ABBOTT, Charles C., Chairman


Topics discussed:

- the maintenance of existing federal security regulation;
- the development of new sources for equity capital—especially for small and growing companies;
- types of business available to investment bankers during the war if new capital issues disappear.
BERLE, A. A., Jr.

High Finance: Master or Servant, YALE REVIEW, Vol. XXIII,
BIDDLE, C. P. and BATES, G. E.

BIDDLE, C. P. and BATES, G. E.

BOGEN, Jules

BOGEN, Jules I.

DEWING, A. S.

THE FINANCIAL POLICY OF CORPORATIONS.

New York:

Investment banking: Book V, chaps. 8-10.
GALSTON, A.


Investment Banking.
Galston, A.


Investment Banking
Problems in Investment Banking.
Cambridge, Mass.: 1927. 2 vols. [typescript in folders]
HAVEN, T. Kenneth

HAVEN, T. Kenneth


factual and statistical analysis of how investment banks have fared under regulations 1933-38
HAVEN, T. Kenneth


Reviewed by C. C. Bosland, JPE, L, Oct. 1942, pp. 780-1
HAYES, H. R.

Some public aspects of modern investment banking. An address delivered at the finance group session of the annual meeting of the Chamber of Commerce of the U.S.A., Washington, D.C., 1928.

Washington:
1928. 16 pp.
HOWELL, Paul L.


For a more complete version of this paper, see HARVARD BUSINESS REVIEW, May–June, 1953.
HOWELL, Paul L.


Complete text of this paper (revised to include triennial developments to April 1, 1953) published in HARVARD BUSINESS REVIEW, May–June, 1953.
Illinois, University of

Investment Banking in Chicago.


P. 63.

1902-30
Illinois, University of,

"Investment Banking in Chicago."

Urbana: 1931.
ILLINOIS, University of. BUREAU OF BUSINESS RESEARCH.


ILLINOIS, University of

INVESTMENT BANKERS ASSOCIATION

LEFFINGWELL, R. C.


Private banking firm
LYON, H.

The work of an investment banking house,
THE ANNALS of the American Academy of Political &
Social Science,
March, 1920.
McCLINTOCK, Franklin T.


investment banking
McDougall, J. L.

MEAD, E. S., JEREMIAH, D. B. and WARRINGTON, W.E.

THE BUSINESS CORPORATION, ITS FINANCIAL ORGANIZATION AND OPERATION.

New York:
1941.

Investment banking, chaps. 20-22
Moore, Terris


Banks have decidedly not performed the security origination function any better than have the private investment bankers.
MOORE, T.

NASH, Bradley D.

Investment Banking in England.

Chicago: A.W. Shaw Co., 1924. Pp. x + 114


\[
\text{1st } \frac{1}{3} = \frac{25}{3} \cdot \left( \frac{17}{25} \right) = \frac{17}{6} \\
(\text{8 } \frac{\pi}{2} \times 1862) \\
\text{50, 60, 50, 78} \\
\text{50, 60, 60, 60}
\]
Nash, Bradley D.

**Investment Banking in England.** Chicago:
A.W. Shaw, 1924.

Review: by W. E. Lagerquist, JPE v. 33,
June 1925, p. 364.

1st 1/3 dec. of Eng. bkgs. in 12th cen. to 1924
NASH, B. D.

INVESTMENT BANKING IN ENGLAND. Chicago and New York:
NAVIN, Thomas R.

NORTH, D. C.

Life insurance and investment banking at the time of the Armstrong Investigation of 1905-1906.

JOURNAL OF ECONOMIC HISTORY,

Summer, 1954.

Pp. 20.
NORTH Douglass C.

NORTH, D. C.

Life Insurance and Investment Banking at the Time of the Armstrong Investigation of 1905-1906,
PEASE, Robert H. and CHERRINGTON, Homer V.

PROCHNOW, Herbert V.


pp. 389-486. Investment banking in US
STEFFEN, R.

The investment bankers' case: some observations, 
YALE LAW JOURNAL, 
December, 1954. 
26 pp.

WHITNEY, W. D.: The investment bankers' case—
including a reply to Professor Steffen, ibid., 
26 pp.
STEINER, W. H.

Some Aspects of Banking Theory.


Chap. VI: Investment Banking
Chap. VII: Operation of the Banking System (differences and similarities between commercial and investment financing)
WARBURG, Paul M.

Some problems of the investment banker,

New York:

1919. 9 pp.
WESTON, J. F.


See especially ch. 3: Economic problems raised by the existence of non-competitive practices in the investment banking industry.
WESTON, J. F.


investment banking
institutional policies and practices
Willis, H. Parker 
Federal control of investment banking urged: facts and proposed plans. (In: The Annalist, June 12, 1931, p. 1067-68.)

There is a general business demand for great broadening of Federal power over banking and especially over investment banking.

Banks and banking-Affiliates. Investment trusts.
WILLIS, H. Parker and BOGEN, Jules I.

INVESTMENT BANKING.

Review:


Review:


Covers laws 1933-1936 covering investment banking
WILLIS, H. P. and BOGEN, Jules I.


WOOLFSON, A. P.

Should the government take over investment banking, BANKERS MAGAZINE, September, 1938.

Pp. 6.