (i) 221.1(b)(1)
added
 - (2) 221.3(g)
 - (g) 221.3(e)
 - (h) 221.3(f)(2)
 - (i)(1) 221.3(f)(1)
 - (2) 221.3(f)(2)
 - (3) 221.3(f)(2)
 - (j) 221.3(i) and 221.3(n)(4)
 - (k) 221.3(h)
- 221.4
- (a) added
 - (b) added
- 221.5
- (a) 221.2 (first sentence)
 - (b) 221.2(e)(modified)
 - (c)(1) 221.2(e)
 - (2) 221.2(f)
 - (3) 221.2(g)
 - (4) 221.2(h)
 - (5) 221.2(j)
 - (i) 221.2(j)(1)
 - (ii) 221.2(j)(2)
 - (6) 221.2(c)(modified)
 - (7) 221.2(k)
 - (8) 221.2(d)
 - (9)(i) 221.2(l)
 - (ii) 221.2(m)
 - (10) 221.3(o)(modified)
 - (11) 221.3(w)(modified)
 - (12) 221.3(y)(modified)
 - (13) 221.3(z)(modified)
- 221.6
- (a) 221.2(a)
 - (b) 221.2(a)
 - (c) 221.2(i)
 - (d) added
 - (e) 221.2(b)
 - (f) 221.2(f)
 - (g) 221.2(g)
 - (h) 221.3(n)(4)
- 221.7
- (a) 221.4(d)
 - (1) 221.4(d)(2)
 - (2) 221.4(d)(8)
 - (3) 221.4(d)(1)
 - (4) 221.4(d)(6)
 - (5) 221.4(d)(5)
 - (6) 221.4(d)(9)
 - (7) 221.4(d)(7)
 - (8) 221.4(d)(3)
 - (9) 221.4(d)(4)

Old with New

221.4 cont'd

(e) 221.7(b)
(1) 221.7(b)(3)
(2) 221.7(b)(1)
(3) 221.7(b)(7)
(4) 221.7(b)(4)
(5) 221.7(b)(6)
(6) 221.7(b)(2)
(7) 221.7(b)(5)
(f) deleted

New with Old

221.7 cont'd

(b) 221.4(e)
(1) 221.4(e)(2)
(2) 221.4(e)(6)
(3) 221.4(e)(1)
(4) 221.4(e)(4)
(5) 221.4(e)(7)
(6) 221.4(e)(5)
(7) 221.4(e)(3)
(c) 221.3(d)(3)
(1) 221.3(d)(3)(i)
(2) 221.3(d)(3)(ii)
(d) 221.3(d)(4)
(e) 221.3(d)(5)
221.8
(a) 221.4(a) and (b)
(b) 221.3(s) and 221.1(a)
(c) 221.4(a)

Board of Governors of the Federal Reserve System, October 17, 1983.

(signed) William W. Wiles

William W. Wiles
Secretary of the Board