



American Revolution Bicentennial

**FEDERAL RESERVE BANK OF DALLAS**

DALLAS, TEXAS 75222

**Circular No. 76-120  
August 26, 1976**

**REVISION OF FEDERAL RESERVE FORM U-1**

**Notice Postponing Effective Date of Adoption of  
Revised Federal Reserve Form U-1**

**TO ALL BANKS  
AND OTHERS CONCERNED IN THE  
ELEVENTH FEDERAL RESERVE DISTRICT:**

The Board of Governors of the Federal Reserve System has postponed the effective date of the revised U-1 form, "Statement of Purpose of a Stock-Secured Extension of Credit by a Bank," sent to you with our Circular No. 76-97 dated July 13, 1976. Please note that the original effective date of September 1, 1976, has been changed to November 1, 1976, in order for the Board to review possible operational difficulties experienced by some banks in complying with the revised form.

Printed on the reverse of this circular is a copy of the Board's order relating to this matter. Any questions concerning revised form U-1 should be directed to Eugene Coy, Jr., of our Regulations Department, at (214) 651-6319.

Sincerely yours,

T. W. Plant

First Vice President

TITLE 12 - BANKS AND BANKING

CHAPTER II - FEDERAL RESERVE SYSTEM

SUBCHAPTER A - BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

[Reg. U]

Part 221 - Credit by Banks For  
The Purpose of Purchasing or Carrying Margin Stocks

(Docket No. R-0026)

Notice postponing effective date of adoption of revised Federal Reserve Form U-1, "Statement of Purpose of a Stock-Secured Extension of Credit by a Bank."

1. Pursuant to the authority of Section 7 of the Securities Exchange Act of 1934 (15 U.S.C. 78g), the Board of Governors, on June 11, 1976 (41 Federal Register 23667) published notice of adoption of Federal Reserve Form U-1, "Statement of Purpose of a Stock-Secured Extension of Credit by a Bank," to be effective September 1, 1976.

2. Because some banks have indicated possible operational difficulties in complying with certain requirements of the revised Form U-1, the Board hereby postpones the effective date of such revision to November 1, 1976 in order that these questions may be reviewed.

By order of the Board of Governors, August 18, 1976.

(signed) Theodore E. Allison

Theodore E. Allison  
Secretary of the Board

(SEAL)