

FEDERAL RESERVE BANK OF DALLAS
DALLAS, TEXAS 75222

Circular No. 80-79
April 22, 1980

IRANIAN ASSETS CONTROL

TO ALL MEMBER BANKS IN THE
ELEVENTH FEDERAL RESERVE DISTRICT:

The Office of Foreign Assets Control, Department of the Treasury, is conducting a census of Iranian Assets and a separate census of claims against Iran by U. S. companies and individuals.

The Treasury is conducting this census pursuant to 31 CFR Sections 535.615 and 535.616. The reporting requirements apply to all persons who held any property in which Iran or an Iranian entity had any interest between November 14, 1979 and March 31, 1980, or who had a claim against the government of Iran or one of its entities which arose before April 15, 1980.

The Treasury has requested the Federal Reserve System's assistance in disseminating the census forms and instructions to member banks and to interested members of the public, although all questions about the forms should be directed to the Treasury Department. Booklets containing instructions and forms for each census are enclosed.

Reports under the assets census should be sent to the Treasury by May 15, 1980, from all persons who held any property in which Iran or an Iranian entity had any interest between November 14, 1979 and March 31, 1980. Reports are also required by that date from any person who had a claim against the government of Iran which arose before April 15, 1980.

Any questions relating to the census should be referred to the Office of Foreign Assets Control, Treasury Department, Washington, D.C. 20220 (Telephone 202-376-0968).

Also enclosed are amendments effective April 7, 1980 to the Treasury Department's Iranian Assets Control Regulations.

Sincerely yours,

Robert H. Boykin

First Vice President

Enclosures

**CENSUS OF
BLOCKED IRANIAN
ASSETS**



**Office of Foreign Assets Control
Department of the Treasury
Washington, D.C. 20220**

CENSUS OF BLOCKED IRANIAN ASSETS INSTRUCTIONS FOR REPORTING ON FORM TFR-615

The Office of Foreign Assets Control, Department of the Treasury, under section 535.615 of the Iranian Assets Control Regulations (31 CFR Part 535), is conducting a census of all blocked Iranian assets.

Reporting under the census is mandatory. Reports on Form TFR-615 are hereby required to be filed on or before May 15, 1980, in the manner prescribed herein, by any holder of blocked Iranian assets who is a person subject to the jurisdiction of the United States. The reporting requirement applies to any person subject to the jurisdiction of the United States who had in his possession or control, between 8:10 a.m., e.s.t., November 14, 1979, and March 31, 1980, any property in which the Government of Iran, its agencies, instrumentalities or controlled entities, or the Central Bank of Iran had any direct or indirect interest of any kind whatsoever. The information to be reported is needed by the United States Government for planning purposes and to monitor compliance with the Regulations.

Each question on the form must be answered and all the specific information called for must be given. When there is nothing to report under any question, or if information is lacking, state "No," "None," or "Unknown," as the case may be. If the space provided on the form for answers should prove inadequate, the answer may be made or continued on a blank sheet of paper securely attached to the form. No person is excused from furnishing any information he reasonably should have furnished.

DEADLINE: May 15, 1980. No extensions will be granted.

This form is to be completed in triplicate and two copies are to be returned in a set to Unit 615, Office of Foreign Assets Control, Washington, D.C. 20220 by the May 15, 1980 deadline.

Observance of the filing deadline is extremely important.

FOR FURTHER INFORMATION CONTACT: Unit 615, (202) 376-0968 or 376-0969.

PART I—GENERAL INSTRUCTIONS

A. REPORTING REQUIREMENTS

1. Who must report.

a. Any person subject to the jurisdiction of the United States (or his successor) who on November 14, 1979, or any subsequent date up to and including March 31, 1980, had in his custody, control or possession, directly or indirectly, in trust or otherwise, property in which there was, as of such date, any direct or indirect interest of the Government of Iran, its instrumentalities or controlled entities, or the Central Bank of Iran, with respect to any such property, whether or not such property continued to be held by that person on March 31, 1980. If a U.S. parent company or any foreign firm(s) which it owns or controls are subject to the reporting requirements, the U.S. parent company must submit Form

TFR-615 for itself and any such foreign firm(s). The parent firm must certify any report for an owned or controlled firm in Part F.

b. All business or nonbusiness entities in the United States in which an Iranian entity held any financial interest on November 14, 1979, or on any subsequent date up to and including March 31, 1980.

c. In general, the following persons subject to the jurisdiction of the United States who held, between 8:10 a.m., e.s.t., November 14, 1979, and March 31, 1980, property in which Iran or an Iranian entity had any interest, will have reporting obligations:

(1) All banks within the United States, including U.S. subsidiaries, branches and agencies of foreign banks

Part I—General Instructions.—Continued

A. Reporting Requirements—Continued

1. Who must report.—Continued

which held deposits or other property;

(2) Foreign subsidiaries, branches and agencies of U.S. banks which held deposits or other property;

(3) Foreign branches and agencies of U.S. subsidiaries of foreign banks which held deposits or other property;

(4) All banks, brokers, dealers or other custodians (including their foreign branches and subsidiaries) which held securities or other property;

(5) Nonbanking business enterprises and other entities subject to the jurisdiction of the United States, including the foreign branches and subsidiaries of such enterprises and entities, which had commercial or financial liabilities to Iranian entities or which had in their possession or control other property in which Iranian entities have any interest; and

(6) All U.S. persons not otherwise defined who act as agents for any Iranian entity with property in the United States, including U.S. Government agencies which are custodians of property of an Iranian entity.

2. What must be reported.

a. Property subject to the jurisdiction of the United States or in the possession of or control of persons subject to the jurisdiction of the United States, in which Iran or an Iranian entity had any interest of any nature whatsoever between 8:10 a.m., e.s.t., November 14, 1979, and March 31, 1980. *A separate Form TFR-615 must be filed with respect to each blocked account held by the holder.*

b. The following property should *not* be reported:

(1) Property of an individual Iranian national or of an Iranian company not owned or controlled by the Government of Iran.

(2) Patents, trademarks, copyrights and inventions, but this exemption shall not constitute a waiver of any reporting requirement with respect to royalties due and unpaid.

3. Primary responsibility for reporting.

Primary responsibility for reporting any property rests on the actual holder of the property with the following exceptions: primary responsibility for reporting any asset held by a foreign firm owned or controlled by a person subject to the jurisdiction of the United States is on the U.S. parent, and primary responsibility for reporting any trust is on the trustee. A

report may be filed on behalf of a holder by an attorney, agent or other person. However, the pertinent information regarding the person holding the property must be included in Part E. No person is excused from filing Form TFR-615 by reason of the fact that another person has submitted a report with regard to the same property, unless he has actual knowledge that the other person has filed a report with respect to the property as full and complete as that which such person would otherwise be required to file.

4. Obtaining Form TFR-615.

Copies of Form TFR-615 with reporting instructions have been distributed through the Federal Reserve System to all member banks. Other persons required to report or otherwise interested in obtaining copies of Form TFR-615 and the reporting instructions may do so by applying to the Office of Foreign Assets Control, Unit 615, Department of the Treasury, Washington, D.C. 20220, or to the nearest Federal Reserve bank.

5. Filing Form TFR-615.

Reports on Form TFR-615 shall be prepared in triplicate. On or before May 15, 1980, two copies shall be sent in a set to Unit 615, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220. Retain a copy for your records.

6. Certification.

Every report filed on Form TFR-615 must be certified in Part F of the form. Failure to complete the certification shall render the report ineffective, and the submission of such a report shall not constitute compliance with the reporting requirements of section 535.615 of the Regulations.

7. Confidentiality of reports.

Reports filed on Form TFR-615 are regarded as privileged and confidential.

8. Penalties.

Reporting on Form TFR-615 is mandatory under section 535.615 of the Iranian Assets Control Regulations for persons who are subject to the reporting requirements. In this regard, section 206 of the International Emergency Economic Powers Act provides as follows:

Penalties

Sec. 206. (a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.

B. DEFINITIONS

The definitions below shall be used for the purpose of reporting on Form TFR-615. Any reference to "the Regulations" in these instructions or in Form TFR-615 shall refer to the Iranian Assets Control Regulations (31 CFR Part 535), and any term not defined in these instructions shall have the meaning ascribed to it in the Regulations.

1. Person.

An individual, partnership, association, corporation or other organization.

2. Person subject to the jurisdiction of the United States.

The term "person subject to the jurisdiction of the United States" includes:

(a) Any person wheresoever located who is a citizen or resident of the United States;

(b) Any person actually within the United States;

(c) Any corporation organized under the laws of the United States or of any state, territory, possession or district of the United States; and

(d) Any partnership, association, corporation or other organization wheresoever organized or doing business which is owned or controlled by persons specified in (a), (b), or (c) above.

3. Successor.

A person who succeeds to the ownership or custody of blocked property by operation of law, such as a trustee in bankruptcy, administrator, etc., or by change in organization, such as by merger, dissolution or acquisition.

4. Financial interest.

Any right or claim to ownership or control, or participation in ownership or control, or other financial interest as follows:

(a) Any shares of stock of any business or non-

business entity;

(b) Any profits or income derived from shares of stock;

(c) Any bonds, debentures, notes or other funded obligations of any business or nonbusiness entity;

(d) Any other outstanding securities of any business or nonbusiness entity; or

(e) Any other right or claim with respect to any trust or similar organization.

5. Iran; Iranian entity.

(a) The term "Iran" and "Iranian entity" includes:

(1) The State and the Government of Iran as well as any political subdivision, agency or instrumentality thereof or any territory, dependency, colony, protectorate, mandate, dominion, possession or place subject to the jurisdiction thereof;

(2) The Central Bank of Iran (Bank Markazi Iran);

(3) Any partnership, association, corporation or other organization substantially owned or controlled by any of the foregoing;

(4) Any person to the extent that such person is or has been, or to the extent that there is reasonable cause to believe that such person is or has been, since the effective date, acting or purporting to act, directly or indirectly, on behalf of any of the foregoing;

(5) Any territory which on or since the effective date is controlled or occupied by the military, naval or police forces or other authority of Iran; and

(6) Any other person or organization determined by the Secretary of the Treasury to be included within paragraph (a) hereof.

(b) A person specified in paragraph (a)(3) of this section shall not be deemed to fall within the definition of Iran solely by reason of being located in, organized under the laws of, or having its principal place of business in, Iran.

6. Property; property interests.

The terms "property" or "property interests" shall, for purposes of reporting on Form TFR-615, include, but not be limited to, money, checks, drafts, bullion, bank deposits, savings accounts, any debts, indebtedness obligations, notes, debentures, stocks, bonds, coupons, any other financial securities, bankers' acceptances, mortgages, pledges, liens or other rights in the nature of security, warehouse receipts, bills of lading, trust receipts, bills of sale, any other evidence of title, ownership or indebtedness, powers of attorney,

Part I—General Instructions.—Continued

B. Definitions.—Continued

6. Property; property interests.—Continued

goods, wares, merchandise, chattels, stocks on hand, ships, goods on ships, real estate mortgages, deeds of trust, vendors' sales agreements, land contracts, real estate and any interest therein, leaseholds, ground rents, options, negotiable instruments, letters of credit, trade acceptances, royalties, book accounts, accounts payable, judgments, patents, trademarks, copyrights, contracts or licenses affecting or involving patents, trademarks or copyrights, insurance policies, safe deposit boxes and their contents, annuities, pooling agreements, contracts of any nature whatsoever, and any other property, real, personal or mixed, tangible or intangible, or interest or interests therein, present, future or contingent.

7. Interest.

Any interest of any nature whatsoever, direct or indirect.

C. VALUATION PRINCIPLES

1. Where valuation is called for, the following table may be used as a guide.

<i>Examples of property types</i>	<i>Principle</i>
Checks, drafts, acceptances and notes . . .	Face or estimated value.
Commodities, including gold and other metals	Market value.
Currency and coin	Face value.
Debts, claims and demands	Face or estimated value.
Deposits and cash balances.	Balance of the account.
Foreign exchange futures	Difference between market price of currency and price specified in contract.
Franchises and concessions	Market or estimated value.
Goods, merchandise and other personal property.	Market or estimated value.
Land, buildings and mortgages on real estate	Market or estimated value.

<i>Examples of property types</i>	<i>Principle</i>
Letters of credit.	Available amount.
Royalties, gas and oil.	Capitalized value.
Securities.	Market or estimated value.

All amounts reported should be given in dollars to the nearest dollar.

2. Valuation date.

Values shall be given as of the close of business on March 31, 1980.

3. Market or estimated values.

Where market or estimated value is required, enter the market price at the close of business on March 31, 1980, or, if such price is not available, the estimated value on that date. In estimating value, the last sale price or bid, if reasonably close to March 31, 1980, may be used as a basis.

4. Value expressed in foreign currency.

Property valued in a foreign currency, or which is to be paid or liquidated in a foreign currency, shall be valued in dollars if dollar market value exists for such property itself. If dollar market value does not exist, the foreign currency value thereof shall be converted into dollar value in accordance with the latest rate next before March 31, 1980, as generally quoted by foreign exchange dealers or other recognized sources of information. In no case shall a value expressed in a foreign currency be entered in the report, but the fact that property was originally valued in a foreign currency should be indicated in Part C.

5. Property of indeterminable value.

In reporting property of indeterminable value, enter "IN" in the space opposite the appropriate property type and describe the property briefly in Part C. When both property of determinable value and property of indeterminable value are to be reported under any one property type, only the determinable value should be reported. However, in response to Part C, both kinds of property should be described and the property of indeterminable value should be so described.

PART II—SPECIFIC INSTRUCTIONS

Part A. INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED.

If more than one Iranian entity has an interest in property required to be reported, a separate report shall be made with respect to each such entity. The value of the property reported in Part B of Form TFR-615 shall be an amount equivalent to the pro rata share to which the entity would be entitled. Each such report shall be identified as a multiple report by entering in the box marked "Multiple Report" on page one of Form TFR-615 the total number of reports submitted with respect to this property. The other entities with an interest in this property shall be identified in line 6. of Part A. Multiple reports should be filed together as a set.

Line A4

Enter in boxes at right the ownership interests, expressed as percentages, in joint ventures, consortia, corporations and other organizations, of (1) Iranian entities, (2) U.S. nationals, and (3) other persons.

Part B. SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED.

IMPORTANT: Be sure that the same property is not reported on more than one line.

Report the amount held on the effective date, 8:10 a.m., e.s.t., November 14, 1979, in column (1) and the amount held on March 31, 1980, in column (2). If property was acquired after the effective date, report in column (1) the amount as of date of acquisition and explain in line 14.

IMPORTANT: Be certain to report in column (1) of Part B the *full* amount of any property held regardless of any subsequent debit to the account or other licensed transfer after the date of acquisition (see part B, line 14). Be certain to report in column (2) the full amount of any property held on March 31, 1980, notwithstanding any set-off or other claim asserted against the property (see Part D).

Line 1.a.

Include certificates of deposit.

Line 3.

This category generally includes commercial paper, negotiable instruments and other obligations held for the account of an Iranian entity not reportable in other specific lines. Obligations of the holder would generally not be reported in line 3. but in lines 1., 4., 5. and 6.

Line 4.

This does not include deposits (line 1.) or debt securities (lines 5.-6.).

Lines 5.-6.

Include equity and debt securities of all types, including government securities, regardless of maturity. Do not report securities on these lines if the Iranian entity holds a 10 percent or greater interest in the equity securities of the issuer; in that case, the Iranian entity's holdings should be reported in line 7.

Line 7.

"Direct investment" means a 10 percent or greater interest in the equity of a U.S. business. Where the Iranian entity's interest is represented by marketable securities, report market value of all holdings, including debt securities, in line 7. Where the Iranian entity's interest is not represented by marketable securities, report sum of the U.S. company's debt obligations to the Iranian entity and the Iranian entity's share of the equity of the business (as represented by the book value of the business). Identify in Part C the U.S. firm and percentage of Iranian ownership.

Line 9.a.

Report market value of goods owned by Iranian entities and intended for export to Iran.

Line 9.b.

Report the value of other Iranian property in the possession or control of a person subject to the jurisdiction of the United States; for example: an Iranian vessel under charter; aircraft, machinery or equipment in the United States for servicing; or furniture and office equipment of the U.S. branch of an Iranian entity.

Line 10.

Report the outstanding amounts of commercial letters of credit *issued* by U.S. banks in favor of the Iranian entity.

Line 11.

Report the outstanding amounts of commercial letters of credit *confirmed* by U.S. banks in favor of the Iranian entity.

Line 12.

Report the amount available under any standby letter of credit issued by a U.S. bank in favor of the Iranian entity on line 12.a. If the account party has established an account pursuant to Treasury license under section 535.568 of the Regulations, report the amount of this account on line 12.b.

Part C. ADDITIONAL INFORMATION CONCERNING PROPERTY ITEMS.

Line 1.

Briefly describe the property the value of which has been set forth in Part B. Breakdowns into specific property items and detailed descriptions are unnecessary. Property may be described in some general but reasonable manner. Tangible property should be more thoroughly described than financial items, which are more self-explanatory. Enter in the "Type" column the appropriate line number from Part B indicating the type of property.

Part D. ADVERSE CLAIMS.

Line 1. (a. through e.)

Report on as many lines as apply. If a line applies but amount is indeterminate, write "IN" in space provided. Report only claims that are specific to the asset reported, such as a lien or set-off. Do not report other claims that the holder may have against the Iranian entity for which the property is reported or against other Iranian entities. Use Form TFR-616 for this purpose.

Report amount of property subject to writs of attachment. Do not submit detailed information or material on attachment suits, such as court orders or other documents.

Part E. PERSON HOLDING PROPERTY.

Report the holder's name and address in lines 1. and 2.

If the holder is an individual, report social security number in box at right.

If holder is a business firm, report the holder's EIN in block at right, unless the holder is a foreign firm owned or controlled by a person subject to the jurisdiction of the United States. In that case, assign a one-letter code to each owned or controlled foreign firm and report U.S. parent company's EIN and foreign firm's code letter in blocks marked EIN and FFC (foreign firm code), respectively.

Each U.S. parent company should submit a list of all foreign firms for which reports are or will be submitted on a separate sheet filed with its first report for itself or any such firm, as follows:

<i>Holder</i>	<i>EIN Code</i>	<i>FFC Code</i>
XYZ Bank (parent)	123-45-6789	
XYZ Bank, London subsidiary	123-45-6789	A
XYZ Bank, Paris branch	123-45-6789	B
XYZ Bank, Brussels branch	123-45-6789	C

Part F. CERTIFICATION.

Be certain not to omit the required certification in Part F.

REPORT NOT VALID WITHOUT CERTIFICATION

Form TFR-615

CENSUS OF BLOCKED IRANIAN ASSETS

Office of Foreign Assets Control
Unit 615
Department of the Treasury
Washington, D.C. 20220

Multiple Report [] M

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

Form TFR-615 is to be used by all persons required to file reports pursuant to section 535.615 of Title 31 of the Code of Federal Regulations. BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY. All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.615 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

Part A--INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED (See instructions for Part A.)

1. Name []
Any variant name []

2. Address [] (Street)
[] (City)
[] (State or foreign country)

2. Iran [] I
U.S.A. [] U
Other [] O
(check one)

3. Place of incorporation or principal place of business

3. Iran [] I
U.S.A. [] U
Other [] O
(check one)

4. Ownership interests in entity.....

4. Iran [] % I
U.S.A. [] % U
Other [] % O
(enter %)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

5. Type of entity:

- a. Iranian Government or any agency or instrumentality thereof, excluding diplomatic and consular missions I
- b. Iranian diplomatic and consular mission D
- c. Iranian Central Bank (Bank Markazi Iran) M
- d. Iranian commercial bank B
- e. Other Iranian corporation C
- f. Consortium or joint venture J
- g. Unincorporated association U
- h. Other O

6. Joint interests: List other Iranian entities with an interest in property reported (applies only to multiple reports (box M on page 1)) _____

Continue with Part B on next page.

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part B—SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED (See instructions for individual lines.)

		(Enter value in whole dollars)	
<i>Type</i>		(1) <i>Original Amount</i>	(2) <i>Amount 3/31/80</i>
1.	Deposits		
a.	Time	_____	_____
b.	Demand	_____	_____
2.	Bullion, currency and coin	_____	_____
3.	Notes, checks, drafts, acceptances, commercial paper, etc. . .	_____	_____
4.	Debts	_____	_____
5.	Financial securities payable in dollars	_____	_____
6.	Financial securities payable in other currencies	_____	_____
7.	Direct investment in U.S. businesses	_____	_____
8.	Real estate	_____	_____
9.	Personal property		
a.	Goods consigned to Iran	_____	_____
b.	Other	_____	_____
10.	Commercial letters of credit <i>issued</i> by U.S. banks	_____	_____
11.	Commercial letters of credit <i>confirmed</i> by U.S. banks	_____	_____
12.	<i>Standby</i> letters of credit		
a.	Amount of credit	_____	_____
b.	Amount of section 535.568 account	_____	_____
13.	Miscellaneous	_____	_____
TOTAL (1. through 13.)		_____	_____

14. If amount in column (2) differs from amount in column (1) for any line above, explain increase or decrease by checking the appropriate box:

- a. General license 535.508 A
- b. General license 535.531 B
- c. General license 535.532 C
- d. General license 535.566 D
- e. General license 535.902 E
- f. Specific license (give license number) _____ F
- g. Other (explain) _____ U

Part C-ADDITIONAL DESCRIPTION OF PROPERTY ITEMS

Type	Brief Description of Property
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
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_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Part D-ADVERSE CLAIMS AGAINST PROPERTY REPORTED

1.	<i>Type</i>	<i>Amount</i>
a.	Attachment	_____ A
b.	Lien	_____ L
c.	Set-off	_____ S
d.	Disputed liability	_____ D
e.	Title dispute	_____ T

2. Indicate at right the citizenship of the adverse claimant Iran I
 U.S.A. U
 Other O
(check one)

3. Is the holder an adverse claimant? Yes Y
 No N
(check one)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part E—PERSON HOLDING PROPERTY (see instructions)

1. Name _____
 2. Address _____
 (Street)

 (City)

 (State or foreign country)

EIN/SSN
 FFC

3. If owned or controlled firm is located in Iran, check box I
4. Type of holder (check one)
- Bank B
- Broker or securities dealer S
- Oil company or oilfield services company O
- Defense-related industry D
- Construction company C
- Other business firm T
- Individual I
- U.S. Government agency G
- Other (explain) _____ U

(Submit list of owned or controlled foreign firms per instructions)

Part F—CERTIFICATION (see instructions)

I, _____, certify that I am
 (Type or print name)

the _____
 (State relationship of signatory to holder, e.g., treasurer, holder (if an individual))

of the _____,
 (Name of holder)

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

_____ _____
(Date) *(Signature)*

Address _____
 (Street)

 (City)

 (State)

Person to contact regarding this report:

_____ _____ _____
(name) *(telephone number)* *(over)*

PENALTIES: Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or issues any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both, and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

Form TFR-615

CENSUS OF BLOCKED IRANIAN ASSETS

Office of Foreign Assets Control
Unit 615
Department of the Treasury
Washington, D.C. 20220

Multiple Report [] M

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

Form TFR-615 is to be used by all persons required to file reports pursuant to section 535.615 of Title 31 of the Code of Federal Regulations. BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY. All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.615 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

Part A—INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED (See instructions for Part A.)

1. Name _____
Any variant name _____

2. Address _____ (Street)
_____ (City)
_____ (State or foreign country)

2. Iran [] I
U.S.A. [] U
Other [] O
(check one)

3. Place of incorporation or principal place of business

3. Iran [] I
U.S.A. [] U
Other [] O
(check one)

4. Ownership interests in entity.....

4. Iran [][] % I
U.S.A. [][] % U
Other [][] % O
(enter %)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

5. Type of entity:

- a. Iranian Government or any agency or instrumentality thereof, excluding diplomatic and consular missions I
- b. Iranian diplomatic and consular mission D
- c. Iranian Central Bank (Bank Markazi Iran) M
- d. Iranian commercial bank B
- e. Other Iranian corporation C
- f. Consortium or joint venture J
- g. Unincorporated association U
- h. Other O

6. Joint interests: List other Iranian entities with an interest in property reported (applies only to multiple reports (box M on page 1)) _____

Continue with Part B on next page.

Part B—SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED (See instructions for individual lines.)

Type	(Enter value in whole dollars)	
	(1) Original Amount	(2) Amount 3/31/80
1. Deposits		
a. Time	_____	_____
b. Demand	_____	_____
2. Bullion, currency and coin	_____	_____
3. Notes, checks, drafts, acceptances, commercial paper, etc. .	_____	_____
4. Debts	_____	_____
5. Financial securities payable in dollars	_____	_____
6. Financial securities payable in other currencies	_____	_____
7. Direct investment in U.S. businesses	_____	_____
8. Real estate	_____	_____
9. Personal property		
a. Goods consigned to Iran	_____	_____
b. Other	_____	_____
10. Commercial letters of credit <i>issued</i> by U.S. banks	_____	_____
11. Commercial letters of credit <i>confirmed</i> by U.S. banks	_____	_____
12. <i>Standby</i> letters of credit		
a. Amount of credit	_____	_____
b. Amount of section 535.568 account	_____	_____
13. Miscellaneous	_____	_____
TOTAL (1. through 13.)		_____

14. If amount in column (2) differs from amount in column (1) for any line above, explain increase or decrease by checking the appropriate box:

- a. General license 535.508 A
- b. General license 535.531 B
- c. General license 535.532 C
- d. General license 535.566 D
- e. General license 535.902 E
- f. Specific license (give license number) _____ F
- g. Other (explain) _____ U

Part C—ADDITIONAL DESCRIPTION OF PROPERTY ITEMS

Type	Brief Description of Property

Part D—ADVERSE CLAIMS AGAINST PROPERTY REPORTED

1.	<i>Type</i>	<i>Amount</i>	
	a. Attachment	_____	A
	b. Lien	_____	L
	c. Set-off	_____	S
	d. Disputed liability	_____	D
	e. Title dispute	_____	T

2. Indicate at right the citizenship of the adverse claimant Iran I
 U.S.A. U
 Other O
(check one)

3. Is the holder an adverse claimant? Yes Y
 No N
(check one)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part E—PERSON HOLDING PROPERTY (see instructions)

1. Name _____ EIN/SSN

--	--	--	--	--	--	--	--	--	--

2. Address _____ FFC
 _____ (Street)
 _____ (City)
 _____ (State or foreign country)

3. If owned or controlled firm is located in Iran, check box I

4. Type of holder (check one)

Bank	<input type="checkbox"/> B
Broker or securities dealer	<input type="checkbox"/> S
Oil company or oilfield services company	<input type="checkbox"/> O
Defense-related industry	<input type="checkbox"/> D
Construction company	<input type="checkbox"/> C
Other business firm	<input type="checkbox"/> T
Individual	<input type="checkbox"/> I
U.S. Government agency	<input type="checkbox"/> G
Other (explain) _____	<input type="checkbox"/> U

(Submit list of owned or controlled foreign firms per instructions)

Part F—CERTIFICATION (see instructions)

I, _____, certify that I am
 _____ (Type or print name)

the _____
 _____ (State relationship of signatory to holder, e.g., treasurer, holder (if an individual))

of the _____,
 _____ (Name of holder)

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

(Date)	(Signature)
	Address _____ (Street)
	(City)
	(State)

Person to contact regarding this report:

(name)	(telephone number)	(over)
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PENALTIES: Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or issues any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both, and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

Form TFR-615

CENSUS OF BLOCKED IRANIAN ASSETS

Office of Foreign Assets Control
Unit 615
Department of the Treasury
Washington, D.C. 20220

Multiple Report [] M

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

Form TFR-615 is to be used by all persons required to file reports pursuant to section 535.615 of Title 31 of the Code of Federal Regulations. BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY. All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.615 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

Part A—INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED (See instructions for Part A.)

1. Name _____

Any variant name _____

2. Address _____

(Street)

(City)

(State or foreign country)

2. Iran [] I
U.S.A. [] U
Other [] O
(check one)

3. Place of incorporation or principal place of business

3. Iran [] I
U.S.A. [] U
Other [] O
(check one)

4. Ownership interests in entity.....

4. Iran [][] % I
U.S.A. [][] % U
Other [][] % O
(enter %)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

5. Type of entity:

- a. Iranian Government or any agency or instrumentality thereof, excluding diplomatic and consular missions I
- b. Iranian diplomatic and consular mission D
- c. Iranian Central Bank (Bank Markazi Iran) M
- d. Iranian commercial bank B
- e. Other Iranian corporation C
- f. Consortium or joint venture J
- g. Unincorporated association U
- h. Other O

6. Joint interests: List other Iranian entities with an interest in property reported (applies only to multiple reports (box M on page 1)) _____

Continue with Part B on next page.

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part B—SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED (See instructions for individual lines.)

Type	(Enter value in whole dollars)	
	(1)	(2)
	Original Amount	Amount 3/31/80
1. Deposits		
a. Time	_____	_____
b. Demand	_____	_____
2. Bullion, currency and coin	_____	_____
3. Notes, checks, drafts, acceptances, commercial paper, etc. . .	_____	_____
4. Debts	_____	_____
5. Financial securities payable in dollars	_____	_____
6. Financial securities payable in other currencies	_____	_____
7. Direct investment in U.S. businesses	_____	_____
8. Real estate	_____	_____
9. Personal property		
a. Goods consigned to Iran	_____	_____
b. Other	_____	_____
10. Commercial letters of credit <i>issued</i> by U.S. banks	_____	_____
11. Commercial letters of credit <i>confirmed</i> by U.S. banks	_____	_____
12. <i>Standby</i> letters of credit		
a. Amount of credit	_____	_____
b. Amount of section 535.568 account	_____	_____
13. Miscellaneous	_____	_____
TOTAL (1. through 13.)		_____

14. If amount in column (2) differs from amount in column (1) for any line above, explain increase or decrease by checking the appropriate box:

- a. General license 535.508 A
- b. General license 535.531 B
- c. General license 535.532 C
- d. General license 535.566 D
- e. General license 535.902 E
- f. Specific license (give license number) _____ F
- g. Other (explain) _____ U

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part C—ADDITIONAL DESCRIPTION OF PROPERTY ITEMS

Type	Brief Description of Property

Part D—ADVERSE CLAIMS AGAINST PROPERTY REPORTED

1.	<i>Type</i>	<i>Amount</i>	
	a. Attachment	_____	A
	b. Lien	_____	L
	c. Set-off	_____	S
	d. Disputed liability	_____	D
	e. Title dispute	_____	T

2. Indicate at right the citizenship of the adverse claimant Iran I
 U.S.A. U
 Other O
 (check one)

3. Is the holder an adverse claimant? Yes Y
 No N
 (check one)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part E—PERSON HOLDING PROPERTY (see instructions)

1. Name _____ EIN/SSN

--	--	--	--	--	--	--	--	--	--
2. Address _____ FFC
 _____ (Street)
 _____ (City)
 _____ (State or foreign country)
3. If owned or controlled firm is located in Iran, check box I
4. Type of holder (check one)
- Bank B
 - Broker or securities dealer S
 - Oil company or oilfield services company O
 - Defense-related industry D
 - Construction company C
 - Other business firm T
 - Individual I
 - U.S. Government agency G
 - Other (explain) _____ U

(Submit list of owned or controlled foreign firms per instructions)

Part F—CERTIFICATION (see instructions)

I, _____, certify that I am
 _____ (Type or print name)

the _____
 _____ (State relationship of signatory to holder, e.g., treasurer, holder (if an individual))

of the _____,
 _____ (Name of holder)

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

_____ (Date) _____ (Signature)

Address _____ (Street)
 _____ (City)
 _____ (State)

Person to contact regarding this report:

_____ (name) _____ (telephone number) (over)

PENALTIES: Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or issues any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both, and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

CENSUS OF BLOCKED IRANIAN ASSETS

INSTRUCTIONS FOR REPORTING ON FORM TFR-615

The Office of Foreign Assets Control, Department of the Treasury, under section 535.615 of the Iranian Assets Control Regulations (31 CFR Part 535), is conducting a census of all blocked Iranian assets.

Reporting under the census is mandatory. Reports on Form TFR-615 are hereby required to be filed on or before May 15, 1980, in the manner prescribed herein, by any holder of blocked Iranian assets who is a person subject to the jurisdiction of the United States. The reporting requirement applies to any person subject to the jurisdiction of the United States who had in his possession or control, between 8:10 a.m., e.s.t., November 14, 1979, and March 31, 1980, any property in which the Government of Iran, its agencies, instrumentalities or controlled entities, or the Central Bank of Iran had any direct or indirect interest of any kind whatsoever. The information to be reported is needed by the United States Government for planning purposes and to monitor compliance with the Regulations.

Each question on the form must be answered and all the specific information called for must be given. When there is nothing to report under any question, or if information is lacking, state "No," "None," or "Unknown," as the case may be. If the space provided on the form for answers should prove inadequate, the answer may be made or continued on a blank sheet of paper securely attached to the form. No person is excused from furnishing any information he reasonably should have furnished.

DEADLINE: May 15, 1980. No extensions will be granted.

This form is to be completed in triplicate and two copies are to be returned in a set to Unit 615, Office of Foreign Assets Control, Washington, D.C. 20220 by the May 15, 1980 deadline.

Observance of the filing deadline is extremely important.

FOR FURTHER INFORMATION CONTACT: Unit 615, (202) 376-0968 or 376-0969.

PART I—GENERAL INSTRUCTIONS

A. REPORTING REQUIREMENTS

1. Who must report.

a. Any person subject to the jurisdiction of the United States (or his successor) who on November 14, 1979, or any subsequent date up to and including March 31, 1980, had in his custody, control or possession, directly or indirectly, in trust or otherwise, property in which there was, as of such date, any direct or indirect interest of the Government of Iran, its instrumentalities or controlled entities, or the Central Bank of Iran, with respect to any such property, whether or not such property continued to be held by that person on March 31, 1980. If a U.S. parent company or any foreign firm(s) which it owns or controls are subject to the reporting requirements, the U.S. parent company must submit Form

TFR-615 for itself and any such foreign firm(s). The parent firm must certify any report for an owned or controlled firm in Part F.

b. All business or nonbusiness entities in the United States in which an Iranian entity held any financial interest on November 14, 1979, or on any subsequent date up to and including March 31, 1980.

c. In general, the following persons subject to the jurisdiction of the United States who held, between 8:10 a.m., e.s.t., November 14, 1979, and March 31, 1980, property in which Iran or an Iranian entity had any interest, will have reporting obligations:

(1) All banks within the United States, including U.S. subsidiaries, branches and agencies of foreign banks

Part I—General Instructions.—Continued

A. Reporting Requirements—Continued

1. Who must report.—Continued

which held deposits or other property;

(2) Foreign subsidiaries, branches and agencies of U.S. banks which held deposits or other property;

(3) Foreign branches and agencies of U.S. subsidiaries of foreign banks which held deposits or other property;

(4) All banks, brokers, dealers or other custodians (including their foreign branches and subsidiaries) which held securities or other property;

(5) Nonbanking business enterprises and other entities subject to the jurisdiction of the United States, including the foreign branches and subsidiaries of such enterprises and entities, which had commercial or financial liabilities to Iranian entities or which had in their possession or control other property in which Iranian entities have any interest; and

(6) All U.S. persons not otherwise defined who act as agents for any Iranian entity with property in the United States, including U.S. Government agencies which are custodians of property of an Iranian entity.

2. What must be reported.

a. Property subject to the jurisdiction of the United States or in the possession of or control of persons subject to the jurisdiction of the United States, in which Iran or an Iranian entity had any interest of any nature whatsoever between 8:10 a.m., e.s.t., November 14, 1979, and March 31, 1980. *A separate Form TFR-615 must be filed with respect to each blocked account held by the holder.*

b. The following property should *not* be reported:

(1) Property of an individual Iranian national or of an Iranian company not owned or controlled by the Government of Iran.

(2) Patents, trademarks, copyrights and inventions, but this exemption shall not constitute a waiver of any reporting requirement with respect to royalties due and unpaid.

3. Primary responsibility for reporting.

Primary responsibility for reporting any property rests on the actual holder of the property with the following exceptions: primary responsibility for reporting any asset held by a foreign firm owned or controlled by a person subject to the jurisdiction of the United States is on the U.S. parent, and primary responsibility for reporting any trust is on the trustee. A

report may be filed on behalf of a holder by an attorney, agent or other person. However, the pertinent information regarding the person holding the property must be included in Part E. No person is excused from filing Form TFR-615 by reason of the fact that another person has submitted a report with regard to the same property, unless he has actual knowledge that the other person has filed a report with respect to the property as full and complete as that which such person would otherwise be required to file.

4. Obtaining Form TFR-615.

Copies of Form TFR-615 with reporting instructions have been distributed through the Federal Reserve System to all member banks. Other persons required to report or otherwise interested in obtaining copies of Form TFR-615 and the reporting instructions may do so by applying to the Office of Foreign Assets Control, Unit 615, Department of the Treasury, Washington, D.C. 20220, or to the nearest Federal Reserve bank.

5. Filing Form TFR-615.

Reports on Form TFR-615 shall be prepared in triplicate. On or before May 15, 1980, two copies shall be sent in a set to Unit 615, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220. Retain a copy for your records.

6. Certification.

Every report filed on Form TFR-615 must be certified in Part F of the form. Failure to complete the certification shall render the report ineffective, and the submission of such a report shall not constitute compliance with the reporting requirements of section 535.615 of the Regulations.

7. Confidentiality of reports.

Reports filed on Form TFR-615 are regarded as privileged and confidential.

8. Penalties.

Reporting on Form TFR-615 is mandatory under section 535.615 of the Iranian Assets Control Regulations for persons who are subject to the reporting requirements. In this regard, section 206 of the International Emergency Economic Powers Act provides as follows:

Penalties

Sec. 206. (a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.

B. DEFINITIONS

The definitions below shall be used for the purpose of reporting on Form TFR-615. Any reference to "the Regulations" in these instructions or in Form TFR-615 shall refer to the Iranian Assets Control Regulations (31 CFR Part 535), and any term not defined in these instructions shall have the meaning ascribed to it in the Regulations.

1. Person.

An individual, partnership, association, corporation or other organization.

2. Person subject to the jurisdiction of the United States.

The term "person subject to the jurisdiction of the United States" includes:

(a) Any person wheresoever located who is a citizen or resident of the United States;

(b) Any person actually within the United States;

(c) Any corporation organized under the laws of the United States or of any state, territory, possession or district of the United States; and

(d) Any partnership, association, corporation or other organization wheresoever organized or doing business which is owned or controlled by persons specified in (a), (b), or (c) above.

3. Successor.

A person who succeeds to the ownership or custody of blocked property by operation of law, such as a trustee in bankruptcy, administrator, etc., or by change in organization, such as by merger, dissolution or acquisition.

4. Financial interest.

Any right or claim to ownership or control, or participation in ownership or control, or other financial interest as follows:

(a) Any shares of stock of any business or non-

business entity;

(b) Any profits or income derived from shares of stock;

(c) Any bonds, debentures, notes or other funded obligations of any business or nonbusiness entity;

(d) Any other outstanding securities of any business or nonbusiness entity; or

(e) Any other right or claim with respect to any trust or similar organization.

5. Iran; Iranian entity.

(a) The term "Iran" and "Iranian entity" includes:

(1) The State and the Government of Iran as well as any political subdivision, agency or instrumentality thereof or any territory, dependency, colony, protectorate, mandate, dominion, possession or place subject to the jurisdiction thereof;

(2) The Central Bank of Iran (Bank Markazi Iran);

(3) Any partnership, association, corporation or other organization substantially owned or controlled by any of the foregoing;

(4) Any person to the extent that such person is or has been, or to the extent that there is reasonable cause to believe that such person is or has been, since the effective date, acting or purporting to act, directly or indirectly, on behalf of any of the foregoing;

(5) Any territory which on or since the effective date is controlled or occupied by the military, naval or police forces or other authority of Iran; and

(6) Any other person or organization determined by the Secretary of the Treasury to be included within paragraph (a) hereof.

(b) A person specified in paragraph (a)(3) of this section shall not be deemed to fall within the definition of Iran solely by reason of being located in, organized under the laws of, or having its principal place of business in, Iran.

6. Property; property interests.

The terms "property" or "property interests" shall, for purposes of reporting on Form TFR-615, include, but not be limited to, money, checks, drafts, bullion, bank deposits, savings accounts, any debts, indebtedness obligations, notes, debentures, stocks, bonds, coupons, any other financial securities, bankers' acceptances, mortgages, pledges, liens or other rights in the nature of security, warehouse receipts, bills of lading, trust receipts, bills of sale, any other evidence of title, ownership or indebtedness, powers of attorney,

Part I—General Instructions.—Continued

B. Definitions.—Continued

6. Property; property interests.—Continued

goods, wares, merchandise, chattels, stocks on hand, ships, goods on ships, real estate mortgages, deeds of trust, vendors' sales agreements, land contracts, real estate and any interest therein, leaseholds, ground rents, options, negotiable instruments, letters of credit, trade acceptances, royalties, book accounts, accounts payable, judgments, patents, trademarks, copyrights, contracts or licenses affecting or involving patents, trademarks or copyrights, insurance policies, safe deposit boxes and their contents, annuities, pooling agreements, contracts of any nature whatsoever, and any other property, real, personal or mixed, tangible or intangible, or interest or interests therein, present, future or contingent.

7. Interest.

Any interest of any nature whatsoever, direct or indirect.

C. VALUATION PRINCIPLES

1. Where valuation is called for, the following table may be used as a guide.

<i>Examples of property types</i>	<i>Principle</i>
Checks, drafts, acceptances and notes . . .	Face or estimated value.
Commodities, including gold and other metals	Market value.
Currency and coin	Face value.
Debts, claims and demands	Face or estimated value.
Deposits and cash balances.	Balance of the account.
Foreign exchange futures	Difference between market price of currency and price specified in contract.
Franchises and concessions	Market or estimated value.
Goods, merchandise and other personal property.	Market or estimated value.
Land, buildings and mortgages on real estate	Market or estimated value.

<i>Examples of property types</i>	<i>Principle</i>
Letters of credit.	Available amount.
Royalties, gas and oil.	Capitalized value.
Securities.	Market or estimated value.

All amounts reported should be given in dollars to the nearest dollar.

2. Valuation date.

Values shall be given as of the close of business on March 31, 1980.

3. Market or estimated values.

Where market or estimated value is required, enter the market price at the close of business on March 31, 1980, or, if such price is not available, the estimated value on that date. In estimating value, the last sale price or bid, if reasonably close to March 31, 1980, may be used as a basis.

4. Value expressed in foreign currency.

Property valued in a foreign currency, or which is to be paid or liquidated in a foreign currency, shall be valued in dollars if dollar market value exists for such property itself. If dollar market value does not exist, the foreign currency value thereof shall be converted into dollar value in accordance with the latest rate next before March 31, 1980, as generally quoted by foreign exchange dealers or other recognized sources of information. In no case shall a value expressed in a foreign currency be entered in the report, but the fact that property was originally valued in a foreign currency should be indicated in Part C.

5. Property of indeterminable value.

In reporting property of indeterminable value, enter "IN" in the space opposite the appropriate property type and describe the property briefly in Part C. When both property of determinable value and property of indeterminable value are to be reported under any one property type, only the determinable value should be reported. However, in response to Part C, both kinds of property should be described and the property of indeterminable value should be so described.

PART II—SPECIFIC INSTRUCTIONS

Part A. INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED.

If more than one Iranian entity has an interest in property required to be reported, a separate report shall be made with respect to each such entity. The value of the property reported in Part B of Form TFR-615 shall be an amount equivalent to the pro rata share to which the entity would be entitled. Each such report shall be identified as a multiple report by entering in the box marked "Multiple Report" on page one of Form TFR-615 the total number of reports submitted with respect to this property. The other entities with an interest in this property shall be identified in line 6. of Part A. Multiple reports should be filed together as a set.

Line A4

Enter in boxes at right the ownership interests, expressed as percentages, in joint ventures, consortia, corporations and other organizations, of (1) Iranian entities, (2) U.S. nationals, and (3) other persons.

Part B. SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED.

IMPORTANT: Be sure that the same property is not reported on more than one line.

Report the amount held on the effective date, 8:10 a.m., e.s.t., November 14, 1979, in column (1) and the amount held on March 31, 1980, in column (2). If property was acquired after the effective date, report in column (1) the amount as of date of acquisition and explain in line 14.

IMPORTANT: Be certain to report in column (1) of Part B the *full* amount of any property held regardless of any subsequent debit to the account or other licensed transfer after the date of acquisition (see part B, line 14). Be certain to report in column (2) the full amount of any property held on March 31, 1980, notwithstanding any set-off or other claim asserted against the property (see Part D).

Line 1.a.

Include certificates of deposit.

Line 3.

This category generally includes commercial paper, negotiable instruments and other obligations held for the account of an Iranian entity not reportable in other specific lines. Obligations of the holder would generally not be reported in line 3. but in lines 1., 4., 5. and 6.

Line 4.

This does not include deposits (line 1.) or debt securities (lines 5.-6.).

Lines 5.-6.

Include equity and debt securities of all types, including government securities, regardless of maturity. Do not report securities on these lines if the Iranian entity holds a 10 percent or greater interest in the equity securities of the issuer; in that case, the Iranian entity's holdings should be reported in line 7.

Line 7.

"Direct investment" means a 10 percent or greater interest in the equity of a U.S. business. Where the Iranian entity's interest is represented by marketable securities, report market value of all holdings, including debt securities, in line 7. Where the Iranian entity's interest is not represented by marketable securities, report sum of the U.S. company's debt obligations to the Iranian entity and the Iranian entity's share of the equity of the business (as represented by the book value of the business). Identify in Part C the U.S. firm and percentage of Iranian ownership.

Line 9.a.

Report market value of goods owned by Iranian entities and intended for export to Iran.

Line 9.b.

Report the value of other Iranian property in the possession or control of a person subject to the jurisdiction of the United States; for example: an Iranian vessel under charter; aircraft, machinery or equipment in the United States for servicing; or furniture and office equipment of the U.S. branch of an Iranian entity.

Line 10.

Report the outstanding amounts of commercial letters of credit *issued* by U.S. banks in favor of the Iranian entity.

Line 11.

Report the outstanding amounts of commercial letters of credit *confirmed* by U.S. banks in favor of the Iranian entity.

Line 12.

Report the amount available under any standby letter of credit issued by a U.S. bank in favor of the Iranian entity on line 12.a. If the account party has established an account pursuant to Treasury license under section 535.568 of the Regulations, report the amount of this account on line 12.b.

Part C. ADDITIONAL INFORMATION CONCERNING PROPERTY ITEMS.

Line 1.

Briefly describe the property the value of which has been set forth in Part B. Breakdowns into specific property items and detailed descriptions are unnecessary. Property may be described in some general but reasonable manner. Tangible property should be more thoroughly described than financial items, which are more self-explanatory. Enter in the "Type" column the appropriate line number from Part B indicating the type of property.

Part D. ADVERSE CLAIMS.

Line 1. (a. through e.)

Report on as many lines as apply. If a line applies but amount is indeterminate, write "IN" in space provided. Report only claims that are specific to the asset reported, such as a lien or set-off. Do not report other claims that the holder may have against the Iranian entity for which the property is reported or against other Iranian entities. Use Form TFR-616 for this purpose.

Report amount of property subject to writs of attachment. Do not submit detailed information or material on attachment suits, such as court orders or other documents.

Part E. PERSON HOLDING PROPERTY.

Report the holder's name and address in lines 1. and 2.

If the holder is an individual, report social security number in box at right.

If holder is a business firm, report the holder's EIN in block at right, unless the holder is a foreign firm owned or controlled by a person subject to the jurisdiction of the United States. In that case, assign a one-letter code to each owned or controlled foreign firm and report U.S. parent company's EIN and foreign firm's code letter in blocks marked EIN and FFC (foreign firm code), respectively.

Each U.S. parent company should submit a list of all foreign firms for which reports are or will be submitted on a separate sheet filed with its first report for itself or any such firm, as follows:

<i>Holder</i>	<i>EIN Code</i>	<i>FFC Code</i>
XYZ Bank (parent)	123-45-6789	
XYZ Bank, London subsidiary	123-45-6789	A
XYZ Bank, Paris branch	123-45-6789	B
XYZ Bank, Brussels branch	123-45-6789	C

Part F. CERTIFICATION.

Be certain not to omit the required certification in Part F.

REPORT NOT VALID WITHOUT CERTIFICATION

Form TFR-615

CENSUS OF BLOCKED IRANIAN ASSETS

Office of Foreign Assets Control
Unit 615
Department of the Treasury
Washington, D.C. 20220

Multiple Report [] M

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

Form TFR-615 is to be used by all persons required to file reports pursuant to section 535.615 of Title 31 of the Code of Federal Regulations. BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY. All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.615 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

Part A-INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED (See instructions for Part A.)

- 1. Name
Any variant name
2. Address (Street), (City), (State or foreign country)
3. Place of incorporation or principal place of business
4. Ownership interests in entity
Iran [] I, U.S.A. [] U, Other [] O (check one)
Iran [] [] [] % I, U.S.A. [] [] [] % U, Other [] [] [] % O (enter %)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

5. Type of entity:

- a. Iranian Government or any agency or instrumentality thereof, excluding diplomatic and consular missions I
- b. Iranian diplomatic and consular mission D
- c. Iranian Central Bank (Bank Markazi Iran) M
- d. Iranian commercial bank B
- e. Other Iranian corporation C
- f. Consortium or joint venture J
- g. Unincorporated association U
- h. Other O

6. Joint interests: List other Iranian entities with an interest in property reported (applies only to multiple reports (box M on page 1)) _____

Continue with Part B on next page.

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part B—SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED (See instructions for individual lines.)

		(Enter value in whole dollars)	
<i>Type</i>		(1) <i>Original Amount</i>	(2) <i>Amount 3/31/80</i>
1.	Deposits		
a.	Time	_____	_____
b.	Demand	_____	_____
2.	Bullion, currency and coin	_____	_____
3.	Notes, checks, drafts, acceptances, commercial paper, etc. . .	_____	_____
4.	Debts	_____	_____
5.	Financial securities payable in dollars	_____	_____
6.	Financial securities payable in other currencies	_____	_____
7.	Direct investment in U.S. businesses	_____	_____
8.	Real estate	_____	_____
9.	Personal property		
a.	Goods consigned to Iran	_____	_____
b.	Other	_____	_____
10.	Commercial letters of credit <i>issued</i> by U.S. banks	_____	_____
11.	Commercial letters of credit <i>confirmed</i> by U.S. banks	_____	_____
12.	<i>Standby</i> letters of credit		
a.	Amount of credit	_____	_____
b.	Amount of section 535.568 account	_____	_____
13.	Miscellaneous	_____	_____
TOTAL (1. through 13.)		_____	_____

14. If amount in column (2) differs from amount in column (1) for any line above, explain increase or decrease by checking the appropriate box:

- a. General license 535.508 A
- b. General license 535.531 B
- c. General license 535.532 C
- d. General license 535.566 D
- e. General license 535.902 E
- f. Specific license (give license number) _____ F
- g. Other (explain) _____ U

Part C—ADDITIONAL DESCRIPTION OF PROPERTY ITEMS

Type	Brief Description of Property

Part D—ADVERSE CLAIMS AGAINST PROPERTY REPORTED

1.	<i>Type</i>	<i>Amount</i>
a.	Attachment	_____ A
b.	Lien	_____ L
c.	Set-off	_____ S
d.	Disputed liability	_____ D
e.	Title dispute	_____ T

2. Indicate at right the citizenship of the adverse claimant

Iran I
 U.S.A. U
 Other O
(check one)

3. Is the holder an adverse claimant?

Yes Y
 No N
(check one)

PENALTIES: Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or issues any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both, and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

Form TFR-615

CENSUS OF BLOCKED IRANIAN ASSETS

Office of Foreign Assets Control
Unit 615
Department of the Treasury
Washington, D.C. 20220

Multiple Report [] M

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

Form TFR-615 is to be used by all persons required to file reports pursuant to section 535.615 of Title 31 of the Code of Federal Regulations. BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY. All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.615 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

Part A—INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED (See instructions for Part A.)

1. Name _____
Any variant name _____

2. Address _____ (Street)
_____ (City)
_____ (State or foreign country)

2. Iran [] I
U.S.A. [] U
Other [] O
(check one)

3. Place of incorporation or principal place of business

3. Iran [] I
U.S.A. [] U
Other [] O
(check one)

4. Ownership interests in entity.....

4. Iran [][] % I
U.S.A. [][] % U
Other [][] % O
(enter %)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

5. Type of entity:

- a. Iranian Government or any agency or instrumentality thereof, excluding diplomatic and consular missions I
- b. Iranian diplomatic and consular mission D
- c. Iranian Central Bank (Bank Markazi Iran) M
- d. Iranian commercial bank B
- e. Other Iranian corporation C
- f. Consortium or joint venture J
- g. Unincorporated association U
- h. Other O

6. Joint interests: List other Iranian entities with an interest in property reported (applies only to multiple reports (box M on page 1)) _____

Continue with Part B on next page.

Part B—SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED (See instructions for individual lines.)

Type	(Enter value in whole dollars)	
	(1) Original Amount	(2) Amount 3/31/80
1. Deposits		
a. Time	_____	_____
b. Demand	_____	_____
2. Bullion, currency and coin	_____	_____
3. Notes, checks, drafts, acceptances, commercial paper, etc. .	_____	_____
4. Debts	_____	_____
5. Financial securities payable in dollars	_____	_____
6. Financial securities payable in other currencies	_____	_____
7. Direct investment in U.S. businesses	_____	_____
8. Real estate	_____	_____
9. Personal property		
a. Goods consigned to Iran	_____	_____
b. Other	_____	_____
10. Commercial letters of credit <i>issued</i> by U.S. banks	_____	_____
11. Commercial letters of credit <i>confirmed</i> by U.S. banks	_____	_____
12. <i>Standby</i> letters of credit		
a. Amount of credit	_____	_____
b. Amount of section 535.568 account	_____	_____
13. Miscellaneous	_____	_____
TOTAL (1. through 13.)		_____

14. If amount in column (2) differs from amount in column (1) for any line above, explain increase or decrease by checking the appropriate box:

- a. General license 535.508 A
- b. General license 535.531 B
- c. General license 535.532 C
- d. General license 535.566 D
- e. General license 535.902 E
- f. Specific license (give license number) _____ F
- g. Other (explain) _____ U

Part C—ADDITIONAL DESCRIPTION OF PROPERTY ITEMS

Type	Brief Description of Property
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Part D—ADVERSE CLAIMS AGAINST PROPERTY REPORTED

1.	Type	Amount
a.	Attachment	_____ A
b.	Lien	_____ L
c.	Set-off	_____ S
d.	Disputed liability	_____ D
e.	Title dispute	_____ T

2. Indicate at right the citizenship of the adverse claimant Iran I
U.S.A. U
Other O
(check one)

3. Is the holder an adverse claimant? Yes Y
No N
(check one)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part E—PERSON HOLDING PROPERTY (see instructions)

1. Name _____

EIN/SSN

--	--	--	--	--	--	--	--	--	--

2. Address _____

FFC

(Street)

(City)

(State or foreign country)

3. If owned or controlled firm is located in Iran, check box I

4. Type of holder (check one)

Bank B

Broker or securities dealer S

Oil company or oilfield services company O

Defense-related industry D

Construction company C

Other business firm T

Individual I

U.S. Government agency G

Other (explain) _____ U

(Submit list of owned or controlled foreign firms per instructions)

Part F—CERTIFICATION (see instructions)

I, _____, certify that I am
(Type or print name)

the _____
(State relationship of signatory to holder, e.g., treasurer, holder (if an individual))

of the _____
(Name of holder)

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

(Date) _____
(Signature)

Address _____
(Street)

(City)

(State)

Person to contact regarding this report:

(name) _____
(telephone number) _____ (over)

PENALTIES: Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or issues any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both, and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

Form TFR-615

CENSUS OF BLOCKED IRANIAN ASSETS

Office of Foreign Assets Control
Unit 615
Department of the Treasury
Washington, D.C. 20220

Multiple Report [] M

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

Form TFR-615 is to be used by all persons required to file reports pursuant to section 535.615 of Title 31 of the Code of Federal Regulations. BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY. All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.615 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

Part A—INFORMATION CONCERNING IRANIAN ENTITY WHOSE PROPERTY IS REPORTED (See instructions for Part A.)

1. Name _____

Any variant name _____

2. Address _____

(Street)

(City)

(State or foreign country)

2. Iran [] I
U.S.A. [] U
Other [] O
(check one)

3. Place of incorporation or principal place of business

3. Iran [] I
U.S.A. [] U
Other [] O
(check one)

4. Ownership interests in entity.....

4. Iran [][] % I
U.S.A. [][] % U
Other [][] % O
(enter %)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

5. Type of entity:

- a. Iranian Government or any agency or instrumentality thereof, excluding diplomatic and consular missions I
- b. Iranian diplomatic and consular mission D
- c. Iranian Central Bank (Bank Markazi Iran) M
- d. Iranian commercial bank B
- e. Other Iranian corporation C
- f. Consortium or joint venture J
- g. Unincorporated association U
- h. Other O

6. Joint interests: List other Iranian entities with an interest in property reported (applies only to multiple reports (box M on page 1)) _____

Continue with Part B on next page.

Part B—SCHEDULE OF PROPERTY REQUIRED TO BE REPORTED (See instructions for individual lines.)

Type	(Enter value in whole dollars)	
	(1) Original Amount	(2) Amount 3/31/80
1. Deposits		
a. Time	_____	_____
b. Demand	_____	_____
2. Bullion, currency and coin	_____	_____
3. Notes, checks, drafts, acceptances, commercial paper, etc. .	_____	_____
4. Debts	_____	_____
5. Financial securities payable in dollars	_____	_____
6. Financial securities payable in other currencies	_____	_____
7. Direct investment in U.S. businesses	_____	_____
8. Real estate	_____	_____
9. Personal property		
a. Goods consigned to Iran	_____	_____
b. Other	_____	_____
10. Commercial letters of credit <i>issued</i> by U.S. banks	_____	_____
11. Commercial letters of credit <i>confirmed</i> by U.S. banks	_____	_____
12. <i>Standby</i> letters of credit		
a. Amount of credit	_____	_____
b. Amount of section 535.568 account	_____	_____
13. Miscellaneous	_____	_____
TOTAL (1. through 13.)		_____

14. If amount in column (2) differs from amount in column (1) for any line above, explain increase or decrease by checking the appropriate box:

- a. General license 535.508 A
- b. General license 535.531 B
- c. General license 535.532 C
- d. General license 535.566 D
- e. General license 535.902 E
- f. Specific license (give license number) _____ F
- g. Other (explain) _____ U

Part C—ADDITIONAL DESCRIPTION OF PROPERTY ITEMS

Type	Brief Description of Property

Part D—ADVERSE CLAIMS AGAINST PROPERTY REPORTED

1.	<i>Type</i>	<i>Amount</i>	
a.	Attachment	_____	A
b.	Lien	_____	L
c.	Set-off	_____	S
d.	Disputed liability	_____	D
e.	Title dispute	_____	T

2. Indicate at right the citizenship of the adverse claimant

Iran I
 U.S.A. U
 Other O
(check one)

3. Is the holder an adverse claimant?

Yes Y
 No N
(check one)

Census of Blocked Iranian Assets.—(Form TFR-615)—Continued

Part E—PERSON HOLDING PROPERTY (see instructions)

1. Name _____ EIN/SSN

--	--	--	--	--	--	--	--	--	--
2. Address _____ FFC
 _____ (Street)
 _____ (City)
 _____ (State or foreign country)
3. If owned or controlled firm is located in Iran, check box I
4. Type of holder (check one)
- Bank B
 - Broker or securities dealer S
 - Oil company or oilfield services company O
 - Defense-related industry D
 - Construction company C
 - Other business firm T
 - Individual I
 - U.S. Government agency G
 - Other (explain) _____ U

(Submit list of owned or controlled foreign firms per instructions)

Part F—CERTIFICATION (see instructions)

I, _____, certify that I am
 _____ (Type or print name)
 the _____
 _____ (State relationship of signatory to holder, e.g., treasurer, holder (if an individual))
 of the _____,
 _____ (Name of holder)

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

_____ (Date) _____ (Signature)

Address _____ (Street)
 _____ (City)
 _____ (State)

Person to contact regarding this report:

_____ (name) _____ (telephone number) (over)

PENALTIES: Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or issues any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both, and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

Title 31 - MONEY AND FINANCE: Treasury

Chapter V - Foreign Assets Control

Department of the Treasury

Part 535 - Iranian Assets Control Regulations

AGENCY: Office of Foreign Assets Control

ACTION: Final Rule

SUMMARY: The Office of Foreign Assets Control is amending the Iranian Assets Control Regulations. The purpose of the amendment is to impose additional prohibitions on dealings with Iran. The need for the amendment is to implement the provisions of Executive Order No. 12205, signed by the President on April 7, 1980. The effect of the amendment is that exports to Iran, except those involving food, medicine, medical supplies, or donations of clothing intended to be used to relieve human suffering, are prohibited; restrictions are placed on the shipment of goods to Iran; restrictions are placed on new service contracts with Iran; and restrictions are placed on various financial transactions to which Iran is a party.

EFFECTIVE DATE: April 7, 1980, 4:19 p.m., e.s.t.

FOR FURTHER INFORMATION CONTACT: Dennis M. O'Connell, Chief Counsel, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220, Tel. 202/376-0236.

SUPPLEMENTARY INFORMATION: Since the regulations involve a foreign affairs function, the provisions of the Administrative Procedure Act, 5 U.S.C. 553, requiring notice of proposed rule making, opportunity for public participation and delay in effective date are inapplicable.

The United Nations Security Council voted 12-0 on December 31, 1979 to impose economic sanctions on Iran if the American hostages were not freed by January 7, 1980. A resolution mandating economic sanctions was acted upon by the Security Council of the United Nations on January 13, 1980 and would have been adopted but for the veto cast by the Soviet Union.

The resolution called upon all countries to:

a. Prevent the sale or supply by their nationals or from their territories, whether or not originating in their territories, to or destined for Iranian governmental entities in Iran or any other person or body in Iran, or to or destined for any other person or body for the purposes of any enterprise carried on in Iran, of all items, commodities or products, except food, medicine and supplies intended strictly for medical purposes;

b. Prevent the shipment by vessel, aircraft, railway, or other land transport of their registration or owned by or under charter to their nationals or the carriage whether or not in bond by land transport facilities across their territories of any of the items, commodities, and products covered by subparagraph (a) which are consigned to or destined for Iranian governmental entities or any person or body in Iran, or to any enterprise carried on in Iran;

c. Not make available to the Iranian authorities or to any person in Iran or to any enterprise controlled by an Iranian governmental entity any new credits or loans; shall not, with respect to such persons or enterprises make available any new deposit facilities or allow substantial increases in existing nondollar deposits or allow more favorable terms of payment than customarily used in international commercial transactions; and shall act in a businesslike manner in exercising any rights when payments due on existing credits or loans are not made on time and shall require any persons or entities within their jurisdiction to do likewise;

d. Prevent the shipment from their territories on vessels or aircraft registered in Iran of products and commodities covered by subparagraph (a) above;

e. Prevent their nationals, or firms located in their territories, from engaging in service contracts, in support of industrial projects in Iran, other than those concerned with medical care;

f. Prevent their nationals or any person or body in their territories from engaging in any activity which evades or has the purpose of evading any of the decisions set out in this resolution:

The United States now is implementing the sanctions contemplated by this resolution. The President on April 7, 1980, signed Executive Order 12205 pursuant to the International Emergency Economic Powers Act imposing these sanctions. These regulations are being issued as amendments to the Iranian Assets Control Regulations to implement that order.

In reading these regulations, the following should be considered:

1. The prohibitions involved in these sanctions are listed in §535.206 and §535.207 and are in addition to the sanctions previously imposed under §535.201;

2. All provisions in the form of general licenses, definitions, interpretations and other provisions previously issued under this part and still in effect apply, where relevant, to the sanctions contained in the regulations being published today;

3. Exports to Iran are now governed by the prohibition in §535.207(a) and related provisions. It is expected that overseas subsidiaries of United States persons will not make exports prohibited by these regulations. U. S. parent entities must inform the Office of Foreign Assets Control ten days before any of its subsidiaries proposes to make any export to Iran; and

4. The prohibitions contained in the resolution acted upon by the U. N. Security Council concerning new loans and credits are governed by §535.201, as interpreted by §535.419.

31 CFR, Part 535 is amended as follows:

1. §535.201 is revised to read as follows:

§535.201 Transactions involving property in which Iran or Iranian entities have an interest.

No property subject to the jurisdiction of the United States or which is in the possession of or control of persons subject to the jurisdiction of the United States in which on or after the effective date Iran has any interest of any nature whatsoever may be transferred, paid, exported, withdrawn or otherwise dealt in except as authorized.

2. §535.203 is amended by the addition of paragraph (f), as follows:

§535.203 Effect of transfers violating the provisions of this part.

* * * * *

(f) For the purpose of this section the term "property" includes gold, silver, bullion, currency, coin, credit, securities (as that term is defined in section 2(1) of the Securities Act of 1933, as amended), bills of exchange, notes, drafts, acceptances, checks, letters of credit, book credits, debts, claims, contracts, negotiable documents of title, mortgages, liens, annuities, insurance policies, options and futures in commodities, and evidences of any of the foregoing. The term "property" shall not, except to the extent indicated, be deemed to include chattels or real property.

3. §535.206 is added as follows:

§535.206 Financial transactions.

(a) Except as authorized by means of regulations, rulings, instructions, licenses or otherwise, no person subject to the jurisdiction of the United States shall, directly or indirectly, in any transaction involving Iran, an Iranian governmental entity, an enterprise controlled by Iran or an Iranian governmental entity, or any person in Iran:

(1) Make available any new deposit facilities or allow substantial increases in existing non-dollar deposits.

(2) Allow more favorable terms of payment than customarily used in international commercial transactions.

(3) Fail to act in a business-like manner in exercising any rights when payments due on existing credits or loans are not made in a timely manner, provided the exercise of such rights is not otherwise prohibited by this part.

(b) The prohibitions contained in paragraph (a) of this section shall not apply to transactions by any person subject to the jurisdiction of the United States which is a non-banking association, corporation or other organization organized and doing business under the laws of any foreign country. The U. S. parent of any such person must report to the Office of Foreign Assets Control any prospective transaction with Iran contained in paragraph (a) of this section ten days before any subsidiary enters into such a transaction.

4. §535.207 is added as follows:

§535.207 Trade, shipping and service transactions.

(a) All of the following transactions are prohibited, except as authorized by means of regulations, rulings, instructions, licenses or otherwise:

(1) The sale, supply or other transfer, by any person subject to the jurisdiction of the United States, of any items, commodities or products, except food, medicine or supplies intended strictly for medical purposes, and donations of clothing intended to be used to relieve human suffering, from the United States, or from any foreign country, whether or not originating in the United States, either to or destined for Iran, an Iranian governmental entity in Iran, any other person or body in Iran, or any other person or body for the purposes of any enterprise carried on in Iran.

(2) The shipment by vessel, aircraft, railway or other land transport of United States registration or owned by or under charter to a person subject to the jurisdiction of the United States or the carriage (whether or not in bond) by land transport facilities across the United States of any of the items, commodities or products covered by paragraph (a) of this section which are consigned to or destined for Iran, an Iranian governmental entity, or any person or body in Iran, or to any enterprise carried on in Iran.

(3) The shipment from the United States of items, products or commodities covered by paragraph (a) of this section on vessels or aircraft registered in Iran.

(4) The engaging, by any person subject to the jurisdiction of the United States, in any service contract in support of industrial projects in Iran, except any such contracts entered into prior to the effective date or concerned with the provision of medical services.

(b) The prohibitions contained in paragraph (a) of this section shall not apply to transactions by any person subject to the jurisdiction of the United States which is a non-banking association, corporation or other organization organized and doing business under the laws of any foreign country. The U. S. parent of any such person must report to the Office of Foreign Assets Control any transaction with Iran contained in paragraph (a) of this section ten days before any subsidiary enters into such a transaction.

5. §535.208 is added as follows:

§535.208 Evasions; effective date.

(a) Any transaction for the purpose of, or which has the effect of, evading or avoiding any of the prohibitions set forth in this subpart is hereby prohibited.

(b) The term "effective date" means, with respect to transactions prohibited in section 535.201, 8:10 a.m. eastern standard time, November 14, 1979, and with respect to the transactions prohibited in §§535.206 and 535.207 4:19 p.m. eastern standard time, April 7, 1980.

6. Subpart C is amended by the addition of §§535.308 and 535.331 as follows:

Subpart C - General Definitions

* * * * *

§535.308 Person.

The term "person" means an individual, partnership, association, corporation or other organization.

* * * * *

§535.331 Food.

The term "food" as used in §535.207(a) shall include commodities directly consumed by humans or by animals when such animals are primarily used as a source of food.

7. Subpart D is amended by the addition of §§535.401, 535.402, 535.403, 535.419(e), 535.421, 535.422, 535.423, 535.424 and 535.425, as follows:

Subpart D - Interpretations

§535.401 Reference to amended sections.

Reference to any section of this part or to any regulation, ruling, order, instruction, direction or license issued pursuant to this part shall be deemed to refer to the same as currently amended unless otherwise so specified.

§535.402 Effect of amendment of sections of this part or of other orders, etc.

Any amendment, modification, or revocation of any section of this part or of any order, regulation, ruling, instruction, or license issued by or under the direction of the Secretary of the Treasury pursuant to section 203 of the

International Emergency Economic Powers Act shall not, unless otherwise specifically provided, be deemed to affect any act done or omitted to be done, or any suit or proceeding had or commenced in any civil or criminal case, prior to such amendment, modification, or revocation and all penalties, forfeitures, and liabilities under any such order, regulation, ruling, instruction or license shall continue and may be enforced as if such amendment, modification, or revocation had not been made.

§535.403 Termination and acquisition of an interest of Iran or an Iranian entity.

(a) Whenever a transaction licensed or authorized by or pursuant to this part results in the transfer of property (including any property interest) away from Iran or an Iranian entity, such property shall no longer be deemed to be property in which Iran or an Iranian entity has or has had an interest, unless there exists in the property another such interest the transfer of which has not been effected pursuant to license or other authorization.

(b) Unless otherwise specifically provided in a license or authorization contained in or issued pursuant to this part, if property (including any property interest) is transferred to Iran or an Iranian interest, such property shall be deemed to be property in which there exists an interest of Iran or an Iranian entity.

§535.419 Extensions of credit to Iran.

* * * * *

(e) The prohibition in §535.201 does not apply to extensions or renewals of credits to Iran or an Iranian entity by any person subject to the jurisdiction of the United States which is a non-banking association, corporation or other organization organized and doing business under the laws of any foreign country.

* * * * *

§535.421 Prior contractual commitments not a basis for licensing.

Specific licenses are not issued on the basis that an unlicensed firm commitment or payment has been made in connection with a transaction prohibited by this part. Contractual commitments to engage in transactions subject to the prohibitions of this part should not be made, unless the contract specifically states that the transaction is authorized by general license or that it is subject to the issuance of a specific license.

§535.422 New deposit facilities.

(a) The prohibition contained in §535.206(a) includes the opening of any new accounts as well as the acceptance of non-dollar deposits in any existing accounts where the resulting balance would be substantially greater than that existing on the effective date.

(b) A balance is substantially greater if it is more than 10% greater than the average daily balance during the six-month period prior to the effective date of §535.206.

(c) An account is not a new account if it is established as a result of a transfer authorized by §535.508 or otherwise licensed under this part.

§535.423 Customary international commercial terms.

(a) §535.206(b) prohibits the sale to Iran, any Iranian entity or any person in Iran of any commodity on conditions markedly different from those customarily offered by other sellers of that commodity in terms of price, method of payment and time of payment.

(b) This section shall not be construed to authorize any transaction which is otherwise prohibited by this part.

§535.424 Service contracts in support of industrial projects in Iran.

Specific licenses to enter into any service contract in support of any enterprise in Iran will be considered on a case-by-case basis. No service contract should be entered into without a specific license.

§535.425 Iranian enterprise.

For purposes of §535.206, the term "enterprise" means any business or commercial activity or venture of any kind whatsoever, whether operated or organized as a corporation, partnership, joint venture, association, sole proprietorship, or otherwise.

8. Subpart E is amended by the revocation of §535.533, and the addition of §§535.572 and 535.574, as follows:

Subpart E - Licenses

* * * * *

§535.533 [Revoked].

* * * * *

§535.572 Authorization of exports of certain types of goods to Iran.

All transactions not inconsistent with §535.419 and ordinarily incident to the export to Iran of the following types of goods are hereby authorized:

- (a) Medicines and supplies intended strictly for medical purposes.
- (b) Food.
- (c) Donations of clothing intended to be used to relieve human suffering.

§535.574 Service contracts in support of telecommunications in Iran.

Specific licenses will be considered for transactions incident to telecommunications with Iran.

§535.903 [Revoked]

9. Section 535.903 is revoked.

Dated: 7 April 1980

(signed) Stanley L. Sommerfield
Stanley L. Sommerfield
Director

Approved: (signed) Richard J. Davis
Richard J. Davis
Assistant Secretary

[AUTHORITY: Secs. 201 - 207, 91 Stat. 1626, 50 U.S.C. 1701 - 1706; E. O. No. 12170, 44 FR 65729; E. O. No. 12205, 45 FR 24099.]

Title 31 - MONEY AND FINANCE: TREASURY
CHAPTER V - FOREIGN ASSETS CONTROL
PART 535 - Iranian Assets Control Regulations

AGENCY: OFFICE OF FOREIGN ASSETS CONTROL

ACTION: Final Rule

SUMMARY: The Office of Foreign Assets Control is amending the Iranian Assets Control Regulations by the addition of § 535.615 and 535.616 providing respectively for a census of blocked Iranian assets and a census of claims against Iran and Iranian entities. The purpose of the amendment is to impose a requirement that reports be filed (1) under § 535.615 with respect to blocked Iranian assets held by any person subject to the jurisdiction of the United States between November 14, 1979 and March 31, 1980; and (2) under § 535.616 with respect to claims by U.S. nationals against Iran and Iranian entities. The need for § 535.615 is to obtain information on blocked assets, on a one-time basis, for planning purposes and to monitor compliance with the Regulations. The need for § 535.616 is to obtain information regarding claims of U.S. nationals against Iran, on a one-time basis, for planning purposes. The effect of the amendment will be to obtain complete and accurate information on blocked Iranian assets and on claims of U.S. nationals against Iran and Iranian entities in order to further the purposes of Executive Order No. 12170 and the Regulations.

EFFECTIVE DATE: April 7, 1980.

FOR FURTHER INFORMATION CONTACT: Dennis M. O'Connell, Chief Counsel, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220, (202) 376-0236.

SUPPLEMENTARY INFORMATION: Samples of Forms TFR-615 and TFR-616 with instructions appear in an appendix to this amendment. Copies of the forms with reporting instructions are being distributed through the Federal Reserve System to all member banks. Other persons required to report or otherwise interested in obtaining copies of the forms and instructions may do so by applying to the nearest Federal Reserve bank or to the Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220.

Forms are to be completed in triplicate and two copies are to be returned in a set to either Unit 615 or Unit 616, as appropriate, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220 by May 15, 1980. Observance of the filing deadline is extremely important. Reporting under these sections is mandatory, and no extensions of the filing deadline will be granted.

The submission of a Form TFR-616 report on a claim against Iran or an Iranian entity does not constitute the filing with the United States Government of a formal claim for compensation. No decision has yet been reached on whether a formal claims adjudication program will be established. It should be noted, however, that failure to file complete information with respect to claims in a timely fashion not only would constitute failure to comply with the Regulations, but would also preclude the inclusion of the information in U.S. Government planning. Such a failure may therefore be prejudicial to the interests of the claimant and other U.S. claimants. Espousal of claims of U.S. nationals against a foreign government is in the discretion of the Secretary of State.

Since this amendment involves a foreign affairs function, the provisions of the Administrative Procedure Act, 5 U.S.C. 553, requiring notice of proposed rulemaking, opportunity for public participation and delay in effective date are inapplicable.

31 CFR Part 535 is amended as follows:

1. §535.615 is added as follows:

§535.615 Reports on Form TFR-615.

(a) Requirement for report. Reports on Form TFR-615 are hereby required to be filed on or before May 15, 1980, in the manner prescribed herein, with respect to all property subject to the jurisdiction of the United States or in the possession or control of any person subject to the jurisdiction of the United States at any time between the effective date and March 31, 1980, in which Iran or an Iranian entity has or has had any interest.

(1) Who must report. Reports on Form TFR-615 must be filed by each of the following:

(i) Any person subject to the jurisdiction of the United States or his successor, who on the effective date or any subsequent date up to and including March 31, 1980, had in his custody, possession or control, directly or indirectly, in trust or otherwise, property in which there was any direct or indirect interest of Iran or any Iranian entity, whether or not the property continued to be held by that person on March 31, 1980; and

(ii) Any business or non-business entity in the United States in which Iran or an Iranian entity held any financial interest on the effective date or on any subsequent date.

(2) Property not required to be reported. A report on Form TFR-615 is not required with respect to:

(i) Property of a private Iranian national; and

(ii) Patents, copyrights, trademarks and inventions; Provided, however, that a report is required with respect to any royalties due and unpaid in connection with such property.

(b) Filing Form TFR-615. Reports on Form TFR-615 shall be prepared in triplicate. On or before May 15, 1980, two copies shall be sent in a set to Unit 615, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220. The third copy must be retained with the reporter's records.

(c) Certification. Every report on Form TFR-615 shall contain the certification required in Part F of the Form. Failure to complete the certification shall render the report ineffective, and the submission of such a report shall not constitute compliance with this section.

(d) Confidentiality of reports. Reports on Form TFR-615 are regarded as privileged and confidential.

2. §535.616 is added as follows:

§535.616 Reports on Form TFR-616.

(a) Requirement for reports. Reports on Form TFR-616 are hereby required to be filed on or before May 15, 1980, in the manner prescribed herein, with respect to claims for losses due to expropriation, nationalization, or other taking of property or businesses in Iran, including any special measures such as Iranian exchange controls directed against such property or businesses; claims for debt defaults, for damages for breach of contract or similar damages; and personal claims for salaries or for injury to person or property.

(b) Who must report. Reports on Form TFR-616 must be filed by every person subject to the jurisdiction of the United States which had a claim against Iran or an Iranian entity which arose before April 15, 1980. No report is to be submitted by a U.S. branch of a foreign firm not owned or controlled by a person subject to the jurisdiction of the United States or by a nonresident alien.

(c) Filing Form TFR-616. Reports on Form TFR-616 shall be prepared in triplicate. On or before May 15, 1980, two copies shall be sent in a set to Unit 616, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220. The third copy must be retained with the reporter's record.

(d) Certification. Every report on Form TFR-616 shall contain the certification required on Part E of the Form. Failure to complete the certification shall render the report ineffective, and the submission of such a report shall not constitute compliance with this section.

(e) Confidentiality of reports. Reports on Form TFR-616 are regarded as privileged and confidential.

Dated: 07 APR 1980

(signed) Stanley L. Sommerfield
Stanley L. Sommerfield
Director

Approved: (signed) Richard J. Davis
Richard J. Davis
Assistant Secretary

AUTHORITY: Secs. 201 - 207, 91 Stat. 1626, 50 U.S.C. 1701 - 1706;
E.O. No. 12170, 44 FR 65729.

APPENDIX

Not for Publication in the Code of Federal Regulations.

Filed: April 7, 1980

Published: April 9, 1980

**CENSUS OF
CLAIMS AGAINST
IRAN**



**Office of Foreign Assets Control
Department of the Treasury
Washington, D.C. 20220**

CENSUS OF CLAIMS BY UNITED STATES PERSONS AGAINST IRAN

INSTRUCTIONS FOR REPORTING ON FORM TFR-616

The Office of Foreign Assets Control, Department of the Treasury, at the request of the Department of State and under section 535.616 of the Iranian Assets Control Regulations (31 CFR Part 535), is conducting a census of information relative to claims of persons subject to the jurisdiction of the United States against the Government of Iran, its agencies or instrumentalities or controlled entities, and the Central Bank of Iran.

Reporting under the census is mandatory for persons who are subject to the reporting requirements. Reports on Form TFR-616 are hereby required to be filed on or before May 15, 1980, in the manner prescribed herein, by such persons subject to the jurisdiction of the United States. The report must describe any claim of any such person against any Iranian entity which arose before April 15, 1980. The report must indicate for each claim (as provided in the specific instructions) whether the claim arose on or after October 1, 1978. The report must also cover any loans outstanding to Iran or an Iranian entity, whether or not a default has been declared.

Each question on the form must be answered, and all the specific information called for must be given. When there is nothing to report under any question, or if information is lacking, state "No," "None," or "Unknown," as the case may be. If the space provided on the form for any answer should prove inadequate, the answer may be made or continued on a blank sheet of paper securely attached to the form. No person is excused from furnishing any information he reasonably should have furnished.

The information to be reported is needed by the United States Government for planning purposes. However, the submission of this report does not constitute the filing with the United States Government of a formal claim for compensation. No decision has yet been reached on whether a formal claims adjudication program will be established. However, failure to file complete information in a timely fashion not only would constitute failure to comply with the Regulations, it also would preclude the inclusion of the information in U.S. government planning and may therefore be prejudicial to the claimant and other U.S. claimants. Espousal of claims of U.S. nationals against a foreign government is in the discretion of the Secretary of State.

DEADLINE: May 15, 1980. No extensions will be granted.

This form is to be completed in triplicate and two copies are to be returned in a set to Unit 616, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220 by the May 15, 1980 deadline.

Observance of the filing deadline is extremely important.

FOR FURTHER INFORMATION CONTACT: Unit 616, (202) 376-0968 or 376-0969.

PART I—GENERAL INSTRUCTIONS

A. REPORTING REQUIREMENTS

1. Who must report.

Any person subject to the jurisdiction of the United States, whether an individual or business enterprise,

which has a claim against the Government of Iran or any of its agencies or instrumentalities or controlled entities, which arose before April 15, 1980. Claims by U.S. branches of foreign firms not owned or controlled by persons subject to the jurisdiction of the United

Part I.—General Instructions.—Continued

A. Reporting Requirements.—Continued

1. Who must report.—Continued

States, or by nonresident aliens, are not be reported on Form TFR-616.

If a U.S. parent company or any foreign firm(s) which it owns or controls is subject to the reporting requirements, the U.S. parent company must submit *one* Form TFR-616 covering its claims and those of any such foreign firm(s). (See specific instructions for Part C.)

2. What must be reported.

a. **Business claims.** United States business firms and individuals should report in Part B.1. losses due to expropriation, nationalization or other taking of property or businesses in Iran including any special measures, such as Iranian exchange controls, directed against such property or businesses. Claims against Iranian entities for other business losses, such as debt defaults, damages for breach of contract, and other damages, should also be reported.

Example (1). The London branch of a United States bank has a general loan outstanding to an Iranian entity which is in default. The amount of the default is to be reported in Part B, line 1.b.

Example (2). A United States construction company is operating in Iran under contract with a private Iranian company. An agency of the Government of Iran takes over the operation of the Iranian company and terminates the U.S. firm's contract. The U.S. company is forced to withdraw its U.S. employees but leave its equipment. The company must report its breach of contract claim in Part B, line 1.g., and may have other reportable claims for expropriation or other losses on behalf of the company or its U.S. employees.

Example (3). A United States company has a wholly-owned subsidiary incorporated in Iran. Although the subsidiary has not been nationalized, the parent company cannot repatriate earnings or capital because of Iranian exchange control laws. The amount of dividends or other capital restricted under such laws is to be reported in Part B, line 1.e.

b. **Personal claims.** Any citizen or permanent resident who has suffered losses due to expropriation or who has claims for salaries or for injury to person or property resulting from actions of the Government of Iran or any Iranian entity must report such claims in Part B.2.

Example. A United States engineering consultant under contract to an Iranian entity is dismissed from his

employment without cause and is forced to leave the country without a final salary payment and without being able to evacuate his household goods. The individual must report his claims for salary and other benefits and for breach of contract in Part B, line 2.c. and may have other reportable claims for expropriation (line 2.a.) or other damages (line 2.e.).

3. Primary responsibility for reporting.

Primary responsibility for reporting any claim rests on the claimant with the following exceptions: primary responsibility for reporting any claim of a foreign firm owned or controlled by a person subject to the jurisdiction of the United States is on the U.S. parent; primary responsibility for reporting the claim of any trust is on the trustee, and for any estate on the executor or administrator. A report may be filed on behalf of a claimant by an attorney, agent, bank, insurance company or other person. However, the pertinent information regarding the person making the report must be included in Part D. No person is excused from filing Form TFR-616 by reason of the fact that another person has submitted a report with regard to the same claim unless he has actual knowledge that the other person has filed a report with respect to the claim as full and complete as that which such person would otherwise be required to file.

4. Obtaining Form TFR-616.

Copies of Form TFR-616 with reporting instructions have been distributed through the Federal Reserve System to all member banks. Other persons required to report or otherwise interested in obtaining copies of Form TFR-616 may do so by applying to the Office of Foreign Assets Control, Unit 616, Department of the Treasury, Washington, D.C. 20220, or to the nearest Federal Reserve bank.

5. Filing Form TFR-616

Reports on Form TFR-616 shall be prepared in triplicate. On or before May 15, 1980, two copies shall be sent in a set to Unit 616, Office of Foreign Assets Control, Department of the Treasury, Washington, D.C. 20220. Retain one copy for your records.

6. Certification.

Every report filed on Form TFR-616 must be certified in Part E of the form. Failure to complete the certification shall render the report ineffective, and the submission of such a report shall not constitute compliance with the reporting requirements of section 535.616 of the Regulations.

7. Confidentiality of reports.

Reports filed on Form TFR-616 are regarded as privileged and confidential.

8. Penalties.

Reporting on Form TFR-616 is mandatory under section 535.616 of the Iranian Assets Control Regulations. In this regard, section 206 of the International Emergency Economic Powers Act provides as follows:

Penalties

Sec. 206. (a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulation issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.

B. DEFINITIONS

The definitions below shall be used for the purpose of reporting on Form TFR-616. Any reference to "the Regulations" in these instructions or in Form TFR-616 shall refer to the Iranian Assets Control Regulations (31 CFR Part 535), and any term not defined in these instructions shall have the meaning ascribed to it in the Regulations.

1. Person.

An individual, partnership, association, corporation or other organization.

2. Persons subject to the jurisdiction of the United States.

The term "person subject to the jurisdiction of the United States" includes:

(a) Any person wheresoever located who is a citizen or resident of the United States;

(b) Any person actually within the United States;

(c) Any corporation organized under the laws of the United States or of any state, territory, possession or district of the United States; and

(d) Any partnership, association, corporation or other organization wheresoever organized or doing busi-

ness which is owned or controlled by persons specified in (a), (b), or (C) above.

3. Successor.

A person who has succeeded to a claim by operation of law, such as a trustee in bankruptcy, an executor or administrator, etc., or by change in organization, such as by merger, dissolution or acquisition.

4. Financial interest.

Any right or claim to ownership or control, or participation in ownership or control, or other financial interests as follows:

(a) Any shares of stock of any business or nonbusiness entity;

(b) Any profits or income derived from shares of stock;

(c) Any bonds, debentures, notes or other funded obligations of any business or nonbusiness entity;

(d) Any other outstanding securities of any business or nonbusiness entity; or

(e) Any other right or claim with respect to any trust or similar organization.

5. Iran; Iranian entity.

(a) The term "Iran" and "Iranian entity" includes:

(1) The State and the Government of Iran as well as any political subdivision, agency or instrumentality thereof or any territory, dependency, colony, protectorate, mandate, dominion, possession or place subject to the jurisdiction thereof;

(2) The Central Bank of Iran (Bank Markazi Iran);

(3) Any partnership, association, corporation or other organization substantially owned or controlled by any of the foregoing;

(4) Any person to the extent that such person is, or has been, or to the extent that there is reasonable cause to believe that such person is, or has been, since the effective date acting or purporting to act, directly or indirectly, on behalf of any of the foregoing;

(5) Any territory which on or since the effective date is controlled or occupied by the military, naval or police forces or other authority of Iran; and

(6) Any other person or organization determined by the Secretary of the Treasury to be included within paragraph (a) hereof.

(b) A person specified in paragraph (a) (3) of this section shall not be deemed to fall within the definition of Iran solely by reason of being located in, organized under the laws of, or having its principal place of business in Iran.

Part I.—General Instructions.—Continued

B. Definitions.—Continued

6. Property; property interests.

The terms "property" or "property interests," for purposes of reporting claims on Form TFR-616, shall include, but not be limited to, money, checks, drafts, bullion, bank deposits, savings accounts, any debts, indebtedness obligations, notes, debentures, stocks, bonds, coupons, any other financial securities, bankers' acceptances, mortgages, pledges, liens or other rights in the nature of security, warehouse receipts, bills of lading, trust receipts, bills of sale, any other evidence of title, ownership or indebtedness, powers of attorney, goods, wares, merchandise, chattels, stocks on hand, ships, goods on ships, real estate mortgages, deeds of trust, vendors' sales agreements, land contracts, real estate and any interest therein, leaseholds, ground rents, options, negotiable instruments, letters of credit, trade acceptances, royalties, book accounts, accounts payable, judgments, patents, trademarks or copyrights, contracts or licences affecting or involving patents, trademarks or copyrights, insurance policies, safe deposit boxes and their contents, annuities, pooling agreements, contracts of any nature whatsoever, and any other property, real, personal or mixed, tangible or intangible, or interest or interests therein, present, future or contingent.

7. Interest.

Any interest of any nature whatsoever, direct or indirect.

C. VALUATION PRINCIPLES

1. The following table may be used as a guide to valuation principles for various types of property:

<i>Examples of property types</i>	<i>Principle</i>
Checks, drafts, acceptances and notes . . .	Face or estimated value.
Commodities, including gold and other metals	Market value.

<i>Examples of property types</i>	<i>Principle</i>
Currency and coin	Face value.
Debts, claims and demands	Face or estimated value.
Deposits and cash balances.	Balance of the account.
Foreign exchange futures	Difference between market price of currency and price specified in contract.
Goods, merchandise and other personal property	Market or estimated value.
Land, buildings and mortgages on real estate	Market or estimated value.
Letters of credit.	Available amount.
Royalties, gas and oil	Capitalized value.
Franchises and concessions	Market or estimated value.
Securities.	Market or estimated value.

All amounts reported should be given in dollars to the nearest dollar.

1. Valuation date.

Date of loss.

2. Market or estimated values.

Where market or estimated value is required, enter the market price on the date of loss, or, if such price is not available, the estimated value on that date. In estimating value, the last sale price or bid, if reasonably close to the date of loss, may be used as a basis.

3. Dollar value.

Report claims in U.S. dollars to nearest dollar. Convert foreign currency values at exchange rate prevailing on date of loss, as generally quoted by foreign exchange dealers or other recognized sources of information.

PART II—SPECIFIC INSTRUCTIONS

Part A. INFORMATION CONCERNING U.S. CLAIMANT.

If claimant is an individual, report social security number in box at right.

If claimant is a business firm, report the claimant's EIN in block at right.

If the claimant is a foreign firm owned or controlled

by a person subject to the jurisdiction of the United States, enter the name, EIN code and other identifying information on *the U.S. parent company*.

Line 4.

Check box indicating the primary business of the claimant.

Lines 5-7.

(The Treasury Department is concerned primarily with claims against Iran by persons subject to the jurisdiction of the United States.)

Line 8.

Identify any other person having an interest in the claim.

Part B. SUMMARY OF CLAIMS REQUIRED TO BE REPORTED.

1. Claims totals.

Each claimant is to enter the *total* of all its claims and all the claims of foreign firms owned or controlled by it in each category as well as an overall total. Part B is for reporting the *totals* of all claims by a given claimant. More detailed information on each *separate* claim is to be reported in Part C.

2. Category.

Report the claim for the most appropriate or likely category by entering amount for claim(s) of that type in block at right. Enter amount in whole dollars. (Each syndicated loan or other bank loan must also be reported on a separate Part C. See instructions for Part C.)

Line 1.a.

In the case of syndicated loans where the United States bank is a member or the paying agent, report total principal amount(s) outstanding for the syndicate(s) in the block at left and the reporter's share in the block to the right. If a default has been declared on any loan or loans, check "Yes." Indicate the total amount of default(s) for the syndicate(s) in the block at left and the reporter's share of the default(s) in the right-hand column. (NOTE: For each syndicated loan included in the amount reported on line 1.a., prepare a separate Part C. Report the following information in Part C, line 7., regardless of whether the loan is in default: (1) name of the paying agent, (2) names of lenders, and (3) status of payments.)

Lines 1.b. and 1.c.

Report total amount outstanding in the block at left and the amount due or in default in the right-hand column.

Line 3.

The reporter must enter in lines 3.a. and b. any other actual or potential compensation which the claimant may receive from a U.S. government agency or an

insurance company, surety or similar person. Line 3.a. refers to submission of a claim to the Overseas Private Investment Corporation or some other agency which may have an obligation to indemnify the claimant for the loss. It does not refer to a previous submission to the Department of the Treasury or any other agency of information on claims against Iran of the sort that is required by this form.

Part C. SCHEDULE FOR SEPARATE DESCRIPTION OF CLAIMS.

1. General.

- a. Submit a separate Part C on each claim.
- b. For example, a separate Part C must be prepared on each syndicated loan or other bank loan.
- c. However, claims of a given type or involving a common set of circumstances may be aggregated (e.g., a single expropriation causing loss of several types of property).
- d. Claims by a foreign firm owned or controlled by a U.S. parent company should be reported by the U.S. parent company on its Form TFR-616. A separate Part C should be included with the U.S. parent's Form TFR-616 for each such claim.
- e. Photocopies of Part C may be used for each additional claim to be reported.

Do not submit documentary evidence.

Line 1.a.

Enter SSN or EIN Code from Part A. In the case of an owned or controlled foreign firm, separate identifying data on any such foreign firm is to be reported in Part C. Assign a one-letter code to each such firm and report foreign firm's code letter in block marked FFC (foreign firm code).

In addition, the U.S. parent company should submit a list of all foreign firms for which Part C is being submitted on a separate sheet of paper filed with its report as follows:

<i>Claimant</i>	<i>EIN Code</i>	<i>FFC Code</i>
XYZ Company	123-45-6789	
XYZ Company, London subsidiary	"	A
XYZ Company, Iranian subsidiary	"	B
XYZ Company, Paris branch	"	C

Line 2.

Describe claim and enter letter for type of claim (taken from right margin of relevant line in Part B) in box in right-hand column.

Part II.—Specific Instructions.—Continued

Part C. Schedule for Separate Description of Claims.—Continued

Line 4.

Identify the entity to the best of the reporter's knowledge.

Line 4.c.

An "agency or instrumentality" is a part of the Government of Iran itself (e.g., Iranian Navy, Post Office, etc.), as opposed to a commercial entity such as Iran Air or National Iranian Oil Company.

Line 4.d.

Give a brief statement of basis for concluding that an entity is owned or controlled by the Government of Iran.

Line 7.

Be certain to enter on line 7 for each syndicated loan: (1) name of the paying agent, (2) names of lenders, and (3) status of payments.

Part D. IDENTITY OF PERSON MAKING REPORT

A person reporting his own claim need not fill out Part D other than to check the box opposite line 3.a. designated "Claimant."

Part E. CERTIFICATION

The reporting requirement only applies to persons subject to the jurisdiction of the United States. Check that claimant is a person subject to the jurisdiction of the United States in space provided. Be certain not to omit the required certification in Part E.

REPORT NOT VALID WITHOUT CERTIFICATION

Form TFR-616

CENSUS OF CLAIMS BY UNITED STATES PERSONS AGAINST IRAN

Office of Foreign Assets Control
Unit 616
Department of the Treasury
Washington, D.C. 20220

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

IMPORTANT NOTE: The submission of this report does not constitute the filing with the United States Government of a formal claim for compensation, nor does it guarantee that the claim will be included in any future agreement. However, failure to file complete information in a timely fashion not only would constitute failure to comply with the Regulations, it also would preclude the inclusion of information in U.S. government planning and may therefore be prejudicial to the claimant and other U.S. claimants.

Form TFR-616 is to be used by all persons required to file reports pursuant to section 535.616 of Title 31 of the Code of Federal Regulations. **BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY.** All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.616 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

PART A—INFORMATION CONCERNING U.S. CLAIMANT

EIN/SSN

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1. Name _____
(First) (Middle) (Last)

2. Any variant name (trade name, etc.) _____

3. Mailing address _____
(Street)

_____ *(City)*

_____ *(State or foreign country)*

4. Type of claimant (Check one of the following):

- Bank B
- Oil company or oilfield services company O
- Defense-related industry D
- Construction company C
- Other business T
- Individual I
- U.S. government agency G
- Other (explain) _____ U

5. a. If claimant is an individual, state nationality: Iran I
U.S.A. U
Other O

b. If "Other," specify nationality _____

6. If claimant is a corporation or other legal entity:

- a. State date and place of incorporation _____

- b. State percentage of the outstanding capital stock of all classes or of other beneficial interest owned, directly or indirectly, by persons who were U.S. nationals on the date of loss: _____

7. Has there been any change in the nationality status of claimant since the date of loss?
Yes Y
No N

If "Yes," explain _____

8. If any other person, firm, corporation or other entity which, either at present or since the date of loss, has or has had any interest in the claim, indicate names and present addresses of all such parties:

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

PART B—SUMMARY OF CLAIMS REQUIRED TO BE REPORTED. Report value as of date of loss. See specific instructions. (Use schedule in Part C for reporting claims separately.)

1. Business Claims

	<i>Type</i>	<i>Amount</i>
a. Syndicated loans		
Total amount _____	S1	
Claimant's share _____	S2	
Any default(s) declared? Yes <input type="checkbox"/> Y		
No <input type="checkbox"/> N		
Total amount _____	S3	Claimant's Share _____ S4
b. Other bank loans		
Total amount _____	B1	Amount due or in default _____ B2
c. Loans by nonbank companies		
Total amount _____	N1	Amount due or in default _____ N2
Receivables		_____ R
Losses due to Iranian exchange controls		_____ F
Oil company contract damages		_____ O
Other contract damages		_____ K
Other damage claims		_____ D
i. Expropriation losses:		
(1) Loss of equity, concessions, or going concern value		_____ E
(2) Loss of tangible assets		_____ T
Other		_____ U
Subtotal 1. _____		B1

2. Personal Claims

Expropriation losses		_____ X
Exchange controls		_____ F
Salaries, receivables, insurance, other contractual claims		_____ R
Personal injury, property damage and other tort claims		_____ I
Other		_____ U
Subtotal 2. _____		B 2
TOTAL (Subtotals 1. plus 2.) _____		B 3

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

3. Summary of actual or potential compensation

a. Claim(s) filed with U.S. government agency _____ G

b. Insurance or other indemnity or benefit _____ I

Total (line 3. a. & b. only): _____ T

Continue on next page with Part C.

PART C—SCHEDULE FOR SEPARATE DESCRIPTION OF CLAIMS

(Attach separate sheets or additional copies of Part C, as needed)

EIN/SSN FFC

1. a. Name of claimant _____
(First) (Middle) (Last)

b. Is claim for a foreign branch, subsidiary or office?
 Yes Y
 No N

2. Type of claim _____ Code

3. a. Amount of loss on this claim _____
(except syndicated loans)

b. Syndicated loan Total amount _____ S1
 Claimant's share _____ S2

Any default(s) declared? Yes Y
 No N

Total amount _____ S3
 Claimant's share _____ S4

4. Iranian entity against which claim is reported.

a. Name _____
 Any variant name _____

b. Address _____

c. Type of entity.
 1. Central Bank of Iran (Bank Markazi Iran) M
 2. Iranian government or agency or instrumentality I
 3. Government controlled entity E

d. State basis for belief that entity is owned or controlled by Government of Iran:

5. a. Date of Loss _____

b. Did loss occur on or after October 1, 1978? Yes Y
 No N

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

6. Method of valuation _____
 (Enter appropriate principle from Instructions, e.g., "market value")

7. Describe circumstances of loss and measures which caused the loss, including description of property or nature of business which is the subject of claim:

8. Is contract governed by Iranian law? Yes Y
 No N

9. Does contract specify Iranian forum? Yes Y
 No N

10. Is documentary evidence of loss available?
 (Do not enclose documents.) Yes Y
 No N

11. a. On the date of loss, did you own this claim or any property on which the claim is based? Yes Y
 No N

b. If not, was owner a U.S. person on such date? Yes Y
 No N

12. Has the claimant filed an attachment or other judicial proceedings? Yes Y
 No N

13. Has claimant obtained a waiver of sovereign immunity? Yes Y
 No N

14. Does claimant hold any property (e.g., bank deposits) against which a remedy such as a set-off can be asserted? Yes Y
 No N

15. Is claim liquidated (e.g., specific sum conceded by Iranian entity)? Yes Y
 No N

PART D--IDENTITY OF PERSON PREPARING REPORT

1. Name _____

2. Address _____

4. Relationship to claimant
- a. Claimant C
 - b. Agent A
 - c. Trustee T
 - d. Banker B
 - e. Insurance Company I
 - f. Other O

PART E--CERTIFICATION

Claimant is a person subject to the jurisdiction of the United States Check

I, _____, certify that I am the

(Type or Print Name)

of the

(State relationship of signatory to the person)

(Name of claimant)

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

 (Date)

 (Signature)

Address _____

(Street)

(City)

(State)

Person to contact regarding this report:

 (Name)

 (Telephone number)

PENALTIES:

Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulations issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

Form TFR-616

CENSUS OF CLAIMS BY UNITED STATES PERSONS AGAINST IRAN

Office of Foreign Assets Control
Unit 616
Department of the Treasury
Washington, D.C. 20220

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

IMPORTANT NOTE: The submission of this report does not constitute the filing with the United States Government of a formal claim for compensation, nor does it guarantee that the claim will be included in any future agreement. However, failure to file complete information in a timely fashion not only would constitute failure to comply with the Regulations, it also would preclude the inclusion of information in U.S. government planning and may therefore be prejudicial to the claimant and other U.S. claimants.

Form TFR-616 is to be used by all persons required to file reports pursuant to section 535.616 of Title 31 of the Code of Federal Regulations. BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY. All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.616 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

PART A—INFORMATION CONCERNING U.S. CLAIMANT

EIN/SSN

Grid for EIN/SSN entry

1. Name (First) (Middle) (Last)

2. Any variant name (trade name, etc.)

3. Mailing address (Street)

(City)

(State or foreign country)

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

4. Type of claimant (Check one of the following):

- Bank B
- Oil company or oilfield services company O
- Defense-related industry D
- Construction company C
- Other business T
- Individual I
- U.S. government agency G
- Other (explain) _____ U

5. a. If claimant is an individual, state nationality: Iran I
U.S.A. U
Other O

b. If "Other," specify nationality _____

6. If claimant is a corporation or other legal entity:

- a. State date and place of incorporation _____

- b. State percentage of the outstanding capital stock of all classes or of other beneficial interest owned, directly or indirectly, by persons who were U.S. nationals on the date of loss: _____

7. Has there been any change in the nationality status of claimant since the date of loss?

- Yes Y
- No N

If "Yes," explain _____

8. If any other person, firm, corporation or other entity which, either at present or since the date of loss, has or has had any interest in the claim, indicate names and present addresses of all such parties:

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

PART B—SUMMARY OF CLAIMS REQUIRED TO BE REPORTED. Report value as of date of loss. See specific instructions. (Use schedule in Part C for reporting claims separately.)

1. Business Claims

	<i>Type</i>	<i>Amount</i>
a. Syndicated loans		
Total amount	_____ S1	
Claimant's share	_____ S2	
Any default(s) declared?	Yes <input type="checkbox"/> Y	
	No <input type="checkbox"/> N	
Total amount	_____ S3	Claimant's Share _____ S4
b. Other bank loans		
Total amount	_____ B1	Amount due or in default _____ B2
c. Loans by nonbank companies		
Total amount	_____ N1	Amount due or in default _____ N2
d. Receivables	_____ R
e. Losses due to Iranian exchange controls	_____ F
f. Oil company contract damages	_____ O
g. Other contract damages	_____ K
h. Other damage claims	_____ D
i. Expropriation losses:		
(1) Loss of equity, concessions, or going concern value	_____ E
(2) Loss of tangible assets	_____ T
j. Other	_____ U
	Subtotal 1.	_____ B1

2. Personal Claims

a. Expropriation losses	_____ X
b. Exchange controls	_____ F
c. Salaries, receivables, insurance, other contractual claims	_____ R
d. Personal injury, property damage and other tort claims	_____ I
e. Other	_____ U
	Subtotal 2.	_____ B 2
	TOTAL (Subtotals 1. plus 2.)	_____ B 3

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

3. Summary of actual or potential compensation

a. Claim(s) filed with U.S. government agency _____ G

b. Insurance or other indemnity or benefit _____ I

Total (line 3. a. & b. only): _____ T

Continue on next page with Part C.

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

PART C—SCHEDULE FOR SEPARATE DESCRIPTION OF CLAIMS

(Attach separate sheets or additional copies of Part C, as needed)

EIN/SSN [] [] [] [] [] [] [] [] [] [] FFC

1. a. Name of claimant _____
(First) (Middle) (Last)

b. Is claim for a foreign branch, subsidiary or office?
Yes Y
No N

2. Type of claim _____ Code

3. a. Amount of loss on this claim _____
(except syndicated loans)

b. Syndicated loan Total amount _____ S1
Claimant's share _____ S2

Any default(s) declared? Yes Y
No N

Total amount _____ S3
Claimant's share _____ S4

4. Iranian entity against which claim is reported.

a. Name _____

Any variant name _____

b. Address _____

c. Type of entity.

1. Central Bank of Iran (Bank Markazi Iran) M

2. Iranian government or agency or instrumentality I

3. Government controlled entity E

d. State basis for belief that entity is owned or controlled by Government of Iran:

5. a. Date of Loss _____

b. Did loss occur on or after October 1, 1978? Yes Y

No N

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

6. Method of valuation _____
 (Enter appropriate principle from Instructions, e.g., "market value")

7. Describe circumstances of loss and measures which caused the loss, including description of property or nature of business which is the subject of claim:

8. Is contract governed by Iranian law? Yes Y
 No N

9. Does contract specify Iranian forum? Yes Y
 No N

10. Is documentary evidence of loss available?
 (Do not enclose documents.) Yes Y
 No N

11. a. On the date of loss, did you own this claim or any property on which the claim is based? Yes Y
 No N

b. If not, was owner a U.S. person on such date? Yes Y
 No N

12. Has the claimant filed an attachment or other judicial proceedings? Yes Y
 No N

13. Has claimant obtained a waiver of sovereign immunity? Yes Y
 No N

14. Does claimant hold any property (e.g., bank deposits) against which a remedy such as a set-off can be asserted? Yes Y
 No N

15. Is claim liquidated (e.g., specific sum conceded by Iranian entity)? Yes Y
 No N

PART D—IDENTITY OF PERSON PREPARING REPORT

- 1. Name _____
- 2. Address _____

- (Street)*
- (City)*
- (State or foreign country)*

- 4. Relationship to claimant
 - a. Claimant C
 - b. Agent A
 - c. Trustee T
 - d. Banker B
 - e. Insurance Company I
 - f. Other O

PART E—CERTIFICATION

Claimant is a person subject to the jurisdiction of the United States Check

I, _____, certify that I am the
(Type or Print Name)

_____ of the
(State relationship of signatory to the person)

_____ *(Name of claimant)*

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

_____ *(Date)*

_____ *(Signature)*

Address _____
(Street)

_____ *(City)*

_____ *(State)*

Person to contact regarding this report:

_____ *(Name)*

_____ *(Telephone number)*

PENALTIES:

Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulations issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”

Form TFR-616

CENSUS OF CLAIMS BY UNITED STATES PERSONS AGAINST IRAN

Office of Foreign Assets Control
Unit 616
Department of the Treasury
Washington, D.C. 20220

DEADLINE: This form is to be completed and returned to the above address by May 15, 1980.

IMPORTANT NOTE: The submission of this report does not constitute the filing with the United States Government of a formal claim for compensation, nor does it guarantee that the claim will be included in any future agreement. However, failure to file complete information in a timely fashion not only would constitute failure to comply with the Regulations, it also would preclude the inclusion of information in U.S. government planning and may therefore be prejudicial to the claimant and other U.S. claimants.

Form TFR-616 is to be used by all persons required to file reports pursuant to section 535.616 of Title 31 of the Code of Federal Regulations. **BEFORE PREPARING THIS REPORT READ THE INSTRUCTIONS CAREFULLY.** All information reported will be regarded as privileged and confidential. For assistance, call (202) 376-0968 or 376-0969.

To the Secretary of the Treasury:

The undersigned, pursuant to section 535.616 of Title 31 of the Code of Federal Regulations, hereby makes the following report:

PART A—INFORMATION CONCERNING U.S. CLAIMANT

EIN/SSN

--	--	--	--	--	--	--	--	--	--

1. Name _____
(First) (Middle) (Last)

2. Any variant name (trade name, etc.) _____

3. Mailing address _____
(Street)

_____ *(City)*

_____ *(State or foreign country)*

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

4. Type of claimant (Check one of the following):

- Bank B
- Oil company or oilfield services company O
- Defense-related industry D
- Construction company C
- Other business T
- Individual I
- U.S. government agency G
- Other (explain) _____ U

5. a. If claimant is an individual, state nationality: Iran I
U.S.A. U
Other O

b. If "Other," specify nationality _____

6. If claimant is a corporation or other legal entity:

- a. State date and place of incorporation _____

- b. State percentage of the outstanding capital stock of all classes or of other beneficial interest owned, directly or indirectly, by persons who were U.S. nationals on the date of loss: _____

7. Has there been any change in the nationality status of claimant since the date of loss?
Yes Y
No N

If "Yes," explain _____

8. If any other person, firm, corporation or other entity which, either at present or since the date of loss, has or has had any interest in the claim, indicate names and present addresses of all such parties:

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

PART B—SUMMARY OF CLAIMS REQUIRED TO BE REPORTED. Report value as of date of loss. See specific instructions. (Use schedule in Part C for reporting claims separately.)

1. Business Claims

	<i>Type</i>	<i>Amount</i>
a. Syndicated loans		
Total amount _____	S1	
Claimant's share _____	S2	
Any default(s) declared? Yes <input type="checkbox"/> Y		
No <input type="checkbox"/> N		
Total amount _____	S3	Claimant's Share _____ S4
b. Other bank loans		
Total amount _____	B1	Amount due or in default _____ B2
c. Loans by nonbank companies		
Total amount _____	N1	Amount due or in default _____ N2
d. Receivables		R
e. Losses due to Iranian exchange controls		F
f. Oil company contract damages		O
g. Other contract damages		K
h. Other damage claims		D
i. Expropriation losses:		
(1) Loss of equity, concessions, or going concern value		E
(2) Loss of tangible assets		T
j. Other		U
		Subtotal 1. _____ B1

2. Personal Claims

a. Expropriation losses	X
b. Exchange controls	F
c. Salaries, receivables, insurance, other contractual claims	R
d. Personal injury, property damage and other tort claims	I
e. Other	U
	Subtotal 2. _____ B 2
	TOTAL (Subtotals 1. plus 2.) _____ B 3

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

3. Summary of actual or potential compensation

- a. Claim(s) filed with U.S. government agency _____ G
- b. Insurance or other indemnity or benefit _____ I
- Total (line 3. a. & b. only): _____ T

Continue on next page with Part C.

PART C—SCHEDULE FOR SEPARATE DESCRIPTION OF CLAIMS

(Attach separate sheets or additional copies of Part C, as needed)

EIN/SSN FFC

1. a. Name of claimant _____
(First) (Middle) (Last)

b. Is claim for a foreign branch, subsidiary or office?
 Yes Y
 No N

2. Type of claim _____ Code

3. a. Amount of loss on this claim _____
(except syndicated loans)

b. Syndicated loan Total amount _____ S1
 Claimant's share _____ S2

Any default(s) declared? Yes Y
 No N

Total amount _____ S3
 Claimant's share _____ S4

4. Iranian entity against which claim is reported.

a. Name _____
 Any variant name _____

b. Address _____

c. Type of entity.
 1. Central Bank of Iran (Bank Markazi Iran) M
 2. Iranian government or agency or instrumentality I
 3. Government controlled entity E

d. State basis for belief that entity is owned or controlled by Government of Iran:

5. a. Date of Loss _____

b. Did loss occur on or after October 1, 1978? Yes Y
 No N

Census of Claims by United States Persons Against Iran.—(Form TFR-616)—Continued

6. Method of valuation _____
 (Enter appropriate principle from Instructions, e.g., "market value")

7. Describe circumstances of loss and measures which caused the loss, including description of property or nature of business which is the subject of claim:

8. Is contract governed by Iranian law? Yes Y
 No N

9. Does contract specify Iranian forum? Yes Y
 No N

10. Is documentary evidence of loss available?
 (Do not enclose documents.) Yes Y
 No N

11. a. On the date of loss, did you own this claim or any property on which the claim is based? Yes Y
 No N

b. If not, was owner a U.S. person on such date? Yes Y
 No N

12. Has the claimant filed an attachment or other judicial proceedings? Yes Y
 No N

13. Has claimant obtained a waiver of sovereign immunity? Yes Y
 No N

14. Does claimant hold any property (e.g., bank deposits) against which a remedy such as a set-off can be asserted? Yes Y
 No N

15. Is claim liquidated (e.g., specific sum conceded by Iranian entity)? Yes Y
 No N

PART D—IDENTITY OF PERSON PREPARING REPORT

1. Name _____

2. Address _____

(Street)

(City)

(State or foreign country)

4. Relationship to claimant

a. Claimant C

b. Agent A

c. Trustee T

d. Banker B

e. Insurance Company I

f. Other O

PART E—CERTIFICATION

Claimant is a person subject to the jurisdiction of the United States Check

I, _____, certify that I am the

(Type or Print Name)

_____ of the

(State relationship of signatory to the person)

(Name of claimant)

that I am authorized to make this certification, and that, to the best of my knowledge and belief, the statements set forth in this report, including any papers attached hereto or filed herewith, are true and accurate, and that all material facts in connection with said report have been set forth herein.

(Date)

(Signature)

Address _____

(Street)

(City)

(State)

Person to contact regarding this report:

(Name)

(Telephone number)

PENALTIES:

Attention is directed to 18 U.S.C. section 1001, which provides:

“Whoever, in any matter within the jurisdiction of any department or agency of the United States, knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than five years, or both.”

Attention is also directed to 50 U.S.C. section 1705 which provides:

“(a) A civil penalty of not to exceed \$10,000 may be imposed on any person who violates any license, order, or regulations issued under this title.

(b) Whoever willfully violates any license, order, or regulation issued under this title shall, upon conviction, be fined not more than \$50,000, or, if a natural person, may be imprisoned for not more than ten years, or both; and any officer, director, or agent of any corporation who knowingly participates in such violation may be punished by a like fine, imprisonment, or both.”