

ATKINS, Willard E., EDWARDS, George W., and MOULTON,
Harold G.

THE REGULATION OF THE SECURITY MARKETS. Washington,
D.C.: Brookings Institution, 1946. 126 pp.

Regulations T and U -- Margin Requirements.

Discussion of the basic issues involved in the
regulation of security markets, mainly from SEC
point of view.

BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

ANNUAL REPORTS, 1934 to date

**See for Regulations T and U "Margin
Requirements"**

Board of Governors of the Federal Reserve System

REGULATION T.

**Original regulation published in FEDERAL
RESERVE BULLETIN, Oct., 1934, pp. 675-686.**

**Subsequent amendments, rulings and interpretations
in later BULLETINS.**

Board of Governors of the Federal Reserve System.

Regulation U.

Original regulation published in **FEDERAL RESERVE BULLETIN**, April, 1936, pp. 30-53.

Subsequent amendments, rulings and interpretations are published in later **BULLETINS**.

FEDERAL RESERVE BULLETIN - Articles on "Margin Requiremen

"Review of the Month - Regulations under the Securities Exchange Act," Oct. 1934, pp. 629-34.

"Review of the Month - Increase in Margin Requirements," Feb. 1936, pp. 72-75.

"Review of the Month - Regulation of Security Loans," Apr., 1936, pp. 215-17.

FEDERAL RESERVE BULLETIN

statements of the Board of Governors at time
REGULATIONS T and U were issued

Vol. 20, Oct. 1934, pp. 434, and pp. 629-34.

Vol. 22, Apr., 1936, pp. 215-18.

GOLDENWEISER, E. A.

**Instruments of Federal Reserve Policy, in
BANKING STUDIES. Washington: B/G of the Federal
Reserve System, 1941, pp. 389-414.**

Margin requirements, see pp. 405-6.

PARRY Carl E.

A Short History of Regulations
T and U.

Washington. Board of Governors
of the Federal Reserve
System.

May 23, 1949

Mimeographed, 154 pp.

Under SEC Act (1934)
monetary regulations (Regs T & U)

PARRY, Carl E.

Some Principles Underlying Regulation T. An address delivered before Senior Margin Clerk's Section, Association of Stock Exchange Firms, Washington, D.C., December 15, 1938.

The major portion of this address was reprinted in COMMERCIAL & FINANCIAL CHRONICLE, v. 1947, December 24, 1938, pp. 3849-50.

SECURITIES EXCHANGE ACT OF 1934. 73d Congress, Public Law 291.

Section 2 of this Act contains policy statement "Necessity for regulation as provided in this title ... to protect ... the national credit ...". The Act is reprinted in FEDERAL RESERVE BULLETIN, Vol. 20, June, 1934, pp. 396-410.

SHULTZ, Birl Earl

**THE SECURITIES MARKET AND HOW IT WORKS. New York:
Harper, rev. ed., 1946. 433 pp.**

**This standard textbook contains material on
margin regulation and practices giving the technical
provisions made by the stock exchange firms under
Regulation T.**

Stewart, Andrew (Partner, Haskins & Sells)

Old accounts, restricted accounts,
and adjusted debit balances under
Regulation T of the Federal
Reserve Board and Interpretative
Rulings Thereon to November
16, 1934. Reprint of five articles
in the New York Times Nov. 10,
11, 12, 13 and 14, 1934. 26 pp.

THOMAS, Woodlief

**"Use of Credit in Security Speculation,"
AMERICAN ECONOMIC REVIEW, Vol. 25, March, 1935, pp. 21-30.**

TWENTIETH CENTURY FUND

STOCK MARKET CONTROL: A summary of research findings and recommendations of the Securities Market Survey Staff. New York: Appleton, 1934. 209 pp.

U.S. CONGRESS. SENATE. COMMITTEE ON BANKING & CURRENCY.

STOCK MARKET STUDY. 84 Congress, 1st Session.
Washington: GPO, 1955.

1. Hearings, March 3-23, 1955. 1022 pp.
2. Staff Report, 84 Congress, 1 Session, Sen.
Report 376. 201 pp.

see Chap. 3: "Credit and the Stock Market,"
pp. 41-64.

U.S. CONGRESS. HOUSE. Committee on Interstate and Foreign Commerce.

STOCK MARKET REGULATION. Hearings, 73d Congress, 2d Session on H. R. 7852 ... H.R. 8720, Feb. 14-March 24, 1934. Washington: GPO, 1934. 941 pp.

Contains a wealth of background information on the reasons for passage of the SEC Act of 1934. Lack of continuity and lack of an adequate index makes it difficult to use.