

## OTHER INDEPENDENT AGENCIES

### ADVISORY COUNCIL ON HISTORIC PRESERVATION

#### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), **[\$2,745,000]** *\$3,000,000*. *Provided*, That none of these funds shall be available for compensation of level V of the Executive Schedule or higher positions. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

##### Program and Financing (in millions of dollars)

Identification code 95-2300-0-1-303	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	3	3	3
23.95 New obligations .....	-3	-3	-3
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	3	3	3
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	3	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	3	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	3	3
90.00 Outlays .....	3	3	3

The Council provides independent advice to the President and the Congress relating to the national historic preservation program.

##### Object Classification (in millions of dollars)

Identification code 95-2300-0-1-303	1997 actual	1998 est.	1999 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	2	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	3	3	3

##### Personnel Summary

Identification code 95-2300-0-1-303	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	32	32	34

## APPALACHIAN REGIONAL COMMISSION

#### Federal Funds

#### General and special funds:

##### APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding section 405 of said Act, [and] for necessary expenses for the Federal Co-Chairman and the alternate on the Appalachian Regional Commission, [and], for payment of the Federal share of

the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, **[\$170,000,000]** *\$67,000,000*, to remain available until expended. (*Energy and Water Development Appropriations Act, 1998.*)

##### Program and Financing (in millions of dollars)

Identification code 46-0200-0-1-452	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
Appalachian regional development programs:			
01.01 Appalachian development highway system .....	99	144	.....
01.02 Area development program .....	61	105	57
01.03 Local development district and technical assistance program .....	6	6	6
01.91 Total Appalachian regional development programs .....	166	255	63
Salaries and expenses:			
02.01 Federal Co-chairman and staff .....	1	1	1
02.02 Administrative expenses .....	2	2	3
02.91 Total salaries and expenses .....	3	3	4
10.00 Total obligations .....	169	258	67
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	87	84	.....
22.00 New budget authority (gross) .....	160	170	67
22.10 Resources available from recoveries of prior year obligations .....	5	5	.....
23.90 Total budgetary resources available for obligation .....	252	259	67
23.95 New obligations .....	-169	-258	-67
24.40 Unobligated balance available, end of year:			
Uninvested .....	84	.....	.....
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	160	170	67
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	398	321	409
73.10 New obligations .....	169	258	67
73.20 Total outlays (gross) .....	-240	-165	-183
73.45 Adjustments in unexpired accounts .....	-5	-5	.....
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	321	409	293
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	14	16	6
86.93 Outlays from current balances .....	226	149	177
87.00 Total outlays (gross) .....	240	165	183
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	160	170	67
90.00 Outlays .....	241	165	183

This appropriation establishes a framework for joint Federal and State efforts to create opportunities for self-sustaining economic development and improved quality of life for the people of Appalachia. Program investments are made in the Appalachian Region for wide-ranging assistance including development highways and area development. The States, acting through the Appalachian Regional Commission (ARC), are responsible for recommending local and State projects within their borders for assistance under this program. Special targeting to distressed counties is a part of the State allocation formula.

1. *Appalachian development highway system.*—The Appalachian development highway system (ADHS), including local

**General and special funds—Continued**

APPALACHIAN REGIONAL COMMISSION—Continued

access roads, is designed to improve the accessibility of Appalachia; to reduce highway transportation costs to and within Appalachia; and to provide the highway transportation facilities necessary to accelerate the overall development of Appalachia. Studies have found that the ADHS has been important to economic development in the Region.

The 1999 Budget marks the first year that construction of the ADHS would be funded solely from the Highway Trust Fund under the Administration's National Economic Crossroads Efficiency Act (NEXTEA) legislation. This bill includes \$2.19 billion for the ADHS from FY 1998 to FY 2003. Annual proposed funding levels are \$200 million, \$290 million, \$350 million, \$400 million, \$450 million, and \$500 million, respectively. The ARC would exercise programmatic and administrative control over these funds, as it has with appropriated funds.

The cumulative status of the system of roads, including mileage prefinanced by the States, follows:

Development systems miles (Prefinanced miles included) (cumulative):	1997 actual	1998 est.*	1999 est.*
Miles contracted .....	2,342	2,382	2,412
Miles completed .....	2,244	2,274	2,294
Access Roads (cumulative):			
Miles contracted .....	917	919	919
Miles completed .....	894	896	898
Funds committed (cumulative-in millions of dollars):			
Development highway .....	4,225	4,558	4,844
Access roads .....	228	230	230
Administration and other .....	42	46	50
Totals .....	4,495	4,834	5,124
Prefinanced by States .....	209	200	200
Annual obligations (\$ millions) .....	99	344	290

\* Includes proposed NEXTEA funds.

**2. Area development program.**—Area development funds are provided to each of the Appalachian States by allocation. This funding is used to help the regional economy become more competitive by putting in place the building blocks for self-sustaining economic development, while continuing to provide special assistance to the Region's most distressed and underdeveloped counties. In 1998, the Commission allocated a minimum of 30% of area development funding specifically to these 97 severely distressed counties in addition to the overall State allocations.

The area development program funds projects which advance the goals and objectives of ARC's strategic plan. This strategic plan commits ARC to achieving five broad goals which are undergirded by 13 objectives. These five goals are: (1) Appalachian residents will have the skills and knowledge necessary to compete in the world economy in the 21st century; (2) Appalachian communities will have the physical infrastructure necessary for self-sustaining economic development and improved quality of life; (3) the people of Appalachia will have the vision and capacity to mobilize and work together for sustained economic progress and improvement of their communities; (4) Appalachian residents will have access to financial and technical resources to help build dynamic and self-sustaining local economies; and, (5) Appalachian residents will have access to affordable, quality health care. The Commission has taken aggressive steps to ensure that the area development program will make progress on accomplishing these goals, to better target resources to those communities with the greatest needs, and to increase flexibility in project submission.

Each Governor will submit for Commission approval an annual strategy statement detailing the areas of emphasis within the Region for ARC funds. Projects submitted by the

Governors will include a description of goals and objectives, and projected inputs, outputs, efficiency and outcomes. After project completion, projected outputs and outcomes will be compared to actual results.

The Commission's regional initiatives are a key component to accomplishing the strategic plan and include specifically allocated area development funding. In 1998, these regional initiatives are: (1) internationalization of the economy; (2) telecommunications; (3) leadership and civic development; and (4) creating entrepreneurial economies. The first three initiatives, launched prior to the adoption of the strategic plan are in a finish-up mode and will not receive designated funding after 1998. There is strong evidence that the work encompassed in these initiatives has become imbedded in each state's area development strategy. The fourth initiative, "creating entrepreneurial economies," is the centerpiece policy of the Federal Co-Chairman and received an allocation from the overall area development funding of \$5 million in FY 1998. A similar allocation is expected for 1999.

The budget for 1999 provides \$57 million for area development.

The approximate project workload follows:

Area development projects .....	1997 actual	1998 est.	1999 est.
Area development projects .....	353	425	425

**3. Local development districts and technical assistance programs.**—The multi-county local development districts (LDDs) are the mechanism for ensuring that the local governments in Appalachia plan and work together on a regional basis. They provide competent support staff to member governments to plan, initiate, and implement projects at the grassroots level. Funding for LDDs was increased to \$5 million in 1998 to reflect their increased role in strategic planning and performance measurement efforts. Technical assistance serves to strengthen the state and local governments, LDDs and non-profit organizations in the Region. The 1999 Budget provides \$5 million for the LDDs and \$1 million for technical assistance, with the approximate approved workload as follows:

Planning districts aided .....	1997 actual	1998 est.	1999 est.
Planning districts aided .....	69	69	69
Technical assistance projects .....	8	8	8

**4. Salaries and expenses.**—The Federal Co-Chairman represents the Federal Government on the Commission and leads in the coordination of the Appalachian program with Federal agencies. Since 1989, the Office of the Federal Co-Chairman includes an Inspector General.

The Federal Government contributes 50 percent of the expenses of a professional staff which works with the States and the Federal staff in operating the program. The staff members are not Federal employees but are employees of the jointly-supported Commission. The budget for 1999 provides \$4 million for salaries and expenses.

Object Classification (in millions of dollars)

Identification code 46-0200-0-1-452	1997 actual	1998 est.	1999 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services .....	2	2	3
41.0 Grants, subsidies, and contributions .....	30	19	22
99.0 Subtotal, direct obligations .....	33	22	26
Allocation Account:			
11.1 Personnel compensation: Full-time permanent .....	2	2	1
41.0 Grants, subsidies, and contributions .....	134	234	40
99.0 Subtotal, allocation account .....	136	236	41
99.9 Total obligations .....	169	258	67

Obligations are distributed as follows:

Appalachian Regional Commission .....	33	53	26
Department of Agriculture .....	19	22	17
Department of Commerce .....	5	10	6

Department of Defense .....	0	0	0
Department of Education .....	4	5	5
Department of Energy .....	0	0	0
Department of Health and Human Services .....	1	1	0
Department of Housing and Urban Development .....	6	15	9
Department of Interior .....	0	0	0
Department of Transportation .....	99	144	0
Environmental Protection Agency .....	0	1	1
Tennessee Valley Authority .....	2	7	3

**Personnel Summary**

Identification code 46-0200-0-1-452	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	10	11	11

**DELTA REGION ECONOMIC DEVELOPMENT PROGRAM**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

Identification code 46-0205-2-1-452	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
Delta region economic development program:			
01.01 Area development program .....			20
01.02 Local development district and technical assistance program .....			4
01.91 Total Delta region economic development program .....			24
Salaries and expenses:			
02.01 Salaries and expenses (additional) .....			1
02.02 Administrative expenses (additional) .....			1
02.91 Total salaries and expenses .....			2
10.00 Total obligations .....			26
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			26
23.95 New obligations .....			-26
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			26
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			26
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....			26
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			26
90.00 Outlays .....			3

The Administration proposes to apply the ARC federal-state partnership economic development model to the Lower Mississippi Delta Region. This includes the 219 county region in seven states established by P.L. 100-460 which comprised the Lower Mississippi River Delta Commission. Capitalizing on the successful ARC model and staff expertise, the Delta Region Economic Development Program would establish the Delta Regional Commission (DRC) to assist the economic development of this Region. The ARC Federal Co-Chairman would serve as the Federal Co-Chairman of the DRC. The Governors of the seven member states would serve as Commission members and would elect one of these Governors as States' Co-Chairman.

The economic distress in the Delta Region is widespread and protracted—over half the Delta counties have experienced poverty rates greater than 20 percent for at least the last four decades. This proposal would apply the proven ARC regional economic development model to an adjoining region

of the country that has tremendous wide-ranging needs. Although specific allocations for economic development activities would be decided by the members of the DRC, it is anticipated that the requested funding of \$26 million would be allocated approximately as follows: area development (e.g., distressed counties programs, physical infrastructure, job training, entrepreneurship), \$20 million; assistance to the state-local regional economic development entities (LDDs) who would participate in project development and evaluation, \$3 million; technical assistance, \$1 million; and additional administrative expenses, \$2 million. To capitalize on the rich body of experience and to encourage sharing of economic development strategies, as well as to minimize administrative costs, the DRC and the ARC would share a common staff. Although the requested funds would pay the full additional costs of the requested staff in 1999, after 1999, the staff of the DRC and the ARC would be jointly funded by the states (50 percent) and the federal government (50 percent).

**Object Classification (in millions of dollars)**

Identification code 46-0205-2-1-452	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....			1
25.2 Other services .....			1
41.0 Grants, subsidies, and contributions .....			9
99.0 Subtotal, direct obligations .....			11
41.0 Allocation Account: Grants, subsidies, and contributions .....			15
99.9 Total obligations .....			26

**Personnel Summary**

Identification code 46-0205-2-1-452	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....			5

**Trust Funds**

**MISCELLANEOUS TRUST FUNDS**

**Unavailable Collections (in millions of dollars)**

Identification code 46-9971-0-7-452	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 General fund contributions, Appalachian Regional Commission .....	2	2	3
02.02 Fees for services, Appalachian Regional Commission .....	2	2	3
02.99 Total receipts .....	5	5	5
<b>Appropriation:</b>			
05.01 Miscellaneous trust funds .....	-5	-5	-5
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 46-9971-0-7-452	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	5	5	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	1	1	1
22.00 New budget authority (gross) .....	5	5	5
23.90 Total budgetary resources available for obligation .....	6	6	6
23.95 New obligations .....	-5	-5	-5
24.40 Unobligated balance available, end of year: Uninvested .....	1	1	1
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	5	5	5

MISCELLANEOUS TRUST FUNDS—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 46-9971-0-7-452	1997 actual	1998 est.	1999 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	5	5	5
73.20 Total outlays (gross) .....	-5	-5	-5
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	4	4	4
86.98 Outlays from permanent balances .....	1	1	1
87.00 Total outlays (gross) .....	5	5	5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5	5	5
90.00 Outlays .....	5	5	5

As authorized in the Appalachian Regional Development Act, the 13 Appalachian States share with the Federal Government the administrative expenses of the Appalachian Regional Commission.

Object Classification (in millions of dollars)

Identification code 46-9971-0-7-452	1997 actual	1998 est.	1999 est.
11.8 Personnel compensation: Special personal services payments .....	3	3	3
12.1 Civilian personnel benefits .....	1	1	1
23.2 Rental payments to others .....	1	1	1
99.9 Total obligations .....	5	5	5

**ARCHITECTURAL AND TRANSPORTATION BARRIERS COMPLIANCE BOARD**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For expenses necessary for the Architectural and Transportation Barriers Compliance Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, **[\$3,640,000] \$3,847,000**. *Provided*, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses. (*Department of Transportation and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 95-3200-0-1-751	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	4	4	4
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	4	4	4
23.95 New obligations .....	-4	-4	-4
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	4	4	4
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1		
73.10 New obligations .....	4	4	4
73.20 Total outlays (gross) .....	-5	-4	-4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	4	4	4
86.93 Outlays from current balances .....	1		

87.00 Total outlays (gross) .....	5	4	4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	4	4	4
90.00 Outlays .....	3	4	4

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973 to ensure compliance with the Architectural Barriers Act of 1968. Since that time, the Access Board has been the only independent Federal agency whose primary mission is accessibility for people with disabilities. The Access Board has responsibility under three major pieces of legislation: the Architectural Barriers Act of 1968 (ABA); the Americans with Disabilities Act of 1990 (ADA); and the Telecommunications Act of 1996.

The Access Board's first major responsibility was to enforce the ABA, ensuring accessibility in facilities built, altered, or leased using certain Federal funds. In fiscal year 1998, the Board will continue to process, investigate, and resolve complaints of noncompliance. The Access Board has a proven record of voluntary, amicable resolution of access issues. Under the Americans with Disabilities Act (ADA), the Access Board gained responsibility for two major public roles: to develop minimum accessibility guidelines for places of public accommodation, commercial facilities, State and local government facilities, and transportation vehicles and facilities, all of which are covered under the ADA; and to offer training and technical assistance to individuals and organizations throughout the country on removing architectural, transportation and communication barriers.

In pursuing these responsibilities under the ADA, the Board uses citizens' advisory committees, negotiated rule-making, and other communication channels to encourage the public's full participation in the Federal rulemaking process for developing its ADA Accessibility Guidelines (ADAAG). In addition, the Board is working with the building industry toward the development of a single set of minimum accessibility guidelines, using ADAAG as the basis.

Under the Telecommunications Act, the Access Board is charged with developing accessibility guidelines for telecommunications equipment and customer premises equipment, in conjunction with the Federal Communications Commission. The Telecommunications Act requires that such equipment be "designed, developed, and fabricated to be accessible to and usable by individuals with disabilities, if readily achievable."

Consistent with the Government Performance and Results Act, (GPRA) the Access Board has adopted this mission statement to guide its programs: *The Board is the catalyst for achieving an accessible America*. The statement recognizes that achieving an accessible America requires bringing together public and private sectors. The Board has established long range goals that aim to bring together public and private sectors for achieving an accessible America. The Board's long-range goals are to:

- Take a leadership role in the development of codes and standards for accessibility
- Work in partnership with Federal agencies and others to make the Federal government a model of compliance with accessibility standards
- Be known as the leading source of information about accessibility and disseminate that information to our customers in effective ways

In FY 1999, the Board will implement specific action strategies for each of these goals. In the development of codes and standards, the Board will have identified the codes most relevant to accessibility and will initiate a process to work closely with the codes organizations to harmonize ADAAG and the codes. Working to make the Federal government a model of compliance, the Board will identify three to six other

Federal agencies to identify benchmarks for the best practices in ABA compliance systems. In the distribution of information on accessibility, the Board will build on its existing partnerships in the private sector to develop and distribute technical assistance materials.

Object Classification (in millions of dollars)

Identification code 95-3200-0-1-751	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	1	2	2
25.1 Advisory and assistance services .....	1		
99.0 Subtotal, direct obligations .....	2	2	2
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	4	4	4

Personnel Summary

Identification code 95-3200-0-1-751	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	30	31	34

**ARMS CONTROL AND DISARMAMENT AGENCY**

*Federal Funds*

**General and special funds:**

ARMS CONTROL AND DISARMAMENT ACTIVITIES

For necessary expenses not otherwise provided, for arms control, nonproliferation, and disarmament activities, **[\$41,500,000] \$43,400,000**, of which not to exceed \$50,000 shall be for official reception and representation expenses as authorized by the Act of September 26, 1961, as amended (22 U.S.C. 2551 et seq.). (*Department of State and Related Agencies Appropriations Act, 1998.*)

**[(RESCISSION)]**

**[Of the unexpended balances previously appropriated under this heading, \$700,000 are rescinded.]** (*Department of State and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 94-0100-0-1-153	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Program operation .....	41	42	42
00.02 External research .....	1	1	1
10.00 Total obligations .....	42	43	43
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	1	1	
22.00 New budget authority (gross) .....	42	43	43
23.90 Total budgetary resources available for obligation	43	44	43
23.95 New obligations .....	-42	-43	-43
24.40 Unobligated balance available, end of year:			
Uninvested .....	1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	42	43	43
40.36 Unobligated balance rescinded .....		-1	
42.00 Transferred from other accounts .....		1	
43.00 Appropriation (total) .....	42	43	43
70.00 Total new budget authority (gross) .....	42	43	43
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	20	21	22
73.10 New obligations .....	42	43	43
73.20 Total outlays (gross) .....	-39	-42	-43
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	21	22	22
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	30	32	32

86.93 Outlays from current balances .....	9	10	11
87.00 Total outlays (gross) .....	39	42	43
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	42	43	43
90.00 Outlays .....	39	42	43

The Arms Control and Disarmament Agency (ACDA) advises the President and the Secretary of State on arms control, nonproliferation, and disarmament activities and participates in negotiations with other countries seeking international agreements to control, reduce, or eliminate arms. Among the activities to which ACDA resources will be devoted are: the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations; research on arms control; verification and compliance; arms transfer reviews; and the preparation of reports on arms control matters.

Object Classification (in millions of dollars)

Identification code 94-0100-0-1-153	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	13	14	14
11.3 Other than full-time permanent .....	2	1	1
11.8 Special personal services payments .....	5	5	5
11.9 Total personnel compensation .....	20	20	20
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	3	3	3
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services .....	12	12	14
31.0 Equipment .....	2	1	1
41.0 Grants, subsidies, and contributions .....		2	
99.9 Total obligations .....	42	43	43

Personnel Summary

Identification code 94-0100-0-1-153	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	221	245	245

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION**

*Trust Funds*

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION FUND

Unavailable Collections (in millions of dollars)

Identification code 95-8281-0-7-502	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Interest on investments, Barry Goldwater Scholarship and Excellence in Education Foundation .....	4	4	4
<b>Appropriation:</b>			
05.01 Barry Goldwater Scholarship and Excellence in Education Foundation .....	-4	-4	-4
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 95-8281-0-7-502	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Scholarships .....	3	3	3
10.00 Total obligations (object class 41.0) .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	58	60	61
22.00 New budget authority (gross) .....	4	4	4

**BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION  
FOUNDATION FUND—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 95-8281-0-7-502	1997 actual	1998 est.	1999 est.
23.90 Total budgetary resources available for obligation	62	64	65
23.95 New obligations	-3	-3	-3
24.41 Unobligated balance available, end of year: U.S. Securities: Par value	60	61	62
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	4	4	4
<b>Change in unpaid obligations:</b>			
73.10 New obligations	3	3	3
73.20 Total outlays (gross)	-3	-3	-3
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	3	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	4	4	4
90.00 Outlays	3	3	3

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, science and engineering. The Foundation awarded 282 scholarships in FY 1997 and plans to award approximately 300 scholarships in FYs 1998 and 1999.

**Personnel Summary**

Identification code 95-8281-0-7-502	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	2	2	2

**CENTRAL INTELLIGENCE AGENCY**

**Federal Funds**

**General and special funds:**

**CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY  
SYSTEM FUND**

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System; **[\$196,900,000] \$201,500,000.** (Department of Defense Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 56-3400-0-1-054	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	184	197	202
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	184	197	202
23.95 New obligations	-184	-197	-202
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	184	197	202
<b>Change in unpaid obligations:</b>			
73.10 New obligations	184	197	202
73.20 Total outlays (gross)	-184	-197	-202
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	184	197	202

<b>Net budget authority and outlays:</b>			
89.00 Budget authority	184	197	202
90.00 Outlays	184	197	202

This appropriation provides for payment to the Fund: (a) for interest on the unfunded liability; (b) for the cost of annuity disbursements attributable to military service; (c) for the amount of normal costs not met by employee and employer contributions; and (d) for financing, in 30 equal installments, the unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. The request for 1999 includes the twenty-second installment for the unfunded liability created by the liberalized benefits authorized by Public Law 94-522, and the appropriate annual installments for salary increases authorized in prior years.

**Object Classification (in millions of dollars)**

Identification code 56-3400-0-1-054	1997 actual	1998 est.	1999 est.
12.1 Civilian personnel benefits	77	79	80
13.0 Benefits for former personnel	107	118	122
99.9 Total obligations	184	197	202

**CHEMICAL SAFETY AND HAZARD  
INVESTIGATION BOARD**

**CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD**

**SALARIES AND EXPENSES**

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, including hire of passenger vehicles, and for services authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376 **[\$4,000,000] \$7,000,000.** (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 95-3850-0-1-304	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations		4	7
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)		4	7
23.95 New obligations		-4	-7
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation		4	7
<b>Change in unpaid obligations:</b>			
73.10 New obligations		4	7
73.20 Total outlays (gross)		-4	-7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority		4	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority		4	7
90.00 Outlays		4	7

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in FY 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through investigating chemical accidents; making recommendations for accident prevention; conducting special studies; and advising the President and Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommenda-

tions. It is the Administration's intent to evaluate the Board's performance at the end of FY 1999.

Object Classification (in millions of dollars)			
Identification code 95-3850-0-1-304	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....		2	3
25.2 Other services .....		1	3
99.0 Subtotal, direct obligations .....		3	6
99.5 Below reporting threshold .....		1	1
99.9 Total obligations .....		4	7

Personnel Summary			
Identification code 95-3850-0-1-304	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....		20	30

### CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

#### Trust Funds

#### CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Program and Financing (in millions of dollars)			
Identification code 76-8187-0-7-502	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	8	8	7
23.95 New obligations .....	-1	-1	-1
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	8	7	7
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	1	1	1

Public Law 102-281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund. The trust fund will be used to operate the Foundation's programs.

The Foundation will support programs totaling \$807,500 in FY 1998. The Foundation supports a three-tiered program encompassing *Frontiers of Discovery—Past, Present and Future*. The *Past* program will reward an individual American whose creative thinking has led to a process, product or discovery that has made a significant impact on our society. The *Present* program will reward an individual American who is attempting to improve the world through ingenuity and innovation, and to provide incentive and opportunity for continuing research. The *Future* program supports an innovative secondary school teaching project relating to creative thinking, and a community innovation competition program utilizing youth to develop creative solutions to community problems.

#### Personnel Summary

Identification code 76-8187-0-7-502	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1	1	1

### COMMISSION OF FINE ARTS

#### Federal Funds

#### General and special funds:

#### SALARIES AND EXPENSES

For expenses made necessary by the Act establishing a Commission of Fine Arts (40 U.S.C. 104), **[\$907,000]** \$898,000. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)			
Identification code 95-2600-0-1-451	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	1	1
23.95 New obligations .....	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	1	1
73.20 Total outlays (gross) .....	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	1

The Commission advises the President, Congress, and Department heads on matters of architecture, sculpture, painting, and other fine arts. The primary function is to preserve and enhance the appearance of the National Capital.

#### Personnel Summary

Identification code 95-2600-0-1-451	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	7	7	7

#### NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

For necessary expenses as authorized by Public Law 99-190 (20 U.S.C. 956(a)), as amended, **[\$7,000,000]** \$7,500,000. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)			
Identification code 95-2602-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	6	7	7
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	6	7	7
23.95 New obligations .....	-6	-7	-7
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	6	7	7
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	6	7	7
73.20 Total outlays (gross) .....	-6	-7	-7

**General and special funds—Continued**

**NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 95-2602-0-1-503	1997 actual	1998 est.	1999 est.
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	6	7	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	6	7	7
90.00 Outlays .....	6	7	7

This program provides payments for general operating support to Washington, D.C. arts and other cultural organizations.

**COMMISSION ON CIVIL RIGHTS**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, **[\$8,740,000] \$11,000,000. Provided, That not to exceed \$50,000 may be used to employ consultants: Provided further, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the Chairperson who is permitted 125 billable days. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1998.)**

**Program and Financing (in millions of dollars)**

Identification code 95-1900-0-1-751	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	9	9	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	9	9	11
23.95 New obligations .....	-9	-9	-11
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	9	9	11
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	9	9	11
73.20 Total outlays (gross) .....	-9	-9	-11
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	8	8	10
86.93 Outlays from current balances .....	1		1
87.00 Total outlays (gross) .....	9	9	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9	9	11
90.00 Outlays .....	9	9	11

The Commission engages in studies concerning areas in which there may be denials of civil rights and reports on these matters to the President and the Congress. Hearings by the Commissioners are held to investigate and obtain information about denials of civil rights. Conferences and open meetings are held by staff and State Advisory Committees to gather data and issue reports providing information about civil rights problems. In addition, the Commission appraises

and reports on Federal agencies enforcement of civil rights laws. Complaints alleging discrimination are referred to the proper Federal agencies.

The Commission provides liaison with private groups, public groups, and the media to provide civil rights information to Government officials, organizations, and the public. The Commission issues publications and public service announcements to discourage discrimination and denial of equal protection of the laws. The Commission also provides a library resource to support civil rights research, studies, hearings, and other Commission activities, and makes this information available to the general public.

**Object Classification (in millions of dollars)**

Identification code 95-1900-0-1-751	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5	5	6
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	6	6	7
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
25.2 Other services .....	1	1	2
99.9 Total obligations .....	9	9	11

**Personnel Summary**

Identification code 95-1900-0-1-751	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	86	91	110

**COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Committee for Purchase From People Who Are Blind or Severely Disabled established by the Act of June 23, 1971, Public Law 92-28, **[\$1,940,000] \$2,464,000. (Independent Agencies Appropriations Act, 1998.)**

**Program and Financing (in millions of dollars)**

Identification code 95-2000-0-1-505	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	2	2	2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2	2	2
23.95 New obligations .....	-2	-2	-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	2	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	
73.10 New obligations .....	2	2	2
73.20 Total outlays (gross) .....	-2	-3	-2
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	2	2
86.93 Outlays from current balances .....	1	1	
87.00 Total outlays (gross) .....	2	3	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	2	3	2

The Committee for Purchase From People Who Are Blind or Severely Disabled was established by the Wagner-O'Day Act of 1938, as amended. Its primary objective is to increase the employment opportunities for people who are blind or have other severe disabilities and, whenever possible, to prepare them to engage in competitive employment. In 1999, approximately 36,000 people who are blind or have other severe disabilities are projected to be employed in over 660 producing nonprofit agencies. The Committee's duties include promoting the program; determining which products and services are suitable for Government procurement from qualified nonprofit agencies serving people who are blind or have other severe disabilities; maintaining a procurement list of such products and services; determining the fair market price for products and services on the procurement list; and making rules and regulations necessary to carry out the purposes of the Act. In 1999 the Committee expects to have nearly 5,300 items on its Procurement List and sales of \$900 million.

The Committee staff's responsibilities include promoting and assessing the overall program; supervising the selection and assignment of new products and services; assisting in establishing prices; reviewing and adjusting these prices; verifying the qualifications of nonprofit agencies; and monitoring their performance.

Object Classification (in millions of dollars)

Identification code	1997 actual	1998 est.	1999 est.
95-2000-0-1-505			
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	2	2	2

Personnel Summary

Identification code	1997 actual	1998 est.	1999 est.
95-2000-0-1-505			
1001 Total compensable workyears: Full-time equivalent employment .....	18	19	20

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

General and special funds:

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles; the rental of space (to include multiple year leases) in the District of Columbia and elsewhere; and not to exceed \$25,000 for employment under 5 U.S.C. 3109; [\$58,101,000] \$63,360,000, including not to exceed \$1,000 for official reception and representation expenses: *Provided*, That the Commission is authorized to charge reasonable fees to attendees of Commission sponsored educational events and symposia to cover the Commission's costs of providing those events and symposia, and notwithstanding 31 U.S.C. 3302, said fees shall be credited to this account, to be available without further appropriation. (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code	1997 actual	1998 est.	1999 est.
95-1400-0-1-376			
Obligations by program activity:			
00.01 Market surveillance, analysis, and research .....	10	11	12
00.02 Enforcement .....	21	23	25
00.03 Trading and markets .....	17	16	18
00.04 Proceedings .....	2	3	3
00.05 General counsel .....	5	5	5
10.00 Total obligations .....	55	58	63

Budgetary resources available for obligation:				
22.00	New budget authority (gross) .....	55	58	63
23.95	New obligations .....	-55	-58	-63
New budget authority (gross), detail:				
40.00	Appropriation .....	55	58	63
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	9	10	11
73.10	New obligations .....	55	58	63
73.20	Total outlays (gross) .....	-53	-58	-62
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	10	11	10
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	48	52	56
86.93	Outlays from current balances .....	5	6	6
87.00	Total outlays (gross) .....	53	58	62
Net budget authority and outlays:				
89.00	Budget authority .....	55	58	63
90.00	Outlays .....	53	58	62

The Commodity Futures Trading Commission (CFTC) administers the Commodity Exchange Act of 1936, as amended. The purpose of the CFTC is to further the economic utility of the futures markets by encouraging their efficiency, assuring their integrity, and protecting participants against abusive trade practices, fraud, and deceit. The object of commodity futures trading regulation is to enable the markets to better serve their designated functions of providing a price discovery mechanism and a means of offsetting price risk. By properly serving these functions, the futures markets serve the public interest by contributing toward better planning, more efficient distribution and consumption, and more economical marketing. The commodity futures and options markets represent one of America's most innovative and competitive contributions to the international financial services industry.

The Administration proposes additional resources above the fiscal year 1998 level for the Commission. These increases would enhance the Commission's ability to investigate and detect fraud and abuse and ensure the continued integrity of the commodities markets. In addition, such increases would provide the Commission with the enforcement and surveillance resources necessary to respond to the continued growth and use of complex trading and derivative instruments.

*Market surveillance, analysis and research.*—Responsibilities under this program include daily surveillance of the market activity of large individual traders and fundamental economic market factors to insure orderly markets. Contract terms and conditions are reviewed to insure conformity with current cash marketing conditions and adequate deliverable supplies. This program also systematically investigates the functioning of markets and market users and develops better tools to assist in detecting and preventing price distortions.

	1997 actual	1998 est.	1999 est.
Trader and broker reports analyzed (thousands) .....	963	2,500	3,500
Market surveillance reports prepared .....	2,920	3,100	3,300
Review of futures contract rule changes completed .....	110	103	104
Review of new futures contract designation applications completed .....	24	25	26
Review of options contract rule changes completed .....	5	4	4
Review of new options contract designation applications completed .....	27	27	27

*Enforcement.*—The enforcement program is responsible for detecting, investigating, and litigating violations of the Act or regulations. These violations may include actual and attempted market manipulations, cheating and defrauding customers, and abusive trading practices such as fictitious trading, wash trading, and pre-arranged trading. This program may seek remedies through the administrative process or by injunctive actions in the Federal Courts.

**General and special funds—Continued**

**COMMODITY FUTURES TRADING COMMISSION—Continued**

	1997 actual	1998 est.	1999 est.
Investigations:			
Opened .....	101	100	105
Completed or resulting in enforcement action within one year .....	44	48	50
Cases:			
Opened .....	35	36	39
Completed .....	17	21	23

**Trading and Markets.**—This program is designed to protect customer funds, prevent and detect financial, sales practice and trading abuses, and to assure the financial integrity and fitness of firms holding customer funds. In order to assure compliance with statutory requirements, this program monitors compliance activities of designated contract markets and the National Futures Association, conducts audits and reviews of registrants, and reviews self-regulatory organizations' rules and proposed rule changes. The program also develops regulations pursuant to statutory requirements and coordinates with other domestic and international regulators relative to cross border financial services affecting futures and options products.

	1997 actual	1998 est.	1999 est.
Oversight audits of self-regulatory organizations .....	44	45	45
Review self-regulatory organization rules .....	1,200	1,200	1,200
Review adequacy of self-regulatory organization disciplinary actions .....	600	605	610
Audits of clearing organizations and firms handling customer money .....	46	47	47
Written requests for regulatory exemptive relief granted .....	300	310	310

	1997 actual	1998 est.	1999 est.
Reparations:			
Cases pending (beginning balance) .....	93	90	100
Cases received .....	140	154	160
Cases dismissed, settled, or disposed .....	143	144	150
Cases pending (ending balance) .....	90	100	110

**General Counsel.**—The Office of the General Counsel provides legal services and support to the Commission's program divisions, including engaging in defensive, appellate, and amicus litigation; assisting the Commission in the performance of its adjudicatory functions; drafting regulations; interpreting the Commodity Exchange Act; and providing no-action letters and opinions to the public.

**Object Classification (in millions of dollars)**

	1997 actual	1998 est.	1999 est.
Identification code 95-1400-0-1-376			
Personnel compensation:			
11.1 Full-time permanent .....	31	34	37
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	34	37	40
12.1 Civilian personnel benefits .....	7	8	8
21.0 Travel and transportation of persons .....	1	1	1
23.2 Rental payments to others .....	6	7	8
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	3	2	3
31.0 Equipment .....	2	1	1
99.9 Total obligations .....	55	58	63

**Personnel Summary**

	1997 actual	1998 est.	1999 est.
Identification code 95-1400-0-1-376			
1001 Total compensable workyears: Full-time equivalent employment .....	553	580	600

**CONSUMER PRODUCT SAFETY COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$500 for official reception and representation expenses, **[\$45,000,000]** \$46,500,000. (Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

	1997 actual	1998 est.	1999 est.
Identification code 61-0100-0-1-554			
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Hazard identification and analysis .....	6	7	7
00.02 Hazard assessment and reduction .....	8	8	8
00.03 Compliance and enforcement .....	15	17	17
00.04 Consumer information .....	5	5	5
00.05 Agency management .....	8	8	9
09.01 Reimbursable Program Activity .....	1	1	1
10.00 Total obligations .....	43	46	47
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	43	46	47
23.95 New obligations .....	-43	-46	-47
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	42	45	46
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1	1	1
70.00 Total new budget authority (gross) .....	43	46	47
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	6	6	6
73.10 New obligations .....	43	46	47
73.20 Total outlays (gross) .....	-43	-46	-47
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	6	6	6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	38	40	41
86.93 Outlays from current balances .....	4	5	5
86.97 Outlays from new permanent authority .....	1	1	1
87.00 Total outlays (gross) .....	43	46	47
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1	-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	43	45	46
90.00 Outlays .....	42	45	46

**Product safety and enforcement.**—The Commission addresses a number of product safety areas. These include fire and thermal burn hazards, electrical hazards, acute and chronic chemical hazards, children's and recreational product hazards, power equipment hazards, and household structural products hazards.

**Object Classification (in millions of dollars)**

	1997 actual	1998 est.	1999 est.
Identification code 61-0100-0-1-554			
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	27	28	28
11.3 Other than full-time permanent .....	1	1	1

11.9	Total personnel compensation .....	28	29	29
12.1	Civilian personnel benefits .....	5	5	6
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	3	3	3
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services .....	3	3	4
25.3	Purchases of goods and services from Government accounts .....	1	1	1
31.0	Equipment .....	1		
99.0	Subtotal, direct obligations .....	42	43	45
99.0	Reimbursable obligations .....	1	1	1
99.5	Below reporting threshold .....		2	1
99.9	Total obligations .....	43	46	47

Personnel Summary

Identification code 61-0100-0-1-554	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	462	475	475

**CORPORATION FOR NATIONAL AND COMMUNITY SERVICE**

**Federal Funds**

**General and special funds:**

NATIONAL AND COMMUNITY SERVICE PROGRAMS OPERATING EXPENSES

(INCLUDING TRANSFER OF FUNDS)

[For necessary expenses for the Corporation for National and Community Service (referred to in the matter under this heading as the "Corporation") in carrying out programs, activities, and initiatives under the National and Community Service Act of 1990 (referred to in the matter under this heading as the "Act") (42 U.S.C. 12501 et seq.), \$425,500,000, to remain available until September 30, 1999: *Provided*, That not more than \$27,000,000 shall be available for administrative expenses authorized under section 501(a)(4) of the Act (42 U.S.C. 12671(a)(4)): *Provided further*, That not more than \$2,500 shall be for official reception and representation expenses: *Provided further*, That not more than \$70,000,000, to remain available without fiscal year limitation, shall be transferred to the National Service Trust account for educational awards authorized under subtitle D of title I of the Act (42 U.S.C. 12601 et seq.), of which not to exceed \$5,000,000 shall be available for national service scholarships for high school students performing community service: *Provided further*, That not more than \$227,000,000 of the amount provided under this heading shall be available for grants under the National Service Trust program authorized under subtitle C of title I of the Act (42 U.S.C. 12571 et seq.) (relating to activities including the Americorps program), of which not more than \$40,000,000 may be used to administer, reimburse, or support any national service program authorized under section 121(d)(2) of such Act (42 U.S.C. 12581(d)(2)): *Provided further*, That not more than \$5,500,000 of the funds made available under this heading shall be made available for the Points of Light Foundation for activities authorized under title III of the Act (42 U.S.C. 12661 et seq.): *Provided further*, That no funds shall be available for national service programs run by Federal agencies authorized under section 121(b) of such Act (42 U.S.C. 12571(b)): *Provided further*, That to the maximum extent feasible, funds appropriated under subtitle C of title I of the Act shall be provided in a manner that is consistent with the recommendations of peer review panels in order to ensure that priority is given to programs that demonstrate quality, innovation, replicability, and sustainability: *Provided further*, That not more than \$18,000,000 of the funds made available under this heading shall be available for the Civilian Community Corps authorized under subtitle E of title I of the Act (42 U.S.C. 12611 et seq.): *Provided further*, That not more than \$43,000,000 shall be available for school-based and community-based service-learning programs authorized under subtitle B of title I of the Act (42 U.S.C. 12521 et seq.): *Provided further*, That not more than \$30,000,000 shall be available for quality and innovation activities authorized under subtitle H of title I of the Act (42 U.S.C. 12853 et seq.): *Provided further*, That not more than \$5,000,000 shall be available

for audits and other evaluations authorized under section 179 of the Act (42 U.S.C. 12639): *Provided further*, That to the maximum extent practicable, the Corporation shall increase significantly the level of matching funds and in-kind contributions provided by the private sector, shall expand significantly the number of educational awards provided under subtitle D of title I, and shall reduce the total Federal costs per participant in all programs.]

*For necessary expenses of the Corporation for National and Community Service in carrying out the National and Community Service Act of 1990 (Public Law 103-82), as amended, \$499,816,000, to remain available until September 30, 2000, of which \$93,316,000 is available only for the purposes of America Reads; and not to exceed \$98,000,000, to remain available until expended, shall be transferred to the National Service Trust Fund for educational awards authorized under subtitle D of the title I of the Act, of which not to exceed \$7,500,000 shall be available for national service scholarships for high school students performing community service: Provided, That not to exceed \$2,500 is for official reception and representation expenses. (Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998.)*

Program and Financing (in millions of dollars)

Identification code 95-2720-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 National Service Trust .....	61	123	98
00.02 AmeriCorps grants .....	199	364	257
00.03 Innovation assistance and other activities .....	32	51	32
00.04 Evaluation .....	5	7	6
00.05 National Civilian Community Corps .....	18	18	21
00.06 Learn and Serve America .....	49	59	50
00.07 NCSA program administration .....	25	29	30
00.08 Points of Light Foundation .....	6	6	6
10.00 Total obligations .....	395	657	500
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	227	231	
22.00 New budget authority (gross) .....	400	426	500
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation	626	657	500
23.95 New obligations .....	-395	-657	-500
24.40 Unobligated balance available, end of year:			
Uninvested .....	231		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	400	426	500
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	290	325	608
73.10 New obligations .....	395	657	500
73.20 Total outlays (gross) .....	-361	-374	-456
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	325	608	652
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	162	116	163
86.93 Outlays from current balances .....	199	258	293
87.00 Total outlays (gross) .....	361	374	456
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	400	426	500
90.00 Outlays .....	361	374	456

The Corporation for National and Community Service engages Americans of all ages and backgrounds in community-based service which addresses the nation's educational, human, public safety, and environmental needs to achieve meaningful results. In doing so, the Corporation fosters civic responsibility, strengthens the ties that bind us together as a people, and provides educational opportunity for those who make a substantial commitment to service.

*National Service Trust.*—The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs.

**General and special funds—Continued**

**NATIONAL AND COMMUNITY SERVICE PROGRAMS OPERATING EXPENSES—Continued**

(INCLUDING TRANSFER OF FUNDS)—Continued

**AmeriCorps grants.**—With funds both channelled through States and provided directly to community based organizations, AmeriCorps grants enable communities to address problems they identify by using the skills of individuals serving in National Service positions.

**Innovation, assistance, and other activities.**—This activity provides support to programs receiving assistance under AmeriCorps or Learn and Serve America or to organizations or States which would like to create programs or apply to the Corporation for funding.

**Evaluation.**—This activity supports studies of the impact and effectiveness of Corporation programs.

**National Civilian Community Corps.**—This residential National Service program provides unique service opportunities for members and communities.

**Learn and Serve America.**—Through grants to State educational agencies, colleges and consortia of colleges and nonprofit organizations, and other means, curriculum will be improved and opportunities provided to students to participate in service learning activities.

**NCSA program administration.**—These funds will be provided to State Commissions to develop National Service plans and manage these activities within their States and will be used by the Corporation to administer these activities.

**Points of Light Foundation.**—A grant will be provided to this nongovernment, nonprofit 501(c)(3) entity to enable it to increase opportunities for Americans to participate in voluntary activities.

**Object Classification (in millions of dollars)**

Identification code 95-2720-0-1-506	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	5	7	7
11.3 Other than full-time permanent .....	10	10	10
11.5 Other personnel compensation .....	3	3	2
11.9 Total personnel compensation .....	18	20	19
12.1 Civilian personnel benefits .....	10	4	4
21.0 Travel and transportation of persons .....	3	5	5
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	24	30	29
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	276	472	342
92.0 National Service Trust .....	61	123	98
99.0 Subtotal, direct obligations .....	394	657	500
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	395	657	500

**Personnel Summary**

Identification code 95-2720-0-1-506	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	196	227	227

**DOMESTIC VOLUNTEER SERVICE PROGRAMS, OPERATING EXPENSES**

For expenses necessary for the Corporation for National and Community Service to carry out the provisions of the Domestic Volunteer Service Act of 1973, as amended, **[\$256,604,000] \$278,422,000, to remain available until September 30, 2000, of which \$59,573,000 is available only for the purposes of America Reads. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)**

**Program and Financing (in millions of dollars)**

Identification code 95-0103-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Volunteers in Service to America .....	41	65	73
00.03 National Senior Service Corps .....	145	164	174
00.05 Program support .....	27	28	32
09.01 Reimbursable program .....	7	7	7
10.00 Total obligations .....	220	264	286
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	221	264	286
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	220	264	286
23.95 New obligations .....	-220	-264	-286
<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation .....	214	257	279
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	7	7	7
70.00 Total new budget authority (gross) .....	221	264	286
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	108	94	114
73.10 New obligations .....	220	264	286
73.20 Total outlays (gross) .....	-234	-244	-276
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	94	114	124
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	126	144	157
86.93 Outlays from current balances .....	101	94	113
86.97 Outlays from new permanent authority .....	7	7	7
87.00 Total outlays (gross) .....	234	244	276
<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
<b>Offsetting collections (cash) from:</b>			
88.00 Federal sources .....	-1	-2	-2
88.40 Non-Federal sources .....	-6	-5	-5
88.90 Total, offsetting collections (cash) .....	-7	-7	-7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	214	257	279
90.00 Outlays .....	226	237	269

**Volunteers in Service to America.**—The AmeriCorps\*VISTA program assists communities working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support.

**National Senior Service Corps.**—These programs provide opportunities for people aged 55 and over, including those who are low-income, to volunteer their services to the community in many socially useful activities including helping children learn to read and working with the emotionally disturbed, the mentally retarded, and physically disabled, as well as the isolated and infirm elderly.

**Program support.**—Costs of program direction and administration are financed by this activity.

**Object Classification (in millions of dollars)**

Identification code 95-0103-0-1-506	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	14	15	16
11.3 Other than full-time permanent .....	2	2	3
11.8 Special personal services payments .....	28	31	31
11.9 Total personnel compensation .....	44	48	50
12.1 Civilian personnel benefits .....	5	6	6

21.0	Travel and transportation of persons .....	3	5	5
23.1	Rental payments to GSA .....	4	5	5
23.3	Communications, utilities, and miscellaneous charges .....	1	1	1
25.2	Other services .....	9	12	14
41.0	Grants, subsidies, and contributions .....	146	180	198
99.0	Subtotal, direct obligations .....	212	257	279
99.0	Reimbursable obligations .....	7	7	7
99.5	Below reporting threshold .....	1		
99.9	Total obligations .....	220	264	286

**Personnel Summary**

Identification code 95-0103-0-1-506	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	310	332	332

**OFFICE OF THE INSPECTOR GENERAL**

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, **[\$3,000,000]** \$2,500,000. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act of 1998.)

**Program and Financing (in millions of dollars)**

Identification code 95-2721-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	2	3	2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	2	3	2
23.95 New obligations .....	-2	-3	-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	3	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	1	1	1
73.10 New obligations .....	2	3	2
73.20 Total outlays (gross) .....	-2	-3	-2
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	2	1
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	2	3	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	3	2
90.00 Outlays .....	2	3	2

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

**Object Classification (in millions of dollars)**

Identification code 95-2721-0-1-506	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	1	1	1
25.2 Other services .....	1	1	1
99.0 Subtotal, direct obligations .....	2	2	2
99.5 Below reporting threshold .....		1	
99.9 Total obligations .....	2	3	2

**Personnel Summary**

Identification code 95-2721-0-1-506	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	12	15	15

**Trust Funds**

**GIFTS AND CONTRIBUTIONS**

**Unavailable Collections (in millions of dollars)**

Identification code 95-9972-0-7-506	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.02 Interest on investment .....	10	22	27
02.03 Payment from the general fund .....	61	123	98
02.99 Total receipts .....	71	145	125
Appropriation:			
05.01 Gifts and contributions .....	-71	-145	-125
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 95-9972-0-7-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2) .....	41	58	53
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested .....	10	23	
U.S. Securities:			
21.41 Par value .....	211	228	339
21.42 Unrealized discounts .....	-4	-4	-5
21.99 Total unobligated balance, start of year .....	217	247	334
22.00 New budget authority (gross) .....	71	145	125
23.90 Total budgetary resources available for obligation	288	392	459
23.95 New obligations .....	-41	-58	-53
Unobligated balance available, end of year:			
24.40 Uninvested .....	23		
U.S. Securities:			
24.41 Par value .....	228	339	411
24.42 Unrealized discounts .....	-4	-5	-5
24.99 Total unobligated balance, end of year .....	247	334	406
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	71	145	125
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....		5	5
73.10 New obligations .....	41	58	53
73.20 Total outlays (gross) .....	-36	-58	-53
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	5	5	5
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances .....	36	58	53
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	71	145	125
90.00 Outlays .....	36	58	53

The gifts and contributions account is a consolidation of two trust accounts. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to individuals who successfully complete national service are maintained until such time as the individual uses those awards.

**CORPORATION FOR PUBLIC BROADCASTING**

**Federal Funds**

**General and special funds:**

**CORPORATION FOR PUBLIC BROADCASTING**

For payment to the Corporation for Public Broadcasting, as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal

**General and special funds—Continued**

CORPORATION FOR PUBLIC BROADCASTING—Continued

year [2000, \$300,000,000] 2001, \$340,000,000. Provided, That no funds made available to the Corporation for Public Broadcasting by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: *Provided further*, That none of the funds contained in this paragraph shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 20-0151-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	260	250	250
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	260	250	250
23.95 New obligations	-260	-250	-250
<b>New budget authority (gross), detail:</b>			
65.00 Advance appropriation (definite)	260	250	250
<b>Change in unpaid obligations:</b>			
73.10 New obligations	260	250	250
73.20 Total outlays (gross)	-260	-250	-250
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	260	250	250
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	260	250	250
90.00 Outlays	260	250	250

The Corporation for Public Broadcasting provides grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition and general operations. The Corporation also supports the production and acquisition of radio and television programs for national distribution. In addition, the Corporation assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance. The appropriation for the Corporation is enacted two years in advance. For 2000, an appropriation of \$300 million was enacted in the 1998 appropriations act.

For 2001, the Administration is requesting \$340 million for general programming and system support. In addition, the Corporation should be reauthorized this year, its most recent authorization having expired at the end of fiscal year 1996. Public broadcasting plays a vital role in the educational and cultural development of our Nation. The proposed funding level will allow the Corporation to carry out its role of facilitating the provision of universally available educational, non-commercial public telecommunications services that meet the needs of local communities across the country. The table below illustrates the 1999-2001 funding levels.

Summary of Funding Levels, 1999-2001 (in millions of dollars)

	1999 enacted	2000 enacted	2001 proposed
Corporation for Public Broadcasting, operations	250	300	340

PUBLIC BROADCASTING DIGITAL TRANSITION FUND

Notwithstanding section 396(k) of the Communications Act of 1934 (47 U.S.C. 396(k)), there is hereby established in the Treasury an

account to be known as the Public Broadcasting Digital Transition Fund. Amounts in the fund shall be available for costs associated with the transition to digital broadcasting by public broadcasters, including, but not limited to: purchase of equipment designed to distribute digital telecommunications services; payment of costs associated with dual transmission of digital and analog signals by public broadcasting licensees or permittees during the period of time when such dual transmissions are federally regulated; and assistance to existing public broadcasting licensees or permittees for the purpose of meeting operational, content, and equipment costs arising from the development of digital broadcast capability, to be awarded as determined by the Corporation for Public Broadcasting in accordance with eligibility criteria the Corporation establishes in consultation with public radio and television licensees or permittees, or their designated representatives. For necessary expenses during fiscal year 1999, \$50,000,000, to remain available until expended.

Program and Financing (in millions of dollars)

Identification code 20-0152-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2)			50
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			50
23.95 New obligations			-50
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation			50
<b>Change in unpaid obligations:</b>			
73.10 New obligations			50
73.20 Total outlays (gross)			-5
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested			45
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority			5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			50
90.00 Outlays			5

In April 1997, the Federal Communications Commission issued regulations requiring broadcasters to transition from analog to digital transmissions. Public broadcasters must convert to digital by 2003 or lose their spectrum license. Funds made available in this account to the Corporation for Public Broadcasting (CPB) will facilitate public broadcasters' transition to digital signals. 1999 funds totaling \$50 million are requested as part of a multi-year initiative totaling \$375 million over five years. Funds will support necessary expenses such as the base equipment transition requirements to ensure continued universal access to public broadcasting in digital format.

Summary of Funding Levels, 1999-2003 (in millions of dollars)

	1999 prop.	2000 est.	2001 est.	2002 est.	2003 est.
Corporation for Public Broadcasting Digital Transition Fund	50	65	90	85	85

**COURT OF VETERANS APPEALS**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses for the operation of the United States Court of Veterans Appeals as authorized by 38 U.S.C. sections 7251-7298, [\$9,380,000] \$10,195,000, of which [851,000] \$865,000, shall be available for the purpose of providing financial assistance as described, and in accordance with the process and reporting procedures set forth, under this heading in Public Law 102-229. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 95-0300-0-1-705	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	8	9	10
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	9	9	10
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation	9	9	10
23.95 New obligations .....	-8	-9	-10
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	9	9	10
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	8	9	10
73.20 Total outlays (gross) .....	-8	-9	-10
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	8	9	10
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	9	9	10
90.00 Outlays .....	8	9	10

The Veterans Judicial Review Act, 38 U.S.C. §§ 7251-7292 (1988) established the United States Court of Veterans Appeals under Article I of the United States Constitution. The Court is empowered to review decisions of the Board of Veterans' Appeals and may affirm, modify, revise, or remand a decision of the Board of Veterans' Appeals as it deems appropriate. The type of review performed by the Court is similar to that which is performed in Article III courts under the Administrative Procedure Act, title 5 U.S.C. §§ 551 et seq. In actions before it, the Court has the authority to decide all relevant questions of law, to interpret constitutional, statutory, and regulatory provisions, and to determine the meaning or applicability of the terms of an action by the Secretary of the Department of Veterans Affairs. The Court, being created by an act of Congress, may issue all writs necessary or appropriate in aid of its jurisdiction, 28 U.S.C. § 1651.

The Court is empowered to: compel actions of the Secretary that are found to have been unlawfully withheld or unreasonably delayed; and set aside decisions, findings, conclusions, rules, and regulations issued or adopted by the Secretary, the Board of Veterans' Appeals, or the Chairman of the Board that are found to be arbitrary or capricious. The Court may also set aside decisions which are abuse of discretion or otherwise not in accordance with the law, contrary to constitutional right, in excess of statutory jurisdiction or authority, or without observance of the procedures required by law. In cases involving benefits under the laws administered by the Department, the Court may hold unlawful or set aside findings of material facts if the findings are clearly erroneous.

The Court's principal office location is Washington, D.C.; however, it is a national court, empowered to sit anywhere in the United States.

**Practice Registration Fees.**—This fund is established under 38 U.S.C. § 7285. The fund, which receives no appropriations, will be used by the U.S. Court of Veterans Appeals to employ independent counsel to pursue disciplinary matters involving practitioners and to defray costs for the implementation of the standards of practice before the Court.

## Object Classification (in millions of dollars)

Identification code 95-0300-0-1-705	1997 actual	1998 est.	1999 est.
11.3 Personnel compensation: Other than full-time permanent .....	4	4	5

12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	2	2
41.0 Grants, subsidies, and contributions .....	1	1	1
99.0 Subtotal, direct obligations .....	7	8	9
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	8	9	10

## Personnel Summary

Identification code 95-0300-0-1-705	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	81	79	80

## Trust Funds

## COURT OF VETERANS APPEALS RETIREMENT FUND

## Unavailable Collections (in millions of dollars)

Identification code 95-8290-0-7-705	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	3	3	4
<b>Receipts:</b>			
02.03 Employing agency contributions .....		1	1
04.00 Total: Balances and collections .....	3	4	5
07.99 Total balance, end of year .....	3	4	5

This fund, established under 38 U.S.C. § 7298 will be used to pay judges' retired pay and annuities, refunds, and allowances to surviving spouses and dependent children. Participating judges pay one percent of their salaries to cover creditable service for retirement annuity purposes for which payment is required and 3.5 percent of their salaries for survivor annuity purposes for which payment is required. Additional funds as are needed to cover the unfunded liability may be transferred from the annual appropriation of the U.S. Court of Veterans Appeals.

## DEFENSE NUCLEAR FACILITIES SAFETY BOARD

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, [\$17,000,000] \$17,500,000, to remain available until expended. (*Energy and Water Development Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 95-3900-0-1-053	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	17	18	19
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	2	2	1
22.00 New budget authority (gross) .....	16	17	18
23.90 Total budgetary resources available for obligation	18	19	19
23.95 New obligations .....	-17	-18	-19
24.40 Unobligated balance available, end of year:			
Uninvested .....	2	1	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	16	17	18
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	6	7	8

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 95-3900-0-1-053	1997 actual	1998 est.	1999 est.
73.10 New obligations .....	17	18	19
73.20 Total outlays (gross) .....	-16	-17	-18
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	7	8	9
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	8	10	11
86.93 Outlays from current balances .....	8	7	7
87.00 Total outlays (gross) .....	16	17	18
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	16	17	18
90.00 Outlays .....	16	17	18

The Defense Nuclear Facilities Safety Board, authorized by Public Law 100-456, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of defense nuclear facilities of the Department of Energy (DOE) (as defined in Public Law 100-456). In addition, the National Defense Authorization Act for 1992 and 1993 (Public Law 102-190) expanded the Board's jurisdiction to include facilities and activities involved with the assembly, disassembly, and testing of nuclear weapons, and to approve any DOE plans to resume plutonium operations at the Rocky Flats Plant, Golden, Colorado. The Board is also responsible for investigating any event or practice at a defense nuclear facility which has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to ensure that both public and employee health and safety are adequately protected.

**Object Classification (in millions of dollars)**

Identification code 95-3900-0-1-053	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	9	9	10
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
25.1 Advisory and assistance services .....	1	2	2
25.2 Other services .....	1	1	1
99.0 Subtotal, direct obligations .....	15	16	17
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	17	18	19

**Personnel Summary**

Identification code 95-3900-0-1-053	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	105	105	109

**DISTRICT OF COLUMBIA**

**DISTRICT OF COLUMBIA COURTS**

**Federal Funds**

**General and special funds:**

*FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS*

Notwithstanding any other provision of law, \$142,000,000 for payment to the Joint Committee on Judicial Administration in the District of Columbia; of which not to exceed \$121,000,000 shall be for District of Columbia Courts operation, and not to exceed \$21,000,000, to remain available until September 30, 2001, shall be for capital improvements for District of Columbia courthouse facilities: Provided,

That said sums shall be paid quarterly by the Treasury of the United States based on quarterly apportionments approved by the Office of Management and Budget, with payroll and financial services to be provided on a contractual basis with the General Services Administration, said services to include the preparation and submission of monthly financial reports to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform and Oversight of the House of Representatives.

**Program and Financing (in millions of dollars)**

Identification code 20-1712-0-1-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....			142
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			142
23.95 New obligations .....			-142
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			142
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			142
73.20 Total outlays (gross) .....			-142
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			142
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			142
90.00 Outlays .....			142

Under the National Capital Revitalization and Self-Government Improvement Act of 1997 the Federal Government is required to finance the District of Columbia Courts beginning in 1998. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court and the Court System. Beginning in 1999, the Federal Government will also provide funds for capital improvements.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding District Courts operations. The President's recommended level of \$142 million includes: \$121 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia and the District of Columbia Court System operations; and, \$21 million for capital improvements for District courthouse facilities. Under a separate transmittal to Congress, the District Courts are requesting \$148 million, \$133 million for operations and \$15 million for capital improvements.

**[FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA CRIMINAL JUSTICE SYSTEM]**

[Notwithstanding any other provision of law, \$108,000,000 for payment to the Joint Committee on Judicial Administration in the District of Columbia for operation of the District of Columbia Courts, including pension costs: Provided, That said sums shall be paid quarterly by the Treasury of the United States based on quarterly apportionments approved by the Office of Management and Budget, with payroll and financial services to be provided on a contractual basis with the General Services Administration, said services to include the preparation and submission of monthly financial reports to the President and to the Committees on Appropriations of the Senate and House of Representatives, the Committee on Governmental Affairs of the Senate, and the Committee on Government Reform and Oversight of the House of Representatives; of which not to exceed \$750,000 shall be available for establishment and operations of the District of Columbia Truth in Sentencing Commission as authorized by section 11211 of the National Capital Revitalization and Self-Government Improvement Act of 1997, Public Law 105-33.

Notwithstanding any other provision of law, for an additional amount, \$43,000,000, for payment to the Offender Supervision Trustee to be available only for obligation by the Offender Supervision Trustee; of which \$26,855,000 shall be available for Parole, Adult Probation and Offender Supervision; of which \$9,000,000 shall be available to the Public Defender Service; of which \$6,345,000 shall be available to the Pretrial Services Agency; and of which not to exceed \$800,000 shall be transferred to the United States Parole Commission to implement section 11231 of the National Capital Revitalization and Self-Government Improvement Act of 1997, Public Law 105-33.】 (District of Columbia Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 20-1708-0-1-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 District of Columbia courts .....		108	
00.02 Offender supervision trustee .....		43	
10.00 Total obligations (object class 41.0) .....		151	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		151	
23.95 New obligations .....		-151	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....		151	
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....		151	
73.20 Total outlays (gross) .....		-151	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....		151	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		151	
90.00 Outlays .....		151	

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

For payment to the District of Columbia Judicial Retirement and Survivors Annuity Fund, \$6,000,000, to finance judges' retirement pay, annuities and the administration of the Fund, as authorized by section 11251 of the National Capital Revitalization and Self-Government Improvement Act of 1997 (Public Law 105-33).

Program and Financing (in millions of dollars)

Identification code 20-1713-0-1-752	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 13.0) .....			6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			6
23.95 New obligations .....			-6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			6
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			6
73.20 Total outlays (gross) .....			-6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			6
90.00 Outlays .....			6

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

(Legislative proposal, not subject to PAYGO)

Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority .....			6
Outlays .....			6
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			-6
Outlays .....			-6
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....			6
Outlays .....			6
<b>Total:</b>			
Budget Authority .....			6
Outlays .....			6

The General Fund payment to the District of Columbia Judicial Retirement and Survivors Annuity Fund is for judges' retirement pay, annuities and expenses associated with the administration of the Fund beginning in 1999.

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-1713-2-1-752	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 13.0) .....			-6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-6
23.95 New obligations .....			6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			-6
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			-6
73.20 Total outlays (gross) .....			6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			-6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-6
90.00 Outlays .....			-6

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 20-1713-4-1-752	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 13.0) .....			6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			6
23.95 New obligations .....			-6
<b>New budget authority (gross), detail:</b>			
60.05 Appropriation (indefinite) .....			6
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			6
73.20 Total outlays (gross) .....			-6

**General and special funds—Continued**

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL  
RETIREMENT AND SURVIVORS ANNUITY FUND—Continued

Program and Financing (in millions of dollars)—Continued

Identification code 20-1713-4-1-752	1997 actual	1998 est.	1999 est.
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			6
90.00 Outlays .....			6

This legislative proposal will change the existing payments to the District of Columbia Judicial Retirement and Survivors Annuity Fund from discretionary to mandatory.

**Trust Funds**

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS  
ANNUITY FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8212-0-7-602	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Federal payments .....			6
02.04 Receipts .....			4
02.99 Total receipts .....			10
<b>Appropriation:</b>			
05.01 Appropriation .....			-6
07.99 Total balance, end of year .....			4

Program and Financing (in millions of dollars)

Identification code 20-8212-0-7-602	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....			6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			6
23.95 New obligations .....			-6
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....			6
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			6
73.20 Total outlays (gross) .....			-5
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....			1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			5
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			6
90.00 Outlays .....			5

The National Capital Revitalization and Self-Government Improvement Act of 1997 requires the Federal Government to assume responsibility for financing the District of Columbia Judges Retirement Fund. The District of Columbia Judicial Retirement and Survivors Annuity Fund has been established in the Treasury to finance judges' retirement pay, annuities, and expenses associated with the administration of the Fund.

Object Classification (in millions of dollars)

Identification code 20-8212-0-7-602	1997 actual	1998 est.	1999 est.
13.0 Direct obligations: Benefits for former personnel .....			5

99.5	Below reporting threshold .....			1
99.9	Total obligations .....			6

DISTRICT OF COLUMBIA CORRECTIONS  
**Federal Funds**

**General and special funds:**

[PAYMENT TO THE DISTRICT OF COLUMBIA CORRECTIONS TRUSTEE  
FOR CORRECTIONAL FACILITIES, CONSTRUCTION, AND REPAIR]

[For payment to the District of Columbia Corrections Trustee for Correctional Facilities, \$302,000,000, to remain available until expended, of which not less than \$294,900,000 is available for transfer to the Federal Prison System, as authorized by section 11202 of the National Capital Revitalization and Self-Government Improvement Act of 1997, Public Law 105-33.] (*District of Columbia Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 20-1705-0-1-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....		302	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		302	
23.95 New obligations .....		-302	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....		302	
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....		302	
73.20 Total outlays (gross) .....		-302	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....		302	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....		302	
90.00 Outlays .....		302	

Construction funds were provided in 1998 to the Corrections Trustee to reimburse the Department of Justice's Federal Prison System for new construction to expand Federal prison capacity to house District of Columbia felons who will be transferred to the Federal Government, as required by the National Capital Revitalization and Self-Government Improvement Act of 1997. In addition, up to \$7.1 million of the 1998 appropriation is available for necessary repairs to the Lorton, Virginia, prison facilities until the facilities are closed. Perimeter wall repair and high mast lighting projects have already been approved from these funds for the Maximum Security Facility at Lorton, Virginia. Funding for further new prison construction for 1999 and beyond is requested directly by the Federal Prison System.

PAYMENT TO THE DISTRICT OF COLUMBIA CORRECTIONS TRUSTEE  
OPERATIONS

For payment to the District of Columbia Corrections Trustee, [\$169,000,000] \$184,800,000 for the administration and operation of correctional facilities and for the administrative operating costs of the Office of the Corrections Trustee, as authorized by section 11202 of the National Capital Revitalization and Self-Government Improvement Act of 1997, Public Law 105-33. (*District of Columbia Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 20-1704-0-1-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....		169	185

Budgetary resources available for obligation:			
22.00	New budget authority (gross) .....	169	185
23.95	New obligations .....	-169	-185
New budget authority (gross), detail:			
40.00	Appropriation .....	169	185
Change in unpaid obligations:			
73.10	New obligations .....	169	185
73.20	Total outlays (gross) .....	-169	-185
Outlays (gross), detail:			
86.90	Outlays from new current authority .....	169	185
Net budget authority and outlays:			
89.00	Budget authority .....	169	185
90.00	Outlays .....	169	185

The National Capital Revitalization and Self-Government Improvement Act of 1997 requires that the adult felon population of the District of Columbia be transferred to the Federal Prison System over the next several years. To assist in this transition, the Act established a Corrections Trustee to provide financial oversight of, and assistance to, the District of Columbia Department of Corrections during this period. The Corrections Trustee also provides funding to the D.C. Department of Corrections associated with the prisoner population that will eventually be transferred to the Federal Prison System. Of the current D.C. adult felon population (7,200 inmates), 2,000 inmates will be transferred to private facilities contracted for by the Federal Prison System by December 31, 1999. The remaining inmates will be transferred to the Federal Prison System when the Lorton, Virginia, Correctional Complex is closed, or by December 31, 2003, whichever is earlier. It is estimated that at least 800 District inmates will already have been transferred to the Federal Prison System by the end of 1998.

In 1999, the Corrections Trustee will continue to work with the D.C. Department of Corrections on the closing of Lorton initiative now in progress. Of the seven prison facilities located at the Lorton site, three will have been closed by the end of 1999. The Corrections Trustee will also work with the D.C. Department of Corrections to restructure employee pay scales to stabilize the workforce by discouraging employee separations. The Trustee will also work with the Department to establish a system of internal controls and audits to improve the quality and accountability of operations.

DISTRICT OF COLUMBIA GENERAL AND SPECIAL FUNDS

**Federal Funds**

**General and special funds:**

**[FEDERAL CONTRIBUTION TO THE OPERATIONS OF THE NATION'S CAPITAL] FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT**

[For a Federal contribution to the District of Columbia toward the costs of the operation of the government of the District of Columbia, \$190,000,000, which shall be deposited into an escrow account held by the District of Columbia Financial Responsibility and Management Assistance Authority, which shall allocate the funds to the Mayor at such intervals and in accordance with such terms and conditions as it considers appropriate to implement the financial plan for the year: *Provided*, That these funds may be used by the District of Columbia for the costs of advances to the District government as authorized by section 11402 of the National Capital Revitalization and Self-Government Improvement Act of 1997, Public Law 105-33: *Provided further*, That not less than \$30,000,000 shall be used by the District of Columbia to repay the accumulated general fund deficit].

To capitalize the District of Columbia National Capital Revitalization Corporation, subject to authorizing legislation to be enacted by the District Council, \$50,000,000 to remain available until expended for economic development planning, project development, capital in-

vestments, loans, grants, administrative expenses and other purposes included in the District Council's authorizing legislation: *Provided*, That no funds are available unless the Secretary of the Treasury, in consultation with the Director of the Office of Management and Budget, determines that the Corporation advances the purposes of the National Capital Revitalization and Self-Government Improvement Act of 1997; *Provided further*, That the Secretary, after apportionment pursuant to 31 U.S.C. 1512, may provide for the disbursement of the funds in stages.

For the Washington Metropolitan Area Transit Authority, \$25,000,000 for transportation improvements related to the Washington Convention Center project.

For payment to the District of Columbia, \$25,000,000, which shall be deposited into an escrow account of the District of Columbia Financial Responsibility and Management Assistance Authority, and shall be disbursed from such escrow account by the Authority only for management reforms to improve the District of Columbia's economic development infrastructure pursuant to sections 11101-11106 of the District of Columbia Management Reform Act of 1997 (Public Law 105-33). (District of Columbia Appropriations Act, 1998.)

Program and Financing (in millions of dollars)			
Identification code 20-1707-0-1-806	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0) .....		190	100
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....		190	100
23.95 New obligations .....		-190	-100
New budget authority (gross), detail:			
40.00 Appropriation .....		190	100
Change in unpaid obligations:			
73.10 New obligations .....		190	100
73.20 Total outlays (gross) .....		-190	-100
Outlays (gross), detail:			
86.90 Outlays from new current authority .....		190	100
Net budget authority and outlays:			
89.00 Budget authority .....		190	100
90.00 Outlays .....		190	100

The 1999 budget includes \$100 million to support District of Columbia economic development initiatives, including \$50 million to capitalize the District of Columbia National Capital Revitalization Corporation, \$25 million for transportation improvements related to the Washington Convention Center project, and \$25 million to fund management reforms to help improve the city's economic development infrastructure. The District Council is working together with the District Treasurer and the Department of Treasury to enact legislation authorizing the National Capital Revitalization Corporation.

**[FEDERAL PAYMENT FOR MANAGEMENT REFORM]**

[For payment to the District of Columbia, as authorized by section 11103(c) of the National Capital Revitalization and Self-Government Improvement Act of 1997, Public Law 105-33, \$8,000,000, to remain available until September 30, 1999, which shall be deposited into an escrow account of the District of Columbia Financial Responsibility and Management Assistance Authority and shall be disbursed from such escrow account pursuant to the instructions of the Authority only for a program of management reform pursuant to sections 11101-11106 of the District of Columbia Management Reform Act of 1997, Public Law 105-33.] (District of Columbia Appropriations Act, 1998.)

Program and Financing (in millions of dollars)			
Identification code 20-1703-0-1-806	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0) .....		8	

**General and special funds—Continued**

**[FEDERAL PAYMENT FOR MANAGEMENT REFORM]—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 20-1703-0-1-806	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)		8	
23.95 New obligations		-8	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation		8	
<b>Change in unpaid obligations:</b>			
73.10 New obligations		8	
73.20 Total outlays (gross)		-8	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority		8	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority		8	
90.00 Outlays		8	

The District of Columbia Management Reform Act of 1997 (Title XI of the Balanced Budget Act of 1997) requires the Financial Responsibility and Management Assistance Authority to work with the District government to develop and implement management reform plans for nine District agencies and four government-wide functions. Congress provided a one-time appropriation of \$8 million in 1998 to cover costs associated with hiring consultants to develop the reform plans.

**FEDERAL PAYMENT FOR MEDICARE COORDINATED CARE DEMONSTRATION PROJECT**

**Program and Financing (in millions of dollars)**

Identification code 20-1709-0-1-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)		3	
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)		3	
23.95 New obligations		-3	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation		3	
<b>Change in unpaid obligations:</b>			
73.10 New obligations		3	
73.20 Total outlays (gross)		-3	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority		3	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority		3	
90.00 Outlays		3	

The 1998 District of Columbia Appropriations Act (P.L. 105-100) provided \$3 million to fund a Medicare Coordinated Care Demonstration Project in the District, as authorized under the Balanced Budget Act of 1997.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA**

**Program and Financing (in millions of dollars)**

Identification code 20-1700-0-1-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Payment to the District of Columbia general fund	660		
00.03 Retirement funds contribution	52		

00.04 Inaugural payment	6		
00.05 Contribution for repair of drinking water system	1		
10.00 Total obligations (object class 41.0)	719		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	719		
23.95 New obligations	-719		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	719		
<b>Change in unpaid obligations:</b>			
73.10 New obligations	719		
73.20 Total outlays (gross)	-719		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	719		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	719		
90.00 Outlays	719		

The National Capital Revitalization and Self-Government Improvement Act of 1997 eliminated the annual Federal payment to the District of Columbia. The annual payment was used to partially offset the costs associated with the Federal government assuming financial and management responsibility for certain District government functions, including the courts and key elements of the criminal justice system and the unfunded pension liability under the Revitalization Act. The former annual payment compensated the District for the net costs imposed by the presence of the Federal government in Washington, D.C. A \$719 million Federal payment was provided in 1997, of which \$660 was provided for the payment to the general fund of the District of Columbia.

**FEDERAL PAYMENT FOR WATER AND SEWER SERVICES**

**Program and Financing (in millions of dollars)**

Identification code 20-0155-0-1-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program	22	22	22
10.00 Total obligations (object class 23.3)	22	22	22
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	22	22	22
23.95 New obligations	-22	-22	-22
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	22	22	22
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	8	10	10
73.10 New obligations	22	22	22
73.20 Total outlays (gross)	-22	-22	-22
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	10	10	10
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	22	22	22
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-22	-22	-22
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-2		

Federal agencies make payments to this account for the water and sewer services provided by the District.

FEDERAL SUPPLEMENTAL DISTRICT OF COLUMBIA PENSION FUND

Unavailable Collections (in millions of dollars)

Identification code 20-1714-0-1-601	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year			356
03.00 Offsetting collections			21
04.00 Total: Balances and collections			377
Appropriation:			
05.01 Federal supplemental District of Columbia Pension Fund		356	356
07.99 Total balance, end of year		356	733

Program and Financing (in millions of dollars)

Identification code 20-1714-0-1-601	1997 actual	1998 est.	1999 est.
New budget authority (gross), detail:			
60.05 Appropriation (indefinite)		356	356
60.45 Portion precluded from obligation		-356	-356
63.00 Appropriation (total)			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)			21
68.45 Portion not available for obligation (limitation on obligations)			-21
68.90 Spending authority from offsetting collections (total)			
Offsets:			
Against gross budget authority and outlays:			
88.20 Offsetting collections (cash) from: Interest on U.S. securities			-21
Net budget authority and outlays:			
89.00 Budget authority			-21
90.00 Outlays			-21

The National Capital Revitalization and Self-Government Improvement Act of 1997 establishes the Federal Supplemental District of Columbia Pension Fund to pay retirement benefits for District of Columbia law enforcement officers, firefighters and teachers after the District of Columbia Federal Pension Liability Trust Fund has been depleted. This fund consists of amounts deposited into the fund, any amount appropriated to the fund, and any income earned on the investment of the assets of the fund. At the end of each fiscal year, beginning in FY 1998, the Secretary will pay into this fund from the General Fund of the Treasury an annual amount to amortize the unfunded liability over 30 years and the covered administrative expenses for the year. Conservative estimates were used to calculate earned interest amounts.

**Trust Funds**

DISTRICT OF COLUMBIA FEDERAL PENSION LIABILITY TRUST FUND

Unavailable Collections (in millions of dollars)

Identification code 20-8230-0-7-601	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year			
Receipts:			
02.01 Receipts		211	244
02.02 Receipts		171	159
02.99 Total receipts		382	403
Appropriation:			
05.01 Federal pension liability trust fund		-382	-403
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 20-8230-0-7-601	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations (object class 13.0)		382	403
Budgetary resources available for obligation:			
22.00 New budget authority (gross)		382	403
23.95 New obligations		-382	-403
New budget authority (gross), detail:			
60.27 Appropriation (trust fund, indefinite)		382	403
Change in unpaid obligations:			
73.10 New obligations		382	403
73.20 Total outlays (gross)		-382	-403
Outlays (gross), detail:			
86.97 Outlays from new permanent authority		382	403
Net budget authority and outlays:			
89.00 Budget authority		382	403
90.00 Outlays		382	403

Subtitle A of the National Capital Revitalization and Self-Government Improvement Act of 1997 requires the Federal Government to make benefit payments associated with the pension plans for law enforcement officers, firefighters, and teachers of the District of Columbia. This District of Columbia Federal Pension Liability Trust Fund is established and will consist of accumulated pension assets transferred from the District Retirement Fund to fund benefit payments and any necessary expenses to administer the Fund. The Secretary of the Treasury is required to select a Trustee to administer the Trust Fund. Assets will not be transferred from the District Retirement Fund until a Trustee has been selected and directed to carry out its duties and responsibilities.

DISTRICT OF COLUMBIA FINANCING

**Federal Funds**

LOANS TO THE DISTRICT OF COLUMBIA FOR CAPITAL PROJECTS

Status of Direct Loans (in millions of dollars)

Identification code 20-0137-0-1-806	1997 actual	1998 est.	1999 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	63	51	39
1251 Repayments: Repayments and prepayments	-12	-12	-12
1290 Outstanding, end of year	51	39	27

The District has borrowed funds from the U.S. Treasury to finance capital projects. While the authority to borrow for capital projects was terminated in 1983, the District had outstanding debt issued under this authority. The schedule above details the status of this debt as of September 30, 1997.

REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA PROGRAM ACCOUNT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 20-0144-0-1-806	1997 actual	1998 est.	1999 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Direct loan levels	223		

This program account is for recording the cash flows to the District Government resulting from temporary advances provided from the U.S. Treasury. For 1997, the U.S. Treasury provided \$223 million in temporary advances to the District

**General and special funds—Continued**

DISTRICT OF COLUMBIA FINANCING—Continued

REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA PROGRAM  
ACCOUNT—Continued

of Columbia to meet the District Government's short-term cash flow needs.

REPAYABLE ADVANCES TO THE DISTRICT OF COLUMBIA DIRECT LOAN  
FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 20-4561-0-3-806	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Repayable advances to the District of Columbia .....	223		
00.02 Interest to Treasury .....	17	8	
10.00 Total obligations .....	240	8	
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross) .....	240	8	
23.95 New obligations .....	-240	-8	
<b>New financing authority (gross), detail:</b>			
67.10 Authority to borrow .....	223		
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	396	231	
68.47 Portion applied to debt reduction .....	-379	-223	
68.90 Spending authority from offsetting collections (total) .....	17	8	
70.00 Total new financing authority (gross) .....	240	8	
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	240	8	
73.20 Total financing disbursements (gross) .....	-240	-8	
87.00 Total financing disbursements (gross) .....	240	8	
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-396	-231	
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....	-156	-223	
90.00 Financing disbursements .....	-156	-223	

Status of Direct Loans (in millions of dollars)

Identification code 20-4561-0-3-806	1997 actual	1998 est.	1999 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....	223		
1150 Total direct loan obligations .....	223		
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	379	223	
1231 Disbursements: Direct loan disbursements .....	223		
1251 Repayments: Repayments and prepayments .....	-379	-223	
1290 Outstanding, end of year .....	223		

Temporary advances are made by the U.S. Treasury to the District of Columbia to meet short-term cash requirements, resulting from variations in the rate of disbursements and tax collections during the year (Sec. 47-3401, D.C. Code, as amended). Advances to the District for 1995 through 1997 are required to be repaid with the Federal payment for the following fiscal year. Advances made thereafter are to be repaid using general fund revenues from the District of Columbia. The schedule above details the status of these advances as of September 30, 1997.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Governmental receipts:			
20-086300 District of Columbia court fees .....		7	7
General Fund Governmental receipts .....		7	7
Offsetting receipts from the public:			
20-295000 Repayment of loans and advances to the District of Columbia .....	12	12	12
General Fund Offsetting receipts from the public .....	12	12	12

**GENERAL PROVISIONS**

SECTION 101. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 102. Except as otherwise provided in this Act, all vouchers covering expenditures of appropriations contained in this Act shall be audited before payment by the designated certifying official and the vouchers as approved shall be paid by checks issued by the designated disbursing official.

SEC. 103. Whenever in this Act an amount is specified within an appropriation for particular purposes or objects of expenditure, such amount, unless otherwise specified, shall be considered as the maximum amount that may be expended for said purpose or object rather than an amount set apart exclusively therefor.

SEC. 104. Appropriations in this Act shall be available, when authorized by the Mayor, for allowances for privately owned automobiles and motorcycles used for the performance of official duties at rates established by the Mayor: *Provided*, That such rates shall not exceed the maximum prevailing rates for such vehicles as prescribed in the Federal Property Management Regulations 101-7 (Federal Travel Regulations).

SEC. 105. Appropriations in this Act shall be available for expenses of travel and for the payment of dues of organizations concerned with the work of the District of Columbia government, when authorized by the Mayor: *Provided*, That the Council of the District of Columbia and the District of Columbia Courts may expend such funds without authorization by the Mayor.

SEC. 106. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of judgments that have been entered against the District of Columbia government: *Provided*, That nothing contained in this section shall be construed as modifying or affecting the provisions of section 11(c)(3) of title XII of the District of Columbia Income and Franchise Tax Act of 1947, approved March 31, 1956 (70 Stat. 78; Public Law 84-460; D.C. Code, sec. 47-1812.11(c)(3)).

SEC. 107. Appropriations in this Act shall be available for the payment of public assistance without reference to the requirement of section 544 of the District of Columbia Public Assistance Act of 1982, effective April 6, 1982 (D.C. Law 4-101; D.C. Code, sec. 3-205.44), and for the non-Federal share of funds necessary to qualify for Federal assistance under the [Juvenile Delinquency Prevention and Control Act of 1968, approved July 31, 1968 (82 Stat. 462; Public Law 90-445; 42 U.S.C. 3801 et seq.)]. *Juvenile Justice and Delinquency Prevention Act of 1974 (42 U.S.C. 5781 et seq.); the Victims of Crime Act of 1984 (42 U.S.C. 1061); and the provisions of the Violent Crime Control and Law Enforcement Act of 1994, authorizing the Violent Offender Incarceration and Truth-in-Sentencing Grant programs (42 U.S.C. 13702).*

SEC. 108. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 109. No funds appropriated in this Act for the District of Columbia government for the operation of educational institutions, the compensation of personnel, or for other educational purposes may be used to permit, encourage, facilitate, or further partisan political

activities. Nothing herein is intended to prohibit the availability of school buildings for the use of any community or partisan political group during non-school hours.

SEC. 110. None of the funds appropriated in this Act shall be made available to pay the salary of any employee of the District of Columbia government whose name, title, grade, salary, past work experience, and salary history are not available for inspection by the House and Senate Committees on Appropriations, the Subcommittee on the District of Columbia of the House Committee on Government Reform and Oversight, the Subcommittee on Oversight of Government Management, Restructuring and the District of Columbia of the Senate Committee on Governmental Affairs, and the Council of the District of Columbia, or their duly authorized representative.

SEC. 111. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making payments authorized by the District of Columbia Revenue Recovery Act of 1977, effective September 23, 1977 (D.C. Law 2-20; D.C. Code, sec. 47-421 et seq.).

SEC. 112. No part of this appropriation shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 113. At the start of the fiscal year, the Mayor shall develop an annual plan, by quarter and by project, for capital outlay borrowings: *Provided*, That within a reasonable time after the close of each quarter, the Mayor shall report to the Council of the District of Columbia and the Congress the actual borrowings and spending progress compared with projections.

SEC. 114. The Mayor shall not borrow any funds for capital projects unless the Mayor has obtained prior approval from the Council of the District of Columbia, by resolution, identifying the projects and amounts to be financed with such borrowings.

SEC. 115. The Mayor shall not expend any moneys borrowed for capital projects for the operating expenses of the District of Columbia government.

SEC. 116. None of the funds appropriated by this Act may be obligated or expended by reprogramming except pursuant to advance [approval] notice of the reprogramming [granted] according to the procedure set forth in the Joint Explanatory Statement of the Committee of Conference (House Report No. 96-443), which accompanied the District of Columbia Appropriation Act, 1980, approved October 30, 1979 (93 Stat. 713; Public Law 96-93), as modified in House Report No. 98-265, and in accordance with the Reprogramming Policy Act of 1980, effective September 16, 1980 (D.C. Law 3-100; D.C. Code, sec. 47-361 et seq.): *Provided*, That for the fiscal year ending September 30, [1998] 1999 the above shall apply except as modified by Public Law 104-8.

SEC. 117. None of the Federal funds provided in this Act shall be obligated or expended to provide a personal cook, chauffeur, or other personal servants to any officer or employee of the District of Columbia.

SEC. 118. None of the Federal funds provided in this Act shall be obligated or expended to procure passenger automobiles as defined in the Automobile Fuel Efficiency Act of 1980, approved October 10, 1980 (94 Stat. 1824; Public Law 96-425; 15 U.S.C. 2001(2)), with an Environmental Protection Agency estimated miles per gallon average of less than 22 miles per gallon: *Provided*, That this section shall not apply to security, emergency rescue, or armored vehicles.

SEC. 119. (a) Notwithstanding section 422(7) of the District of Columbia Home Rule Act of 1973, approved December 24, 1973 (87 Stat. 790; Public Law 93-198; D.C. Code, sec. 1-242(7)), the City Administrator shall be paid, during any fiscal year, a salary at a rate established by the Mayor, not to exceed the rate established for level IV of the Executive Schedule under 5 U.S.C. 5315.

(b) For purposes of applying any provision of law limiting the availability of funds for payment of salary or pay in any fiscal year, the highest rate of pay established by the Mayor under subsection (a) of this section for any position for any period during the last quarter of calendar year [1997] 1998 shall be deemed to be the rate of pay payable for that position for September 30, [1997] 1998.

(c) Notwithstanding section 4(a) of the District of Columbia Redevelopment Act of 1945, approved August 2, 1946 (60 Stat. 793; Public Law 79-592; D.C. Code, sec. 5-803(a)), the Board of Directors of the District of Columbia Redevelopment Land Agency shall be paid, during any fiscal year, per diem compensation at a rate established by the Mayor.

SEC. 120. Notwithstanding any other provisions of law, the provisions of the District of Columbia Government Comprehensive Merit

Personnel Act of 1978, effective March 3, 1979 (D.C. Law 2-139; D.C. Code, sec. 1-601.1 et seq.), enacted pursuant to section 422(3) of the District of Columbia Home Rule Act of 1973, approved December 24, 1973 (87 Stat. 790; Public Law 93-198; D.C. Code, sec. 1-242(3)), shall apply with respect to the compensation of District of Columbia employees: *Provided*, That for pay purposes, employees of the District of Columbia government shall not be subject to the provisions of title 5, United States Code.

SEC. 121. The Director of the Department of Administrative Services may pay rentals and repair, alter, and improve rented premises, without regard to the provisions of section 322 of the Economy Act of 1932 (Public Law 72-212; 40 U.S.C. 278a), based upon a determination by the Director that, by reason of circumstances set forth in such determination, the payment of these rents and the execution of this work, without reference to the limitations of section 322, is advantageous to the District in terms of economy, efficiency, and the District's best interest.

SEC. 122. No later than 30 days after the end of the first quarter of the fiscal year ending September 30, [1998] 1999, the Mayor of the District of Columbia shall submit to the Council of the District of Columbia the new fiscal year [1998] 1999 revenue estimates as of the end of the first quarter of fiscal year [1998] 1999. These estimates shall be used in the budget request for the fiscal year ending September 30, [1999] 2000. The officially revised estimates at midyear shall be used for the midyear report.

SEC. 123. No sole source contract with the District of Columbia government or any agency thereof may be renewed or extended without opening that contract to the competitive bidding process as set forth in section 303 of the District of Columbia Procurement Practices Act of 1985, effective February 21, 1986 (D.C. Law 6-85; D.C. Code, sec. 1-1183.3), except that the District of Columbia government or any agency thereof may renew or extend sole source contracts for which competition is not feasible or practical: *Provided*, That the determination as to whether to invoke the competitive bidding process has been made in accordance with duly promulgated rules and procedures and said determination has been reviewed and approved by the District of Columbia Financial Responsibility and Management Assistance Authority.

SEC. 124. For purposes of the Balanced Budget and Emergency Deficit Control Act of 1985 [approved December 12, 1985] (99 Stat. 1037; Public Law 99-177), as amended, the term "program, project, and activity" shall be synonymous with and refer specifically to each account appropriating Federal funds in this Act, and any sequestration order shall be applied to each of the accounts rather than to the aggregate total of those accounts: *Provided*, That sequestration orders shall not be applied to any account that is specifically exempted from sequestration by the Balanced Budget and Emergency Deficit Control Act [of 1985, approved December 12, 1985 (99 Stat. 1037; Public Law 99-177), as amended].

SEC. 125. In the event a sequestration order is issued pursuant to the Balanced Budget and Emergency Deficit Control Act [of 1985, approved December 12, 1985 (99 Stat. 1037; Public Law 99-177), as amended], after the amounts appropriated to the District of Columbia for the fiscal year involved have been paid to the District of Columbia, the Mayor of the District of Columbia shall pay to the Secretary of the Treasury, within 15 days after receipt of a request therefor from the Secretary of the Treasury, such amounts as are sequestered by the order: *Provided*, That the sequestration percentage specified in the order shall be applied proportionately to each of the Federal appropriation accounts in this Act that are not specifically exempted from sequestration by the Balanced Budget and Emergency Deficit Control Act [of 1985, approved December 12, 1985 (99 Stat. 1037; Public Law 99-177), as amended].

SEC. 126. (a) An entity of the District of Columbia government may accept and use a gift or donation during fiscal year [1998] 1999 if—

(1) the Mayor approves the acceptance and use of the gift or donation: *Provided*, That the Council of the District of Columbia may accept and use gifts without prior approval by the Mayor; and

(2) the entity uses the gift or donation to carry out its authorized functions or duties.

(b) Each entity of the District of Columbia government shall keep accurate and detailed records of the acceptance and use of any gift or donation under subsection (a) of this section, and shall make such records available for audit and public inspection.

(c) For the purposes of this section, the term "entity of the District of Columbia government" includes an independent agency of the District of Columbia.

(d) This section shall not apply to the District of Columbia Board of Education, which may, pursuant to the laws and regulations of the District of Columbia, accept and use gifts to the public schools without prior approval by the Mayor.

SEC. 127. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979, effective March 10, 1981 (D.C. Law 3-171; D.C. Code, sec. 1-113(d)).

SEC. 128. The University of the District of Columbia shall submit to the Congress, the Mayor, the District of Columbia Financial Responsibility and Management Assistance Authority, and the Council of the District of Columbia no later than fifteen (15) calendar days after the end of each month a report that sets forth—

(1) current month expenditures and obligations, year-to-date expenditures and obligations, and total fiscal year expenditure projections versus budget broken out on the basis of control center, responsibility center, and object class, and for all funds, non-appropriated funds, and capital financing;

(2) a list of each account for which spending is frozen and the amount of funds frozen, broken out by control center, responsibility center, detailed object, and for all funding sources;

(3) a list of all active contracts in excess of \$10,000 annually, which contains the name of each contractor; the budget to which the contract is charged, broken out on the basis of control center and responsibility center, and contract identifying codes used by the University of the District of Columbia; payments made in the last month and year-to-date, the total amount of the contract and total payments made for the contract and any modifications, extensions, renewals; and specific modifications made to each contract in the last month;

(4) all reprogramming requests and reports that have been made by the University of the District of Columbia within the last month in compliance with applicable law; and

(5) changes made in the last month to the organizational structure of the University of the District of Columbia, displaying previous and current control centers and responsibility centers, the names of the organizational entities that have been changed, the name of the staff member supervising each entity affected, and the reasons for the structural change.

SEC. 129. Funds authorized or previously appropriated to the government of the District of Columbia by this or any other Act to procure the necessary hardware and installation of new software, conversion, testing, and training to improve or replace its financial management system are also available for the acquisition of accounting and financial management services and the leasing of necessary hardware, software or any other related goods or services, as determined by the District of Columbia Financial Responsibility and Management Assistance Authority.

【SEC. 130. Section 456 of the District of Columbia Home Rule Act of 1973, approved December 24, 1973 (87 Stat. 790; Public Law 93-198; D.C. Code, secs. 47-231 et seq.) is amended—

(1) in subsection (a)(1), by—

(A) striking "1995" and inserting "1998";

(B) striking "Mayor" and inserting "District of Columbia Financial Responsibility and Management Assistance Authority"; and

(C) striking "Committee on the District of Columbia" and inserting "Committee on Government Reform and Oversight";

(2) in subsection (b)(1), by—

(A) striking "1997" and inserting "1999";

(B) striking "Mayor" and inserting "Authority"; and

(C) striking "Committee on the District of Columbia" and inserting "Committee on Government Reform and Oversight";

(3) in subsection (b)(3), by striking "Committee on the District of Columbia" and inserting "Committee on Government Reform and Oversight";

(4) in subsection (c)(1), by—

(A) striking "1995" and inserting "1997";

(B) striking "Mayor" and inserting "Chief Financial Officer"; and

(C) striking "Committee on the District of Columbia" and inserting "Committee on Government Reform and Oversight";

(5) in subsection (c)(2)(A), by—

(A) striking "1997" and inserting "1999";

(B) striking "Mayor" and inserting "Chief Financial Officer"; and

(C) striking "Committee on the District of Columbia" and inserting "Committee on Government Reform and Oversight";

(6) in subsection (c)(2)(B), by striking "Committee on the District of Columbia" and inserting "Committee on Government Reform and Oversight"; and

(7) in subsection (d)(1), by—

(A) striking "1994" and inserting "1997";

(B) striking "Mayor" and inserting "Chief Financial Officer"; and

(C) striking "Committee on the District of Columbia" and inserting "Committee on Government Reform and Oversight".】

SEC. 【131】 130. For purposes of the appointment of the head of a department of the government of the District of Columbia under section 11105(a) of the National Capital Revitalization and Self-Improvement Act of 1997, Public Law 105-33, the following rules shall apply:

(1) After the Mayor notifies the Council under paragraph (1)(A)(ii) of such section of the nomination of an individual for appointment, the Council shall meet to determine whether to confirm or reject the nomination.

(2) If the Council fails to confirm or reject the nomination during the 7-day period described in paragraph (1)(A)(iii) of such section, the Council shall be deemed to have confirmed the nomination.

(3) For purposes of paragraph (1)(B) of such section, if the Council does not confirm a nomination (or is not deemed to have confirmed a nomination) during the 30-day period described in such paragraph, the Mayor shall be deemed to have failed to nominate an individual during such period to fill the vacancy in the position of the head of the department.

【SEC. 132. None of the funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.】<sup>1</sup>

SEC. 【133】 131. None of the funds made available in this Act may be used to implement or enforce the Health Care Benefits Expansion Act of 1992 (D.C. Law 9-114; D.C. Code, sec. 36-1401 et seq.) or to otherwise implement or enforce any system of registration of unmarried, cohabiting couples (whether homosexual, heterosexual, or lesbian), including but not limited to registration for the purpose of extending employment, health, or governmental benefits to such couples on the same basis as such benefits are extended to legally married couples.

SEC. 【134】 132. The Emergency Transitional Education Board of Trustees shall submit to the Congress, the Mayor, the District of Columbia Financial Responsibility and Management Assistance Authority, and the Council of the District of Columbia no later than fifteen (15) calendar days after the end of each month a report that sets forth—

(1) current month expenditures and obligations, year-to-date expenditures and obligations, and total fiscal year expenditure projections versus budget broken out on the basis of control center, responsibility center, agency reporting code, and object class, and for all funds, including capital financing;

(2) a list of each account for which spending is frozen and the amount of funds frozen, broken out by control center, responsibility center, detailed object, and agency reporting code, and for all funding sources;

(3) a list of all active contracts in excess of \$10,000 annually, which contains the name of each contractor; the budget to which the contract is charged, broken out on the basis of control center, responsibility center, and agency reporting code; and contract identifying codes used by the D.C. Public Schools; payments made in the last month and year-to-date, the total amount of the contract and total payments made for the contract and any modifications, extensions, renewals; and specific modifications made to each contract in the last month;

(4) all reprogramming requests and reports that are required to be, and have been, submitted to the Board of Education; and

(5) changes made in the last month to the organizational structure of the D.C. Public Schools, displaying previous and current control centers and responsibility centers, the names of the organizational entities that have been changed, the name of the staff member supervising each entity affected, and the reasons for the structural change.

SEC. 【135】 133. (a) In General.—The Emergency Transitional Education Board of Trustees of the District of Columbia and the Univer-

sity of the District of Columbia shall annually compile an accurate and verifiable report on the positions and employees in the public school system and the university, respectively. The annual report shall set forth—

(1) the number of validated schedule A positions in the District of Columbia public schools and the University of the District of Columbia for fiscal year [1997] 1998, fiscal year [1998] 1999, and thereafter on a full-time equivalent basis, including a compilation of all positions by control center, responsibility center, funding source, position type, position title, pay plan, grade, and annual salary; and

(2) a compilation of all employees in the District of Columbia public schools and the University of the District of Columbia as of the preceding December 31, verified as to its accuracy in accordance with the functions that each employee actually performs, by control center, responsibility center, agency reporting code, program (including funding source), activity, location for accounting purposes, job title, grade and classification, annual salary, and position control number.

(b) Submission.—The annual report required by subsection (a) of this section shall be submitted to the Congress, the Mayor, the District of Columbia Council, the Consensus Commission, and the Authority, not later than February 15 of each year.

SEC. [136] 134. (a) No later than October 1, [1997] 1998, or within 15 calendar days after the date of the enactment of the District of Columbia Appropriations Act, [1998] 1999, whichever occurs later, and each succeeding year, the Emergency Transitional Education Board of Trustees and the University of the District of Columbia shall submit to the appropriate congressional committees, the Mayor, the District of Columbia Council, the Consensus Commission, and the District of Columbia Financial Responsibility and Management Assistance Authority, a revised appropriated funds operating budget for the public school system and the University of the District of Columbia for such fiscal year that is in the total amount of the approved appropriation and that realigns budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) The revised budget required by subsection (a) of this section shall be submitted in the format of the budget that the Emergency Transitional Education Board of Trustees and the University of the District of Columbia submit to the Mayor of the District of Columbia for inclusion in the Mayor's budget submission to the Council of the District of Columbia pursuant to section 442 of the District of Columbia Home Rule Act, Public Law 93-198, as amended (D.C. Code, sec. 47-301).

SEC. [137] 135. The Emergency Transitional Education Board of Trustees, the Board of Trustees of the University of the District of Columbia, the Board of Library Trustees, and the Board of Governors of the University of the District of Columbia School of Law shall vote on and approve their respective annual or revised budgets before submission to the Mayor of the District of Columbia for inclusion in the Mayor's budget submission to the Council of the District of Columbia in accordance with section 442 of the District of Columbia Home Rule Act, Public Law 93-198, as amended (D.C. Code, sec. 47-301), or before submitting their respective budgets directly to the Council.

SEC. [138] 136. (a) Ceiling on Total Operating Expenses.—

(1) In general.—Notwithstanding any other provision of law, the total amount appropriated in this Act for operating expenses for the District of Columbia for fiscal year [1998] 1999 under the caption "Division of Expenses" shall not exceed [the lesser of—

[(A)] the sum of the total revenues of the District of Columbia for such fiscal year[; or]

[(B)] \$4,811,906,000 (of which \$118,269,000 shall be from intra-District funds), which amount may be increased by the following:

(i) proceeds of one-time transactions, which are expended for emergency or unanticipated operating or capital needs approved by the District of Columbia Financial Responsibility and Management Assistance Authority; and

(ii) additional expenditures which the Chief Financial Officer of the District of Columbia certifies will produce additional revenues during such fiscal year at least equal to 200 percent of such additional expenditures, and which are approved by the District of Columbia Financial Responsibility and Management Assistance Authority.

(C) to the extent that the sum of the total revenues of the District of Columbia for such fiscal year exceed the total amount

provided for in subparagraph (B) above, the Chief Financial Officer of the District of Columbia, with the approval of the District of Columbia Financial Responsibility and Management Assistance Authority, may credit up to ten percent (10%) of the amount of such difference, not to exceed \$3,300,000, to a reserve fund which may be expended for operating purposes in future fiscal years, in accordance with the financial plans and budgets for such years.]

(2) Enforcement.—The Chief Financial Officer of the District of Columbia and the District of Columbia Financial Responsibility and Management Assistance Authority (hereafter in this section referred to as "Authority") shall take such steps as are necessary to assure that the District of Columbia meets the requirements of this section, including the apportioning by the Chief Financial Officer of the appropriations and funds made available to the District during fiscal year [1998] 1999, except that the Chief Financial Officer may not reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

(b) Acceptance and Use of Grants Not Included in Ceiling.—

(1) In general.—Notwithstanding subsection (a), the Mayor in consultation with the Chief Financial Officer of the District of Columbia during a control year, as defined in section 305(4) of Public Law 104-8, as amended, 109 Stat. 152, may accept, obligate, and expend Federal, private, and other grants received by the District government that are not reflected in the amounts appropriated in this Act.

(2) Requirement of chief financial officer report and financial responsibility and management assistance authority approval.—No such Federal, private, or other grant may be accepted, obligated, or expended pursuant to paragraph (1) until—

(A) the Chief Financial Officer of the District submits to the Authority a report setting forth detailed information regarding such grant; and

(B) the Authority has reviewed and approved the acceptance, obligation, and expenditure of such grant in accordance with review and approval procedures consistent with the provisions of the District of Columbia Financial Responsibility and Management Assistance Act of 1995.

(3) Prohibition on spending in anticipation of approval or receipt.—No amount may be obligated or expended from the general fund or other funds of the District government in anticipation of the approval or receipt of a grant under paragraph (2)(B) or in anticipation of the approval or receipt of a Federal, private, or other grant not subject to such paragraph.

(4) Monthly reports.—The Chief Financial Officer of the District of Columbia shall prepare a monthly report setting forth detailed information regarding all Federal, private, and other grants subject to this subsection. Each such report shall be submitted to the Council of the District of Columbia, and to the Committees on Appropriations of the House of Representatives and the Senate, not later than 15 days after the end of the month covered by the report.

(c) Report on Expenditures by Financial Responsibility and Management Assistance Authority.—Not later than 20 calendar days after the end of each fiscal quarter starting October 1, 1997, the District of Columbia Financial Responsibility and Management Assistance Authority shall submit a report to the Committees on Appropriations of the House of Representatives and the Senate, the Committee on Government Reform and Oversight of the House, and the Committee on Governmental Affairs of the Senate providing an itemized accounting of all non-appropriated funds obligated or expended by the Authority for the quarter. The report shall include information on the date, amount, purpose, and vendor name, and a description of the services or goods provided with respect to the expenditures of such funds.

SEC. [139] 137. The District of Columbia Emergency Transitional Education Board of Trustees shall, subject to the contract approval provisions of Public Law 104-8—

(A) develop a comprehensive plan to identify and accomplish energy conservation measures to achieve maximum cost-effective energy and water savings;

(B) enter into innovative financing and contractual mechanisms including, but not limited to, utility demand-side management programs and energy savings performance contracts and water conservation performance contracts: *Provided*, That the terms of such contracts do not exceed 25 years; and

(C) permit and encourage each department or agency and other instrumentality of the District of Columbia to participate in programs conducted by any gas, electric or water utility of the management of electricity or gas demand or for energy or water conservation.

**[SEC. 140.** If a department or agency of the government of the District of Columbia is under the administration of a court-appointed receiver or other court-appointed official during fiscal year 1998 or any succeeding fiscal year, the receiver or official shall prepare and submit to the Mayor, for inclusion in the annual budget of the District of Columbia for the year, annual estimates of the expenditures and appropriations necessary for the maintenance and operation of the department or agency. All such estimates shall be forwarded by the Mayor to the Council, for its action pursuant to sections 446 and 603(c) of the District of Columbia Home Rule Act, without revision but subject to the Mayor's recommendations. Notwithstanding any provision of the District of Columbia Home Rule Act, the Council may comment or make recommendations concerning such annual estimates but shall have no authority under such Act to revise such estimates.]

**[SEC. 141.** In addition to amounts appropriated or otherwise made available, \$12,000,000 is hereby appropriated to the National Park Service and shall be available only for the United States Park Police operations in the District of Columbia.]

**SEC. [142] 138.** The District government shall maintain for fiscal year [1998] 1999 the same funding levels as provided in fiscal year [1997] 1998 for homeless services in the District of Columbia.

**[SEC. 143.** The District of Columbia Financial Responsibility and Management Assistance Authority and the Chief Executive Officer of the District of Columbia public schools are hereby directed to report to the Appropriations Committees of the Senate and the House of Representatives, the Senate Committee on Governmental Affairs and the Committee on Government Reform and Oversight of the House of Representatives not later than April 1, 1998, on all measures necessary and steps to be taken to ensure that the District's public schools open on time to begin the 1998-1999 academic year.]

**[SEC. 144.** There are appropriated from applicable funds of the District of Columbia such sums as may be necessary to hire 12 additional inspectors for the Alcoholic Beverage Commission. Of the additional inspectors, 6 shall focus their responsibilities on the enforcement of laws relating to the sale of alcohol to minors.]

**[SEC. 145. (a)** Not later than 6 months after the date of enactment of this Act, the General Accounting Office shall conduct and submit to Congress a study of—

(1) the District of Columbia's alcoholic beverage tax structure and its relation to surrounding jurisdictions;

(2) the effects of the District of Columbia's lower excise taxes on alcoholic beverages on consumption of alcoholic beverages in the District of Columbia;

(3) ways in which the District of Columbia's tax structure can be revised to bring it into conformity with the higher levels in surrounding jurisdictions; and

(4) ways in which those increased revenues can be used to lower consumption and promote abstention from alcohol among young people.

(b) The study should consider whether—

(1) alcohol is being sold in proximity to schools and other areas where children are likely to be; and

(2) creation of alcohol-free zones in areas frequented by children would be useful in deterring underage alcohol consumption.]

**[SEC. 146. (a)** Of the amounts appropriated in this Act to the District of Columbia, funds may be expended to—

(1) hire 5 additional inspectors for the Department of Consumer and Regulatory Affairs to focus on monitoring day care centers and home day care operations; and

(2) hire 5 additional Department of Human Services monitors to focus on selecting quality day care centers eligible for public financing and monitoring safety standards at such centers.

(b) Nothing in this section shall be deemed to supersede or otherwise preempt the development and implementation of the management reform plan for the Department of Consumer and Regulatory Affairs and the Department of Human Services as authorized in the District of Columbia Management Reform Act of 1997 (subtitle B, title XI, Public Law 105-33).]

**[SEC. 147. (a)** Short Title; Findings; Purpose.—

(1) Short title.—This section may be cited as the "Nation's Capital Bicentennial Designation Act".

(2) Findings.—The Senate finds that—

(A) the year 2000 will mark the 200th anniversary of Washington, D.C. as the Nation's permanent capital, commencing when the Government moved from Philadelphia to the Federal City;

(B) the framers of the Constitution provided for the establishment of a special district to serve as "the seat of Government of the United States";

(C) the site for the city was selected under the direction of President George Washington, with construction initiated in 1791;

(D) in submitting his design to Congress, Major Pierre Charles L'Enfant included numerous parks, fountains, and sweeping avenues designed to reflect a vision as grand and as ambitious as the American experience itself;

(E) the capital city was named after President George Washington to commemorate and celebrate his triumph in building the Nation;

(F) as the seat of Government of the United States for almost 200 years, the Nation's capital has been a center of American culture and a world symbol of freedom and democracy;

(G) from Washington, D.C., President Abraham Lincoln labored to preserve the Union and the Reverend Martin Luther King, Jr. led an historic march that energized the civil rights movement, reminding America of its promise of liberty and justice for all; and

(H) the Government of the United States must continually work to ensure that the Nation's capital is and remains the shining city on the hill.

(3) Purpose.—The purposes of this section are to—

(A) designate the year 2000 as the "Year of National Bicentennial Celebration for Washington, D.C.—the Nation's Capital"; and

(B) establish the Presidents' Day holiday in the year 2000 as a day of national celebration for the 200th anniversary of Washington, D.C.

(b) Nation's Capital National Bicentennial.—

(1) In general.—The year 2000 is designated as the "Year of the National Bicentennial Celebration for Washington, D.C.—the Nation's Capital" and the Presidents' Day Federal holiday in the year 2000 is designated as a day of national celebration for the 200th anniversary of Washington, D.C.

(2) Sense of the senate.—It is the sense of the Senate that all Federal entities should coordinate with and assist the Nation's Capital Bicentennial Celebration, a nonprofit 501(c)(3) entity, organized and operating pursuant to the laws of the District of Columbia, to ensure the success of events and projects undertaken to renew and celebrate the bicentennial of the establishment of Washington, D.C. as the Nation's capital.]

**[SEC. 148.** Notwithstanding section 602(c)(1) of the District of Columbia Home Rule Act (D.C. Code, sec. 1-233(c)(1)), General Obligation Bond Act of 1998 (D.C. Bill 12-371), if enacted by the Council of the District of Columbia and approved by the District of Columbia Financial Responsibility and Management Assistance Authority, shall take effect on the date of such approval or the date of the enactment of this Act, whichever is later.]

**SEC. [149] 139.** (a) Notwithstanding any other provision of law, rule, or regulation, an employee of the District of Columbia public schools shall be—

(1) classified as an Educational Service employee;

(2) placed under the personnel authority of the Board of Education; and

(3) subject to all Board of Education rules.

(b) School-based personnel shall constitute a separate competitive area from nonschool-based personnel who shall not compete with school-based personnel for retention purposes.

**SEC. [150] 140.** (a) Restrictions on Use of Official Vehicles.—(1) None of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this paragraph, the term "official duties" does not include travel between the officer's or employee's residence and workplace (except in the case of a police officer who resides in the District of Columbia).

(2) The Chief Financial Officer of the District of Columbia shall submit, by December 15, [1997] 1998, an inventory, as of September 30, [1997] 1998, of all vehicles owned, leased or operated by the District of Columbia government. The inventory shall include, but not be limited to, the department to which the vehicle is assigned; the year and make of the vehicle; the acquisition date and cost;

the general condition of the vehicle; annual operating and maintenance costs; current mileage; and whether the vehicle is allowed to be taken home by a District officer or employee and if so, the officer or employee's title and resident location.

(b) Source of Payment for Employees Detailed Within Government.—For purposes of determining the amount of funds expended by any entity within the District of Columbia government during fiscal year 1998 and each succeeding fiscal year, any expenditures of the District government attributable to any officer or employee of the District government who provides services which are within the authority and jurisdiction of the entity (including any portion of the compensation paid to the officer or employee attributable to the time spent in providing such services) shall be treated as expenditures made from the entity's budget, without regard to whether the officer or employee is assigned to the entity or otherwise treated as an officer or employee of the entity.

[(c) Restricting Providers From Whom Employees May Receive Disability Compensation Services.—

(1) In general.—Section 2303(a) of the District of Columbia Comprehensive Merit Personnel Act of 1978 (D.C. Code, sec. 1-624.3(a)) is amended by striking paragraph (3) and all that follows and inserting the following:

"(3) By or on the order of the District of Columbia government medical officers and hospitals, or by or on the order of a physician or managed care organization designated or approved by the Mayor."

(2) Services furnished.—Section 2303 of such Act (D.C. Code, sec. 1-624.3) is amended by adding at the end the following new subsection:

"(c)(1) An employee to whom services, appliances, or supplies are furnished pursuant to subsection (a) shall be provided with such services, appliances, and supplies (including reasonable transportation incident thereto) by a managed care organization or other health care provider designated by the Mayor, in accordance with such rules, regulations, and instructions as the Mayor considers appropriate.

"(2) Any expenses incurred as a result of furnishing services, appliances, or supplies which are authorized by the Mayor under paragraph (1) shall be paid from the Employees' Compensation Fund.

"(3) Any medical service provided pursuant to this subsection shall be subject to utilization review under section 2323."

(3) Repeal penalty for delayed payment of compensation.—Section 2324 of such Act (D.C. Code, sec. 1-624.24) is amended by striking subsection (c).

(4) Definitions.—Section 2301 of such Act (D.C. Code, sec. 1-624.1) is amended—

(A) in the first sentence of subsection (c), by inserting "and as designated by the Mayor to provide services to injured employees" after "State law"; and

(B) by adding at the end the following new subsection:

"(r)(1) The term 'managed care organization' means an organization of physicians and allied health professionals organized to and capable of providing systematic and comprehensive medical care and treatment of injured employees which is designated by the Mayor to provide such care and treatment under this title.

"(2) The term 'allied health professional' means a medical care provider (including a nurse, physical therapist, laboratory technician, X-ray technician, social worker, or other provider who provides such care within the scope of practice under applicable law) who is employed by or affiliated with a managed care organization."

(5) Effective date.—The amendments made by this subsection shall apply with respect to services, supplies, or appliances furnished under title XXIII of the District of Columbia Merit Personnel Act of 1978 on or after the date of the enactment of this Act.]

[(d)] (c) Modification of Reduction in Force Procedures.—The District of Columbia Government Comprehensive Merit Personnel Act of 1978 (D.C. Code, sec. 1-601.1 et seq.), as amended [by section 140(b) of the District of Columbia Appropriations Act, 1997 (Public Law 104-194), is amended by adding at the end the following new section:]; is further amended in section 2408(a) by deleting "1998" and inserting, "1999"; in subsection (b), by deleting "1998" and inserting, "1999"; in subsection (i), by deleting "1998" and inserting, "1999"; and in subsection (k), by deleting "1998" and inserting, "1999".

["SEC. 2408. ABOLISHMENT OF POSITIONS FOR FISCAL YEAR 1998.

"(a) Notwithstanding any other provision of law, regulation, or collective bargaining agreement either in effect or to be negotiated while this legislation is in effect for the fiscal year ending September 30, 1998, each agency head is authorized, within the agency head's discretion, to identify positions for abolishment.

"(b) Prior to February 1, 1998, each personnel authority (other than a personnel authority of an agency which is subject to a management reform plan under subtitle B of title XI of the Balanced Budget Act of 1997) shall make a final determination that a position within the personnel authority is to be abolished.

"(c) Notwithstanding any rights or procedures established by any other provision of this title, any District government employee, regardless of date of hire, who encumbers a position identified for abolishment shall be separated without competition or assignment rights, except as provided in this section.

"(d) An employee affected by the abolishment of a position pursuant to this section who, but for this section would be entitled to compete for retention, shall be entitled to one round of lateral competition pursuant to Chapter 24 of the District of Columbia Personnel Manual, which shall be limited to positions in the employee's competitive level.

"(e) Each employee selected for separation pursuant to this section shall be given written notice of at least 30 days before the effective date of his or her separation.

"(f) Neither the establishment of a competitive area smaller than an agency, nor the determination that a specific position is to be abolished, nor separation pursuant to this section shall be subject to review except that—

"(1) an employee may file a complaint contesting a determination or a separation pursuant to title XV of this Act or section 303 of the Human Rights Act of 1977 (D.C. Code, sec. 1-2543); and

"(2) an employee may file with the Office of Employee Appeals an appeal contesting that the separation procedures of subsections (d) and (e) were not properly applied.

"(g) An employee separated pursuant to this section shall be entitled to severance pay in accordance with title XI of this Act, except that the following shall be included in computing creditable service for severance pay for employees separated pursuant to this section—

"(1) four years for an employee who qualified for veterans preference under this Act, and

"(2) three years for an employee who qualified for residency preference under this Act.

"(h) Separation pursuant to this section shall not affect an employee's rights under either the Agency Reemployment Priority Program or the Displaced Employee Program established pursuant to Chapter 24 of the District Personnel Manual.

"(i) With respect to agencies which are not subject to a management reform plan under subtitle B of title XI of the Balanced Budget Act of 1997, the Mayor shall submit to the Council a listing of all positions to be abolished by agency and responsibility center by March 1, 1998 or upon the delivery of termination notices to individual employees.

"(j) Notwithstanding the provisions of section 1708 or section 2402(d), the provisions of this Act shall not be deemed negotiable.

"(k) A personnel authority shall cause a 30-day termination notice to be served, no later than September 1, 1998, on any incumbent employee remaining in any position identified to be abolished pursuant to subsection (b) of this section.

"(l) In the case of an agency which is subject to a management reform plan under subtitle B of title XI of the Balanced Budget Act of 1997, the authority provided by this section shall be exercised to carry out the agency's management reform plan, and this section shall otherwise be implemented solely in a manner consistent with such plan.".]

SEC. [151] 141. (a) Compliance With Buy American Act.—None of the funds made available in this Act may be expended by an entity unless the entity agrees that in expending the funds the entity will comply with the Buy American Act (41 U.S.C. 10a-10c).

(b) Sense of Congress; Requirement Regarding Notice.—

(1) Purchase of American-made equipment and products.—In the case of any equipment or product that may be authorized to be purchased with financial assistance provided using funds made available in this Act, it is the sense of the Congress that entities receiving the assistance should, in expending the assistance, purchase only American-made equipment and products to the greatest extent practicable.

(2) Notice to recipients of assistance.—In providing financial assistance using funds made available in this Act, the head of each agency of the Federal or District of Columbia government shall provide to each recipient of the assistance a notice describing the statement made in paragraph (1) by the Congress.

(c) Prohibition of Contracts With Persons Falsely Labeling Products as Made in America.—If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a “Made in America” inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

【SEC. 152. (a) Cap on Stipends of Retirement Board Members.—Section 121(c)(1) of the District of Columbia Retirement Reform Act (D.C. Code, sec. 1-711(c)(1)) is amended by striking the period at the end and inserting the following: “, and the total amount to which a member may be entitled under this subsection during a year (beginning with 1998) may not exceed \$5,000.”.

(b) Resumption of Certain Terminated Annuities Paid to Child Survivors of District of Columbia Police and Firefighters.—

(1) In general.—Subsection (k)(5) of the Policemen and Firemen’s Retirement and Disability Act (D.C. Code, sec. 4-622(e)) is amended by adding at the end the following new subparagraph:

“(D) If the annuity of a child under subparagraph (A) or subparagraph (B) terminates because of marriage and such marriage ends, the annuity shall resume on the first day of the month in which it ends, but only if the individual is not otherwise ineligible for the annuity.”.

(2) Effective date.—The amendment made by paragraph (1) shall apply with respect to any termination of marriage taking effect on or after November 1, 1993, except that benefits shall be payable only with respect to amounts accruing for periods beginning on the first day of the month beginning after the later of such termination of marriage or such date of enactment.】

【SEC. 153. (a) In General.—The Council of the District of Columbia shall annually review and adjust the amount of the monthly assistance payment that may be made under the Temporary Assistance for Needy Families Program so that such payment is comparable with the monthly assistance payments made under such program in Maryland and Virginia counties that are contiguous to the District of Columbia.

(b) Effective Date.—Subsection (a) shall apply with respect to fiscal year 1998 and each succeeding fiscal year.】

【SEC. 154. Effective as if included in the enactment of the Omnibus Consolidated Rescissions and Appropriations Act of 1996, section 517 of such Act (110 Stat. 1321-248) is amended by striking “October 1, 1991” and inserting “the date of the enactment of this Act”.]

SEC. 【155】 142. Requiring Placement of Inspector General Hotline on Permit and License Application Forms.—

(1) In general.—Each District of Columbia permit or license application form printed after the expiration of the 30-day period which begins on the date of the enactment of this Act shall include the telephone number established by the Inspector General of the District of Columbia for reporting instances of waste, fraud, and abuse, together with a brief description of the uses and purposes of such number.

(2) Quarterly reports on use of number.—Not later than 10 days after the end of such calendar quarter of each fiscal year (beginning with fiscal year 1998), the Inspector General of the District of Columbia shall submit a report to Congress on the number and nature of the calls received through the telephone number described in paragraph (1) during the quarter and on the waste, fraud, and abuse detected as a result of such calls.

SEC. 【156】 143. (a) In General.—Notwithstanding any other provision of law (including any law or regulation providing for collective bargaining or the enforcement of any collective bargaining agreement) or collective bargaining agreement, any payment made by the District of Columbia after the expiration of the 45-day period which begins on the date of the enactment of this Act to any person shall be made by—

(1) direct deposit through electronic funds transfer to a checking, savings, or other account designated by the person; or

(2) a check delivered through the United States Postal Service to the person’s place of residence or business.

(b) Regulations.—The Chief Financial Officer of the District of Columbia is authorized to issue rules to carry out this section.

【SEC. 157. (a) Deposit of Annual Federal Contribution With Authority.—

(1) In general.—The District of Columbia Financial Responsibility and Management Assistance Act of 1995, as amended by section 11601(b)(2) of the Balanced Budget Act of 1997, is amended by inserting after section 204 the following new section:

“SEC. 205. DEPOSIT OF ANNUAL FEDERAL CONTRIBUTION WITH AUTHORITY.

“(a) In General.—

“(1) Deposit into escrow account.—In the case of a fiscal year which is a control year, the Secretary of the Treasury shall deposit any Federal contribution to the District of Columbia for the year authorized under section 11601(c)(2) of the Balanced Budget Act of 1997 into an escrow account held by the Authority, which shall allocate the funds to the Mayor at such intervals and in accordance with such terms and conditions as it considers appropriate to implement the financial plan for the year. In establishing such terms and conditions, the Authority shall give priority to using the Federal contribution for cash flow management and the payment of outstanding bills owed by the District government.

“(2) Exception for amounts withheld for advances.—Paragraph (1) shall not apply with respect to any portion of the Federal contribution which is withheld by the Secretary of the Treasury in accordance with section 605(b)(2) of title VI of the District of Columbia Revenue Act of 1939 to reimburse the Secretary for advances made under title VI of such Act.

“(b) Expenditure of Funds from Account in Accordance with Authority Instructions.—Any funds allocated by the Authority to the Mayor from the escrow account described in paragraph (1) may be expended by the Mayor only in accordance with the terms and conditions established by the Authority at the time the funds are allocated.”.

(2) Clerical amendment.—The table of contents for such Act is amended by inserting after the item relating to section 204 the following new item:

“Sec. 205. Deposit of annual Federal contribution with Authority.”.

(3) Effective date.—The amendments made by this subsection shall take effect as if included in the enactment of the Balanced Budget Act of 1997.

(b) Dishonored Check Collection.—The Act entitled “An Act to authorize the Commissioners of the District of Columbia to prescribe penalties for the handling and collection of dishonored checks”, approved September 28, 1965 (D.C. Code, sec. 1-357) is amended—

(1) in subsection (a) by inserting after the third sentence the following: “The Mayor may enter into a contract to collect the amount of the original obligation.”; and

(2) by adding at the end the following new subsections:

“(c) In a case in which the amount of a dishonored or unpaid check is collected as a result of a contract, the Mayor shall collect any costs or expenses incurred to collect such amount from such person who gives or causes to be given, in payment of any obligation or liability due the government of the District of Columbia, a check which is subsequently dishonored or not duly paid. In a case in which the amount of a dishonored or unpaid check is collected as a result of an action at law or in equity, such costs and expenses shall include litigation expenses and attorney’s fees.

“(d) An action at law or in equity for the recovery of any amount owed to the District as a result of subsection (c), including any litigation expenses or attorney’s fees may be initiated—

“(1) by the Corporation Counsel of the District of Columbia; or

“(2) in a case in which the Corporation Counsel does not exercise his or her authority, by the person who provides collection services as a result of a contract with the Mayor.

“(e) Nothing in this section may be construed to eliminate the Mayor’s exclusive authority with respect to any obligations and liabilities of the District of Columbia.”.

(c) Conforming References to Internal Revenue Code of 1986.—Section 4(28A) of the District of Columbia Income and Franchise Act of 1947 (D.C. Code, sec. 47-1801.4(28A)) is amended to read as follows:

“(28A) The term ‘Internal Revenue Code of 1986’ means the Internal Revenue Code of 1986 (100 Stat. 2085; 26 U.S.C. 1 et seq.), as amended through August 20, 1996. The provisions of the Inter-

nal Revenue Code of 1986 shall be effective on the same dates that they are effective for Federal tax purposes.”.

(d) Standard for Review of Recommendations of Business Regulatory Reform Commission in Review of Regulations by Authority.—Section 11701(a)(1) of the Balanced Budget Act of 1997 is amended by striking the second sentence and inserting the following: “In carrying out such review, the Authority shall include an explicit reference to each recommendation made by the Business Regulatory Reform Commission pursuant to the Business Regulatory Reform Commission Act of 1994 (D.C. Code, sec. 2-4101 et seq.), together with specific findings and conclusions with respect to each such recommendation.”.

(e) Technical Corrections Relating to Balanced Budget Act of 1997.—(1) Effective as if included in the enactment of the Balanced Budget Act of 1997, section 453(c) of the District of Columbia Home Rule Act (D.C. Code, sec. 47-304.1(c)), as amended by section 11243(d) of the Balanced Budget Act of 1997, is amended to read as follows:

“(c) Subsection (a) shall not apply to amounts appropriated or otherwise made available to the Council, the District of Columbia Financial Responsibility and Management Assistance Authority established under section 101(a) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995, or the District of Columbia Water and Sewer Authority established pursuant to the Water and Sewer Authority Establishment and Department of Public Works Reorganization Act of 1996.”.

(2) Section 11201(g)(2)(A)(ii) of the Balanced Budget Act of 1997 is amended—

(A) in the heading, by striking “Department of parks and recreation” and inserting “parks authority”; and

(B) by striking “Department of Parks and Recreation” and inserting “Parks Authority”.

(f) Repeal of Prior Notice Requirement for Federal Activities Affecting Real Property in District of Columbia.—Effective October 1, 1997, the Balanced Budget Act of 1997 (Public Law 105-33) is amended by striking section 11715.]

SEC. [158] 144. Notwithstanding any provision of any federally granted charter or any other provision of law, the real property of the National Education Association located in the District of Columbia shall be subject to taxation by the District of Columbia in the same manner as any similar organization.

[SEC. 159. (a) Section 501(c)(4) of the District of Columbia Police and Firemen’s Act of 1958 (D.C. Code, sec. 4-416(c)(4)) is amended by striking “locality pay” and inserting “longevity pay”.

(b) The amendment made by subsection (a) is effective on the date of enactment of Public Law 105-61.]

[SEC. 160. In addition to amounts appropriated or otherwise made available, \$3,000,000 is appropriated for the purpose of funding a Medicare Coordinated Care Demonstration Project in the District of Columbia as specified in section 4016(b)(2)(C) of the Balanced Budget Act of 1997.]

SEC. [161] 145. Nothing in this Act shall be construed to authorize any office, agency or entity to expend funds for programs or functions for which a reorganization plan is required but has not been approved by the District of Columbia Financial Responsibility and Management Assistance Authority [(hereafter in this section referred to as “Authority”)]. Appropriations made by this Act for such programs or functions are conditioned only on the approval by the Authority of the required reorganization plans.

[SEC. 162. Effective as if included in the enactment of subtitle J of title IV of the Balanced Budget Act of 1997 (Public Law 105-33) the Social Security Act is amended as follows:

(1) The fourth sentence of section 1905(b) of such Act (42 U.S.C. 1396d(b)) is amended by inserting “for the State for a fiscal year, and that do not exceed the amount of the State’s allotment under section 2104 (not taking into account reductions under section 2104(d)(2)) for the fiscal year reduced by the amount of any payments made under section 2105 to the State from such allotment for such fiscal year,” after “subsection (u)(3)”.

(2) Section 1905(u) of such Act (42 U.S.C. 1396d(u)) is amended—

(A) in paragraph (1)(B), by striking “paragraph (2)” and inserting “the fourth sentence of subsection (b)”;

(B) in paragraph (2)(A), by striking “(C), but not in excess” and all that follows up to the period at the end and inserting “(B)”;

(C) by striking subparagraphs (B) and (C) of paragraph (2) and inserting the following:

“(B) For purposes of this paragraph, the term ‘optional targeted low-income child’ means a targeted low-income child as defined

in section 2110(b)(1) (determined without regard to that portion of subparagraph (C) of such section concerning eligibility for medical assistance under this title) who would not qualify for medical assistance under the State plan under this title as in effect on March 31, 1997 (but taking into account the expansion of age of eligibility effected through the operation of section 1902(l)(1)(D)).”;

(D) in paragraph (3)—

(i) by striking “described in this subparagraph” and inserting “described in this paragraph”; and

(ii) by striking “April 15, 1997” and inserting “March 31, 1997”; and

(E) by adding at the end the following:

“(4) The limitations on payment under subsections (f) and (g) of section 1108 shall not apply to Federal payments made under section 1903(a)(1) based on an enhanced FMAP described in section 2105(b).”.

(3) Section 2110(b) of such Act (42 U.S.C. 1397j(b)) is amended—

(A) in paragraph (1)(B)(ii) to read as follows:

“(ii) is a child—

“(I) whose family income (as determined under the State child health plan) exceeds the medicaid applicable income level (as defined in paragraph (4)), but does not exceed 50 percentage points above the medicaid applicable income level;

“(II) whose family income (as so determined) does not exceed the medicaid applicable income level (as defined in paragraph (4) but determined as if ‘June 1, 1997’ were substituted for ‘March 31, 1997’); or

“(III) who resides in a State that does not have a medicaid applicable income level (as defined in paragraph (4)); and”;

(B) in paragraph (4)—

(i) by striking “June 1, 1997” and inserting “March 31, 1997”; and

(ii) by inserting “or 1905(n)(2) (as selected by a State)” after “1902(l)(2)”.

(4) Section 1903(f)(4) of such Act (42 U.S.C. 1396b(f)(4)) is amended by striking “or 1905(p)(1)” and inserting “1905(p)(1), or 1905(u)”.

(5) Section 2105(c)(2)(A) of such Act (42 U.S.C. 1397ee(c)(2)(A)) is amended to read as follows—

“(A) In general.—Except as provided in this paragraph, payment shall not be made under subsection (a) for expenditures for items described in subsection (a) (other than paragraph (1)) for a fiscal year to the extent the total of such expenditures (for which payment is made under such subsection) exceeds 10 percent of the sum of—

“(i) the total of such expenditures for such fiscal year, and

“(ii) the total expenditures for medical assistance by the State under title XIX for which Federal payments made under section 1903(a)(1) are based on an enhanced FMAP described in section 2105(b) for such fiscal year.”.

(6) Section 2104 of such Act (42 U.S.C. 1397dd) is amended—

(A) in subsection (d)(1), by striking “for calendar quarters” and inserting “for expenditures claimed by the State”; and

(B) by striking subsection (d)(2) and inserting the following:

“(2) the amount (if any) of the payments made to that State under section 1903(a) for expenditures claimed by the State during such fiscal year that is attributable to the provision of medical assistance to a child for which payment is made under section 1903(a)(1) on the basis of an enhanced FMAP under the fourth sentence of section 1905(b).”.

(7) Section 2105 of such Act (42 U.S.C. 1397ee) is amended by adding at the end the following:

“(f) Flexibility in Submittal of Claims.—Nothing in this section or subsections (e) and (f) of section 2104 shall be construed as preventing a State from claiming as expenditures in the quarter expenditures that were incurred in a previous quarter.”.

(8) Section 2104 of such Act (42 U.S.C. 1397dd) is amended—

(A) in subsection (a)(1), by striking “\$4,275,000,000” and inserting “\$4,295,000,000”;

(B) in subsection (b)(4), by striking “Subject to paragraph (5), in” and inserting “In”; and

(C) in subsection (c)—

(i) in paragraph (2)(C), by inserting “the” before “Virgin Islands”; and

(ii) in paragraphs (3)(C) and (3)(E), by striking “the” and inserting “The”.

(9) Section 2110(c)(3) of such Act (42 U.S.C. 1397j(c)(3)) is amended by striking “2191” and inserting “2791”.]

[SEC. 163. The Administrator of General Services is authorized to amend the use restriction contained in the Administrator's 1956 conveyance of land to the City of Bonham, Texas, mandated by Public Law 586 of the 84th Congress. The amended use restriction will limit the property to State veterans, nursing homes and public safety communications purposes only.]

SEC. [164] 146. Notwithstanding any other provision of law, rule, or regulation, the evaluation process and instruments for evaluating District of Columbia public schools employees shall be a non-negotiable item for collective bargaining purposes.

[SEC. 165. There are appropriated from such funds of the District of Columbia, as are deemed appropriate by the District of Columbia Financial Responsibility and Management Assistance Authority, \$2,600,000, for the Fire and Emergency Medical Services Department for a 5 percent pay increase for uniformed firefighters.]

[SEC. 166. Notwithstanding any other provision of Federal or District of Columbia law applicable to a reemployed annuitant's entitlement to retirement or pension benefits, the Director of the Office of Personnel Management may waive the provisions of section 8344 of title 5 of the United States Code for any reemployed annuitants appointed heretofore or hereafter as a Trustee under section 11202 or 11232 of the National Capital Revitalization and Self-Government Improvement Act of 1997, or, at the request of such a Trustee, for any employee of such Trustee.]

[SEC. 167. Section 2203(i)(2)(A) of the District of Columbia School Reform Act of 1995 (Public Law 104-134; 110 Stat. 3009-504; D.C. Code 31-2853.13(i)(2)(A)) is amended to read as follows:

"(A) In general.—

"(i) Annual limit.—Subject to subparagraph (B) and clause (ii), during calendar year 1997, and during each subsequent calendar year, each eligible chartering authority shall not approve more than 10 petitions to establish a public charter school under this subtitle.

"(ii) Timetable.—Any petition approved under clause (i) shall be approved during an application approval period that terminates on April 1 of each year. Such an approval period may commence before or after January 1 of the calendar year in which it terminates, except that any petition approved at any time during such an approval period shall count, for purposes of clause (i), against the total number of petitions approved during the calendar year in which the approval period terminates." ]

[SEC. 168. Section 2205(a) of the District of Columbia School Reform Act of 1995 (Public Law 104-134; 110 Stat. 1321-122; D.C. Code 31-2853.15(a)) is amended by striking "7," and inserting "15." ]

[SEC. 169. Section 2214(g) of the District of Columbia School Reform Act of 1995 (Public Law 104-134; 110 Stat. 1321-133; D.C. Code 31-2853.24(g)) is amended by inserting "to the Board" after "appropriated". ]

[SEC. 170. Section 2401(b)(3)(B) of the District of Columbia School Reform Act of 1995 (Public Law 104-134; 110 Stat. 1321-137; D.C. Code 31-2853.41(b)(3)(B)) is amended—

(1) in clause (i), by striking "or";

(2) in clause (ii), by striking the period at the end and inserting "; or"; and

(3) by adding at the end the following:

"(iii) to whom the school provides room and board in a residential setting." ]

[SEC. 171. Section 2401(b)(3) of the District of Columbia School Reform Act of 1995 (Public Law 104-134; 110 Stat. 1321-137; D.C. Code 31-2853.41(b)(3)) is amended by adding at the end the following:

"(C) Adjustment for facilities costs.—Notwithstanding paragraph (2), the Mayor and the District of Columbia Council, in consultation with the Board of Education and the Superintendent, shall adjust the amount of the annual payment under paragraph (1) to increase the amount of such payment for a public charter school to take into account leases or purchases of, or improvements to, real property, if the school, not later than April 1 of the fiscal year preceding the payment, requests such an adjustment." ]

[SEC. 172. (a) Payments to New Charter Schools.—Section 2403(b) of the District of Columbia School Reform Act of 1995 (Public Law 104-134; 110 Stat. 1321-140; D.C. Code 31-2853.43(b)) is amended to read as follows:

"(b) Payments to New Schools.—

"(1) Establishment of fund.—There is established in the general fund of the District of Columbia a fund to be known as the 'New Charter School Fund'.

"(2) Contents of fund.—The New Charter School Fund shall consist of—

"(A) unexpended and unobligated amounts appropriated from local funds for public charter schools for fiscal year 1997 and subsequent fiscal years that reverted to the general fund of the District of Columbia;

"(B) amounts credited to the fund in accordance with this subsection upon the receipt by a public charter school described in paragraph (5) of its first initial payment under subsection (a)(2)(A) or its first final payment under subsection (a)(2)(B); and

"(C) any interest earned on such amounts.

"(3) Expenditures from fund.—

"(A) In general.—Not later than June 1, 1998, and not later than June 1 of each year thereafter, the Chief Financial Officer of the District of Columbia shall pay, from the New Charter School Fund, to each public charter school described in paragraph (5), an amount equal to 25 percent of the amount yielded by multiplying the uniform dollar amount used in the formula established under section 2401(b) by the total anticipated enrollment as set forth in the petition to establish the public charter school.

"(B) Pro rata reduction.—If the amounts in the New Charter School Fund for any year are insufficient to pay the full amount that each public charter school described in paragraph (5) is eligible to receive under this subsection for such year, the Chief Financial Officer of the District of Columbia shall ratably reduce such amounts for such year on the basis of the formula described in section 2401(b).

"(C) Form of payment.—Payments under this subsection shall be made by electronic funds transfer from the New Charter School Fund to a bank designated by a public charter school.

"(4) Credits to fund.—Upon the receipt by a public charter school described in paragraph (5) of—

"(A) its first initial payment under subsection (a)(2)(A), the Chief Financial Officer of the District of Columbia shall credit the New Charter School Fund with 75 percent of the amount paid to the school under paragraph (3); and

"(B) its first final payment under subsection (a)(2)(B), the Chief Financial Officer of the District of Columbia shall credit the New Charter School Fund with 25 percent of the amount paid to the school under paragraph (3).

"(5) Schools described.—A public charter school described in this paragraph is a public charter school that—

"(A) did not enroll any students during any portion of the fiscal year preceding the most recent fiscal year for which funds are appropriated to carry out this subsection; and

"(B) operated as a public charter school during the most recent fiscal year for which funds are appropriated to carry out this subsection.

"(6) Authorization of appropriations.—There are authorized to be appropriated to the Chief Financial Officer of the District of Columbia such sums as may be necessary to carry out this subsection for each fiscal year."

(b) Reduction of Annual Payment.—

(1) Initial payment.—Section 2403(a)(2)(A) of the District of Columbia School Reform Act (Public Law 104-134; 110 Stat. 1321-139; D.C. Code 31-2853.43(a)(2)(A)) is amended to read as follows:

"(A) Initial payment.—

"(i) In general.—Except as provided in clause (ii), not later than October 15, 1996, and not later than October 15 of each year thereafter, the Mayor shall transfer, by electronic funds transfer, an amount equal to 75 percent of the amount of the annual payment for each public charter school determined by using the formula established pursuant to section 2401(b) to a bank designated by such school.

"(ii) Reduction in case of new school.—In the case of a public charter school that has received a payment under subsection (b) in the fiscal year immediately preceding the fiscal year in which a transfer under clause (i) is made, the amount transferred to the school under clause (i) shall be reduced by an amount equal to 75 percent of the amount of the payment under subsection (b)."

(2) Final payment.—Section 2403(a)(2)(B) of the District of Columbia School Reform Act (Public Law 104-134; 110 Stat. 1321-139; D.C. Code 31-2853.43(a)(2)(B)) is amended—

(A) in clause (i)—

(i) by inserting "In general.—" before "Except"; and

(ii) by striking "clause (ii)," and inserting "clauses (ii) and (iii),";

(B) in clause (ii), by inserting "Adjustment for enrollment.—" before "Not later than March 15, 1997."; and (C) by adding at the end the following:

"(iii) Reduction in case of new school.—In the case of a public charter school that has received a payment under subsection (b) in the fiscal year immediately preceding the fiscal year in which a transfer under clause (i) is made, the amount transferred to the school under clause (i) shall be reduced by an amount equal to 25 percent of the amount of the payment under subsection (b)."] (District of Columbia Appropriations Act, 1998.)

<sup>1</sup>The Administration proposes to delete this provision and will work with the Congress to address the issue of abortion funding.

**DISTRICT OF COLUMBIA OFFENDER SUPERVISION, DEFENDER, AND COURT SERVICES AGENCY**

**Federal Funds**

**General and special funds:**

*SALARIES AND EXPENSES*

For payment to the District of Columbia Offender Supervision, Defender, and Court Services Agency, \$59,400,000, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, Public Law 105-33; of which \$33,802,000 shall be for necessary expenses of Parole Revocation, Adult Probation and Offender Supervision; \$14,486,000 shall be available to the Public Defender Service; and \$11,112,000 shall be available to the Pretrial Services Agency.

Program and Financing (in millions of dollars)

Identification code 95-0500-0-1-752	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Parole Revocation, Adult Probation and Offender Supervision Services .....			34
00.02 Public Defender Service .....			14
00.03 Pretrial Services Agency .....			11
10.00 Total obligations (object class 25.2) .....			59
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			59
23.95 New obligations .....			-59
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....			59
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			59
73.20 Total outlays (gross) .....			-47
74.40 Unpaid obligations, end of year: Obligated balance: .....			
Uninvested .....			12
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			47
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			59
90.00 Outlays .....			47

The National Capital Revitalization and Self-Government Improvement Act of 1997 established the District of Columbia Offender Supervision, Defender, and Court Services Agency to assume the District of Columbia offender supervision, pre-trial services, parole revocation, adult probation and public defender related functions.

The new Federal agency will assume its duties no earlier than August 5, 1998 and no later than August 5, 2000. The Act established the Pretrial Services, Defense Services, Parole, Adult Probation and Offender Supervision Trustee (hereinafter the Offender Supervision and Court Services Trustee) to assist in the transition to the federal government of the District of Columbia responsibilities in the following ways: (1) overseeing the finances and directing the actions of those

agencies, including those of the court system of the District of Columbia, whose functions will be transferred to the new Federal executive branch agency; (2) exercising the powers and functions of the Director of the District of Columbia Offender Supervision, Defender, and Court Services Agency; and (3) certifying to the Attorney General that the new Federal agency is ready to carry out the functions described in section 11233 and that the United States Parole Commission can carry out the functions described in section 11231 prior to federal assumption of full responsibility and liability for these duties and functions. During the transition, the Offender Supervision and Court Services Trustee is expected to improve public safety by establishing a fully integrated court services and offender supervision monitoring and information system. This system is to provide the following services for adult offenders on pretrial release, probation, parole, or supervised release: appropriate drug testing; treatment; electronic monitoring; field supervision; and intermediate and graduated sanctions, with particular emphasis on providing enhanced support to the existing drug court and domestic violence court as well as any such additional specialized programs as may be established in the future in the District of Columbia.

In 1999, the Offender Supervision and Court Services Trustee will work closely with all elements of the District of Columbia and federal criminal justice, courts and corrections systems to facilitate the transition and to improve offender supervision and court services programs, policy and practice.

**EQUAL EMPLOYMENT OPPORTUNITY COMMISSION**

**Federal Funds**

**General and special funds:**

*SALARIES AND EXPENSES*

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, as amended (29 U.S.C. 206(d) and 621-634), the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991, including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); non-monetary awards to private citizens; not to exceed \$27,500,000 for payments to State and local enforcement agencies for services to the Commission pursuant to title VII of the Civil Rights Act of 1964, as amended, sections 6 and 14 of the Age Discrimination in Employment Act, the Americans with Disabilities Act of 1990, and the Civil Rights Act of 1991; [\$242,000,000] \$279,000,000: Provided, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,500 from available funds. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 45-0100-0-1-751	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Executive direction and program support .....	19	19	21
00.02 Enforcement .....	193	195	230
00.03 State and local grants .....	28	28	28
10.00 Total obligations .....	240	242	279
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	240	242	279
23.95 New obligations .....	-240	-242	-279
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	240	242	279
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: .....			
Uninvested .....	34	39	31
73.10 New obligations .....	240	242	279

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 45-0100-0-1-751	1997 actual	1998 est.	1999 est.
73.20 Total outlays (gross) .....	-232	-250	-279
73.40 Adjustments in expired accounts .....	-3		
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	39	31	30
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	205	225	262
86.93 Outlays from current balances .....	27	25	17
87.00 Total outlays (gross) .....	232	250	279
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	240	242	279
90.00 Outlays .....	232	250	279

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: the Age Discrimination in Employment Act of 1967; title VII of the Civil Rights Act of 1964, as amended; the Equal Pay Act of 1963; in the Federal sector only, section 501 of the Rehabilitation Act of 1963; the Americans with Disabilities Act of 1990; and the Civil Rights Act of 1991. These acts prohibit employment discrimination based on race, sex, religion, national origin, age, or handicap status. The EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

**WORKFLOW ANALYSIS**

	1997 actual	1998 est.	1999 est.
<b>Title VII:</b>			
Only:			
Charges filed .....	48,584	48,584	48,584
Charges resolved .....	62,469	52,037	53,754
With concurrents:			
Charges filed .....	58,607	58,607	58,607
Charges resolved .....	75,845	63,179	65,264
<b>Age Discrimination in Employment Act:</b>			
Only:			
Charges filed .....	7,990	7,990	7,990
Charges resolved .....	11,622	9,681	10,000
With concurrents:			
Charges filed .....	15,785	15,785	15,785
Charges resolved .....	22,094	18,404	19,011
<b>Equal Pay Act:</b>			
Only:			
Charges filed .....	103	103	103
Charges resolved .....	71	59	61
With concurrents:			
Charges filed .....	1,134	1,134	1,134
Charges resolved .....	1,365	1,136	1,175
<b>Americans with Disabilities Act:</b>			
Only:			
Charges filed .....	12,064	12,064	12,064
Charges resolved .....	16,147	13,450	13,893
With concurrents:			
Charges filed .....	18,088	18,088	18,088
Charges resolved .....	24,171	20,134	20,798
<b>Total:</b>			
Charges filed .....	80,680	80,680	80,680
Charges resolved .....	106,312	88,572	91,461

Totals for all charges do not equal the sum of all statutes because many charge filings allege issues/bases under more than one statute.

**The EEOC's budget supports three activities:**

**Executive direction and program support.**—This activity provides for the direction and coordination of the Commission's programs. It also provides administrative and management support services for the agency. For 1999, the agency will continue initiatives designed to further labor management partnerships and improve both internal and external customer service.

**Enforcement.**—This activity resolves charges of employment discrimination filed with the Commission and pursues litigation to enforce compliance with Title VII, the Equal Pay Act, the Age Discrimination in Employment Act, the Americans with Disabilities Act, and the Civil Rights Act of 1991. During 1999, programs will continue to focus on improving the effectiveness of the administrative process and litigation program. This includes: using mediation in private sector enforcement activities; using charge prioritization and National Enforcement and Local Enforcement Plan priorities; and improving the processing of Federal-sector EEO complaints, appeal actions and affirmative employment procedures. The agency will continue to encourage and facilitate voluntary compliance with equal employment opportunity laws in the private and public sectors and increase the public's knowledge about individual rights under equal employment opportunity laws. The 1999 request includes funding to enhance the agency's information resource management system, to continue the use of alternative dispute resolution mechanisms, and to expand its outreach and technical assistance efforts. Together with additional staff, these initiatives will provide the tools needed to further combat excessive caseloads and prevent discrimination from occurring in the first place.

**State and local grants.**—This activity provides funds to State and local fair employment practice agencies to assist in the resolution of employment discrimination complaints. For 1999, the agency will continue working with State and Local Fair Employment Practices Agencies and Tribal Employment Rights Organizations to improve employment discrimination charge processing and other approaches for addressing workplace discrimination.

**Object Classification (in millions of dollars)**

Identification code 45-0100-0-1-751	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	125	129	139
11.3 Other than full-time permanent .....	2	2	2
11.5 Other personnel compensation .....	10	10	11
11.9 Total personnel compensation .....	137	141	152
12.1 Civilian personnel benefits .....	27	28	29
21.0 Travel and transportation of persons .....	3	2	3
23.1 Rental payments to GSA .....	22	23	24
23.3 Communications, utilities, and miscellaneous charges .....	4	4	4
25.2 Other services .....	11	11	27
26.0 Supplies and materials .....	3	2	3
31.0 Equipment .....	5	3	9
41.0 Grants, subsidies, and contributions .....	28	28	28
99.9 Total obligations .....	240	242	279

**Personnel Summary**

Identification code 45-0100-0-1-751	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,586	2,586	2,748

**Public enterprise funds:**

**EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND**

**Program and Financing (in millions of dollars)**

Identification code 45-4019-0-4-751	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	2	3	3
22.00 New budget authority (gross) .....	2	1	1
23.90 Total budgetary resources available for obligation .....	4	4	4

23.95	New obligations .....	-1	-1	-1
24.40	Unobligated balance available, end of year: Uninvested .....	3	3	3
<b>New budget authority (gross), detail:</b>				
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	2	1	1
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	1	1	1
73.20	Total outlays (gross) .....	-1	-1	-1
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	1	1	1
<b>Offsets:</b>				
Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-2	-1	-1
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....			

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the Commission.

## EXPORT-IMPORT BANK OF THE UNITED STATES

### Federal Funds

#### Credit accounts:

##### EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: *Provided*, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act that has detonated a nuclear explosive after the date of enactment of this Act.

##### SUBSIDY APPROPRIATION

For the cost of direct loans, loan guarantees, insurance, and tied-aid grants as authorized by section 10 of the Export-Import Bank Act of 1945, as amended, [\$683,000,000] \$808,000,000, to remain available until [September 30, 2001] expended: *Provided*, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: [*Provided further*, That such sums shall remain available until 2013 for the disbursement of direct loans, loan guarantees, insurance and tied-aid grants obligated in fiscal years 1998 and 1999: *Provided further*, That up to \$50,000,000 of funds appropriated by this paragraph shall remain available until expended and may be used for tied-aid grant purposes: *Provided further*, That none of the funds appropriated by this Act or any prior Act appropriating funds for foreign operations, export financing, or related programs for tied-aid credits or grants may be used for any other purpose except through the regular notification procedures of the Committees on Appropriations: *Provided further*, That funds appropriated by this paragraph are made available notwithstanding section 2(b)(2) of the Export-Import Bank Act of 1945, in connection with the purchase or lease of any product by any East European country, any Baltic State, or any agency or national thereof.] *Provided further*, That funds appropriated under this heading in the Foreign Operations, Export Financing, and Related Program Appropriations Act, 1998, shall remain available until 2015 for the disbursement of direct loans, loan guarantees, insurance, and tied-aid grants obligated in fiscal years 1998, 1999, 2000, and 2001.

#### ADMINISTRATIVE EXPENSES

For administrative expenses to carry out the direct and guaranteed loan and insurance programs (to be computed on an accrual basis), including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed [\$20,000] \$25,000 for official reception and representation expenses for members of the Board of Directors, [\$48,614,000] \$51,940,000: *Provided*, That necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, shall be considered nonadministrative expenses for the purposes of this heading: *Provided further*, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until October 1, [1998] 1999. (*Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1998*.)

#### Unavailable Collections (in millions of dollars)

Identification code 83-0100-0-1-155	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....	229	244	280
Receipts:			
02.01 Export-Import Bank direct loans, negative subsidies .....	15	36	34
04.00 Total: Balances and collections .....	244	280	314
07.99 Total balance, end of year .....	244	280	314

#### Program and Financing (in millions of dollars)

Identification code 83-0100-0-1-155	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Direct loan subsidy and grants .....	44	98	45
00.02 Guaranteed loan subsidy .....	767	757	893
00.03 Guaranteed loan modifications .....	27	9	9
00.04 Direct loan modifications .....	3	1	1
00.09 Administrative expenses .....	44	49	51
10.00 Total obligations .....	885	914	999
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	344	332	240
22.00 New budget authority (gross) .....	773	732	859
22.10 Resources available from recoveries of prior year obligations .....	103	90	90
22.30 Unobligated balance expiring .....	-3		
23.90 Total budgetary resources available for obligation .....	1,217	1,154	1,189
23.95 New obligations .....	-885	-914	-999
24.40 Unobligated balance available, end of year: Uninvested .....	332	240	190
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	773	732	859
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	2,339	2,187	2,374
73.10 New obligations .....	885	914	999
73.20 Total outlays (gross) .....	-934	-637	-703
73.45 Adjustments in unexpired accounts .....	-103	-90	-90
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	2,187	2,374	2,580
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	189	204	248
86.93 Outlays from current balances .....	745	433	455
87.00 Total outlays (gross) .....	934	637	703
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	773	732	859
90.00 Outlays .....	934	637	703

**Credit accounts—Continued**

**EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued**

**ADMINISTRATIVE EXPENSES—Continued**

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 83-0100-0-1-155	1997 actual	1998 est.	1999 est.
Direct loan levels supportable by subsidy budget authority:			
1150 Direct loans	1,474	2,635	1,325
1150 Direct grants	76	145	71
1159 Total direct loan levels	1,550	2,780	1,396
Direct loan subsidy (in percent):			
1320 Direct loans	1.83	2.16	1.89
1320 Direct grants	22.52	28.30	28.30
1329 Weighted average subsidy rate	2.84	3.53	3.22
Direct loan subsidy budget authority:			
1330 Direct loans	27	57	25
1330 Direct grants	17	41	20
1339 Total subsidy budget authority	44	98	45
Direct loan subsidy outlays:			
1340 Direct loans	140	106	59
1340 Direct grants	5	4	11
1349 Total subsidy outlays	145	110	70
Guaranteed loan levels supportable by subsidy budget authority:			
2150 Loan guarantees	10,610	12,367	15,401
2159 Total loan guarantee levels	10,610	12,367	15,401
Guaranteed loan subsidy (in percent):			
2320 Guaranteed Loans	7.51	6.20	5.86
2329 Weighted average subsidy rate	7.51	6.20	5.86
Guaranteed loan subsidy budget authority:			
2330 Subsidy budget authority	744	767	903
2339 Total subsidy budget authority	744	767	903
Guaranteed loan subsidy outlays:			
2340 Subsidy outlays	414	458	553
2349 Total subsidy outlays	414	458	553
Administrative expense data:			
3510 Budget authority	47	49	51
3590 Outlays	44	41	51

The purpose of the Export-Import Bank (Eximbank) is to aid in the financing and promotion of U.S. exports. To accomplish its objectives, the bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and, provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The bank provides its export credit support through direct loan, loan guarantee and insurance programs. The bank is actively assisting small- and medium-sized businesses.

The bank's request for administrative expenses for 1999 is \$51.9 million, of which \$2 million will be used specifically to cover costs associated with the renovation of the GSA-owned building occupied by the bank.

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

**Object Classification (in millions of dollars)**

Identification code 83-0100-0-1-155	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent	26	30	30
12.1 Civilian personnel benefits	5	6	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	4	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services	4	5	6
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	1	2
41.0 Grants, subsidies, and contributions	841	865	948
99.9 Total obligations	885	914	999

**Personnel Summary**

Identification code 83-0100-0-1-155	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	415	427	427

**DEBT REDUCTION FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 83-4028-0-3-155	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Payment to liquidating account		71	39
00.02 Interest on Treasury borrowing		4	6
10.00 Total obligations		75	45
<b>Budgetary resources available for obligation:</b>			
22.00 New financing authority (gross)		75	45
23.95 New obligations		-75	-45
<b>New financing authority (gross), detail:</b>			
67.15 Authority to borrow (indefinite)		66	24
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		9	21
70.00 Total new financing authority (gross)		75	45
<b>Change in unpaid obligations:</b>			
73.10 New obligations		75	45
73.20 Total financing disbursements (gross)		-75	-45
87.00 Total financing disbursements (gross)		75	45
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
88.00 Offsetting collections (cash) from: Federal sources		-9	-21
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority		66	24
90.00 Financing disbursements		66	24

**Status of Direct Loans (in millions of dollars)**

Identification code 83-4028-0-3-155	1997 actual	1998 est.	1999 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year			500
1233 Disbursements: Purchase of loans assets from a liquidating account		500	234
1290 Outstanding, end of year		500	734

**Balance Sheet (in millions of dollars)**

Identification code 83-4028-0-3-155	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross			500	234
1405 Allowance for subsidy cost (-)			-437	-216
1499 Net present value of assets related to direct loans			63	18

1999	Total assets .....	63	18
<b>LIABILITIES:</b>			
2103	Federal liabilities: Debt .....	63	18
2999	Total liabilities .....	63	18
4999	Total liabilities and net position .....	63	18

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

## EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 83-4161-0-3-155	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
00.01	Direct loans .....	1,549	2,780	1,396
00.02	Interest on Treasury borrowing .....	188	234	269
00.05	Payment to negative subsidy receipt account .....	5	34	34
10.00	Total obligations .....	1,742	3,048	1,699
<b>Budgetary resources available for obligation:</b>				
22.00	New financing authority (gross) .....	1,361	2,881	1,617
22.10	Resources available from recoveries of prior year obligations .....	381	167	84
23.90	Total budgetary resources available for obligation .....	1,742	3,048	1,701
23.95	New obligations .....	-1,742	-3,048	-1,699
<b>New financing authority (gross), detail:</b>				
67.15	Authority to borrow (indefinite) .....	343	2,214	749
<b>Spending authority from offsetting collections:</b>				
68.00	Offsetting collections (cash) .....	1,077	773	898
68.10	Change in receivables from program account .....	-59	-106	-30
68.90	Spending authority from offsetting collections (total) .....	1,018	667	868
70.00	Total new financing authority (gross) .....	1,361	2,881	1,617
<b>Change in unpaid obligations:</b>				
<b>Unpaid obligations, start of year:</b>				
72.40	Obligated balance: Uninvested .....	4,161	4,093	5,770
72.95	Receivables from program account .....	531	472	366
72.99	Total unpaid obligations, start of year .....	4,692	4,565	6,136
73.10	New obligations .....	1,742	3,048	1,699
73.20	Total financing disbursements (gross) .....	-1,488	-1,310	-1,416
73.45	Adjustments in unexpired accounts .....	-381	-167	-84
<b>Unpaid obligations, end of year:</b>				
74.40	Obligated balance: Uninvested .....	4,093	5,770	6,000
74.95	Receivables from program account .....	472	366	336
74.99	Total unpaid obligations, end of year .....	4,565	6,136	6,336
87.00	Total financing disbursements (gross) .....	1,488	1,310	1,416
<b>Offsets:</b>				
<b>Against gross financing authority and financing disbursements:</b>				
<b>Offsetting collections (cash) from:</b>				
88.00	Federal sources: payment from program account .....	-140	-106	-59
88.25	Interest on uninvested funds .....	-42		
<b>Non-Federal sources:</b>				
88.40	Repayments and prepayments .....	-698	-328	-450
88.40	Fees and interest on loans .....	-197	-339	-389
88.90	Total, offsetting collections (cash) .....	-1,077	-773	-898
88.95	Change in receivables from program accounts .....	59	106	30
<b>Net financing authority and financing disbursements:</b>				
89.00	Financing authority .....	343	2,214	749
90.00	Financing disbursements .....	411	537	518

## Status of Direct Loans (in millions of dollars)

Identification code 83-4161-0-3-155	1997 actual	1998 est.	1999 est.	
<b>Position with respect to appropriations act limitation on obligations:</b>				
1111	Limitation on direct loans .....			
1131	Direct loan obligations exempt from limitation .....	1,549	2,780	1,396
1150	Total direct loan obligations .....	1,549	2,780	1,396
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	2,986	3,736	4,409
1231	Disbursements: Direct loan disbursements .....	1,331	1,042	1,113
1251	Repayments: Repayments and prepayments .....	-581	-369	-504
1290	Outstanding, end of year .....	3,736	4,409	5,018

## Balance Sheet (in millions of dollars)

Identification code 83-4161-0-3-155	1996 actual	1997 actual	1998 est.	1999 est.	
<b>ASSETS:</b>					
<b>Federal assets:</b>					
1101	Fund balances with Treasury .....	686	676	725	775
<b>Investments in US securities:</b>					
1106	Receivables, net .....	531	472	394	352
1206	Non-Federal assets: Receivables, net .....	36	10	15	20
<b>Net value of assets related to post-1991 direct loans receivable:</b>					
1401	Direct loans receivable, gross .....	2,272	3,736	4,409	5,018
1402	Interest receivable .....	10	63	74	84
1405	Allowance for subsidy cost (-) .....	-1,129	-1,078	-708	-806
1499	Net present value of assets related to direct loans .....	1,153	2,721	3,775	4,296
1803	Other Federal assets: Property, plant and equipment, net .....	3	3	4	5
1999	Total assets .....	2,409	3,882	4,913	5,448
<b>LIABILITIES:</b>					
<b>Federal liabilities:</b>					
2102	Interest payable .....	188	216	227	235
2103	Debt .....	2,736	3,140	3,307	3,427
<b>Non-Federal liabilities:</b>					
2201	Accounts payable .....	4	6	7	8
2207	Other .....	373	7	8	9
2999	Total liabilities .....	3,301	3,369	3,549	3,679
<b>NET POSITION:</b>					
3300	Cumulative results of operations .....	-892	513	1,364	1,769
3999	Total net position .....	-892	513	1,364	1,769
4999	Total liabilities and net position .....	2,409	3,882	4,913	5,448

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 1999.

## EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

Identification code 83-4162-0-3-155	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
00.01	Guarantee claims .....	74	279	426
00.05	Payment to negative subsidy receipt account .....	9	10	4
10.00	Total obligations .....	83	289	430
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested .....	2,004	3,141	3,909
22.00	New financing authority (gross) .....	1,220	1,057	1,467
23.90	Total budgetary resources available for obligation .....	3,224	4,198	5,376
23.95	New obligations .....	-83	-289	-430
24.40	Unobligated balance available, end of year:			
	Uninvested .....	3,141	3,909	4,946

**Credit accounts—Continued****EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT—Continued****Program and Financing (in millions of dollars)—Continued**

Identification code 83-4162-0-3-155	1997 actual	1998 est.	1999 est.
<b>New financing authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	1,220	1,057	1,467
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	83	289	430
73.20 Total financing disbursements (gross) .....	-83	-289	-430
87.00 Total financing disbursements (gross) .....	83	289	430
<b>Offsets:</b>			
Against gross financing authority and financing disbursements:			
Offsetting collections (cash) from:			
88.00 Payments from program account .....	-414	-458	-553
88.25 Interest on uninvested funds .....	-92	-235	-288
88.40 Fees and premiums .....	-714	-364	-626
88.90 Total, offsetting collections (cash) .....	-1,220	-1,057	-1,467
<b>Net financing authority and financing disbursements:</b>			
89.00 Financing authority .....			
90.00 Financing disbursements .....	-1,138	-768	-1,037

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 83-4162-0-3-155	1997 actual	1998 est.	1999 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lenders .....			
2131 Guaranteed loan commitments exempt from limitation .....	10,610	15,413	15,413
2150 Total guaranteed loan commitments .....	10,610	15,413	15,413
<b>Cumulative balance of guaranteed loans outstanding:</b>			
2210 Outstanding, start of year .....	14,584	19,743	20,072
2231 Disbursements of new guaranteed loans .....	10,670	10,102	10,693
2251 Repayments and prepayments .....	-5,437	-9,765	-10,658
2263 Adjustments: Terminations for default that result in claim payments .....	-74	-8	-12
2290 Outstanding, end of year .....	19,743	20,072	20,095
<b>Memorandum:</b>			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	19,743	15,410	15,433

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 1999.

**Balance Sheet (in millions of dollars)**

Identification code 83-4162-0-3-155	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	1,746	2,887	3,500	4,000
1206 Non-Federal assets: Receivables, net .....	840	757	850	900
1999 Total assets .....	2,586	3,644	4,350	4,900
<b>LIABILITIES:</b>				
Non-Federal liabilities:				
2201 Accounts payable .....	84	72	100	150
2204 Liabilities for loan guarantees .....	368	302	375	400
2207 Other .....	946	587	650	700
2999 Total liabilities .....	1,398	961	1,125	1,250
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	1,188	2,683	3,225	3,650

3999 Total net position .....	1,188	2,683	3,225	3,650
4999 Total liabilities and net position .....	2,586	3,644	4,350	4,900

**Public enterprise funds:****EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT****Program and Financing (in millions of dollars)**

Identification code 83-4027-0-3-155	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Interest expense-Federal Financing Bank .....	126	88	20
00.02 Interest on advances under letters of credit and other expenses .....	6	2	2
00.06 Claim payments, gross .....	16	39	42
00.08 Claim recoveries .....	-47		
10.00 Total obligations .....	101	129	64
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	476	1,271	
22.00 New budget authority (gross) .....	1,300	903	793
22.10 Resources available from recoveries of prior year obligations .....	123		
Capital transfer to general fund:			
22.40 Capital transfer to general fund .....		-1,047	-322
22.40 Capital transfer to general fund (Debt Reduction) .....		-71	-39
22.60 Redemption of debt .....	-527	-927	-368
23.90 Total budgetary resources available for obligation .....	1,372	129	64
23.95 New obligations .....	-101	-129	-64
24.40 Unobligated balance available, end of year:			
Uninvested .....	1,271		

**New budget authority (gross), detail:**

Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (cash) .....	1,300	832	754
68.00 Offsetting collections (cash): Debt Reduction .....		71	39
68.90 Spending authority from offsetting collections (total) .....	1,300	903	793
70.00 Total new budget authority (gross) .....	1,300	903	793

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
Obligated balance:			
72.40 Uninvested .....	15	30	5
72.41 U.S. Securities: Par value .....	473	954	840
72.99 Total unpaid obligations, start of year .....	488	984	845
73.10 New obligations .....	101	129	64
73.20 Total outlays (gross) .....	-266	-268	-119
73.40 Adjustments in expired accounts .....	784		
73.45 Adjustments in unexpired accounts .....	-123		
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Uninvested .....	30	5	5
74.41 U.S. Securities: Par value .....	954	840	785
74.99 Total unpaid obligations, end of year .....	984	845	790

**Outlays (gross), detail:**

86.98 Outlays from permanent balances .....	266	268	119
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**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources: Debt Reduction .....		-71	-39
88.20 Interest on U.S. securities .....	-31	-41	-42
Non-Federal sources:			
88.40 Loans repaid .....	-772	-538	-504
88.40 Interest and fee revenue from loans .....	-460	-243	-198
88.40 Guarantee fees .....	-30	-10	-10
88.40 Insurance premiums .....	-7		
88.90 Total, offsetting collections (cash) .....	-1,300	-903	-793

**Net budget authority and outlays:**

89.00 Budget authority .....			
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90.00	Outlays .....	-1,034	-635	-674
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**Status of Direct Loans (in millions of dollars)**

Identification code 83-4027-0-3-155	1997 actual	1998 est.	1999 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	7,158	6,388	5,350
1231	Disbursements: Direct loan disbursements .....	2		
Repayments:				
Repayments and prepayments:				
1251	Repayments and prepayments .....	-772	-538	-504
1251	Repayments and prepayments: Debt Reduction .....		-71	-39
Write-offs for default:				
Other adjustments, net:				
1264	Other adjustments, net .....			
1264	Other adjustments, net: Debt Reduction .....		-429	-195
1290	Outstanding, end of year .....	6,388	5,350	4,612

**Status of Guaranteed Loans (in millions of dollars)**

Identification code 83-4027-0-3-155	1997 actual	1998 est.	1999 est.	
Cumulative balance of guaranteed loans outstanding:				
2210	Outstanding, start of year .....	3,201	2,368	1,752
2231	Disbursements of new guaranteed loans .....	13		
2251	Repayments and prepayments .....	-846	-616	-445
2290	Outstanding, end of year .....	2,368	1,752	1,307
Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year .....	2,368	1,752	1,307

**DATA ON DIRECT LOANS**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Undisbursed loan authorizations, end of year .....	4,779	6,341	6,276
Credit authorizations .....	1,473	3,046	1,231
Credit cancellations .....	170	441	183
Loan disbursements .....	1,334	1,042	1,113
Capitalized interest .....	60	61	79
Loan principal repayments .....	866	928	1,027
Loan write-offs .....	13	22	30
Loans outstanding, end of year .....	10,123	10,277	10,411

**DATA ON GUARANTEES**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Undisbursed balance, end of year .....	11,457	8,609	10,247
Authorizations .....	7,761	9,488	10,592
Cancellations .....	2,986	2,211	565
Shipments .....	8,743	10,125	8,389
Principal repayments .....	4,372	4,954	10,062
Outstanding balance, end of year .....	20,656	25,827	24,154

**DATA ON INSURANCE**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Undisbursed balance, end of year .....	5,184	4,028	4,908
Authorizations .....	2,849	4,386	4,903
Cancellations .....	1,952	993	254
Shipments .....	1,940	4,549	3,769
Principal repayments .....	2,177	2,045	4,255
Outstanding balance, end of year .....	1,249	3,753	3,267

**DATA ON GRANT PORTION OF TIED-AID CREDIT**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Grant portion of tied-aid credit .....	17	41	20
Estimated outlays .....	5	4	11

**POSITION WITH RESPECT TO LENDING, GUARANTEE AND INSURANCE AUTHORITY**

[In millions of dollars]

	1996 actual	1997 actual	1998 est.	1999 est.
Statutory authority .....	75,000	75,000	75,000	75,000
Charges against authority:				
Loan Program:				
Loans Outstanding .....	7,888	10,123	10,277	10,411

Loans Undisbursed .....	4,809	4,779	6,341	6,276
Outstanding Claims .....	3,690	3,363	3,038	2,713
Subtotal .....	16,387	18,265	19,656	19,400
Export guarantees and insurance program:				
Export Credit Insurance .....	7,713	6,433	7,781	8,175
Export Credit Guarantees .....	31,709	32,113	34,436	34,401
Subtotal .....	39,422	38,546	42,217	42,576
Total Charges against authority .....	55,809	56,811	61,873	61,976
Unused Authority .....	19,191	18,189	13,127	13,024

**Operating results and financial condition.**—The bank is a wholly owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury, and the bank is authorized to borrow up to \$6 billion from the Treasury. The bank pays interest on such borrowings.

The bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The bank's net operating income was \$391 million in 1997. Total Government equity in the corporation was \$2,830 million on September 30, 1997.

**Statement of Operations (in millions of dollars)**

Identification code 83-4027-0-3-155	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	521	521	280	265
0102 Expense .....	-132	-132	-130	-60
0109 Net income .....	389	389	150	205

**Balance Sheet (in millions of dollars)**

Identification code 83-4027-0-3-155	1996 actual	1997 actual	1998 est.	1999 est.	
<b>ASSETS:</b>					
Federal assets:					
1101	Fund balances with Treasury .....	75	27	5	5
Investments in US securities:					
1102	Treasury securities, par .....	473	954	840	785
1206	Non-Federal assets: Receivables, net .....	15	16	5	5
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:					
Direct loans, gross:					
1601	Direct loans, gross .....	5,511	6,388	5,850	5,346
1601	Direct loans, gross [Debt Reduction] .....			-500	-735
1602	Interest receivable .....	47	59	54	50
1603	Allowance for estimated uncollectible loans and interest (-) .....	-889	-934	-1,000	-1,200
1699	Value of assets related to direct loans .....	4,669	5,513	4,404	3,461
1701	Defaulted guaranteed loans, gross .....	2,435	811	780	750
1702	Interest receivable .....	21	7	6	6
1703	Allowance for estimated uncollectible loans and interest (-) .....	-877	-800	-850	-900
1704	Defaulted guaranteed loans and interest receivable, net .....	1,579	18	-64	-144
1799	Value of assets related to loan guarantees .....	1,579	18	-64	-144
1999	Total assets .....	6,811	6,528	5,190	4,112

**Public enterprise funds—Continued**

**EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING  
ACCOUNT—Continued**

**Balance Sheet (in millions of dollars)—Continued**

Identification code 83-4027-0-3-155	1996 actual	1997 actual	1998 est.	1999 est.
<b>LIABILITIES:</b>				
Federal liabilities:				
2102 Interest payable .....	14	11	3	
2103 Debt .....	1,821	1,295	368	
Non-Federal liabilities:				
2202 Interest payable .....	1	1	1	1
2203 Debt .....	20	7	10	10
2204 Liabilities for loan guarantees .....	269	141	130	130
2207 Other .....	1,000	516	500	500
2999 Total liabilities .....	3,125	1,971	1,012	641
<b>NET POSITION:</b>				
3100 Appropriated capital .....	103	30	20	15
3200 Invested capital .....	1,000	1,000	1,000	1,000
Cumulative results of operations:				
3300 Cumulative results of operations .....	2,583	3,527	3,658	3,191
3300 Cumulative results of operations [Debt Reduction] .....			-500	-735
3999 Total net position .....	3,686	4,557	4,178	3,471
4999 Total liabilities and net position .....	6,811	6,528	5,190	4,112

As required by the Federal Credit Reform Act of 1990, this account records, for Eximbank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

**Object Classification (in millions of dollars)**

Identification code 83-4027-0-3-155	1997 actual	1998 est.	1999 est.
33.0 Investments and loans .....	-31	39	42
43.0 Interest and dividends .....	132	90	22
99.9 Total obligations .....	101	129	64

**FARM CREDIT ADMINISTRATION**

**Federal Funds**

**Public enterprise funds:**

**[LIMITATION OF ADMINISTRATIVE EXPENSES] REVOLVING FUND FOR  
ADMINISTRATIVE EXPENSES**

[Not to exceed \$34,423,000 (from assessments collected from farm credit institutions and from the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: *Provided*, That this limitation shall not apply to expenses associated with receiverships.] (*Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 78-4131-0-3-351	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program .....	33	35	36
09.01 Reimbursable program-refunds to clients .....		6	
10.00 Total obligations .....	33	41	36
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested .....		-5	
21.41 U.S. Securities: Par value .....	3	14	3
21.99 Total unobligated balance, start of year .....	3	9	3
22.00 New budget authority (gross) .....	36	35	36

22.10 Resources available from recoveries of prior year obligations .....	3		
23.90 Total budgetary resources available for obligation .....	42	44	39
23.95 New obligations .....	-33	-41	-36
Unobligated balance available, end of year:			
24.40 Uninvested .....	-5		
24.41 U.S. Securities: Par value .....	14	3	3
24.99 Total unobligated balance, end of year .....	9	3	3

**New budget authority (gross), detail:**

68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	36	35	36

**Change in unpaid obligations:**

Unpaid obligations, start of year:			
Obligated balance:			
72.40 Uninvested .....	1	6	6
72.41 U.S. Securities: Par value .....	8		
72.99 Total unpaid obligations, start of year .....	9	6	6
73.10 New obligations .....	33	41	36
73.20 Total outlays (gross) .....	-33	-41	-36
73.45 Adjustments in unexpired accounts .....	-3		
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Uninvested .....	6	6	6
74.41 U.S. Securities: Par value .....			
74.99 Total unpaid obligations, end of year .....	6	6	6

**Outlays (gross), detail:**

86.97 Outlays from new permanent authority .....	33	35	36
86.98 Outlays from permanent balances .....		6	
87.00 Total outlays (gross) .....	33	41	36

**Offsets:**

Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-36	-35	-36

**Net budget authority and outlays:**

89.00 Budget authority .....			
90.00 Outlays .....	-3	6	

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness. The System is a cooperative agricultural credit system of farm credit banks and associations that lends to farmers, ranchers, and their cooperatives. Beginning in 1990, the FCA also performs annual examinations of the Federal Agricultural Mortgage Corporation. In addition, FCA annually examines The National Consumer Cooperative Bank and its affiliate, The NCCB Development Corporation.

As of October 1, 1997, the System is comprised of six Farm Credit Banks, one Agricultural Credit Bank, one bank for cooperatives, 206 associations, five service corporations, and three related institutions, including the Federal Agricultural Mortgage Corporation. The Agricultural Credit Bank and bank for cooperatives lend to eligible cooperative borrowers nationwide.

Assessments based upon estimated administrative expenses are collected from institutions in the System and the Federal Agricultural Mortgage Corporation and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the Farm Credit Administration Board.

**Balance Sheet (in millions of dollars)**

Identification code 78-4131-0-3-351	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	1	1	6	6
Investments in US securities:				
1102 Treasury securities, par .....	11	14	3	3
1207 Non-Federal assets: Advances and pre-payments .....				

1803	Other Federal assets: Property, plant and equipment, net .....	2	1		
1999	Total assets .....	14	16	9	9
<b>LIABILITIES:</b>					
2101	Federal liabilities: Accounts payable .....				
2201	Non-Federal liabilities: Accounts payable .....	13	14	6	7
2999	Total liabilities .....	13	14	6	7
<b>NET POSITION:</b>					
3100	Appropriated capital .....	1	2	3	2
3999	Total net position .....	1	2	3	2
4999	Total liabilities and net position .....	14	16	9	9

**Object Classification (in millions of dollars)**

Identification code 78-4131-0-3-351	1997 actual	1998 est.	1999 est.	
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	21	21	22
11.5	Other personnel compensation .....	2	2	3
11.9	Total personnel compensation .....	23	23	25
12.1	Civilian personnel benefits .....	5	5	5
21.0	Travel and transportation of persons .....	2	2	2
25.2	Other services .....	1	2	2
31.0	Equipment .....	1	1	1
44.0	Refunds .....		6	
99.0	Subtotal, reimbursable obligations .....	32	39	35
99.5	Below reporting threshold .....	1	2	1
99.9	Total obligations .....	33	41	36

**Personnel Summary**

Identification code 78-4131-0-3-351	1997 actual	1998 est.	1999 est.	
2001	Total compensable workyears: Full-time equivalent employment .....	318	311	318

**FARM CREDIT SYSTEM FINANCIAL ASSISTANCE CORPORATION**

**FINANCIAL ASSISTANCE CORPORATION ASSISTANCE FUND, LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 78-4134-0-3-351	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
00.02	Interest expenses .....	117	117	117
10.00	Total obligations (object class 43.0) .....	117	117	117
<b>Budgetary resources available for obligation:</b>				
Unobligated balance available, start of year:				
U.S. Securities:				
21.41	Par value .....	820	891	962
21.42	Unrealized discounts .....	-361	-387	-373
21.99	Total unobligated balance, start of year .....	459	504	589
22.00	New budget authority (gross) .....	162	202	208
23.90	Total budgetary resources available for obligation .....	621	706	797
23.95	New obligations .....	-117	-117	-117
Unobligated balance available, end of year:				
U.S. Securities:				
24.41	Par value .....	891	962	1,033
24.42	Unrealized discounts .....	-387	-373	-353
24.99	Total unobligated balance, end of year .....	504	589	680
<b>New budget authority (gross), detail:</b>				
68.00	Spending authority from offsetting collections (gross):			
	Offsetting collections (cash) .....	162	202	208
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	117	117	117
73.20	Total outlays (gross) .....	-117	-117	-117

<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	117	117	117
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-10	-8	-3
88.20	Interest on U.S. securities .....	-35	-39	-45
88.40	Non-Federal sources .....	-117	-155	-160
88.90	Total, offsetting collections (cash) .....	-162	-202	-208
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-45	-85	-91

**Status of Direct Loans (in millions of dollars)**

Identification code 78-4134-0-3-351	1997 actual	1998 est.	1999 est.	
<b>Position with respect to appropriations act limitation on obligations:</b>				
1111	Limitation on direct loans .....			
1150	Total direct loan obligations .....			
<b>Cumulative balance of direct loans outstanding:</b>				
1210	Outstanding, start of year .....	1,167	1,132	1,055
1231	Disbursements: Direct loan disbursements .....	127	125	120
1251	Repayments: Repayments and prepayments .....	-162	-202	-208
1290	Outstanding, end of year .....	1,132	1,055	967

The Farm Credit System Financial Assistance Corporation (FAC) was created by the Agricultural Credit Act of 1987 to provide funds to System institutions experiencing financial difficulties. Authority for FAC to issue obligations and provide assistance expired in 1992, after \$1.26 billion in FAC debt had been issued. Proceeds of FAC debt issuances were paid into, and amounts for assistance and other expenses were paid from, the FAC Assistance Fund. The FAC was re-classified from a Government-sponsored enterprise to a federal entity beginning in 1993, when most of the private capital in FAC, provided by the System, was rebated from the FAC Trust Fund pursuant to the Reconciliation and Agriculture Appropriations Acts of 1989.

Except for debt issued for Capital Preservation cash-outs, the U.S. Treasury pays all the interest on 15-year, uncollateralized FAC obligations in the first five years, and up to half the interest in the second five years. The system is responsible for a greater share of the interest payment in the second five years if retained earnings exceed five percent of assets. FAC estimates that the system will pay 91 percent of the 1997 expense, 93 percent of the 1998 expense, and 97 percent of the 1999 expense. The System is required to eventually reimburse Treasury for these payments and will redeem FAC debt upon maturity or call. Under the terms of the Act, no interest payments will be made by Treasury after the year 2000.

The FAC Trust Fund holds and rebates the private capital contributed by the System. Remaining amounts in the Trust Fund are available to cover System defaults on FAC principal and interest payments.

**Trust Funds**

**FINANCIAL ASSISTANCE CORPORATION TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 78-8202-0-7-351	1997 actual	1998 est.	1999 est.	
<b>Balance, start of year:</b>				
01.99	Balance, start of year .....			
<b>Receipts:</b>				
02.02	Interest on investments .....	6	6	7
<b>Appropriation:</b>				
05.01	Financial assistance corporation trust fund .....	-6	-6	-7

## FINANCIAL ASSISTANCE CORPORATION TRUST FUND—Continued

## Unavailable Collections (in millions of dollars)—Continued

Identification code 78-8202-0-7-351	1997 actual	1998 est.	1999 est.
07.99 Total balance, end of year			

## Program and Financing (in millions of dollars)

Identification code 78-8202-0-7-351	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.41 Par value	109	109	109
21.42 Unrealized discounts	-24	-18	-12
21.99 Total unobligated balance, start of year	85	91	97
22.00 New budget authority (gross)	6	6	7
23.90 Total budgetary resources available for obligation	91	97	104
Unobligated balance available, end of year:			
U.S. Securities:			
24.41 Par value	109	109	109
24.42 Unrealized discounts	-18	-12	-5
24.99 Total unobligated balance, end of year	91	97	104
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	6	6	7
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	6	6	7
90.00 Outlays			

## FARM CREDIT SYSTEM INSURANCE CORPORATION

## Federal Funds

## Public enterprise funds:

## FARM CREDIT SYSTEM INSURANCE FUND

## Program and Financing (in millions of dollars)

Identification code 78-4171-0-3-351	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.00 Reimbursable program	2	2	2
10.00 Total obligations	2	2	2
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
Uninvested			
21.40	113	113	63
U.S. Securities:			
21.41 Par value	1,026	1,170	1,306
21.42 Unrealized discounts	-8	-10	-8
21.99 Total unobligated balance, start of year	1,131	1,273	1,361
22.00 New budget authority (gross)	144	90	82
23.90 Total budgetary resources available for obligation	1,275	1,363	1,443
23.95 New obligations	-2	-2	-2
Unobligated balance available, end of year:			
Uninvested			
24.40	113	63	66
U.S. Securities:			
24.41 Par value	1,170	1,306	1,383
24.42 Unrealized discounts	-10	-8	-8
24.99 Total unobligated balance, end of year	1,273	1,361	1,441
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	144	90	82
<b>Change in unpaid obligations:</b>			
74.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	-113	-111	-111
73.10 New obligations	2	2	2
73.20 Total outlays (gross)	-2	-2	-2

74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	-111	-111	-111

## Outlays (gross), detail:

86.97 Outlays from new permanent authority	2	2	2
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## Offsets:

## Against gross budget authority and outlays:

Offsetting collections (cash) from:			
88.20 Interest on U.S. securities			
	-68	-73	-82
88.40 Non-Federal sources			
	-76	-17	
88.90 Total, offsetting collections (cash)	-144	-90	-82

## Net budget authority and outlays:

89.00 Budget authority			
90.00 Outlays	-142	-88	-80

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same members as the Farm Credit Administration Board of Directors. The Corporation collects insurance premiums from insured System banks based on the level of accruing and non-accruing loans outstanding in each bank and its affiliated associations' loan portfolio. The Corporation derives its revenues from these yearly premiums and from the investment income earned on its investment portfolio. Congress established a secure base amount of 2 percent of outstanding System obligations, or such other amounts determined by its Board of Directors to be actuarially sound to maintain the Insurance Fund. The Corporation expects to achieve the secure base amount during 1998, at which time premium collections will be discontinued.

The Insurance Fund is available for payment on System obligations if an insured System bank defaults on its primary liability. The Insurance Fund is also available to ensure the timely retirement of certain eligible borrower stock, pay the operating costs of the Corporation and satisfy defaults by system institutions on obligations issued by the FAC after amounts in the FAC Trust Fund are exhausted. The Corporation can exercise its authority to make loans, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated before 2005.

## Statement of Operations (in millions of dollars)

Identification code 78-4171-0-3-351	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue	144	143	91	82
0102 Expense	-10	-10	-12	-12
0109 Net income or loss (-)	134	133	79	70

## Balance Sheet (in millions of dollars)

Identification code 78-4171-0-3-351	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury				
Investments in US securities:				
1102 Treasury securities, par				
	1,026	1,170	1,306	1,383
Non-Federal assets:				
Receivables, net:				
1206 Accrued interest receivable				
	18	21	20	21
1206 Premium receivable				
	63	52		
1901 Other Federal assets: Other assets				
		29	35	37
1999 Total assets	1,107	1,272	1,361	1,441
<b>LIABILITIES:</b>				
2207 Non-Federal liabilities: Other				
		137	147	157
2999 Total liabilities		137	147	157

NET POSITION:					
3100	Appropriated capital .....	1,107	1,135	1,214	1,284
3999	Total net position .....	1,107	1,135	1,214	1,284
4999	Total liabilities and net position .....	1,107	1,272	1,361	1,441

Object Classification (in millions of dollars)

Identification code 78-4171-0-3-351					
		1997 actual	1998 est.	1999 est.	
11.1	Reimbursable obligations: Personnel compensation:				
	Full-time permanent .....	1	1	1	1
99.5	Below reporting threshold .....	1	1	1	1
99.9	Total obligations .....	2	2	2	2

Personnel Summary

Identification code 78-4171-0-3-351					
		1997 actual	1998 est.	1999 est.	
2001	Total compensable workyears: Full-time equivalent employment .....	10	10	10	

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901-02; not to exceed \$600,000 for land and structure; not to exceed \$500,000 for improvement and care of grounds and repair to buildings; not to exceed \$4,000 for official reception and representation expenses; purchase (not to exceed sixteen) and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109; **[\$186,514,000] \$212,977,000**, of which not to exceed \$300,000 shall remain available until September 30, **[1999] 2000**, for research and policy studies: *Provided*, That **[\$162,523,000] \$172,523,000** of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, as amended, and shall be **[retained and used] available without further appropriation on October 1, 1999** for necessary expenses in this appropriation, and shall remain available until expended: **[Provided further**, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year 1998 so as to result in a final fiscal year 1998 appropriation estimated at \$23,991,000: **Provided further**, That any offsetting collections received in excess of **[\$162,523,000 in fiscal year 1998] \$172,523,000** shall remain available until expended, but shall not be available for obligation until October 1, **[1998] 1999**: *Provided further*, That the Communications Act of 1934, as amended, is further amended in section 309(j)(4)(A) (47 U.S.C. 309(j)), by deleting "or guaranteed installment payments", and in section 301 (47 U.S.C. 301), by adding at the end of the first sentence the following: "No such license (or Commission action, rights, or authority in connection with such license) shall be subject to sections 352(l) or 541(a) of title 11, United States Code.": **Provided further**, That section 3002(b) of the Balanced Budget Act of 1997 (Pub. L. No. 105-33) is amended by striking "after January 1, 2001", and the Communications Act is further amended in section 337(b) (47 U.S.C. 337(b)), by deleting paragraph (2). These two provisos shall be scored pursuant to section 10213(2) of the Balanced Budget Act of 1997. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1998.)

Unavailable Collections (in millions of dollars)

Identification code 27-0100-0-1-376					
		1997 actual	1998 est.	1999 est.	
Balance, start of year:					
01.99	Balance, start of year .....				
03.00	Offsetting collections .....				173
07.99	Total balance, end of year .....				173

Program and Financing (in millions of dollars)

Identification code 27-0100-0-1-376				
		1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>				
Direct program:				
00.01	Authorization of service .....	35	24	46
00.02	Policy and rulemaking .....			88
00.03	Enforcement .....			61
00.04	Public information services .....			18
01.00	Total direct program .....	35	24	213
09.00	Reimbursable program .....	188	224	33
10.00	Total obligations .....	223	248	246
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested .....	8	10	
22.00	New budget authority (gross) .....	223	248	246
22.30	Unobligated balance expiring .....		-10	
23.90	Total budgetary resources available for obligation .....	231	248	246
23.95	New obligations .....	-223	-248	-246
24.40	Unobligated balance available, end of year:			
	Uninvested .....	10		
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	36	24	213
40.35	Appropriation rescinded .....	-1		
43.00	Appropriation (total) .....	35	24	213
Permanent:				
Spending authority from offsetting collections:				
Offsetting collections (cash):				
68.00	Spending authority from offsetting collections (reimbursable Federal) .....	31	56	33
68.00	Spending authority from offsetting collections (regulatory fees) .....	157	168	173
68.45	Portion not available for obligation (limitation on obligations) .....			-173
68.90	Spending authority from offsetting collections (total) .....	188	224	33
70.00	Total new budget authority (gross) .....	223	248	246
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	33	41	42
73.10	New Obligations .....	223	248	246
73.20	Total outlays (gross) .....	-213	-247	-245
73.40	Adjustments in expired accounts .....	-3		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	41	42	44
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	25	23	211
86.93	Outlays from current balances .....			1
86.97	Outlays from new permanent authority .....	188	224	33
87.00	Total outlays (gross) .....	213	247	245
<b>Offsets:</b>				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-7	-25	-6
Non-Federal sources:				
88.40	Cost of conducting spectrum auctions .....	-24	-31	-27
88.40	Regulatory fees .....	-157	-168	-173
88.90	Total, offsetting collections (cash) .....	-188	-224	-206
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	35	24	40
90.00	Outlays .....	25	23	39

**Authorization of Service.**—This activity includes: (1) the authorization or licensing of radio stations, telecommunications equipment and radio operators; (2) the authorization of common carrier and other services and facilities; (3) policy direction, program development, legal services, and executive direction; and (4) support services associated with authorization activities.

General and special funds—Continued

SALARIES AND EXPENSES—Continued

**Policy and Rule Making.**—This activity includes: (1) formal inquiries, rule making proceedings to establish or amend the Federal Communications Commission's (FCC or Commission) rules and regulations, action on petitions for rule making and requests for rule interpretations or waivers; (2) economic studies and analyses; (3) spectrum planning, modeling, propagation-interference analyses and allocation; (4) development of equipment standards; and, (5) policy direction, program development, legal services, and executive direction, as well as support services associated with policy and rule making activities.

**Enforcement.**—This activity includes: (1) enforcement of the Commission's rules, regulations and authorizations, including investigations, inspections, compliance monitoring and sanctions of all types; (2) the receipt and disposition of formal and informal complaints regarding common carrier rates and services, the review and acceptance/rejection of carrier tariffs, and the review, prescription and audit of carrier accounting practices; and, (3) policy direction, program development, legal services, and executive direction, as well as support services associated with enforcement activities.

**Public Information Services.**—This activity includes: (1) the publication and dissemination of Commission decisions and actions, and related activities; (2) public reference and library services; (3) the duplication and dissemination of Commission records and databases; (4) the receipt and disposition of public inquiries; (5) consumer, small business and public assistance; (6) public affairs and media relations; and, (7) policy direction, program development, legal services, and executive direction, as well as support services associated with public information activities.

Object Classification (in millions of dollars)				
Identification code 27-0100-0-1-376	1997 actual	1998 est.	1999 est.	
Direct obligations:				
Personnel compensation:				
11.1 Full-time permanent .....	19	14	122	
11.3 Other than full-time permanent .....	3	2	3	
11.9 Total personnel compensation .....	22	16	125	
12.1 Civilian personnel benefits .....	5	3	26	
21.0 Travel and transportation of persons .....			2	
23.1 Rental payments to GSA .....	3	1	24	
23.3 Communications, utilities, and miscellaneous charges .....	1	2	7	
24.0 Printing and reproduction .....			1	
25.2 Other services .....	2	1	7	
25.3 Purchases of goods and services from Government accounts .....			8	
25.7 Operation and maintenance of equipment .....	1	1	6	
26.0 Supplies and materials .....			2	
31.0 Equipment .....	1		5	
99.0 Subtotal, direct obligations .....	35	24	213	
99.0 Reimbursable obligations .....	188	224	33	
99.9 Total obligations .....	223	248	246	

Personnel Summary

Identification code 27-0100-0-1-376	1997 actual	1998 est.	1999 est.	
Direct:				
1001 Total compensable workyears: Full-time equivalent employment .....	385	263	2,000	
Reimbursable:				
2001 Total compensable workyears: Full-time equivalent employment .....	1,647	1,837	100	

UNIVERSAL SERVICE FUND

Unavailable Collections (in millions of dollars)				
Identification code 27-5183-0-2-376	1997 actual	1998 est.	1999 est.	
Balance, start of year:				
01.99 Balance, start of year .....				
Receipts:				
02.01 Universal service fund .....	1,035	3,306	7,096	
Appropriation:				
05.01 Universal service fund .....	-1,035	-3,306	-7,096	
07.99 Total balance, end of year .....				
Program and Financing (in millions of dollars)				
Identification code 27-5183-0-2-376	1997 actual	1998 est.	1999 est.	
Obligations by program activity:				
10.00 Total obligations (object class 41.0) .....	1,001	3,336	7,096	
Budgetary resources available for obligation:				
21.40 Unobligated balance available, start of year:				
Uninvested .....		30		
22.00 New budget authority (gross) .....	1,031	3,306	7,096	
23.90 Total budgetary resources available for obligation .....	1,031	3,336	7,096	
23.95 New obligations .....	-1,001	-3,336	-7,096	
24.40 Unobligated balance available, end of year:				
Uninvested .....	30			
New budget authority (gross), detail:				
60.25 Appropriation (special fund, indefinite) .....	1,035	3,306	7,096	
60.47 Portion applied to debt reduction .....	-4			
63.00 Appropriation (total) .....	1,031	3,306	7,096	
70.00 Total new budget authority (gross) .....	1,031	3,306	7,096	
Change in unpaid obligations:				
73.10 New obligations .....	1,001	3,336	7,096	
73.20 Total outlays (gross) .....	-1,001	-3,336	-7,096	
Outlays (gross), detail:				
86.97 Outlays from new permanent authority .....	1,001	3,306	7,096	
86.98 Outlays from permanent balances .....		30		
87.00 Total outlays (gross) .....	1,001	3,336	7,096	
Net budget authority and outlays:				
89.00 Budget authority .....	1,031	3,306	7,096	
90.00 Outlays .....	1,001	3,336	7,096	

\*Includes both inter- and intra-state funds.

The Telecommunications Act of 1996 provides for a major restructuring of the Nation's communications laws, promotes universal service and open access to information networks, and provides for flexible government regulations. Under the Act, telecommunications carriers that provide interstate telecommunications services are required to contribute funds, as prescribed by the FCC, to the preservation and advancement of universal service. The contributions are used to provide services eligible for universal service support as prescribed by the FCC. Telecommunications carriers receive a credit towards their contribution by providing discount service to schools, libraries, and health care providers. Support will also be provided to carriers offering services in high cost areas of the United States and to carriers offering services to low income consumers.

Credit accounts:

SPECTRUM AUCTION PROGRAM ACCOUNT

Unavailable Collections (in millions of dollars)				
Identification code 27-0300-0-1-376	1997 actual	1998 est.	1999 est.	
Balance, start of year:				
01.99 Balance, start of year .....				

Receipts:				
02.01	Spectrum auction subsidy fund .....	940	158	2
Appropriation:				
05.01	Spectrum auction program account .....	-940	-158	-2
07.99	Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 27-0300-0-1-376				
Obligations by program activity:				
00.01	Direct loan subsidy .....	898	3,293	
00.02	Administrative expenses .....	2	2	2
00.03	Auction expenses .....	40		
10.00	Total obligations .....	940	3,295	2

Budgetary resources available for obligation:				
22.00	New budget authority (gross) .....	940	3,295	2
23.95	New obligations .....	-940	-3,295	-2

New budget authority (gross), detail:				
60.05	Appropriation (indefinite) .....		3,137	
60.25	Appropriation (special fund, indefinite) .....	940	158	2
63.00	Appropriation (total) .....	940	3,295	2
70.00	Total new budget authority (gross) .....	940	3,295	2

Change in unpaid obligations:				
73.10	New obligations .....	940	3,295	2
73.20	Total outlays (gross) .....	-940	-3,295	-2

Outlays (gross), detail:				
86.97	Outlays from new permanent authority .....	940	3,295	2

Net budget authority and outlays:				
89.00	Budget authority .....	940	3,295	2
90.00	Outlays .....	940	3,295	2

This program provides for direct loans for the purpose of spectrum licenses at the Federal Communications Commission's auctions. The licenses are being purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

Identification code 27-0300-0-1-376				
Direct loan levels supportable by subsidy budget authority:				
1150	Direct loan levels—C block .....	7,481	713	
1150	Direct loan levels—F block .....		510	
1159	Total direct loan levels .....	7,481	1,223	
Direct loan subsidy (in percent):				
1320	Subsidy rate—C block .....	12.00	50.00	
1320	Subsidy rate for F-block .....	0.00	12.00	
1329	Weighted average subsidy rate .....	12.00	34.15	
Direct loan subsidy budget authority:				
1330	Subsidy budget authority—C block .....	940	3,234	
1330	Subsidy budget authority—F block .....		61	
1339	Total subsidy budget authority .....	940	3,295	
Direct loan subsidy outlays:				
1340	Subsidy outlays .....	940	3,295	
1349	Total subsidy outlays .....	940	3,295	

**Object Classification (in millions of dollars)**

Identification code 27-0300-0-1-376				
11.1	Personnel compensation: Full-time permanent .....	1	1	1
25.2	Other services .....	1	1	1
41.0	Grants, subsidies, and contributions .....	938	3,293	
99.9	Total obligations .....	940	3,295	2

**Personnel Summary**

Identification code 27-0300-0-1-376				
1001	Total compensable workyears: Full-time equivalent employment .....	5	5	5

**SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

Identification code 27-4133-0-3-376				
Obligations by program activity:				
Operating expenses:				
00.01	Direct loans .....	7,481	713	
00.02	Interest paid to Treasury .....	563	333	308
10.00	Total obligations .....	8,044	1,046	308

Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year:			
	Uninvested .....		10	10
22.00	New financing authority (gross) .....	8,054	1,046	308
23.90	Total budgetary resources available for obligation	8,054	1,056	318
23.95	New obligations .....	-8,044	-1,046	-308
24.40	Unobligated balance available, end of year:			
	Uninvested .....	10	10	10

New financing authority (gross), detail:				
67.10	Authority to borrow .....	7,006	767	235
Spending authority from offsetting collections:				
Offsetting collections (cash):				
68.00	Interest payments .....	150	121	73
68.00	Original subsidy .....	898	158	
68.00	Revised subsidy .....		3,137	
68.00	Recoveries .....		669	317
68.47	Portion applied to debt reduction .....		-3,806	-317
68.90	Spending authority from offsetting collections (total) .....	1,048	279	73
70.00	Total new financing authority (gross) .....	8,054	1,046	308

Change in unpaid obligations:				
73.10	New obligations .....	8,044	1,046	308
73.20	Total financing disbursements (gross) .....	-8,044	-1,046	-308
87.00	Total financing disbursements (gross) .....	8,044	1,046	308

Offsets:				
Against gross financing authority and financing disbursements:				
Offsetting collections (cash) from:				
Federal sources:				
88.00	Original Subsidy .....	-898	-158	
88.00	Revised Subsidy .....		-3,137	
Non-Federal sources:				
88.40	Interest received on loans .....	-150	-121	-73
88.40	Recoveries .....		-669	-317
88.90	Total, offsetting collections (cash) .....	-1,048	-4,085	-390

Net financing authority and financing disbursements:				
89.00	Financing authority .....	7,006	-3,039	-82
90.00	Financing disbursements .....	6,996	-3,039	-82

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this

**Credit accounts—Continued****SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT—Continued**

account are a means of financing and are not included in the budget totals.

**Status of Direct Loans (in millions of dollars)**

Identification code 27-4133-0-3-376	1997 actual	1998 est.	1999 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....	7,481	713	
1150 Total direct loan obligations .....	7,481	713	
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	115	6,803	1,314
1231 Disbursements: Direct loan disbursements .....	7,481	713	
1263 Write-offs for default: Direct loans .....	-793	-6,202	-119
1290 Outstanding, end of year .....	6,803	1,314	1,195

**Balance Sheet (in millions of dollars)**

Identification code 27-4133-0-3-376	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Net value of assets related to post-1991 direct loans receivable:				
1401 Direct loans receivable, gross .....	115	6,803	1,314	1,195
1402 Interest receivable .....		413		
1404 Foreclosed property .....		708	3,260	119
1405 Allowance for subsidy cost (-) .....	-1	-803	-216	-130
1499 Net present value of assets related to direct loans .....	114	7,121	4,358	1,184
1999 Total assets .....	114	7,121	4,358	1,184
<b>LIABILITIES:</b>				
2103 Federal liabilities: Resources payable to Treasury .....	114	7,121	4,358	1,184
2999 Total liabilities .....	114	7,121	4,358	1,184
4999 Total liabilities and net position .....	114	7,121	4,358	1,184

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Offsetting receipts from the public:			
27-242900 Fees for services .....	38	38	38
27-247400 Auction receipts .....	10,066	2,058	1,831
General Fund Offsetting receipts from the public .....	10,104	2,096	1,869

The Administration is proposing legislation to repeal provisions of the Balanced Budget Agreement of 1997 which required spectrum auctions to be conducted in 2002. This proposal will facilitate the efficient deployment of the spectrum by the Federal Communications Commission which will maximize market value.

**FEDERAL DEPOSIT INSURANCE CORPORATION**

The Federal Deposit Insurance Corporation (FDIC or Corporation) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices. The Financial Institutions Reform Recovery and Enforcement Act of 1989 established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires the Cor-

poration to use the least costly method to resolve failed banks and mandates that the Corporation take prompt corrective action against under-capitalized financial institutions.

The deposit insurance ceiling protection has been \$100,000 since March 31, 1980. In order to accomplish its varied functions to protect depositors, the Corporation is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer. The Corporation is required to set assessment rates for insured financial institutions semi-annually to maintain the reserves of the BIF and SAIF at 1.25 percent of total insured deposits.

**Federal Funds****Public enterprise funds:****BANK INSURANCE FUND****Program and Financing (in millions of dollars)**

Identification code 51-4064-0-3-373	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Administrative expenses:			
00.01 Net corporate operating expenses .....	563	570	509
00.02 Net Office of inspector general expenses .....	14	16	16
00.03 Operating expenses on behalf of receiverships .....	259	286	256
00.91 Subtotal, administrative expenses .....	837	872	781
Capital investment:			
01.01 Purchase of assets .....	126	360	720
01.02 Case resolution losses .....		65	130
01.04 Other liquidation expenses .....	27	20	20
01.91 Subtotal, capital investment .....	153	445	870
10.00 Total obligations .....	990	1,317	1,651
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested .....	5	4	
U.S. Securities:			
21.41 Par value .....	22,094	26,289	27,994
21.42 Unrealized discounts .....	-329	-448	-777
21.99 Total unobligated balance, start of year .....	21,770	25,845	27,217
22.00 New budget authority (gross) .....	5,056	2,689	2,136
22.10 Resources available from recoveries of prior year obligations .....	9		
23.90 Total budgetary resources available for obligation .....	26,835	28,534	29,353
23.95 New obligations .....	-990	-1,317	-1,651
Unobligated balance available, end of year:			
24.40 Uninvested .....	4		
U.S. Securities:			
24.41 Par value .....	26,289	27,994	28,563
24.42 Unrealized discounts .....	-448	-777	-861
24.99 Total unobligated balance, end of year .....	25,845	27,217	27,702
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	5,056	2,689	2,136
<b>Change in unpaid obligations:</b>			
72.41 Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value .....	90	40	346
73.10 New obligations .....	990	1,317	1,651
73.20 Total outlays (gross) .....	-1,031	-1,011	-1,375
73.45 Adjustments in unexpired accounts .....	-9		
74.41 Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value .....	40	346	622
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	1,031	1,011	1,375
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities .....	-716	-1,350	-1,385
Non-Federal sources:			
88.40 Asset recoveries .....	-4,109	-1,031	-472
88.40 Premium assessments .....	-28	-22	-23

88.40	Reimbursement of operating expense by receiverships .....	-259	-286	-256
88.40	Other receipts .....	56		
88.90	Total, offsetting collections (cash) .....	-5,056	-2,689	-2,136
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-4,025	-1,678	-761

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
Enacted/requested:			
Budget Authority .....			
Outlays .....	-4,025	-1,678	-761
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			
Outlays .....		-6	-17
Legislative proposal, subject to PAYGO:			
Budget Authority .....			
Outlays .....			-89
Total:			
Budget Authority .....			
Outlays .....	-4,025	-1,684	-867

**Status of Direct Loans (in millions of dollars)**

	1997 actual	1998 est.	1999 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	100	100	100
1251 Repayments: Repayments and prepayments .....			
1290 Outstanding, end of year .....	100	100	100

The BIF, a public enterprise revolving fund, derives its income principally from insurance assessments paid by insured banks. The fund represents the accumulated net income of the BIF and is reserved for the protection of depositors in insured banks and for the payment of administrative and insurance expenses. As of September 1997, BIF's fund balance totaled \$28 billion, excluding reserves for future failed bank resolutions. The net worth of the BIF reached 1.25 percent of total insured deposits in May 1995.

The Federal Deposit Insurance Corporation Improvement Act of 1991 authorizes the FDIC to borrow up to \$30 billion from the Treasury to cover deposit insurance losses and provide additional loans from the Federal Financing Bank for working capital purposes. The BIF is not expected to borrow any of the \$30 billion line of credit from the Treasury or from the Federal Financing Bank to finance working capital needs.

**Statement of Operations (in millions of dollars)**

	1996 actual	1997 actual	1998 est.	1999 est.
Revenue:				
0101 Interest on Treasury securities .....	1,261	1,466	1,610	1,684
0101 Premium assessments .....	315	40	22	23
0101 Other .....	125	206	138	
Expense:				
0102 Administrative and operating expenses .....	-483	-574	-586	-525
0102 Interest and insurance expenses .....	-78	-68	-20	-20
0102 Expenses incurred in protecting depositors in banks .....	-285	599	-65	-130
0102 Other .....	-3	-3	-3	-8
0109 Net income or loss (-) .....	852	1,666	1,096	1,024
0191 Total revenues .....	1,701	1,712	1,770	1,707
0192 Total expenses .....	-849	-46	-674	-683
0199 Net income or loss .....	852	1,666	1,096	1,024

**Balance Sheet (in millions of dollars)**

	1996 actual	1997 actual	1998 est.	1999 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury .....	5	4	4	3
Investments in US securities:				
1102 Treasury securities, par .....	22,130	26,867	28,057	29,232
1106 Receivables, net .....	323	430	430	430
1206 Non-Federal assets: Receivables, net .....	31	19	20	20
Other Federal assets:				
1801 Cash and other monetary assets .....	57	10	10	8
1803 Property, plant and equipment, net .....	149	146	145	145
1901 Other assets .....	4,358	1,218	1,078	853
1999 Total assets .....	27,053	28,694	29,744	30,691
LIABILITIES:				
Federal liabilities:				
2101 Accounts payable .....	59	12	5	5
2104 Liabilities incurred in failed banks .....	184	35	96	96
Non-Federal liabilities:				
2201 Accounts payable .....	81	102	70	50
2206 Pension and other actuarial liabilities .....	390	468	290	87
Other:				
2207 Unearned revenue .....	14	5		
2207 Litigation losses .....	13	14	14	14
2207 Estimated Cost of Future Resolutions .....		10	114	195
2207 Corporate Assistance .....		49	49	49
2207 Estimated Liability for Securitization Guarantee .....		33	33	32
2999 Total liabilities .....	741	727	670	528
NET POSITION:				
3300 Cumulative results of operations .....	26,311	27,966	29,074	30,163
3999 Total net position <sup>1</sup> .....	26,311	27,966	29,074	30,163
4999 Total liabilities and net position .....	27,052	28,694	29,745	30,691

<sup>1</sup>Total net position does not include reserves for future bank resolution costs. The FDIC estimates reserves of \$10 million in 1997, \$114 million in 1998, \$195 million in 1999.

**Object Classification (in millions of dollars)**

	1997 actual	1998 est.	1999 est.
Identification code 51-4064-0-3-373			
11.1 Personnel compensation: Full-time permanent .....	380	384	341
12.1 Civilian personnel benefits .....	148	147	141
13.0 Benefits for former personnel .....	1	2	5
21.0 Travel and transportation of persons .....	43	52	45
22.0 Transportation of things .....	4		
23.2 Rental payments to others .....	42	30	26
23.3 Communications, utilities, and miscellaneous charges .....	18	17	17
24.0 Printing and reproduction .....	3	2	3
25.2 Other services .....	125	185	157
26.0 Supplies and materials .....	7	12	10
31.0 Equipment .....	34	16	11
32.0 Land and structures .....	12	2	2
43.0 Interest and dividends .....		1	2
Undistributed:			
92.0 Miscellaneous and liquidation expenses .....	27	20	20
92.0 Undistributed resolution outlays .....	126	360	719
92.0 Undistributed (Office of inspector general operating expenses) .....	20	22	23
92.0 Undistributed .....		65	129
99.9 Total obligations <sup>1</sup> .....	990	1,317	1,651

<sup>1</sup>Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

**Personnel Summary**

	1997 actual	1998 est.	1999 est.
Identification code 51-4064-0-3-373			
1001 Total compensable workyears: Full-time equivalent employment .....	6,020	5,798	5,086

**Public enterprise funds—Continued**

**BANK INSURANCE FUND  
(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 51-4064-2-3-373	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Administrative expenses:			
00.01 Net corporate operating expenses .....		-6	-13
10.00 Total obligations (object class 12.1) .....		-6	-13
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			4
23.95 New obligations .....		6	13
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....		6	17
<b>New budget authority (gross), detail:</b>			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Offsetting collections (State bank exam fees) .....			3
68.00 Offsetting collections (FEHB migration) .....			1
68.90 Spending authority from offsetting collections (total) .....			4
70.00 Total new budget authority (gross) .....			4
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....		-6	-13
73.20 Total outlays (gross) .....		6	13
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....		-6	-13
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Interest on U.S. securities:			
88.20 Interest on U.S. securities—State bank exam fees .....			-3
88.20 Interest on U.S. securities—FEHB migration .....			-1
88.90 Total, offsetting collections (cash) .....			-4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....		-6	-17

**Statement of Operations (in millions of dollars)**

Identification code 51-4064-2-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>Revenue:</b>				
0101 Interest on Treasury securities—State Bank Exam Fees .....				3
0101 Interest on Treasury Securities—FEHB Migration .....				1
0102 Expense (Migration to FEHB) .....			6	13
0109 Net income or loss (-) .....			6	17
0191 Total revenues .....				4
0192 Total expenses .....			6	13
0199 Net income or loss .....			6	17

**Balance Sheet (in millions of dollars)**

Identification code 51-4064-2-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....			6	17
1999 Total assets .....			6	17
<b>LIABILITIES:</b>				
2999 Total liabilities .....				
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....			6	17

3999 Total net position .....	6	17
4999 Total liabilities and net position .....	6	17

The Administration supports the transfer of health coverage for retirees and active employees within five years of retirement of the FDIC and the Board of Governors of the Federal Reserve, who are now covered by in-house health care plans, to the Federal Employee Health Benefits Program (FEHBP) administered by the Office of Personnel Management (OPM). The current plans are becoming more expensive because of the small size and age of the insured group, and FEHBP coverage would be more cost effective. This proposal will reduce the FDIC's administrative costs, thereby increasing the Bank Insurance Fund balance.

**BANK INSURANCE FUND  
(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 51-4064-4-3-373	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			89
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....			89
<b>New budget authority (gross), detail:</b>			
Spending authority from offsetting collections (gross):			
Offsetting collections (State bank exam fees) .....			
68.00			89
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from: Exam Fees (Premium Assessments) .....			
88.40			-89
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			-89

**Statement of Operations (in millions of dollars)**

Identification code 51-4064-4-3-373	1996 actual	1997 actual	1998 est.	1999 est.
0101 Exam Fees .....				89
0102 Expense (text) .....				
0109 Net income or loss (-) .....				89
0191 Total revenues .....				89
0192 Total expenses .....				
0199 Net income or loss .....				89

**Balance Sheet (in millions of dollars)**

Identification code 51-4064-4-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....				89
1999 Total assets .....				89
<b>LIABILITIES:</b>				
2999 Total liabilities .....				
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....				89
3999 Total net position .....				89
4999 Total liabilities and net position .....				89

The Administration is proposing that all bank holding companies and FDIC-insured banks be required to pay fees to the appropriate Federal banking agency in amounts sufficient to defray the agency's cost of supervising such institutions. In establishing fees for State banks, the appropriate Federal banking agency shall take into account the extent to which

State bank supervision reduces the need for Federal supervision. Fees would not apply to State banks with assets of less than \$100 million. Currently, some financial institutions are not required to pay Federal fees for examinations. This proposal is intended to reduce the inequity among FDIC-insured banks.

## SAVINGS ASSOCIATION INSURANCE FUND

## Program and Financing (in millions of dollars)

Identification code 51-4066-0-3-373	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Administrative expenses:			
00.01 Net corporate operating expenses .....	66	112	108
00.02 Net Office of inspector general operating expense .....	2	2	2
00.03 Operating expense on behalf of receiverships .....	1	3	3
00.91 Subtotal, administrative expenses .....	69	117	113
Capital investment:			
01.02 Working capital disbursements (purchase of assets) .....		125	188
01.03 Net case resolution expenses (losses) .....		26	39
01.04 Liquidation expenses .....	1	1	1
01.91 Subtotal, capital investment .....	1	152	228
10.00 Total obligations .....	70	269	341
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested .....		1	
U.S. Securities:			
21.41 Par value .....	4,660	9,265	9,521
21.42 Unrealized discounts .....	-36	-71	
21.99 Total unobligated balance, start of year .....	4,624	9,195	9,521
22.00 New budget authority (gross) .....	4,639	595	663
23.90 Total budgetary resources available for obligation .....	9,263	9,790	10,184
23.95 New obligations .....	-70	-269	-341
Unobligated balance available, end of year:			
24.40 Uninvested .....	1		
U.S. Securities:			
24.41 Par value .....	9,265	9,521	9,842
24.42 Unrealized discounts .....	-71		
24.99 Total unobligated balance, end of year .....	9,195	9,521	9,842
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	4,639	595	663
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year: Obligated balance:			
72.41 U.S. Securities: Par value .....	17		
73.10 New obligations .....	70	269	341
73.20 Total outlays (gross) .....	-85	-268	-341
Unpaid obligations, end of year: Obligated balance:			
74.40 Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances .....	85	268	341
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
Interest on U.S. securities:			
88.20 Interest on U.S. securities .....	-304	-518	-509
88.20 Interest on U.S. securities (special reserve) .....			-20
Non-Federal sources:			
88.40 Asset recoveries .....	-16	-55	-112
88.40 Premium assessments .....	-4,310	-22	-22
88.40 Exit/entrance fees .....	-1		
88.40 Reimbursement of operating expenses .....	-1		
88.40 Other receipts .....	-7		
88.90 Total, offsetting collections (cash) .....	-4,639	-595	-663
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-4,554	-327	-322

The SAIF insures depository institutions formerly insured by the FSLIC. In July 1995, SAIF assumed responsibility for resolving failed thrifts from the Resolution Trust Corporation (RTC).

The Deposit Insurance Funds Act of 1996 imposed a special assessment to bring SAIF's reserves up to 1.25 percent of insured deposits. It also provides for the merger of BIF and SAIF on January 1, 1999, provided that no insured depository institution is a savings association on that date.

## Statement of Operations (in millions of dollars)

Identification code 51-4066-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>Revenue:</b>				
0101 Income from U.S. securities .....	220	507	518	509
0101 Insurance assessments .....	884	4,508	22	22
<b>Expense:</b>				
0102 Insurance losses .....	-10	-6	-26	-39
0102 Administrative and operating expenses .....	-72	-68	-114	-111
0102 Other expenses .....			-1	-1
0109 Net income or loss (-) .....	1,022	4,941	399	380
0191 Total revenues .....	1,104	5,015	540	531
0192 Total expenses .....	-82	-74	-141	-151
0199 Net income or loss .....	1,022	4,941	399	380

## Balance Sheet (in millions of dollars)

Identification code 51-4066-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par .....	4,682	9,352	9,639	9,951
1106 Receivables, net .....	61	131	134	136
1206 Non-Federal assets: Receivables, net .....	16	8	5	3
1901 Other Federal assets: Other assets .....	15	6	115	181
1999 Total assets .....	4,774	9,498	9,893	10,271
<b>LIABILITIES:</b>				
Federal liabilities: Accounts payable .....				
2101 .....	17	1	1	1
Non-Federal liabilities:				
Accounts payable .....				
2201 .....	2			
Other:				
2207 Unearned revenue .....	219	6		
2207 Funds held in trust .....	224	238	238	238
2207 Deferred revenue/other liabilities .....		1	2	
2999 Total liabilities .....	462	245	241	239
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	4,312	9,253	9,652	10,032
3999 Total net position <sup>1</sup> .....	4,312	9,253	9,652	10,032
4999 Total liabilities and net position .....	4,774	9,498	9,893	10,271

<sup>1</sup>Total net position does not include reserves for future thrift resolution costs. The FDIC estimates reserves of \$62 million in 1998 and \$99 million in 1999.

## Object Classification (in millions of dollars)

Identification code 51-4066-0-3-373	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	36	46	44
12.1 Civilian personnel benefits .....	11	16	18
13.0 Benefits for former personnel .....			1
21.0 Travel and transportation of persons .....	3	6	6
23.2 Rental payments to others .....	3	5	5
23.3 Communications, utilities, and miscellaneous charges .....	2	3	3
25.2 Other services .....	8	32	30
26.0 Supplies and materials .....	1	2	2
31.0 Equipment .....	3	3	2
32.0 Land and structures .....	1		
<b>Undistributed:</b>			
92.0 Undistributed (OIG expenses) .....	2	2	2
92.0 Undistributed .....	1	152	228
99.0 Subtotal, direct obligations .....	70	267	341
99.5 Below reporting threshold .....		2	
99.9 Total obligations <sup>1</sup> .....	70	269	341

<sup>1</sup>Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

**Public enterprise funds—Continued**

**SAVINGS ASSOCIATION INSURANCE FUND—Continued**

**Personnel Summary**

Identification code 51-4066-0-3-373	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	569	650	650

**FSLIC RESOLUTION FUND**

**Program and Financing (in millions of dollars)**

Identification code 51-4065-0-3-373	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Administrative expenses:			
00.01 Net corporate operating expenses .....	26	13	9
00.02 Net Office of inspector general operating expense .....	1	1	1
00.03 Operating expense on behalf of receiverships .....	301	288	249
00.04 Other liquidation expenses .....	126		
00.91 Subtotal, administrative expenses .....	454	302	259
Capital investment:			
01.01 Assistance agreement payments .....	86		
01.03 Interest expense .....	2	2	2
01.04 Interest expense—RTC debt .....	243	60	
01.05 Purchase of receivership assets .....	5	7	8
01.07 Liquidation and insurance expense .....	29	9	9
01.08 Other .....	21	15	10
01.91 Subtotal, capital investment .....	386	93	29
10.00 Total obligations .....	840	395	288

**Budgetary resources available for obligation:**

Unobligated balance available, start of year:			
21.40 Uninvested .....	920	742	1,347
21.41 U.S. Securities: Par value .....	694	1,806	2,033
21.99 Total unobligated balance, start of year .....	1,614	2,548	3,380
22.00 New budget authority (gross) .....	6,418	2,697	3,359
22.10 Resources available from recoveries of prior year obligations .....	8		
Redemption of debt:			
22.60 Redemption of debt .....	-32	-95	
22.60 Redemption of debt—RTC .....	-4,621	-1,375	
23.90 Total budgetary resources available for obligation .....	3,387	3,775	6,739
23.95 New obligations .....	-840	-395	-288
Unobligated balance available, end of year:			
24.40 Uninvested .....	742	1,347	4,211
24.41 U.S. Securities: Par value .....	1,806	2,033	2,241
24.99 Total unobligated balance, end of year .....	2,548	3,380	6,452

**New budget authority (gross), detail:**

Current:			
41.00 Transferred to other accounts .....	-26	-34	
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	6,444	2,731	3,359
70.00 Total new budget authority (gross) .....	6,418	2,697	3,359

**Change in unpaid obligations:**

Unpaid obligations, start of year: Obligated balance:			
72.40 Uninvested .....	24	14	13
73.10 New obligations .....	840	395	288
73.20 Total outlays (gross) .....	-840	-396	-288
73.40 Adjustments in expired accounts .....	-1		
73.45 Adjustments in unexpired accounts .....	-8		
Unpaid obligations, end of year: Obligated balance:			
74.40 Uninvested .....	14	13	13

**Outlays (gross), detail:**

86.98 Outlays from permanent balances .....	840	396	288
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**Offsets:**

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities .....	-71	-87	-90
Non-Federal sources:			
88.40 Asset recoveries (FRF-FSLIC) .....	-272	-116	-32

88.40 Asset recoveries (FRF-RTC) .....	-3,992	-869	-326
88.40 Reimbursement of operating expenses by receiverships .....	-33	-30	-22
88.40 Liquidity assistance note and other collections .....	-69	-326	-45
88.40 Corporate-owned assets .....	-2,007	-1,303	-2,844
88.90 Total, offsetting collections (cash) .....	-6,444	-2,731	-3,359

**Net budget authority and outlays:**

89.00 Budget authority .....	-26	-34	
90.00 Outlays .....	-5,604	-2,335	-3,071

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
<b>Enacted/requested:</b>			
Budget Authority .....	-26	-34	
Outlays .....	-5,604	-2,335	-3,071
<b>Legislative proposal, subject to PAYGO:</b>			
Budget Authority .....		-10	-51
Outlays .....			
<b>Total:</b>			
Budget Authority .....	-26	-44	-51
Outlays .....	-5,604	-2,335	-3,071

**Status of Direct Loans (in millions of dollars)**

Identification code 51-4065-0-3-373	1997 actual	1998 est.	1999 est.
<b>Cumulative balance of direct loans outstanding:</b>			
1210 Outstanding, start of year .....	126	95	
1251 Repayments: Repayments and prepayments .....	-31	-95	
1290 Outstanding, end of year .....	95		

The FRF is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the RTC assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by SAIF members prior to 1993. The Act authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all its assets, and any net proceeds will be paid to the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation.

**Statement of Operations (in millions of dollars)**

Identification code 51-4065-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>Revenue:</b>				
0101 Income from U.S. securities .....	20	73	87	90
0101 Other revenue .....	527	409	444	307
<b>Expense:</b>				
0102 Interest expense .....	-384	-209	-38	-9
0102 Administrative and operating expenses .....	-13	-27	-14	-11
0102 Other expenses .....	170	3,368	121	-10
0109 Net income or loss (-) .....	320	3,614	600	367
0191 Total revenues .....	547	482	531	397
0192 Total expenses .....	-227	3,132	69	-30
0199 Net income or loss .....	320	3,614	600	367

**Balance Sheet (in millions of dollars)**

Identification code 51-4065-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
<b>Federal assets:</b>				
1101 Fund balances with Treasury .....	933	745	1,317	4,180
<b>Investments in US securities:</b>				
1102 Treasury securities, par .....	694	1,806	2,033	2,241
1206 Non-Federal assets: Receivables, net .....	6	5	5	5

1601	Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross .....	121	60	60	60
	Other Federal assets:				
1801	Cash and other monetary assets .....	60	76	5	5
1901	Claims against receivers & other .....	9,920	7,687	5,894	3,150
1999	Total assets .....	11,734	10,379	9,314	9,641
	LIABILITIES:				
2101	Federal liabilities: Accounts payable .....	10		1	1
	Non-Federal liabilities:				
2201	Accounts payable .....	22	9	10	10
	Other:				
2207	Debt to the FFB (former RTC) .....	6,076	1,394		
2207	Notes issued after FY 1986 .....	126	100		
2207	Estimated liability for assistance agreements .....	43	9	7	7
2207	Liabilities incurred from thrift resolutions .....	137	107	35	35
2207	Other liabilities .....	206	137	72	31
2999	Total liabilities .....	6,620	1,756	125	84
	NET POSITION:				
3100	Appropriated capital .....	662	636	602	602
3300	Invested capital and losses .....	4,452	7,987	8,588	8,955
3999	Total net position .....	5,114	8,623	9,190	9,557
4999	Total liabilities and net position .....	11,734	10,379	9,315	9,641

Object Classification (in millions of dollars)

Identification code 51-4065-0-3-373		1997 actual	1998 est.	1999 est.
11.1	Personnel compensation: Full-time permanent .....	118	118	98
12.1	Civilian personnel benefits .....	47	41	41
13.0	Benefits for former personnel .....	1		1
21.0	Travel and transportation of persons .....	6	15	13
22.0	Transportation of things .....	2		
23.2	Rental payments to others .....	38	13	11
23.3	Communications, utilities, and miscellaneous charges .....	13	7	7
24.0	Printing and reproduction .....	1	1	1
25.2	Other services .....	169	82	69
26.0	Supplies and materials .....	5	6	4
31.0	Equipment .....	33	7	5
32.0	Land and structures .....	5	1	1
43.0	Interest and dividends .....	243	60	
44.0	Refunds .....	5		
92.0	Undistributed .....	154	44	37
99.9	Total obligations <sup>1</sup> .....	840	395	288

<sup>1</sup>Total obligations include expenses incurred on behalf of receiverships. Corporate operating expenses net of expenses charged to receiverships are shown separately in the program and financing schedule.

Personnel Summary

Identification code 51-4065-0-3-373		1997 actual	1998 est.	1999 est.
1001	Total compensable workyears: Full-time equivalent employment .....	1,873	1,807	1,725

FSLIC RESOLUTION FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 51-4065-4-3-373		1997 actual	1998 est.	1999 est.
	Budgetary resources available for obligation:			
22.00	New budget authority (gross) .....		-10	-51
24.40	Unobligated balance available, end of year: Uninvested .....		-10	-51
	New budget authority (gross), detail:			
41.00	Transferred to other accounts .....		-10	-51
	Net budget authority and outlays:			
89.00	Budget authority .....		-10	-51
90.00	Outlays .....			

Balance Sheet (in millions of dollars)

Identification code 51-4065-4-3-373		1996 actual	1997 actual	1998 est.	1999 est.
	ASSETS:				
1101	Federal assets: Fund balances with Treasury .....			-10	-51
1999	Total assets .....			-10	-51
	NET POSITION:				
3100	Appropriated capital .....			-10	-51
3999	Total net position .....			-10	-51
4999	Total liabilities and net position .....			-10	-51

The Administration is proposing to authorize the Secretary of the Treasury to use funds made available to the FSLIC Resolution Fund under P.L. 103-327 to reimburse the Department of Justice for the reasonable expenses of litigation that are incurred in the defense of claims against the United States arising from FIRREA and its implementation.

FDIC—OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, [S34,365,000] \$34,666,000, to be derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund. (Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 51-4595-0-4-373		1997 actual	1998 est.	1999 est.
	Obligations by program activity:			
09.00	Reimbursable program .....	33	34	35
10.00	Total obligations .....	33	34	35
	Budgetary resources available for obligation:			
22.00	New budget authority (gross) .....	33	34	35
23.95	New obligations .....	-33	-34	-35
	New budget authority (gross), detail:			
68.00	Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	33	34	35
	Change in unpaid obligations:			
73.10	New obligations .....	33	34	35
73.20	Total outlays (gross) .....	-33	-34	-35
	Outlays (gross), detail:			
86.97	Outlays from new permanent authority .....	33	34	35
	Offsets:			
	Against gross budget authority and outlays:			
88.00	Offsetting collections (cash) from: Federal sources .....	-33	-34	-35
	Net budget authority and outlays:			
89.00	Budget authority .....			
90.00	Outlays .....			

FDIC's Office of Inspector General (OIG) is an independent unit within the Corporation that conducts audits and investigations of corporate activities and assists the Corporation in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (Public Law 100-504). The Resolution Trust Corporation Completion Act, enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added FDIC to the establishments whose OIG's have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's first appropriation was for its fiscal year 1998 expenses. The OIG's appropriations

**Public enterprise funds—Continued**

**FDIC—OFFICE OF INSPECTOR GENERAL—Continued**

are derived from the Bank Insurance Fund, the Savings Association Insurance Fund, and the FSLIC Resolution Fund.

**Object Classification (in millions of dollars)**

Identification code 51-4595-0-4-373	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	20	21	22
12.1 Civilian personnel benefits .....	11	7	8
21.0 Travel and transportation of persons .....	2	1	1
25.2 Other services .....	1	4	3
31.0 Equipment .....	1	1	.....
99.9 Total obligations <sup>1</sup> .....	33	34	35

<sup>1</sup> Includes obligations that are recoverable from receiverships.

**Personnel Summary**

Identification code 51-4595-0-4-373	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	265	239	241

**AFFORDABLE HOUSING PROGRAM**

**Program and Financing (in millions of dollars)**

Identification code 51-1500-0-1-604	1997 actual	1998 est.	1999 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	1	1	.....
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	1	.....	.....
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	.....	.....	.....
90.00 Outlays .....	.....	.....	.....

From 1993 to 1996, funds were appropriated to the FDIC to carry out an affordable housing program. Under the program, certain single and multifamily properties were held off the general market for 180 days during which time low-income individuals, public agencies, and nonprofit organizations that agreed to low-income rent restrictions were allowed to bid on the properties.

**FEDERAL DRUG CONTROL PROGRAMS**

**Federal Funds**

**General and special funds:**

**HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**

**[(INCLUDING TRANSFER OF FUNDS)]**

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, [\$159,007,000] \$162,007,000 for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas, [of which \$3,000,000 shall be used for a newly designated High Intensity Drug Trafficking Area in Milwaukee, Wisconsin should the Director of the Office of National Drug Control Policy determine the location meets the designated criteria; of which \$7,300,000 shall be used for national efforts related to methamphetamine reduction; of which \$1,500,000 shall be used for methamphetamine reduction efforts within the Rocky Mountain High Intensity Drug Trafficking Area; of which \$6,000,000 shall be used for a newly designated High Intensity Drug Trafficking Area in the three-State area of Kentucky, Tennessee, and West Virginia; of which \$1,000,000 shall be used for a newly designated High Intensity Drug Trafficking Area in central Florida;] of which no less than [\$80,000,000] \$81,007,000 shall be transferred to State and local entities for drug control activities, which shall be obligated within 120 days of the date of enact-

ment of this Act and up to [\$79,007,000] \$81,000,000 may be transferred to Federal agencies and departments at a rate to be determined by the Director: *Provided*, That funding shall be provided for existing High Intensity Drug Trafficking Areas at no less than the fiscal year 1997 level]. (*Executive Office Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 11-1070-0-1-802	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.02 Grants to State and local law enforcement agencies .....	95	118	162
10.00 Total obligations (object class 41.0) .....	95	118	162
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	95	118	162
23.95 New obligations .....	-95	-118	-162
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	127	159	162
41.00 Transferred to other accounts .....	-45	-44	.....
42.00 Transferred from other accounts .....	13	3	.....
43.00 Appropriation (total) .....	95	118	162
70.00 Total new budget authority (gross) .....	95	118	162
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	73	98	103
73.10 New obligations .....	95	118	162
73.20 Total outlays (gross) .....	-70	-113	-132
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	98	103	133
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	20	38	52
86.93 Outlays from current balances .....	50	75	80
87.00 Total outlays (gross) .....	70	113	132
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	95	118	162
90.00 Outlays .....	70	113	132

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988 to provide assistance to Federal, State and local law enforcement entities operating in those areas most adversely affected by drug trafficking. Since January, 1990, counties in 17 areas have been designated as HIDTAs: New York; Los Angeles; Miami; Houston; Baltimore/Washington, DC; Puerto Rico/Virgin Islands; Southwest Border; Chicago; Atlanta; Philadelphia/Camden; Gulf Coast (Alabama, Louisiana, and Mississippi); Lake County (Indiana); Midwest (Iowa, Kansas, Missouri, Nebraska, and South Dakota); Pacific Northwest (Washington); Rocky Mountains (Colorado, Utah, and Wyoming); San Francisco Bay area; and South Eastern Michigan. In addition, counties in three areas are awaiting official designation by the Director of ONDCP: Central Florida; Kentucky, West Virginia and Tennessee; and, Milwaukee, which will bring the total HIDTAs to 20.

Funds made available under the HIDTA program are disbursed at the discretion of the Director of the Office of National Drug Control Policy for joint local, State, and Federal initiatives.

**SPECIAL FORFEITURE FUND**  
**[(INCLUDING TRANSFER OF FUNDS)]**

For activities to support a national anti-drug campaign for youth, and other purposes, authorized by Public Law 100-690, as amended, [\$211,000,000] \$251,000,000, to remain available until expended: *Provided*, That such funds may be transferred to other Federal departments and agencies to carry out such activities: *Provided fur-*

ther, That of the funds provided], of which \$195,000,000 shall be to support a national media campaign to reduce and prevent drug use among young Americans[: *Provided further*, That none of the funds provided for the support of a national media campaign may be obligated until the Director, Office of National Drug Control Policy, submits a strategy for approval to the Committees on Appropriations and the Senate Judiciary Committee that includes: (1) guidelines to ensure and certify that funds will supplement and not supplant current anti-drug community based coalitions; (2) guidelines to ensure and certify that funds will supplement and not supplant current pro bono public service time donated by national and local broadcasting networks; (3) guidelines to ensure and certify that none of the funds will be used for partisan political purposes; (4) guidelines to ensure and certify that no media campaigns to be funded pursuant to this campaign shall feature any elected officials, persons seeking elected office, cabinet-level officials, or other Federal officials employed pursuant to Schedule C of title 5, Code of Federal Regulations, section 213, absent advance notice to the Committees on Appropriations and the Senate Judiciary Committee; (5) a detailed implementation plan to be submitted to the Committees on Appropriations and the Senate Judiciary Committee for securing private sector contributions including but not limited to in-kind contributions; (6) a detailed implementation plan to be submitted to the Committees on Appropriations and the Senate Judiciary Committee of the qualifications necessary for any organization, entity, or individual to receive funding for or otherwise be provided broadcast media time; and (7) a system to measure outcomes of success of the national media campaign: *Provided further*, That the Director shall report to Congress quarterly on the obligation of funds as well as the specific parameters of the national media campaign and report to Congress within two years on the effectiveness of the national media campaign based upon the measurable outcomes provided to Congress previously: *Provided further*, That of the funds provided for the support of a national media campaign, \$17,000,000 shall not be obligated prior to September 30, 1998: *Provided further*, That of the funds provided, \$6,000,000 shall be used to continue the drug use reduction program for those involved in the criminal justice system: *Provided further*, That of the funds provided, \$10,000,000 shall be to initiate]; of which \$10,000,000 shall be for research to develop national estimates of the size and composition of chronic drug users; of which \$20,000,000 shall be to continue a program of matching grants to drug-free communities, as authorized in the Drug-Free Communities Act of 1997; and of which \$26,000,000 may be used to enhance drug control activities and address emerging drug threats. (*Executive Office Appropriations Act, 1998.*)

Unavailable Collections (in millions of dollars)

Identification code 11-5001-0-2-802	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....	24	1	1
Receipts:			
02.02 Forfeited cash and proceeds from sale of forfeited property .....	-23		
04.00 Total: Balances and collections .....	1	1	1
07.99 Total balance, end of year .....	1	1	1

Program and Financing (in millions of dollars)

Identification code 11-5001-0-2-802	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2) .....		218	251
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	1	2	2
22.00 New budget authority (gross) .....	2	216	251
23.90 Total budgetary resources available for obligation .....	3	218	253
23.95 New obligations .....		-218	-251
24.40 Unobligated balance available, end of year:			
Uninvested .....	2	2	2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	113	211	251
40.15 Appropriation (emergency) .....	113		
40.60 Contingent emergency appropriation not available for obligations .....	-113		

41.00	Transferred to other accounts .....	-111		
42.00	Transferred from other accounts .....		5	
43.00	Appropriation (total) .....	2	216	251
70.00	Total new budget authority (gross) .....	2	216	251
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
Uninvested .....				89
73.10	New obligations .....		218	251
73.20	Total outlays (gross) .....		-130	-216
74.40	Unpaid obligations, end of year: Obligated balance:			
Uninvested .....			89	124
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....		130	151
86.93	Outlays from current balances .....			65
87.00	Total outlays (gross) .....		130	216
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	2	216	251
90.00	Outlays .....		130	216

Status of Contingent Emergency Funding (in millions of dollars)

Identification code 11-5001-0-2-802	1997 actual	1998 est.	1999 est.
0199 Balance of contingent emergency funding, start of year .....		113	113
0300 New emergency funding not available for obligation .....	113		
0799 Balance of contingent emergency funding, end of year .....	113	113	113

The Anti-Drug Abuse Act of 1988, as amended, established the Special Forfeiture Fund to be administered by the Director of the Office of National Drug Control Policy. The monies deposited in the Fund support high-priority drug control programs and may be transferred to drug control agencies or may be directly obligated by the Director of ONDCP.

FEDERAL ELECTION COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, as amended, [\$31,650,000] \$36,504,000, of which [no less than \$3,800,000 shall be available for internal automated data processing systems, and of which] not to exceed \$5,000 shall be available for reception and representation expenses[: *Provided*, That of the amounts appropriated for salaries and expenses, \$750,000 shall be transferred to the General Accounting Office for the sole purpose of entering into a contract with the private sector for a management review, and technology and performance audit, of the Federal Election Commission, and \$300,000 may be transferred to the Government Printing Office]. (*Independent Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 95-1600-0-1-808	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	28	31	37
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	28	31	37
23.95 New obligations .....	-28	-31	-37
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	28	32	37
41.00 Transferred to other accounts .....		-1	
43.00 Appropriation (total) .....	28	31	37
70.00 Total new budget authority (gross) .....	28	31	37

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 95-1600-0-1-808	1997 actual	1998 est.	1999 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	3	5	5
73.10 New obligations .....	28	31	37
73.20 Total outlays (gross) .....	-28	-31	-36
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	5	5	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	26	28	33
86.93 Outlays from current balances .....	2	3	3
87.00 Total outlays (gross) .....	28	31	36
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	28	31	37
90.00 Outlays .....	26	31	36

The Federal Election Commission (the Commission) administers the disclosure of campaign finance information, enforces limitations on contributions and expenditures, supervises the public funding of Presidential elections, and performs other tasks related to Federal elections.

The Commission is authorized to submit, concurrently, budget estimates to the President and Congress.

**Object Classification (in millions of dollars)**

Identification code 95-1600-0-1-808	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	16	16	19
12.1 Civilian personnel benefits .....	3	5	6
23.1 Rental payments to GSA .....	3	3	3
23.3 Communications, utilities, and miscellaneous charges .....			1
25.2 Other services .....	3	1	1
25.3 Purchases of goods and services from Government accounts .....	1	2	
25.7 Operation and maintenance of equipment .....	1	2	2
31.0 Equipment .....	1	1	3
99.0 Subtotal, direct obligations .....	28	30	35
99.5 Below reporting threshold .....		1	2
99.9 Total obligations .....	28	31	37

**Personnel Summary**

Identification code 95-1600-0-1-808	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	297	314	361

**FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE**

*Federal Funds*

**General and special funds:**

**REGISTRY FEES**

**Unavailable Collections (in millions of dollars)**

Identification code 95-5026-0-2-376	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Registry fees, Appraisal subcommittee .....	2	2	2
<b>Appropriation:</b>			
05.01 Registry fees .....	-2	-2	-2
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 95-5026-0-2-376	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Administrative expenses .....	1	1	1
00.02 Grants, subsidies and contributions .....	1	1	1
10.00 Total obligations .....	1	2	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	4	3	2
22.00 New budget authority (gross) .....	2	2	2
22.40 Capital transfer to general fund .....	-2	-1	
23.90 Total budgetary resources available for obligation .....	4	4	4
23.95 New obligations .....	-1	-2	-2
24.40 Unobligated balance available, end of year:			
Uninvested .....	3	2	2
<b>New budget authority (gross), detail:</b>			
60.25 Appropriation (special fund, indefinite) .....	2	2	2

<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	2	2
73.20 Total outlays (gross) .....	-1	-2	-2

<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	1	1	1
86.98 Outlays from permanent balances .....		1	1
87.00 Total outlays (gross) .....	1	2	2

<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	1	2	2

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (Public Law 101-73, August 9, 1989) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council. Subsequent legislation (Public Law 101-235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the Appraisal Subcommittee.

The Subcommittee is charged with ensuring that real estate appraisals used in federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; and, (4) maintaining a national registry of licensed and certified appraisers.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. The Subcommittee is now operating on fee income from state-licensed and certified real estate appraisers in the national registry. The Economic Growth and Regulatory Paperwork Reduction Act of 1996 requires full repayment of the \$5 million by the end of 1998. The Treasury has already been repaid \$4.5 million.

**Object Classification (in millions of dollars)**

Identification code 95-5026-0-2-376	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....		1	1
41.0 Grants, subsidies, and contributions .....	1	1	1
99.9 Total obligations .....	1	2	2

## Personnel Summary

Identification code 95-5026-0-2-376	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	7	7	7

## FEDERAL HOUSING FINANCE BOARD

## Federal Funds

## Public enterprise funds:

## FEDERAL HOUSING FINANCE BOARD

## Program and Financing (in millions of dollars)

Identification code 95-4039-0-3-371	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Operating expenses .....	16	18	19
09.02 Capital investments .....	1	1	.....
10.00 Total obligations .....	17	19	19
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	2	1	1
22.00 New budget authority (gross) .....	15	19	19
23.90 Total budgetary resources available for obligation	17	20	20
23.95 New obligations .....	-17	-19	-19
24.40 Unobligated balance available, end of year:			
Uninvested .....	1	1	1
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	15	19	19
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	2	4	5
73.10 New obligations .....	17	19	19
73.20 Total outlays (gross) .....	-15	-18	-19
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	4	5	5
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	11	13	13
86.98 Outlays from permanent balances .....	4	5	6
87.00 Total outlays (gross) .....	15	18	19
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources .....	-15	-19	-19
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....		-1	.....

The Federal Housing Finance Board (Finance Board), an independent executive agency, was established by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 which amended the Federal Home Loan Bank Act. The duties of the Finance Board are: (1) to ensure that the twelve Federal Home Loan Banks (Banks) operate in a safe and sound manner; (2) to supervise all lending and related operations of the Banks; (3) to ensure that the Banks fulfill their mission to the housing finance industry; (4) to ensure that the Banks remain adequately capitalized; and, (5) to ensure that the Banks are able to raise funds in the capital markets. The Finance Board succeeded the former Federal Home Loan Bank Board with respect to the Banks.

The management of the Finance Board is vested in a five-member board of directors. The board of directors is composed of the Secretary of Housing and Urban Development and four

other individuals appointed by the President, with the advice and consent of the Senate. The President designates one of the appointed Directors as the Chairperson of the Board of Directors. The term of a Director is seven years.

The Finance Board has the power to: (1) supervise the Banks and promulgate and enforce such regulations and orders as are necessary; (2) suspend or remove for cause a director, officer, employee, or agent of any Bank or joint office; (3) determine necessary expenditures of the Finance Board and the manner in which such expenditures shall be incurred, allowed, and paid; and, (4) use the United States mails in the same manner and under the same conditions as a department or agency of the United States.

## Statement of Operations (in millions of dollars)

Identification code 95-4039-0-3-371	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	14	15	19	19
0102 Expense .....	-14	-15	-18	-19
0109 Net income .....			1	.....

## Balance Sheet (in millions of dollars)

Identification code 95-4039-0-3-371	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury .....	4	5	6	6
1901 Other Federal assets: Other assets .....	1	1	.....	1
1999 Total assets .....	5	6	6	7
<b>LIABILITIES:</b>				
2101 Federal liabilities: Accounts payable .....		1	.....	.....
Non-Federal liabilities:				
2201 Accounts payable .....		1	1	1
2207 Other .....	1	1	1	2
2999 Total liabilities .....	1	3	2	3
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	4	3	4	4
3999 Total net position .....	4	3	4	4
4999 Total liabilities and net position .....	5	6	6	7

## Object Classification (in millions of dollars)

Identification code 95-4039-0-3-371	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	7	8	8
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	10	11	11
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....		1	1
23.2 Rental payments to others .....	1	2	2
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	1	1	1
25.3 Purchases of goods and services from Government accounts .....	1	.....	.....
31.0 Equipment .....	1	.....	.....
99.0 Subtotal, reimbursable obligations .....	17	18	18
99.5 Below reporting threshold .....		1	1
99.9 Total obligations .....	17	19	19

## Personnel Summary

Identification code 95-4039-0-3-371	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	113	119	123

**FEDERAL LABOR RELATIONS AUTHORITY**

*Federal Funds*

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, including hire of experts and consultants, hire of passenger motor vehicles, rental of conference rooms in the District of Columbia and elsewhere; **[\$22,039,000]** *\$22,586,000*. *Provided*, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: *Provided further*, That notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences. (*Independent Agencies Appropriations Act, 1998*.)

Program and Financing (in millions of dollars)

Identification code 54-0100-0-1-805	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Federal labor relations authority .....	11	11	12
00.02 Office of the general counsel .....	10	10	10
00.03 Federal service impasses panel .....	1	1	1
10.00 Total obligations .....	22	22	23
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	22	22	23
23.95 New obligations .....	-22	-22	-23
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	22	22	23
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	2	2	2
73.10 New obligations .....	22	22	23
73.20 Total outlays (gross) .....	-22	-22	-23
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	2	2	2
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	20	20	21
86.93 Outlays from current balances .....	2	2	2
87.00 Total outlays (gross) .....	22	22	23
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	22	22	23
90.00 Outlays .....	22	22	23

The Federal Labor Relations Authority (FLRA): (1) serves as a neutral party in the settlement of disputes that arise between unions, employees, and agencies on matters outlined in the Federal Service Labor Management Relations Statute; (2) decides major policy issues; (3) prescribes regulations; and (4) disseminates information appropriate to the needs of agencies, labor organizations, and the public. Establishment of the FLRA gives full recognition to the role of the Federal Government as an employer.

In addition, the FLRA is engaged in training and facilitation in labor-management partnerships and in resolving disputes in its unified Collaboration and Alternative Dispute Resolution Program. Training and facilitation workload is reflected in the following manner: the FLRA promotes labor-management cooperation by providing training and assistance to labor organizations and agencies on resolving disputes; facilitates the creation of partnerships as called for in Executive Order 12871; and trains the parties on rights and responsibilities under the Federal Labor Relations Management Statute.

In 1997, the FLRA conducted over 340 programs involving over 10,000 employees, union representatives, arbitrators, and other practitioners.

*Components.*—The FLRA is composed of the Authority, the Office of the General Counsel, and the Federal Service Impasses Panel.

*Authority.*—The Authority adjudicates labor-management disputes in the Federal sector including: appeals on negotiability issues; exceptions to arbitration awards; appropriate units for the purposes of exclusive recognition; eligibility of labor organizations for national consultation rights; and unfair labor practice complaints.

Within the Authority, administrative law judges hold hearings on unfair labor practice complaints, issue reports, and make recommendations to the Authority to allow timely settlement of disputes arising between agencies and unions. The Authority also provides all components with administrative services.

The Office of the Inspector General is responsible for conducting and supervising audits and investigations related to the functions of the FLRA, pursuant to the provisions of the Inspector General Act of 1978, as amended in 1988.

Workloads are reflected in the following table:

CASE DISPOSITIONS

	1997 actual	1998 est.	1999 est.
Arbitration appeals .....	121	140	150
Negotiability appeals .....	78	60	63
Representation appeals/requests for review .....	25	24	26
Unfair labor practice appeals .....	67	81	85

*Office of the General Counsel.*—The functions of this office include: (1) investigating all allegations of unfair labor practices filed and the processing of all representation petitions received; (2) exercising final authority over the issuance and prosecution of all complaints; (3) supervising and conducting elections concerning the exclusive recognition of labor organizations and the certification of the results of elections; (4) conducting all hearings to resolve disputed issues in representation cases; (5) preparing final decisions and orders in these cases; and (6) directing and supervising all employees of the regional offices. Workloads are reflected in the following table:

CASE DISPOSITIONS

	1997 actual	1998 est.	1999 est.
<b>Unfair labor practice cases:</b>			
Investigations .....	5,855	5,800	5,700
Complaints prosecuted .....	51	50	50
Complaints voluntarily settled .....	280	300	300
Appeals .....	489	550	550
<b>Representation cases:</b>			
Investigations .....	587	650	650
Elections/hearings .....	170	175	175

*Federal Service Impasses Panel.*—The functions of the panel involve the resolution of labor negotiation impasses between Federal agencies and labor organizations which arise under the Civil Service Reform Act of 1978, the Panama Canal Act of 1979, and other statutes. The Panel uses a variety of procedures including factfinding and arbitration.

CASE DISPOSITIONS

	1997 actual	1998 est.	1999 est.
Impasse resolutions .....	161	160	160

Object Classification (in millions of dollars)

Identification code 54-0100-0-1-805	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	14	14	14
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	15	15	15
12.1 Civilian personnel benefits .....	2	2	3
21.0 Travel and transportation of persons .....	1	1	1

23.1	Rental payments to GSA .....	2	2	2
25.2	Other services .....	1	1	1
99.0	Subtotal, direct obligations .....	21	21	22
99.5	Below reporting threshold .....	1	1	1
99.9	Total obligations .....	22	22	23

## Personnel Summary

Identification code 54-0100-0-1-805	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	214	216	216

## FEDERAL MARITIME COMMISSION

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act of 1936, as amended (46 App. U.S.C. 1111), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-02; **[\$14,000,000] \$14,500,000. Provided,** That not to exceed \$2,000 shall be available for official reception and representation expenses. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 65-0100-0-1-403	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Formal proceedings .....	4	4	4
00.04 Operational and administrative .....	2	2	2
00.06 Economics and agreement analysis .....	2	2	2
00.07 Tariffs, certification and licensing .....	2	2	2
00.08 Enforcement .....	2	2	2
00.10 Administration .....	2	2	2
10.00 Total obligations .....	14	14	14
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	14	14	14
23.95 New obligations .....	-14	-14	-14
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	14	14	14
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	14	14	14
73.20 Total outlays (gross) .....	-14	-14	-14
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	13	13	13
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	14	14	14
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	14	14	14
90.00 Outlays .....	14	14	14

The Federal Maritime Commission (the Commission) regulates the international waterborne commerce of the United States. In addition, the Commission has responsibility for: licensing of ocean freight forwarders; ensuring that non-vessel-operating common carriers are tariffed and bonded; assuring that vessel owners or operators establish financial responsibility for death or injury to passengers or other persons on voyages to and from U.S. ports; and, indemnifying passengers for the nonperformance of transportation. Major pro-

gram areas for 1999 are: carrying out investigations of foreign trade practices under the Foreign Shipping Practices Act; operating a computerized tariff filing system; and pursuing an active enforcement program designed to identify and prosecute violators of the shipping statutes.

## Object Classification (in millions of dollars)

Identification code 65-0100-0-1-403	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	9	9	9
12.1 Civilian personnel benefits .....	1	2	2
23.1 Rental payments to GSA .....	2	2	2
25.2 Other services .....	1	1	1
99.0 Subtotal, direct obligations .....	13	14	14
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	14	14	14

## Personnel Summary

Identification code 65-0100-0-1-403	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	143	145	146

## FEDERAL MEDIATION AND CONCILIATION SERVICE

## Federal Funds

## General and special funds:

## SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service to carry out the functions vested in it by the Labor Management Relations Act, 1947 (29 U.S.C. 171-180, 182-183), including hire of passenger motor vehicles; and for expenses necessary for the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a); and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, Public Law 95-454 (5 U.S.C. chapter 71), **[\$33,481,000] \$34,620,000,** including \$1,500,000, to remain available through September 30, [1999] 2000, for activities authorized by the Labor-Management Cooperation Act of 1978 (29 U.S.C. 175a): *Provided,* That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: *Provided further,* That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: *Provided further,* That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 93-0100-0-1-505	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Dispute mediation and preventive mediation, public information .....	22	23	24
00.02 Arbitration services .....	1	1	1
00.03 Management and administrative support .....	8	7	7
00.04 Labor-management cooperation project .....	2	2	3
00.91 Total direct program .....	33	33	35
01.01 Reimbursable program .....	1	2	2
10.00 Total obligations .....	34	35	37
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	34	35	37
23.95 New obligations .....	-34	-35	-37
24.40 Unobligated balance available, end of year: Uninvested .....	1		

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 93-0100-0-1-505	1997 actual	1998 est.	1999 est.
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	33	33	35
Permanent:			
Spending authority from offsetting collections:			
Offsetting collections (cash):			
68.00 Non-Federal sources .....	1	1	1
68.00 Offsetting governmental collections .....	1	1	1
68.90 Spending authority from offsetting collections (total) .....	1	2	2
70.00 Total new budget authority (gross) .....	34	35	37
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	6	5	5
73.10 New obligations .....	34	35	37
73.20 Total outlays (gross) .....	-34	-35	-37
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	5	5	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	29	30	32
86.93 Outlays from current balances .....	4	3	3
86.97 Outlays from new permanent authority .....	1	2	2
87.00 Total outlays (gross) .....	34	35	37
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.40 Non-Federal sources .....	-1	-1	-1
88.45 Offsetting governmental collections .....	-1	-1	-1
88.90 Total, offsetting collections (cash) .....	-1	-2	-2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	33	33	35
90.00 Outlays .....	33	33	35

The Federal Mediation and Conciliation Service (FMCS or the Service) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

**Dispute mediation.**—The Service assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. The Service also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. The Service provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments closed in both the private and public sectors.

**MEDIATION WORKLOAD DATA**

	1995 actual	1996 actual	1997 actual	1998 estimate	1999 estimate
Cases in process at beginning of year	7,276	6,956	7,183	7,771	7,671
Mediation assignments .....	22,184	19,535	20,844	20,400	20,400
Mediation assignments closed .....	22,435	19,308	20,256	20,500	20,500
Cases in process at end of year .....	7,025	7,183	7,771	7,671	7,571
Total mediation conferences conducted	19,880	17,870	18,894	18,900	18,900

**Preventive mediation, public information, and educational activities.**—Through its preventive mediation program, the Service initiates and develops labor-management committees, training programs, conferences, and specialized workshops

dealing with issues in collective bargaining. Mediators also participate in public information and educational activities such as lectures, seminars, and conferences.

**Arbitration services.**—The Service assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

**ARBITRATION SERVICES WORKLOAD DATA**

	1995 actual	1996 actual	1997 actual	1998 estimate	1999 estimate
Number of panels issued .....	31,610	30,066	31,295	24,000	24,000
Number of arbitrators appointed .....	11,640	10,102	10,391	8,000	8,000

**Management and administrative support.**—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

**Labor-management cooperation project.**—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes the Service to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

**Alternative Dispute Resolution (ADR) Projects.**—The Service assists other federal agencies by providing mediation and technical assistance in the area of ADR. The ADR projects reduce litigation costs and speed federal processes. The FMCS is funded for this work through interagency reimbursable agreements.

**ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA**

	1995 actual	1996 actual	1997 actual	1998 estimate	1999 estimate
Number of ADR Projects .....	50	51	64	65	66

**Object Classification (in millions of dollars)**

Identification code 93-0100-0-1-505	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	18	19	21
12.1 Civilian personnel benefits .....	4	4	4
21.0 Travel and transportation of persons .....	2	3	2
23.1 Rental payments to GSA .....	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services .....	1	1	1
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	2	2	2
99.0 Subtotal, direct obligations .....	32	35	35
99.5 Below reporting threshold .....	2	.....	2
99.9 Total obligations .....	34	35	37

**Personnel Summary**

Identification code 93-0100-0-1-505	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	278	286	286
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	4	4	4

**FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary for the Federal Mine Safety and Health Review Commission (30 U.S.C. 801 et seq.), \$6,060,000. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 95-2800-0-1-554	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Commission review .....	3	3	3
00.02 Administrative law judge determinations .....	2	3	3
10.00 Total obligations .....	5	6	6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	6	6	6
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	5	6	6
23.95 New obligations .....	-5	-6	-6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	6	6	6
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	5	6	6
73.20 Total outlays (gross) .....	-6	-6	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	5	5	5
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	6	6	6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	6	6	6
90.00 Outlays .....	6	6	6

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor on mine safety legislation. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	1997 actual	1998 est.	1999 est.
<b>Commission review activities:</b>			
Cases pending beginning of year .....	63	53	38
Cases called for review .....	59	65	65
Cases decided .....	69	80	93
<b>Administrative law judge activities:</b>			
Cases pending beginning of year .....	6,005	5,028	4,128
New cases received .....	1,799	2,000	2,200
Cases decided .....	2,776	2,900	5,100

Object Classification (in millions of dollars)

Identification code 95-2800-0-1-554	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.9 Total obligations .....	5	6	6

Personnel Summary

Identification code 95-2800-0-1-554	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	50	57	54

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Federal Funds

General and special funds:

PROGRAM EXPENSES

Unavailable Collections (in millions of dollars)

Identification code 26-5290-0-2-803	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Reimbursement for program expenses .....	45	59	72
<b>Appropriation:</b>			
05.01 Program expenses .....	-45	-59	-72
07.99 Total balance, end of year .....			

Program and Financing (in millions of dollars)

Identification code 26-5290-0-2-803	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Administrative expenses .....	45	59	72
10.00 Total obligations .....	45	59	72
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	45	59	72
23.95 New obligations .....	-45	-59	-72
<b>New budget authority (gross), detail:</b>			
60.25 Appropriation (special fund, indefinite) .....	45	59	72
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	28	14	14
73.10 New obligations .....	45	59	72
73.20 Total outlays (gross) .....	-58	-59	-72
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	14	14	14
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	31	59	72
86.98 Outlays from permanent balances .....	27		
87.00 Total outlays (gross) .....	58	59	72
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	45	59	72
90.00 Outlays .....	58	59	72

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund (Fund). The Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

Object Classification (in millions of dollars)

Identification code 26-5290-0-2-803	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	6	6	7
12.1 Civilian personnel benefits .....	1	1	2
23.2 Rental payments to others .....	1	2	2
24.0 Printing and reproduction .....	2	3	2
25.2 Other services .....	2	5	4
25.3 Purchases of goods and services from Government accounts .....	29	33	37
31.0 Equipment .....	2	8	18
99.0 Subtotal, direct obligations .....	43	58	72

**General and special funds—Continued****PROGRAM EXPENSES—Continued****Object Classification (in millions of dollars)—Continued**

Identification code 26-5290-0-2-803	1997 actual	1998 est.	1999 est.
99.5 Below reporting threshold .....	2	1	
99.9 Total obligations .....	45	59	72

**Personnel Summary**

Identification code 26-5290-0-2-803	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	103	110	114

**INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND**

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal employees are eligible to contribute to the Fund. However, only those employees covered by the Federal Employees' Retirement System have their contributions matched by employing agencies in accordance with the formulas prescribed by law. Employees are entitled to select how contributions are distributed among three investment funds: a U.S. Government securities investment fund; a common stock index investment fund; and, a fixed income index investment fund.

Employee participation in the Fund is entirely voluntary, so actual results could vary significantly from these estimates. The estimated status of the three separate funds is shown below:

**STATUS OF THRIFT SAVINGS FUND**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Investment balance, start of year:			
Government securities investment fund .....	23,217	24,932	26,459
Barclays equity index fund .....	16,297	27,948	35,442
Barclays U.S. debt index fund .....	2,483	2,844	3,242
Thrift Savings Fund investment balance, start of year	41,997	55,725	65,143
Cash income for the year:			
Employee contributions .....	4,646	5,080	5,594
Earnings <sup>1</sup> .....	9,277	5,270	6,117
Contributions on behalf of employees .....	2,158	2,422	2,718
Total net income .....	16,081	12,772	14,428
Cash outgo during year:			
Withdrawals .....	1,327	2,149	3,161
Loans to employees .....	968	1,146	1,113
Administrative expenses .....	58	59	72
Total outgo .....	2,353	3,354	4,346
Investment balance, end of year:			
Government securities investment fund <sup>2</sup> .....	24,932	26,459	27,815
Barclays equity index fund .....	27,948	35,442	43,757
Barclays U.S. debt index fund .....	2,844	3,242	3,653
Thrift Savings Fund investment balance, end of year	55,725	65,143	75,225

<sup>1</sup> 1997 earnings include: return on investments in Government securities—\$1,622 million; return on investments in non-government instruments—\$7,571 million; earnings on loans—\$83 million; and agency payments for lost earnings—\$1 million.

<sup>2</sup> Includes \$6 million committed to the Barclays U.S. Debt Index Fund pending settlement.

**STATUS OF THE GOVERNMENT SECURITIES INVESTMENT FUND**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Investment balance, start of year .....	23,217	24,932	26,459

Cash income for the year:			
New investments .....	1,408	1,500	1,662
Earnings .....	1,657	1,527	1,573
Total, cash income .....	3,066	3,028	3,236

Cash outgo during the year:			
Withdrawals .....	830	974	1,417
Loans to employees .....	486	501	435
Administrative expenses .....	34	25	28
Total, cash outgo .....	1,351	1,501	1,880

Investment balance, end of year .....	24,932	26,459	27,815
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**STATUS OF THE BARCLAYS EQUITY INDEX FUND**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Investment balance, start of year .....	16,297	27,948	35,442
Cash income for the year:			
New investments .....	5,161	5,626	6,234
Earnings .....	7,367	3,549	4,329
Total, cash income .....	12,528	9,176	10,562
Cash outgo during the year:			
Withdrawals .....	431	1,066	1,585
Loans to employees .....	425	585	622
Administrative expenses .....	21	31	41
Total, cash outgo .....	876	1,682	2,248
Investment balance, end of year .....	27,948	35,442	43,757

**STATUS OF THE BARCLAYS U.S. DEBT INDEX FUND**

[In millions of dollars]

	1997 actual	1998 est.	1999 est.
Investment balance, start of year .....	2,483	2,844	3,242
Cash income for the year:			
New investments .....	235	375	416
Earnings .....	253	194	215
Total, cash income .....	488	569	630
Cash outgo during the year:			
Withdrawals .....	66	108	159
Loans to employees .....	57	60	56
Administrative expenses .....	3	3	4
Total, cash outgo .....	126	171	219
Investment balance, end of year .....	2,844	3,242	3,653

**FEDERAL TRADE COMMISSION****Federal Funds****General and special funds:****SALARIES AND EXPENSES**

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses; **[\$88,500,000] \$101,167,000, to remain available until expended: Provided,** That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718, as amended: **Provided further,** [That notwithstanding any other provision of law, not to exceed \$70,000,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18(a)) shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: *Provided further,* That the sum herein appropriated from the General Fund shall be reduced as such offsetting collections are received during fiscal year 1998, so as to result in a final fiscal year 1998 appropriation from the

General Fund estimated at not more than \$18,500,000, to remain available until expended: *Provided further*, That any fees received in excess of \$70,000,000 in fiscal year 1998 shall remain available until expended, but shall not be available for obligation until October 1, 1998] *That fees collected for pre-merger filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18(a)) in fiscal year 1999 shall become available on October 1, 1999, to remain available until expended, for authorized purposes. Provided further*, That none of the funds made available to the Federal Trade Commission shall be available for obligation for expenses authorized by section 151 of the Federal Deposit Insurance Corporation Improvement Act of 1991 (Public Law 102-242, 105 Stat. 2282-2285). (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1998.*)

Unavailable Collections (in millions of dollars)

Identification code 29-0100-0-1-376	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year	17	18	12
03.00 Offsetting collections	18	12	86
04.00 Total: Balances and collections	35	30	98
Appropriation:			
05.01 Salaries and expenses	-17	-18	-12
07.99 Total balance, end of year	18	12	86

Program and Financing (in millions of dollars)

Identification code 29-0100-0-1-376	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
Direct program:			
00.01 Maintaining competition			42
00.02 Consumer protection	27	18	59
00.91 Total direct program	27	18	101
09.01 Maintaining competition	47	51	12
09.02 Consumer protection	28	37	
09.03 Reimbursable program	1	1	
09.09 Subtotal, reimbursable program	76	89	12
10.00 Total obligations	103	107	113
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested		1	1
22.00 New budget authority (gross)	104	107	113
23.90 Total budgetary resources available for obligation	104	108	114
23.95 New obligations	-103	-107	-113
24.40 Unobligated balance available, end of year:			
Uninvested	1	1	1
New budget authority (gross), detail:			
Current:			
40.00 Appropriation	27	18	101
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	78	83	86
68.26 Offsetting collections (unavailable balances)	17	18	12
68.45 Portion not available for obligation (limitation on obligations)	-18	-12	-86
68.90 Spending authority from offsetting collections (total)	77	89	12
70.00 Total new budget authority (gross)	104	107	113
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	12	12	8
73.10 New obligations	103	107	113
73.20 Total outlays (gross)	-103	-111	-112
74.40 Unpaid obligations, end of year: Obligated balance:			
Appropriated	12	8	9
Outlays (gross), detail:			
86.90 Outlays from new current authority	24	17	93
86.93 Outlays from current balances	12	12	1
86.97 Outlays from new permanent authority	67	82	11
86.98 Outlays from permanent balances			7

87.00	Total outlays (gross)	103	111	112
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-1	
88.40	Non-Federal sources	-77	-82	-86
88.90	Total, offsetting collections (cash)	-78	-83	-86
Net budget authority and outlays:				
89.00	Budget authority	26	24	27
90.00	Outlays	25	28	26

The Federal Trade Commission (FTC or Commission) is charged by law with ensuring that competition in the marketplace is vigorous, free, and fair. This is accomplished by eliminating threats to fair and honest competition from all sources, both public and private.

**Maintaining competition.**—The Commission's efforts are aimed at fostering and preserving our competitive system with the goal of maximizing consumer welfare. In addition to enforcing the antitrust laws against private sector restraints on competition, the Commission also scrutinizes regulatory policies that unduly restrain competition, and encourages policymakers to harness the benefits of competition when in the development of such policies.

**Consumer protection.**—The Commission is charged with eliminating unfair or deceptive acts or practices affecting commerce. The goal of the consumer protection mission is to improve market performance so that consumers can make informed choices when exercising their purchasing power. To accomplish this goal, the Commission will: remove harmful private and public restrictions on market performance; encourage business to provide consumers with accurate and useful information; and, reinforce market forces that enhance consumer welfare.

The President's 1999 request will fund a total of 979 FTE. The program level for the Commission would increase from \$106.5 million in 1998 to \$112.9 million in 1999, allowing the Commission to continue to pursue its missions.

The programs administered by the FTC are funded by appropriated funds and fees assessed for premerger notification filings under the Hart-Scott-Rodino Act, as required by section 605 of Public Law 101-162, as amended. The 1999 requested level includes \$101.2 million appropriated from the General Fund and \$11.7 million derived from estimated 1998 carry-over fee balances. During 1999, the FTC anticipates collecting \$86.6 million in pre-merger filing fees, which will be available for obligation starting October 1, 1999.

Object Classification (in millions of dollars)

Identification code 29-0100-0-1-376	1997 actual	1998 est.	1999 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	15	11	61
11.3 Other than full-time permanent	1		2
11.5 Other personnel compensation			1
11.9 Total personnel compensation	16	11	64
12.1 Civilian personnel benefits	3	2	12
21.0 Travel and transportation of persons			1
23.1 Rental payments to GSA	3	2	9
23.3 Communications, utilities, and miscellaneous charges	1		2
25.1 Advisory and assistance services			2
25.2 Other services	1	1	4
25.3 Purchases of goods and services from Government accounts	1	1	2
25.4 Operation and maintenance of facilities	1	1	2
25.7 Operation and maintenance of equipment			1
26.0 Supplies and materials			1
31.0 Equipment	1		1
99.0 Subtotal, direct obligations	27	18	101
99.0 Reimbursable obligations	76	89	12

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Object Classification (in millions of dollars)—Continued**

Identification code 29-0100-0-1-376	1997 actual	1998 est.	1999 est.
99.9 Total obligations .....	103	107	113

**Personnel Summary**

Identification code 29-0100-0-1-376	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	243	165	878
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	685	795	101

**HARRY S. TRUMAN SCHOLARSHIP FOUNDATION**

**Trust Funds**

**HARRY S. TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8296-0-7-502	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Interest on investments .....	3	4	4
<b>Appropriation:</b>			
05.01 Harry S. Truman memorial scholarship trust fund .....	-3	-4	-4
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 95-8296-0-7-502	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Scholarship awards .....	2	2	2
00.02 Program administration .....	1	1	1
10.00 Total obligations .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	52	53	54
22.00 New budget authority (gross) .....	3	4	4
23.90 Total budgetary resources available for obligation .....	55	57	58
23.95 New obligations .....	-3	-3	-3
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	53	54	55

**New budget authority (gross), detail:**

60.27 Appropriation (trust fund, indefinite) .....	3	4	4
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**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	3	2	1
73.10 New obligations .....	3	3	3
73.20 Total outlays (gross) .....	-3	-4	-4
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	2	1	

**Outlays (gross), detail:**

86.97 Outlays from new permanent authority .....	2	3	3
86.98 Outlays from permanent balances .....	1	1	1
87.00 Total outlays (gross) .....	3	4	4

**Net budget authority and outlays:**

89.00 Budget authority .....	3	4	4
90.00 Outlays .....	3	4	4

Public Law 93-642 established the Harry S. Truman Scholarship Foundation to operate the scholarship program that

is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its 1999 annual competition, the Foundation will select up to 80 new Truman Scholars. The maximum award will be \$30,000 for four years.

**Scholarship awards.**—This activity is comprised of scholarships awarded to cover eligible educational expenses.

**Program administration.**—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

**Object Classification (in millions of dollars)**

Identification code 95-8296-0-7-502	1997 actual	1998 est.	1999 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	2	2	2
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	3	3	3

**Personnel Summary**

Identification code 95-8296-0-7-502	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4	5	5

**INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT**

**Federal Funds**

**General and special funds:**

**PAYMENT TO THE INSTITUTE**

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99-498, as amended (20 U.S.C. 56 part A), [**\$4,250,000**] **\$3,188,000.** (Department of the Interior and Related Agencies Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 95-2900-0-1-502	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Payment to the Institute .....	6	4	3
10.00 Total obligations (object class 41.0) .....	6	4	3

**Budgetary resources available for obligation:**

22.00 New budget authority (gross) .....	6	4	3
23.95 New obligations .....	-6	-4	-3

**New budget authority (gross), detail:**

40.00 Appropriation .....	6	4	3
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**Change in unpaid obligations:**

73.10 New obligations .....	6	4	3
73.20 Total outlays (gross) .....	-6	-4	-3

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	6	4	3
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**Net budget authority and outlays:**

89.00 Budget authority .....	6	4	3
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90.00 Outlays ..... 6 4 3

Title XV of Public Law 99-498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit corporation administered by a Board of Trustees. The Institute provides Native Americans with an opportunity to obtain a postsecondary education in various fields of Indian art and culture.

*Payment to the Institute.*—This activity supports the operations of the Institute.

## INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

### Federal Funds

#### General and special funds:

##### INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Intelligence Community Management Account; **[\$121,080,000]** \$138,623,000, of which **[\$39,011,000]** \$43,790,000 for the Advanced Research and Development Committee and the Environmental Intelligence and Applications Program shall remain available until September 30, **[1999]** 2000: *Provided*, That of the funds appropriated under this heading, \$27,000,000 shall be transferred to the Department of Justice for the National Drug Intelligence Center to support the Department of Defense's counter-drug intelligence responsibilities, and of the said amount, \$1,500,000 for Procurement shall remain available until September 30, **[2000]** 2001, and \$3,000,000 for Research, development, test and evaluation shall remain available until September 30, **[1999]** 2000. (*Department of Defense Appropriations Act, 1998.*)

#### Program and Financing (in millions of dollars)

Identification code 95-0401-0-1-054	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	99	96	112
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	102	94	112
22.30 Unobligated balance expiring .....	-3		
23.90 Total budgetary resources available for obligation	99	96	112
23.95 New obligations .....	-99	-96	-112
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	129	121	139
41.00 Transferred to other accounts .....	-27	-27	-27
43.00 Appropriation (total) .....	102	94	112
70.00 Total new budget authority (gross) .....	102	94	112
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	99	53	45
73.10 New obligations .....	99	96	112
73.20 Total outlays (gross) .....	-145	-104	-105
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	53	45	52
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	82	58	69
86.93 Outlays from current balances .....	63	46	36
87.00 Total outlays (gross) .....	145	104	105
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	102	94	112
90.00 Outlays .....	145	104	105

The Intelligence Community Management Account (ICMA) was established by Congressional direction to provide resources that directly support the Director of Central Intelligence (DCI) and the Intelligence Community as a whole

in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA includes the Community Management Staff, the Environmental Intelligence and Applications program, the National Intelligence Council, the Center for Security Evaluations, the Information Systems Secretariat, the Controlled Access Program Coordination Office, the Advanced Research and Development program, the National Counterintelligence Center, and the National Drug Intelligence Center.

The Community Management Staff is the DCI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These include: developing the National Foreign Intelligence Program budget; developing intelligence plans and requirements; and overseeing research and development activities. The Environmental Intelligence and Applications program evaluates the application of Intelligence Community archived information and current and future imaging capabilities to the study of the environment. The Advanced Research and Development program is responsible for coordination of advanced technology within the Intelligence Community and for encouragement of investment in high risk/high return technologies. The Controlled Access Program Coordination Office supports the DCI's annual review of Intelligence Special Access programs. The National Intelligence Council provides analytical support to the DCI and national policy makers. The Center for Security Evaluation is responsible for evaluating and improving security capabilities at United States embassies. The Information Systems Secretariat supports technical activities and services of common Community concern regarding interoperability between national intelligence systems and consumers. The National Counterintelligence Center was established as the primary mechanism to coordinate U.S. government national-level counterintelligence policy and activities. The National Drug Intelligence Center was established to coordinate strategic organizational drug intelligence from national security and law enforcement agencies.

#### Object Classification (in millions of dollars)

Identification code 95-0401-0-1-054	1997 actual	1998 est.	1999 est.
11.3 Personnel compensation: Other than full-time permanent .....	21	22	23
12.1 Civilian personnel benefits .....	6	6	6
21.0 Travel and transportation of persons .....	2	2	2
25.2 Other services .....	65	61	76
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	4	4	4
99.9 Total obligations .....	99	96	112

#### Personnel Summary

Identification code 95-0401-0-1-054	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	268	283	283

## INTERNATIONAL TRADE COMMISSION

### Federal Funds

#### General and special funds:

##### SALARIES AND EXPENSES

For necessary expenses of the International Trade Commission, including hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, **[\$41,200,000]** \$45,500,000, to remain available until expended. (*Department of Commerce and Related Agencies Appropriations Act, 1998.*)

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)**

Identification code 34-0100-0-1-153	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Research, investigations, and reports .....	41	41	46
10.00 Total obligations .....	41	41	46
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....		1	
22.00 New budget authority (gross) .....	41	41	46
22.10 Resources available from recoveries of prior year obligations .....	1		
23.90 Total budgetary resources available for obligation .....	42	42	46
23.95 New obligations .....	-41	-41	-46
24.40 Unobligated balance available, end of year:			
Uninvested .....	1		
<b>New budget authority (gross), detail:</b>			
40.05 Appropriation (indefinite) .....	41	41	46
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	4	4	4
73.10 New obligations .....	41	41	46
73.20 Total outlays (gross) .....	-40	-41	-46
73.45 Adjustments in unexpired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	4	4	4
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	38	38	43
86.93 Outlays from current balances .....	2	3	3
87.00 Total outlays (gross) .....	40	41	46
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	41	41	46
90.00 Outlays .....	40	41	46

The U.S. International Trade Commission is an independent agency created by an act of Congress. The sources of the Commission's principal powers and duties are the Tariff Act of 1930; the Trade Act of 1974; the Agricultural Adjustment Act; the Trade and Tariff Act of 1984; the Omnibus Trade and Competitiveness Act of 1988; the North American Free Trade Agreement Implementation Act; and the Uruguay Round Agreements Act.

The Commission investigates and makes findings concerning *inter alia*, whether: (1) increased imports are a substantial cause of serious injury to an industry; (2) a U.S. industry is being materially injured, or threatened with material injury, or the establishment of such an industry is being materially retarded, by reason of imported goods that are subsidized or are being sold at less than fair value; (3) there are unfair import practices in import trade; and (4) imports of agricultural products are materially interfering with certain programs of the U.S. Department of Agriculture.

The Commission advises the President as to the probable economic effect on domestic industry and consumers of modification of duties and other barriers to trade which may be considered for inclusion in any proposed trade agreement with foreign countries. Further, the Commission, at the request of the President, the Ways and Means Committee of the House of Representatives, the Finance Committee of the Senate, or on the Commission's own motion, undertakes comprehensive studies and provides reports on issues relating to international trade and economic policy matters, and upon request provides other information and advice to the Congress and President on tariff and trade matters.

The Commission, in cooperation with the Secretary of the Treasury and the Secretary of Commerce, establishes for sta-

tistical purposes an enumeration of articles imported into the United States and exported from the United States, and seeks to establish comparability of such statistics with statistical programs for domestic production.

The Commission also issues a publication containing the U.S. tariff schedule and related matters and considers questions concerning the arrangements of such schedules and the classification of articles.

Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

**Object Classification (in millions of dollars)**

Identification code 34-0100-0-1-153	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	23	25	28
12.1 Civilian personnel benefits .....	4	4	5
21.0 Travel and transportation of persons .....			1
23.1 Rental payments to GSA .....	6	6	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	2	2
25.3 Purchases of goods and services from Government accounts .....	1	1	1
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	1	1
99.0 Subtotal, direct obligations .....	39	41	46
99.5 Below reporting threshold .....	2		
99.9 Total obligations .....	41	41	46

**Personnel Summary**

Identification code 34-0100-0-1-153	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	371	394	423

**JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION**

**Trust Funds**

**JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8282-0-7-502	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.02 Earnings on investments .....	3	3	3
<b>Appropriation:</b>			
05.01 James Madison Memorial Fellowship Trust Fund .....	-3	-3	-3
07.99 Total balance, end of year .....			

**Program and Financing (in millions of dollars)**

Identification code 95-8282-0-7-502	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Fellowship awards .....	1	1	1
00.02 Program administration .....	1	1	1
10.00 Total obligations .....	2	2	2
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	38	39	40
22.00 New budget authority (gross) .....	3	3	3
23.90 Total budgetary resources available for obligation .....	41	42	43
23.95 New obligations .....	-2	-2	-2
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	39	40	41
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	3	3	3

Change in unpaid obligations:				
73.10	New obligations .....	2	2	2
73.20	Total outlays (gross) .....	-2	-2	-2
Outlays (gross), detail:				
86.97	Outlays from new permanent authority .....	2	2	2
Net budget authority and outlays:				
89.00	Budget authority .....	3	3	3
90.00	Outlays .....	2	2	2

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights. The foundation awarded 61 fellowships in 1997 and plans to award 60 in both 1998 and 1999.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)				
Identification code 95-8282-0-7-502				
41.0	Direct obligations: Grants, subsidies, and contributions .....	1	1	1
99.5	Below reporting threshold .....	1	1	1
99.9	Total obligations .....	2	2	2

**Personnel Summary**

Identification code 95-8282-0-7-502				
1001	Total compensable workyears: Full-time equivalent employment .....	6	7	7

**JAPAN-UNITED STATES FRIENDSHIP COMMISSION**

**Trust Funds**

**JAPAN-UNITED STATES FRIENDSHIP TRUST FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8025-0-7-154				
Balance, start of year:				
01.99	Balance, start of year .....	46	46	46
Receipts:				
02.01	Interest on investment in public debt securities .....	1	1	1
04.00	Total: Balances and collections .....	47	47	47
Appropriation:				
05.01	Japan-United States friendship trust fund .....	-1	-1	-1
07.99	Total balance, end of year .....	46	46	46

**Program and Financing (in millions of dollars)**

Identification code 95-8025-0-7-154				
Obligations by program activity:				
00.01	Grants .....	2	2	1
10.00	Total obligations (object class 41.0) .....	2	2	1
Budgetary resources available for obligation:				
21.41	Unobligated balance available, start of year: U.S. Securities: Par value .....	1	1	
22.00	New budget authority (gross) .....	1	1	1
23.90	Total budgetary resources available for obligation .....	2	2	1
23.95	New obligations .....	-2	-2	-1
24.41	Unobligated balance available, end of year: U.S. Securities: Par value .....	1		
New budget authority (gross), detail:				
60.27	Appropriation (trust fund, indefinite) .....	1	1	1
Change in unpaid obligations:				
73.10	New obligations .....	2	2	1
73.20	Total outlays (gross) .....	-2	-2	-1
Outlays (gross), detail:				
86.97	Outlays from new permanent authority .....	1	1	1
86.98	Outlays from permanent balances .....	1	1	
87.00	Total outlays (gross) .....	2	2	1
Net budget authority and outlays:				
89.00	Budget authority .....	1	1	1
90.00	Outlays .....	1	2	1

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies in American universities, policy oriented research, faculty and other professional exchanges, public affairs programs, and other cultural and educational activities primarily in the United States.

**Personnel Summary**

Identification code 95-8025-0-7-154				
1001	Total compensable workyears: Full-time equivalent employment .....	4	5	5

**JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD**

**Federal Funds**

**General and special funds:**

[JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD]

[For the necessary expenses to carry out the John F. Kennedy Assassination Records Collection Act of 1992, \$1,600,000: *Provided*, That \$100,000 shall be available only for the purposes of the prompt and orderly termination of the John F. Kennedy Assassination Records Review Board, to be concluded no later than September 30, 1998.] (*Independent Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 48-1001-0-1-808				
Obligations by program activity:				
10.00	Total obligations .....	2	2	

**General and special funds—Continued**

**[JOHN F. KENNEDY ASSASSINATION RECORDS REVIEW BOARD]—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 48-1001-0-1-808	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	1	1	
22.00 New budget authority (gross) .....	2	2	
23.90 Total budgetary resources available for obligation	3	3	
23.95 New obligations .....	-2	-2	
24.40 Unobligated balance available, end of year:			
Uninvested .....	1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	2	2	
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	2	2	
73.20 Total outlays (gross) .....	-2	-2	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2	2	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	
90.00 Outlays .....	2	2	

The John F. Kennedy Assassination Records Review Board was established to oversee an effort of enormous scope within a four year period. The Board is charged with locating and securing all records which relate to the assassination of President Kennedy. These records include those of at least fifteen Federal agencies, previous official investigations, the Presidential libraries, and many smaller governmental and private repositories throughout the country.

The purpose of the Board is to ensure the efficient, timely and full disclosure of these records to the American public. This effort is seen as perhaps the last opportunity to clear up the many lingering doubts and questions surrounding the assassination of President Kennedy.

1998 is the Board's fourth and final year, and it will issue a final report upon its termination.

**Object Classification (in millions of dollars)**

Identification code 48-1001-0-1-808	1997 actual	1998 est.	1999 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	
99.5 Below reporting threshold .....	1	1	
99.9 Total obligations .....	2	2	

**Personnel Summary**

Identification code 48-1001-0-1-808	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	25	27	

**LEGAL SERVICES CORPORATION**

**Federal Funds**

**General and special funds:**

**PAYMENT TO THE LEGAL SERVICES CORPORATION**

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, as amended, **[\$283,000,000] \$340,000,000**, of which **[\$274,400,000] \$289,000,000** is for basic field programs and required independent audits; **[\$1,500,000] \$17,000,000** is for client self-help and information technology; **\$23,000,000** is for unmet legal needs of children and domestic

violence initiatives; **\$2,015,000** is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; and **[\$7,100,000] \$8,985,000** is for management and administration. (*Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 20-0501-0-1-752	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	283	283	340
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	283	283	340
23.95 New obligations .....	-283	-283	-340
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	283	283	340
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	23	24	24
73.10 New obligations .....	283	283	340
73.20 Total outlays (gross) .....	-282	-283	-335
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	24	24	29
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	259	259	311
86.93 Outlays from current balances .....	23	24	24
87.00 Total outlays (gross) .....	282	283	335
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	283	283	340
90.00 Outlays .....	282	283	335

The Legal Services Corporation distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal government.

**ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION**

**SEC. 501. (a) CONTINUATION OF COMPETITIVE SELECTION PROCESS.—**None of the funds appropriated in this Act to the Legal Services Corporation may be used to provide financial assistance to any person or entity except through a competitive selection process conducted in accordance with regulations promulgated by the Corporation in accordance with the criteria set forth in subsections (c), (d), and (e) of section 503 of Public Law 104-134 (110 Stat. 1321-52 et seq.).

**(b) INAPPLICABILITY OF CERTAIN PROCEDURES.—**Sections 1007(a)(9) and 1011 of the Legal Services Corporation Act (42 U.S.C. 2996f(a)(9) and 2996j) shall not apply to the provision, denial, suspension, or termination of any financial assistance using funds appropriated in this Act.

**(c) ADDITIONAL PROCEDURES.—**If, during any term of a grant or contract awarded to a recipient by the Legal Services Corporation under the competitive selection process referred to in subsection (a) and applicable Corporation regulations, the Corporation finds, after notice and opportunity for the recipient to be heard, that the recipient has failed to comply with any requirement of the Legal Services Corporation Act (42 U.S.C. 2996 et seq.), this Act, or any other applicable law relating to funding for the Corporation, the Corporation may terminate the grant or contract and institute a new competitive selection process for the area served by the recipient, notwithstanding the terms of the recipient's grant or contract.

**SEC. 502. (a) CONTINUATION OF REQUIREMENTS AND RESTRICTIONS.—**None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of—

(1) sections 501, 502, 505, 506, and 507 of Public Law 104-134 (110 Stat. 1321-51 et seq.), and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the

same terms and conditions as set forth in such sections, except that all references in such sections to 1995 and 1996 shall be deemed to refer instead to [1997] 1998 and [1998] 1999, respectively; and

(2) section 504 of Public Law 104-134 (110 Stat. 1321-53 et seq.), and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such section, except that—

(A) subsection (c) of such section 504 shall not apply;

(B) paragraph (3) of section 508(b) of Public Law 104-134 (110 Stat. 1321-58) shall apply with respect to the requirements of subsection (a)(13) of such section 504, except that all references in such section 508(b) to the date of enactment shall be deemed to refer to April 26, 1996; and

(C) subsection (a)(11) of such section 504 shall not be construed to prohibit a recipient from using funds derived from a source other than the Corporation to provide related legal assistance to—

(i) an alien who has been battered or subjected to extreme cruelty in the United States by a spouse or a parent, or by a member of the spouse's or parent's family residing in the same household as the alien and the spouse or parent consented or acquiesced to such battery or cruelty; or

(ii) an alien whose child has been battered or subjected to extreme cruelty in the United States by a spouse or parent of the alien (without the active participation of the alien in the battery or extreme cruelty), or by a member of the spouse's or parent's family residing in the same household as the alien and the spouse or parent consented or acquiesced to such battery or cruelty, and the alien did not actively participate in such battery or cruelty.

(b) DEFINITIONS.—For purposes of subsection (a)(2)(C):

(1) The term "battered or subjected to extreme cruelty" has the meaning given such term under regulations issued pursuant to subtitle G of the Violence Against Women Act of 1994 (Public Law 103-322; 108 Stat. 1953).

(2) The term "related legal assistance" means legal assistance directly related to the prevention of, or obtaining of relief from, the battery or cruelty described in such subsection.

SEC. 503. (a) CONTINUATION OF AUDIT REQUIREMENTS.—The requirements of section 509 of Public Law 104-134 (110 Stat. 1321-58 et seq.), other than subsection (l) of such section, shall apply during fiscal year [1998] 1999.

(b) REQUIREMENT OF ANNUAL AUDIT.—An annual audit of each person or entity receiving financial assistance from the Legal Services Corporation under this Act shall be conducted during fiscal year [1998] 1999 in accordance with the requirements referred to in subsection (a).

SEC. 504. (a) DEBARMENT.—The Legal Services Corporation may debar a recipient, on a showing of good cause, from receiving an additional award of financial assistance from the Corporation. Any such action to debar a recipient shall be instituted after the Corporation provides notice and an opportunity for a hearing to the recipient.

(b) REGULATIONS.—The Legal Services Corporation shall promulgate regulations to implement this section.

(c) GOOD CAUSE.—In this section, the term "good cause", used with respect to debarment, includes—

(1) prior termination of the financial assistance of the recipient, under part 1640 of title 45, Code of Federal Regulations (or any similar corresponding regulation or ruling);

(2) prior termination in whole, under part 1606 of title 45, Code of Federal Regulations (or any similar corresponding regulation or ruling), of the most recent financial assistance received by the recipient, prior to date of the debarment decision;

(3) substantial violation by the recipient of the statutory or regulatory restrictions that prohibit recipients from using financial assistance made available by the Legal Services Corporation or other financial assistance for purposes prohibited under the Legal Services Corporation Act (42 U.S.C. 2996 et seq.) or for involvement in any activity prohibited by, or inconsistent with, section 504 of Public Law 104-134 (110 Stat. 1321-53 et seq.), section 502(a)(2) of Public Law 104-208 (110 Stat. 3009-59 et seq.), or section 502(a)(2) of this Act;

(4) knowing entry by the recipient into a subgrant, subcontract, or other agreement with an entity that had been debarred by the Corporation; or

(5) the filing of a lawsuit by the recipient, on behalf of the recipient, as part of any program receiving any Federal funds,

naming the Corporation, or any agency or employee of a Federal, State, or local government, as a defendant.

[SEC. 505. (a) Not later than January 1, 1998, the Legal Services Corporation shall implement a system of case information disclosure which shall apply to all basic field programs which receive funds from the Legal Services Corporation from funds appropriated in this Act.

(b) Any basic field program which receives Federal funds from the Legal Services Corporation from funds appropriated in this Act must disclose to the public in written form, upon request, and to the Legal Services Corporation in semiannual reports, the following information about each case filed by its attorneys in any court:

(1) The name and full address of each party to the legal action unless such information is protected by an order or rule of a court or by State or Federal law or revealing such information would put the client of the recipient of such Federal funds at risk of physical harm.

(2) The cause of action in the case.

(3) The name and address of the court in which the case was filed and the case number assigned to the legal action.

(c) The case information disclosed in semi-annual reports to the Legal Services Corporation shall be subject to disclosure under section 552 of title 5, United States Code.]

SEC. [506] 505. In establishing the income or assets of an individual who is a victim of domestic violence, under section 1007(a)(2) of the Legal Services Corporation Act (42 U.S.C. 2996f(a)(2)), to determine if the individual is eligible for legal assistance, a recipient described in such section shall consider only the assets and income of the individual, and shall not include any jointly held assets. (*Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 1998.*)

## MARINE MAMMAL COMMISSION

### Federal Funds

#### General and special funds:

#### SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92-522, as amended, [\$1,185,000] \$1,240,000. (*Departments of Commerce, Justice, and State, the Judiciary and Related Agencies Appropriations Act, 1998.*)

#### Program and Financing (in millions of dollars)

Identification code 95-2200-0-1-302	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 11.1) .....	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	1	1	1
23.95 New obligations .....	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	1	1
73.20 Total outlays (gross) .....	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	1

The Commission recommends national and international marine mammal policies; develops scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, Interior, and State steps to conserve marine mammals domestically and internationally; and, manages a research program.

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Personnel Summary**

Identification code 95-2200-0-1-302	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	10	12	12

**MERIT SYSTEMS PROTECTION BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and direct procurement of survey printing, **[\$25,290,000]** \$25,805,000, together with not to exceed \$2,430,000 for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board. (*Independent Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 41-0100-0-1-805	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Adjudication .....	20	22	22
00.02 Merit system studies .....	1	1	1
00.03 Management support .....	3	3	3
00.91 Total direct program .....	24	25	26
09.00 Reimbursable Program Activity .....	2	2	2
10.00 Total obligations .....	26	28	28
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	26	28	28
23.95 New obligations .....	-26	-28	-28
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	24	25	26
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	2	2	2
70.00 Total new budget authority (gross) .....	26	28	28
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	2	3	3
73.10 New obligations .....	26	28	28
73.20 Total outlays (gross) .....	-25	-28	-28
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	3	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	22	23	24
86.93 Outlays from current balances .....	1	3	2
86.97 Outlays from new permanent authority .....	2	2	2
87.00 Total outlays (gross) .....	25	28	28
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-2	-2	-2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	24	25	26
90.00 Outlays .....	23	25	26

The mission of the Merit Systems Protection Board (MSPB) is to assist Federal agencies in running a merit-based civil service system. The MSPB accomplishes its mission on a case-by-case basis through hearing and deciding employee appeals, and on a systematic basis by reviewing significant actions and regulations of the Office of Personnel Management (OPM) and conducting studies of the civil service and other merit systems. The intended results (outcomes) of MSPB's efforts are to assure that (1) personnel actions taken against employees are processed within the law, and (2) actions taken by OPM and other agencies support and enhance Federal merit principles.

Board workloads are reflected in the following table:

**PRODUCTION COUNT**

	1997 actual	1998 est.	1999 est.
Retirement (legal-disability) .....	1,819	1,800	1,800
Adverse action appeals .....	4,367	4,800	4,800
Reduction-in-force appeals .....	881	1,100	1,100
Other .....	3,087	3,100	3,100

**Object Classification (in millions of dollars)**

Identification code 41-0100-0-1-805	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	15	15	15
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	16	16	16
12.1 Civilian personnel benefits .....	3	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	1	2	2
31.0 Equipment .....	1	1	1
99.0 Subtotal, direct obligations .....	24	25	26
99.0 Reimbursable obligations .....	2	2	2
99.9 Total obligations .....	26	28	28

**Personnel Summary**

Identification code 41-0100-0-1-805	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	229	221	221
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	30	29	29

**MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION**

**Federal Funds**

**General and special funds:**

**FEDERAL PAYMENT TO MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION**

For payment to the Morris K. Udall Scholarship and Excellence in National Environmental Trust Fund, to be available for purposes of Public Law 102-259, **[\$1,750,000]** \$2,000,000, to remain available until expended. (*Independent Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 95-0900-0-1-502	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.3) .....		2	2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....		2	2

23.95	New obligations .....	-2	-2
<b>New budget authority (gross), detail:</b>			
40.00	Appropriation .....	2	2
<b>Change in unpaid obligations:</b>			
73.10	New obligations .....	2	2
73.20	Total outlays (gross) .....	-2	-2
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new current authority .....	2	2
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	2	2
90.00	Outlays .....	2	2

The General Fund payment to the Morris K. Udall Fund is being used to invest in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments will be used to carry out the activities of the Morris K. Udall Foundation. The Foundation will award scholarships, fellowships and grants, and will fund activities of the Udall Center.

**ENVIRONMENTAL DISPUTE RESOLUTION FUND**  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95-5415-2-4-306	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00	Total obligations .....		4
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....		4
23.95	New obligations .....		-4
<b>New budget authority (gross), detail:</b>			
40.00	Appropriation .....		4
<b>Change in unpaid obligations:</b>			
73.10	New obligations .....		4
73.20	Total outlays (gross) .....		-3
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new current authority .....		3
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		4
90.00	Outlays .....		3

Proposed legislation amending the Morris K. Udall Scholarship and Excellence in National Environmental and Native American Public Policy Act of 1992 would establish the U.S. Institute for Environmental Conflict Resolution. The Institute is designed to conduct environmental conflict resolution and training, and will provide mainly Federal agencies with assessment, mediation, or other related services in connection with a dispute or conflict related to the environment, public lands, or natural resources. Under the proposal, a new Environmental Dispute Resolution Fund would be established to collect fees from contracting parties to pay for environmental dispute resolution cases. The budget proposes \$3 million to capitalize this new fund and \$1 million for annual operating costs for transmittal after enactment of needed authorizing legislation.

Object Classification (in millions of dollars)

Identification code 95-5415-2-4-306	1997 actual	1998 est.	1999 est.
11.1	Personnel compensation: Full-time permanent .....		1
25.2	Other services .....		3
99.9	Total obligations .....		4

Personnel Summary

Identification code 95-5415-2-4-306	1997 actual	1998 est.	1999 est.
1001	Total compensable workyears: Full-time equivalent employment .....		14

Trust Funds

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION

Unavailable Collections (in millions of dollars)

Identification code 95-8615-0-7-502	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99	Balance, start of year .....	20	20
Receipts:			
02.01	General fund payments .....		2
02.02	Interest on investments .....	2	1
02.99	Total receipts .....	2	3
04.00	Total: Balances and collections .....	22	23
Appropriation:			
05.01	Morris K. Udall Scholarship fund .....	-2	-1
07.99	Total balance, end of year .....	20	22

Program and Financing (in millions of dollars)

Identification code 95-8615-0-7-502	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00	Total obligations (object class 41.0) .....	1	1
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.41	Par value .....	2	2
21.42	Unrealized discounts .....	-1	
21.99	Total unobligated balance, start of year .....	1	1
22.00	New budget authority (gross) .....	2	1
23.90	Total budgetary resources available for obligation .....	3	2
23.95	New obligations .....	-1	-1
24.41	Unobligated balance available, end of year: U.S. Securities: Par value .....	2	1
<b>New budget authority (gross), detail:</b>			
60.27	Appropriation (trust fund, indefinite) .....	2	1
<b>Change in unpaid obligations:</b>			
73.10	New obligations .....	1	1
73.20	Total outlays (gross) .....	-1	-1
<b>Outlays (gross), detail:</b>			
86.97	Outlays from new permanent authority .....	1	1
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....	2	1
90.00	Outlays .....	1	1

Public Law 102-259 established the Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation to provide educational resources to promote studies in the natural environment and Native American public health and tribal policy. In addition, the Foundation is authorized to fund the Udall Center for Studies in Public Policy at the University of Arizona to carry out and manage programs established by the Foundation relating especially to a program of environmental conflict resolution.

In 1997, the Foundation increased undergraduate scholarships to qualified applicants from 55 to 70 and Native American Congressional Summer Internship Program recipients from 10 to 12, with longer internships than the previous year. For the first time the Foundation awarded two Graduate Fellowships to Ph.D candidates whose dissertation topics were

MORRIS K. UDALL SCHOLARSHIP AND EXCELLENCE IN NATIONAL ENVIRONMENTAL POLICY FOUNDATION—Continued

in the area of environmental public policy and conflict resolution and whose work contributed to the mission of the Foundation. The Foundation, in conjunction with the Udall Center for Studies in Public Policy and the University of Arizona, hosted the 1997 Annual Meeting of the Society of Environmental Journalists.

In 1998, the Foundation will increase undergraduate fellowships to 75 and Native American Congressional Summer Internships to 15. Graduate fellowships to Ph.D candidates will remain at two in 1998. A priority of the Foundation concerns issues relating to Native Americans. In 1998, in conjunction with the Udall Center for Studies in Public Policy, the Foundation will sponsor a national conference on Native American Health.

Personnel Summary

Identification code 95-8615-0-7-502	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2	3	3

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

Federal Funds

General and special funds:

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives (including the Information Security Oversight Office) and records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, and for the hire of passenger motor vehicles, [S205,166,500] S230,025,000: Provided, That the Archivist of the United States is authorized to use any excess funds available from the amount borrowed for construction of the National Archives facility, for expenses necessary to provide adequate storage for holdings. (1 U.S.C. 106a, 106b, 112, 113, 201; 3 U.S.C. 6, 11-13; 4 U.S.C. 141-146; 5 U.S.C. App. 1; 25 U.S.C. 199a; 44 U.S.C. 710, 711, 729, Chapters 15, 21, 22, 25, 29, 31, 33; Public Law 98-497, Public Law 93-526, Executive Orders 11440, 10530, 11030, 12656, 12829, 12958; Independent Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 88-0300-0-1-804	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Records Services .....	165	169	193
00.02 Archives Related Services .....	8	8	8
00.04 Archives II Facility .....	25	24	24
00.91 Total direct program .....	198	201	225
09.88 Reimbursable Program Activity .....	34	31	32
10.00 Total obligations .....	232	232	257
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
21.40 Uninvested .....	5	1	
21.41 U.S. Securities: Par value .....	12	12	12
21.99 Total unobligated balance, start of year .....	17	13	12
22.00 New budget authority (gross) .....	228	232	257
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	244	245	269
23.95 New obligations .....	-232	-232	-257
Unobligated balance available, end of year:			
24.40 Uninvested .....	1		
24.41 U.S. Securities: Par value .....	12	12	12

24.99 Total unobligated balance, end of year .....	13	12	12
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	197	205	230
40.47 Portion applied to debt reduction .....	-4	-5	-5
43.00 Appropriation (total) .....	193	200	225
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	35	31	32
70.00 Total new budget authority (gross) .....	228	231	257
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Uninvested .....	20	25	34
72.41 U.S. Securities: Par value .....	2	1	1
72.99 Total unpaid obligations, start of year .....	22	26	35
73.10 New obligations .....	232	232	257
73.20 Total outlays (gross) .....	-227	-224	-246
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Uninvested .....	25	34	46
74.41 U.S. Securities: Par value .....	1	1	1
74.99 Total unpaid obligations, end of year .....	26	35	47
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	181	156	175
86.93 Outlays from current balances .....	11	37	39
86.97 Outlays from new permanent authority .....	35	31	32
87.00 Total outlays (gross) .....	227	224	246
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-34	-31	-32
88.40 Non-Federal sources .....	-1		
88.90 Total, offsetting collections (cash) .....	-35	-31	-32
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	193	201	225
90.00 Outlays .....	192	193	214

The National Archives and Records Administration provides for basic operations dealing with management of the Government's archives and records, operation of Presidential Libraries, and for the review for declassification of classified security information.

**Records services.**—This activity provides for selecting, preserving, describing, and making available to the general public, scholars, and Federal agencies the permanently valuable historical records of the Federal Government; the historical materials and Presidential records in Presidential Libraries; for preparing related publications and exhibit programs; and for conducting the appraisal of all Federal records. Significant savings result from use of low cost records storage and the efficient and timely disposal of non-permanent records.

Through the records declassification program, historically valuable information in the records of the Federal Government and in donated historical materials are made available to the public by declassifying as much information as possible without endangering the national security.

This activity also provides oversight for the information security program established by Executive Order 12958 and reports annually to the President on the status of that program. It is also responsible for policy oversight for the National Industrial Security Program established under Executive Order 12829.

**Archives related services.**—This activity provides for the publication of the *Federal Register* the *Code of Federal Regulations*, the *U.S. Statutes-at-Large*, and Presidential documents, and for a program to improve the quality of regulations and the public's access to them. This activity also in-

cludes the administration and reference services portion for the National Historical Publications and Records Commission. This Commission makes grants nationwide to preserve and publish records that document American history.

**Archives II Facility.**—Provides for construction and related services of the new archival facility which was opened to the public in 1993. Costs of construction are financed by \$301,702 thousand of federally guaranteed debt issued in 1989. Since 1994 and continuing in 1999, the Archives seeks appropriations for the annual payments for interest and redemption of debt to be made under the contract for construction and related services.

Object Classification (in millions of dollars)				
Identification code 88-0300-0-1-804	1997 actual	1998 est.	1999 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent .....	68	71	76
11.3	Other than full-time permanent .....	5	5	5
11.5	Other personnel compensation .....	1	2	2
11.9	Total personnel compensation .....	74	78	83
12.1	Civilian personnel benefits .....	15	16	17
21.0	Travel and transportation of persons .....	1	1	1
23.1	Rental payments to GSA .....	32	30	32
23.3	Communications, utilities, and miscellaneous charges .....	9	9	10
24.0	Printing and reproduction .....	1	2	2
25.2	Other services .....	28	26	32
25.4	Operation and maintenance of facilities .....	5	6	6
25.7	Operation and maintenance of equipment .....	2	3	4
26.0	Supplies and materials .....	3	3	4
31.0	Equipment .....	2	3	8
32.0	Land and structures .....	1		2
43.0	Interest and dividends .....	25	24	24
99.0	Subtotal, direct obligations .....	198	201	225
99.0	Reimbursable obligations .....	34	31	32
99.9	Total obligations .....	232	232	257

**Personnel Summary**

Identification code 88-0300-0-1-804	1997 actual	1998 est.	1999 est.	
Direct:				
1001	Total compensable workyears: Full-time equivalent employment .....	1,876	1,875	1,933
Reimbursable:				
2001	Total compensable workyears: Full-time equivalent employment .....	489	526	526

**[ARCHIVES FACILITIES AND PRESIDENTIAL LIBRARIES] REPAIRS AND RESTORATION**

For the repair, alteration, and improvement of archives facilities and Presidential Libraries, and to provide adequate storage for holdings. **[\$14,650,000]** \$10,450,000, to remain available until expended, of which \$2,000,000 is for an architectural and engineering study for the renovation of the Archives I facility and of which \$4,000,000 is for encasement of the Charters of Freedom. (Independent Agencies Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 88-0302-0-1-804	1997 actual	1998 est.	1999 est.	
Obligations by program activity:				
10.00	Total obligations (object class 25.2) .....	5	14	10
Budgetary resources available for obligation:				
21.40	Unobligated balance available, start of year: Uninvested .....		11	11
22.00	New budget authority (gross) .....	16	14	10
23.90	Total budgetary resources available for obligation .....	16	25	21
23.95	New obligations .....	-5	-14	-10
24.40	Unobligated balance available, end of year: Uninvested .....	11	11	11

New budget authority (gross), detail:				
40.00	Appropriation .....	16	14	10
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Uninvested .....	1	5	19
73.10	New obligations .....	5	14	10
73.20	Total outlays (gross) .....	-1	-1	-15
74.40	Unpaid obligations, end of year: Obligated balance: Uninvested .....	5	19	14
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	1	1	2
86.93	Outlays from current balances .....			13
87.00	Total outlays (gross) .....	1	1	15
Net budget authority and outlays:				
89.00	Budget authority .....	16	14	10
90.00	Outlays .....	1	1	15

This account provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide, and provides adequate storage for holdings. It will better enable the National Archives to maintain its facilities in proper condition for public visitors, researchers, and employees in NARA facilities, and also maintain the structural integrity of the buildings.

**NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION**

**GRANTS PROGRAM**

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, as amended, **[\$5,500,000]** \$6,000,000, to remain available until expended. (Independent Agencies Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 88-0301-0-1-804	1997 actual	1998 est.	1999 est.	
Obligations by program activity:				
10.00	Total obligations (object class 41.0) .....	5	6	6
Budgetary resources available for obligation:				
22.00	New budget authority (gross) .....	5	6	6
23.95	New obligations .....	-5	-6	-6
New budget authority (gross), detail:				
40.00	Appropriation .....	5	6	6
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance: Uninvested .....	7	7	6
73.10	New obligations .....	5	6	6
73.20	Total outlays (gross) .....	-5	-6	-6
74.40	Unpaid obligations, end of year: Obligated balance: Uninvested .....	7	6	6
Outlays (gross), detail:				
86.90	Outlays from new current authority .....	5	6	6
Net budget authority and outlays:				
89.00	Budget authority .....	5	6	6
90.00	Outlays .....	5	6	6

**National Historical Publications and Records Commission Grants.**—This program provides for grants funding that the Commission makes, nationwide, to preserve and publish records that document American history. Administered within the National Archives, which preserves Federal records, the NHPRC helps state, local, and private institutions preserve non-Federal records, helps publish the papers of major figures in American history, and helps archivists and records managers improve their techniques, training, and ability to serve a range of information users.

**Trust Funds**

**NATIONAL ARCHIVES GIFT FUND**

**Program and Financing (in millions of dollars)**

Identification code 88-8127-0-7-804	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	2	2	2
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	2	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The National Archives Trust Fund Board may solicit and accept gifts or bequests of money, securities, or other personal property, for the benefit of or in connection with the national archival and records activities administered by the National Archives and Records Administration (44 U.S.C. 2305).

**NATIONAL ARCHIVES TRUST FUND**

**Program and Financing (in millions of dollars)**

Identification code 88-8436-0-8-804	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Sales .....	7	9	10
09.02 Presidential libraries .....	6	5	5
10.00 Total obligations .....	13	14	15
<b>Budgetary resources available for obligation:</b>			
21.40 Uninvested .....		-1	13
21.41 U.S. Securities: Par value .....	14	15	
21.99 Total unobligated balance, start of year .....	14	14	13
22.00 New budget authority (gross) .....	13	14	14
23.90 Total budgetary resources available for obligation .....	27	28	27
23.95 New obligations .....	-13	-14	-15
<b>Unobligated balance available, end of year:</b>			
24.40 Uninvested .....	-1	13	13
24.41 U.S. Securities: Par value .....	15		
24.99 Total unobligated balance, end of year .....	14	13	13
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	13	14	14
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	1	2	2
73.10 New obligations .....	13	14	15
73.20 Total outlays (gross) .....	-12	-14	-15
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	2	2	2
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	13	14	14
86.98 Outlays from permanent balances .....			1
87.00 Total outlays (gross) .....	12	14	15
<b>Offsets:</b>			
Against gross budget authority and outlays: Offsetting collections (cash) from:			
88.00 Federal sources .....	-1	-1	-1
88.40 Non-Federal sources .....	-12	-13	-13
88.90 Total, offsetting collections (cash) .....	-13	-14	-14
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	-1		1

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116).

Proceeds from sale of copies of microfilm publications, reproductions, and other publications, and admission fees to Presidential Library museum rooms are deposited to this fund (44 U.S.C. 2108).

**Statement of Operations (in millions of dollars)**

Identification code 88-8436-0-8-804	1996 actual	1997 actual	1998 est.	1999 est.
0111 Revenue .....	6	7	8	9
0112 Expense .....	-7	-7	-9	-10
0119 Net income or loss (-), Sales .....	-1		-1	-1
0121 Revenue .....	5	5	5	5
0122 Expense .....	-5	-5	-5	-6
0129 Net income or loss, Presidential libraries .....				-1
0131 Interest income .....	1	1	1	1
0132 Expense .....				
0139 Net interest income or loss (-) .....	1	1	1	1
0191 Total revenues .....	12	13	14	15
0192 Total expenses .....	-12	-12	-14	-16
0199 Net income or loss .....		1		-1

**Balance Sheet (in millions of dollars)**

Identification code 88-8436-0-8-804	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
<b>Federal assets:</b>				
1101 Fund balances with Treasury .....	1	1	1	1
Investments in US securities:				
1102 Treasury securities, par .....	14	15	15	14
1206 Non-Federal assets: Receivables, net .....	1			
<b>Other Federal assets:</b>				
1802 Inventories and related properties .....	1	1	1	1
1803 Property, plant and equipment, net .....	1	1	1	1
1999 Total assets .....	18	18	18	17
<b>LIABILITIES:</b>				
<b>Non-Federal liabilities:</b>				
2201 Accounts payable .....	1	1	1	1
2207 Other .....	1	1	1	1
2999 Total liabilities .....	2	2	2	2
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	16	16	16	15
3999 Total net position .....	16	16	16	15
4999 Total liabilities and net position .....	18	18	18	17

**Object Classification (in millions of dollars)**

Identification code 88-8436-0-8-804	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	2	3	3
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	3	4	4
12.1 Civilian personnel benefits .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	1		
24.0 Printing and reproduction .....	1		1
25.2 Other services .....	2	3	3
25.3 Purchases of goods and services from Government accounts .....	3	4	4
26.0 Supplies and materials .....	2	2	2
99.9 Total obligations .....	13	14	15

**Personnel Summary**

Identification code 88-8436-0-8-804	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	105	113	113

**NATIONAL BANKRUPTCY REVIEW  
COMMISSION**

**Federal Funds**

**General and Special Funds:**

**SALARIES AND EXPENSES**

**Program and Financing (in millions of dollars)**

Identification code 48-1090-0-1-752	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5)	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	1		
23.95 New obligations	-1		
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1		
73.20 Total outlays (gross)	-1		
<b>Outlays (gross), detail:</b>			
86.98 Outlays from permanent balances	1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	1		

The National Bankruptcy Review Commission was created by the Bankruptcy Reform Act of 1994 to conduct a comprehensive study of the nation's bankruptcy laws. The Commission submitted its final report to Congress, the President and the Chief Justice of the Supreme Court in October 1997.

**NATIONAL CAPITAL PLANNING COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses, as authorized by the National Capital Planning Act of 1952 (40 U.S.C. 71-71i), including services as authorized by 5 U.S.C. 3109, [**\$5,740,000**] *\$6,212,000: Provided*. That all appointed members will be compensated at a rate not to exceed the rate for level IV of the Executive Schedule: *Provided further*. That beginning in fiscal year 1998 and thereafter, the Commission is authorized to charge fees to cover the full costs of Geographic Information System products and services supplied by the Commission, and such fees shall be credited to this account as an offsetting collection, to remain available until expended]. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 95-2500-0-1-451	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	5	6	6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	5	6	6
23.95 New obligations	-5	-6	-6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	5	6	6
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	1		2
73.10 New obligations	5	6	6
73.20 Total outlays (gross)	-5	-6	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested		2	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	5	6	6

Net budget authority and outlays:			
89.00 Budget authority	5	6	6
90.00 Outlays	6	6	6

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal government in the National Capital Region. It develops long-range plans and conducts project reviews in order to enhance the National Capital's historical, cultural and natural resources. During 1999, NCPC will begin its Monuments, Memorials, and Museums Study that, by identifying potential sites for new commemorative works and public buildings, will preserve the Mall's open space and encourage economic development in all quadrants of Washington. NCPC will also undertake the detailed planning work associated with its "Extending the Legacy" plan, including implementation of key First Initiatives projects. Expansion of the Washington Geographic Information System (WGIS) will focus on assessing Federal GIS needs and opportunities for partnering with the private sector in the National Capital region, and on developing revenue-generating products.

**Object Classification (in millions of dollars)**

Identification code 95-2500-0-1-451	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent	3	3	3
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services		1	1
99.0 Subtotal, direct obligations	4	5	5
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	5	6	6

**Personnel Summary**

Identification code 95-2500-0-1-451	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment	49	55	55
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment		2	2

**NATIONAL COMMISSION ON LIBRARIES AND  
INFORMATION SCIENCE**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses for the National Commission on Libraries and Information Science, established by the Act of July 20, 1970 (Public Law 91-345, as amended [by Public Law 102-95]), **\$1,000,000**. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 95-2700-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5)	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1	1	1
23.95 New obligations	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 95-2700-0-1-503	1997 actual	1998 est.	1999 est.
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1	1	1
90.00 Outlays .....	1	1	1

The Commission is responsible for developing plans and recommendations for meeting the library and information needs of the Nation, for coordinating Federal, State, and local activities to meet these needs, for advising the President and the Congress on implementation of national and international library and information services policies, and for providing advice on general policies about library services under the Museum and Library Services Act.

**Personnel Summary**

Identification code 95-2700-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	8	9	9

**NATIONAL COUNCIL ON DISABILITY**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, as amended, **[\$1,793,000] \$2,344,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)

**Program and Financing (in millions of dollars)**

Identification code 95-3500-0-1-506	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	2	2	2
<b>Budgetary resources available for obligation:</b>			
Unobligated Balance, SOY:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	1		-14
22.00 New budget authority (gross) .....	111	94	94
23.90 Total budgetary resources available for obligation	112	94	80
23.95 New obligations .....	-112	-108	-110
Unobligated Balance, EOY:			
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....		-14	-30
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	111	94	94
<b>Change in unpaid obligations:</b>			
Obligated Balance, SOY:			
72.41 Unpaid obligations, start of year: Obligated balance: U.S. Securities: Par value .....		1	
73.10 New obligations .....	2	2	2
73.20 Total outlays (gross) .....	-2	-3	-2
74.40 Unpaid obligations, end of year: Obligated balance: U.S. Securities: Par value .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2	2	2
86.93 Outlays from current balances .....		1	
87.00 Total outlays (gross) .....	2	3	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	2	3	2

The National Council on Disability (NCD) is composed of 15 members appointed by the President and confirmed by the U.S. Senate. Established under the Rehabilitation Act

of 1973, as amended, NCD is responsible for reviewing laws, programs, and policies of the Federal Government affecting people with disabilities. NCD also makes recommendations on issues affecting Americans with disabilities and their families to the President, the Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies, as may be appropriate.

**Object Classification (in millions of dollars)**

Identification code 95-3500-0-1-506	1997 actual	1998 est.	1999 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	2	2	2

**Personnel Summary**

Identification code 95-3500-0-1-506	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	10	11	11

**NATIONAL CREDIT UNION ADMINISTRATION**

**Federal Funds**

**Public enterprise funds:**

**OPERATING FUND**

**Program and Financing (in millions of dollars)**

Identification code 25-4056-0-3-373	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Examination and supervision .....	76	74	76
09.03 Administration .....	36	34	34
09.99 Total reimbursable program .....	112	108	110
10.00 Total obligations .....	112	108	110
<b>Budgetary resources available for obligation:</b>			
Unobligated Balance, SOY:			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....	1		-14
22.00 New budget authority (gross) .....	111	94	94
23.90 Total budgetary resources available for obligation	112	94	80
23.95 New obligations .....	-112	-108	-110
Unobligated Balance, EOY:			
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....		-14	-30
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross): Offsetting collections (cash) .....	111	94	94
<b>Change in unpaid obligations:</b>			
Obligated Balance, SOY:			
72.41 Unpaid obligations, start of year: Obligated balance: U.S. Securities: Par value .....	21	22	37
73.10 New obligations .....	112	108	110
73.20 Total outlays (gross) .....	-111	-93	-94
Obligated Balance, EOY:			
74.41 Unpaid obligations, end of year: Obligated balance: U.S. Securities: Par value .....	22	37	53
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	111	93	94
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-67	-48	-48
88.40 Non-Federal sources .....	-44	-46	-46
88.90 Total, offsetting collections (cash) .....	-111	-94	-94

Net budget authority and outlays:			
89.00	Budget authority .....		
90.00	Outlays .....	1	-1

Federal credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident or productive purposes, authorized by the Federal Credit Union Act of 1934, as amended.

The Administration's activities consist of: (a) chartering new Federal credit unions, (b) supervising established Federal credit unions, (c) making periodic examinations of their financial condition and operating practices, and (d) providing administrative services. The operating fund is reimbursed for the insurance fund's share of the agency's administrative expenses by the insurance fund. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 50 percent. Data relating to activities are shown below:

Item:	1997 actual	1998 est.	1999 est.
Number of new Federal credit unions chartered .....	11	10	10
Number of operating Federal credit unions .....	7,013	6,995	6,990
Assets of Federal credit unions as of June 30 (in millions)	213,465	220,125	227,450

## Statement of Operations (in millions of dollars)

Identification code 25-4056-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	45	46	46	46
0102 Expense .....	-46	-52	-53	-55
0109 Net income .....	-1	-6	-7	-9

## Balance Sheet (in millions of dollars)

Identification code 25-4056-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Investments in US securities:				
1102 Federal assets: Treasury securities, par .....	23	22	23	23
1206 Non-Federal assets: Receivables, net .....	1	3	2	2
1803 Other Federal assets: Property, plant and equipment, net .....	45	43	42	40
1999 Total assets .....	69	68	67	65
<b>LIABILITIES:</b>				
Federal liabilities: Interest payable .....				
2102 .....	38	36	34	32
Non-Federal liabilities:				
2201 Accounts payable .....	2	23	13	10
2207 Other .....	9		12	12
2999 Total liabilities .....	49	59	59	54
<b>NET POSITION:</b>				
3100 Appropriated capital .....	18	8	6	9
3200 Invested capital .....	2	1	2	2
3999 Total net position .....	20	9	8	11
4999 Total liabilities and net position .....	69	68	67	65

## Object Classification (in millions of dollars)

Identification code 25-4056-0-3-373	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	66	60	62
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	68	62	64
12.1 Civilian personnel benefits .....	12	14	15
21.0 Travel and transportation of persons .....	10	11	12
23.3 Communications, utilities, and miscellaneous charges .....	3	4	4
24.0 Printing and reproduction .....	1	1	
25.2 Other services .....	13	15	14
31.0 Equipment .....	5	1	1
99.9 Total obligations .....	112	108	110

## Personnel Summary

Identification code 25-4056-0-3-373	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	940	1,004	1,004

## CREDIT UNION SHARE INSURANCE FUND

## Program and Financing (in millions of dollars)

Identification code 25-4468-0-3-373	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Operating expenses:			
00.01 Payments to the operating fund for services and facilities .....	46	52	52
00.03 Other .....	3	3	3
00.91 Total operating expenses .....	49	55	55
01.01 Insurance Premium Rebate .....	104	105	105
10.00 Total obligations .....	153	160	160
<b>Budgetary resources available for obligation:</b>			
Unobligated balance available, start of year:			
U.S. Securities:			
21.41 Par value .....	3,414	3,600	3,774
21.42 Unrealized discounts .....	-2	-19	
21.99 Total unobligated balance, start of year .....	3,412	3,581	3,774
22.00 New budget authority (gross) .....	322	354	367
23.90 Total budgetary resources available for obligation .....	3,734	3,935	4,141
23.95 New obligations .....	-153	-160	-160
Unobligated balance available, end of year:			
U.S. Securities:			
24.41 Par value .....	3,600	3,774	3,981
24.42 Unrealized discounts .....	-19		
24.99 Total unobligated balance, end of year .....	3,581	3,774	3,981

## New budget authority (gross), detail:

68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	322	354	367

## Change in unpaid obligations:

72.41 Unpaid obligations, start of year: Obligated balance:			
U.S. Securities: Par value .....	70	72	64
73.10 New obligations .....	153	160	160
73.20 Total outlays (gross) .....	-151	-168	-166
74.41 Unpaid obligations, end of year: Obligated balance:			
U.S. Securities: Par value .....	72	64	58

## Outlays (gross), detail:

86.97 Outlays from new permanent authority .....	151	168	166
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## Offsets:

Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.20 Interest on U.S. securities .....	-193	-208	-218
Non-Federal sources:			
88.40 Deposit from members .....	-121	-140	-143
88.40 Recoveries on assets acquired .....	-7	-5	-5
88.40 Other interest income .....	-1	-1	-1
88.90 Total, offsetting collections (cash) .....	-322	-354	-367

## Net budget authority and outlays:

89.00 Budget authority .....			
90.00 Outlays .....	-171	-186	-201

## Status of Guaranteed Loans (in millions of dollars)

Identification code 25-4468-0-3-373	1997 actual	1998 est.	1999 est.
<b>Position with respect to appropriations act limitation on commitments:</b>			
2111 Limitation on guaranteed loans made by private lenders .....			
2131 Guaranteed loan commitments exempt from limitation .....	1		
2150 Total guaranteed loan commitments .....	1		

## Public enterprise funds—Continued

## CREDIT UNION SHARE INSURANCE FUND—Continued

## Status of Guaranteed Loans (in millions of dollars)—Continued

Identification code 25-4468-0-3-373	1997 actual	1998 est.	1999 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year .....	1	1	
2231 Disbursements of new guaranteed loans .....			
2290 Outstanding, end of year .....	1		
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year .....	1		

The insurance fund is used to carry out a program of insurance for member accounts in Federal credit unions and State-chartered credit unions which apply and qualify for insurance, authorized by Public Law 91-468, enacted October 19, 1970.

**Budget program.**—The activities consist of: (a) providing member account insurance, (b) formulating standards and requirements for insured credit unions, and (c) providing for liquidation or other disposition of the assets and liabilities of solvent and insolvent insured credit unions. The fund also reimburses the operating fund for its share of the Agency's administrative costs. The reimbursement percentage, which is reviewed and adjusted periodically, is currently at 50 percent.

The extent of the program is estimated as follows:

Item:	1997 actual	1998 est.	1999 est.
Number of insured credit unions .....	11,292	11,125	11,075
Insured shares of member institutions as of June 30 (in millions of dollars) .....	\$290,161	\$306,450	\$321,500

It is estimated that approximately 4,200 State-chartered credit unions will be enrolled in the program by the end of 1998.

**Financing.**—For insurance year 1998 the credit union's required annual insurance premium of one-twelfth of 1 percent of its total member share accounts has been waived. As a result of Public Law 98-369 (July 18, 1984), each insured credit union is also required to deposit and maintain in the insurance fund 1 percent of its member share accounts. The fund is structured to be entirely self supporting through the monies paid by member credit unions. The monies received plus the income generated from their investment are expected to cover all administrative and financial costs, as well as increase the fund balance proportionate to insured share growth. In fiscal year 1997 the income generated from the 1 percent deposit eliminated the need to assess the annual premium. In addition, the fund paid a \$105 million dividend to federally insured credit unions in fiscal year 1998 due to an excess in the 1.3 percent reserve requirement. The fund has \$100 million in borrowing authority from the Treasury for use in unforeseen emergencies.

**Operating results.**—Anticipated net income of \$170 million will be retained in the fund, raising the balance for unforeseen emergencies to \$4 billion by the end of 1999.

## Statement of Operations (in millions of dollars)

Identification code 25-4468-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	185	191	208	218
0102 Expense .....	-47	-49	-48	-48
0109 Net income .....	138	142	160	170

## Balance Sheet (in millions of dollars)

Identification code 25-4468-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
ASSETS:				
Federal assets:				
Investments in US securities:				
1102 Treasury securities, par .....	3,487	3,670	3,856	4,057
1106 Receivables, net .....	11	45	35	40
1107 Advances and prepayments .....	2			
1206 Non-Federal assets: Receivables, net .....	51	22	24	23
1801 Other Federal assets: Cash and other monetary assets .....	38	36	37	38
1999 Total assets .....	3,587	3,775	3,952	4,158
LIABILITIES:				
2201 Non-Federal liabilities: Accounts payable .....	110	114	116	114
2999 Total liabilities .....	110	114	116	114
NET POSITION:				
3100 Appropriated capital .....	3,439	3,639	3,812	4,021
3200 Invested capital .....	38	22	24	23
3999 Total net position .....	3,477	3,661	3,836	4,044
4999 Total liabilities and net position .....	3,587	3,775	3,952	4,158

## Object Classification (in millions of dollars)

Identification code 25-4468-0-3-373	1997 actual	1998 est.	1999 est.
25.2 Other services .....	49	55	55
44.0 Refunds .....	104	105	105
99.9 Total obligations .....	153	160	160

## CENTRAL LIQUIDITY FACILITY

During fiscal year [1998] 1999, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by the National Credit Union Central Liquidity Facility Act (12 U.S.C. 1795), shall not exceed \$600,000,000: *Provided*, That administrative expenses of the Central Liquidity Facility in fiscal year [1998] 1999 shall not exceed [\$203,000: *Provided further*, That \$1,000,000, together with amounts of principal and interest on loans repaid, to be available until expended, is available for loans to community development credit unions] \$176,000. (*Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 25-4470-0-3-373	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
09.03 Dividends on capital stock .....	42	44	46
09.09 Operating Expenses—subtotal .....	42	44	46
Capital Investment:			
09.11 Net loans to credit unions, total Capital investment, funded .....	38	40	42
09.19 Total capital investment—subtotal .....	38	40	42
10.00 Total obligations .....	80	84	88
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	80	84	88
23.95 New obligations .....	-80	-84	-88
New budget authority (gross), detail:			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash) .....	80	84	88
Change in unpaid obligations:			
73.10 New obligations .....	80	84	88
73.20 Total outlays (gross) .....	-80	-84	-88
Outlays (gross), detail:			
86.97 Outlays from new permanent authority .....	80	84	88

**Offsets:**  
Against gross budget authority and outlays:

88.40	Offsetting collections (cash) from: Non-Federal sources .....	-80	-84	-88
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**Net budget authority and outlays:**

89.00	Budget authority .....			
90.00	Outlays .....	1		

**Status of Direct Loans (in millions of dollars)**

Identification code 25-4470-0-3-373	1997 actual	1998 est.	1999 est.	
Position with respect to appropriations act limitation on obligations:				
1111	Limitation on direct loans .....	600	600	600
1112	Unobligated direct loan limitation .....	-600	-600	-600
1150	Total direct loan obligations .....			

The National Credit Union Central Liquidity Facility was established under Public Law 95-630. It began operations on October 1, 1979. The Central Liquidity Facility provides loans to member credit unions for seasonal and emergency needs.

The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Credit unions, which choose to become members of the Facility, are required to purchase stock equal to one-half of 1 percent of their assets. One-half of the subscription in stock is forwarded to the Facility and deposited in the fund. The remaining half of the subscription remains on call in the credit union in investments as approved by the NCUA Board.

**Statement of Operations (in millions of dollars)**

Identification code 25-4470-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.	
0101	Revenue .....	39	42	44	46
0102	Expense .....	-39	-42	-44	-46
0109	Net income .....				

**Balance Sheet (in millions of dollars)**

Identification code 25-4470-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.	
<b>ASSETS:</b>					
Non-Federal assets:					
1201	Investments in non-Federal securities, net .....	725	763	798	840
1206	Receivables, net .....	10	10	10	10
1999	Total assets .....	735	773	808	850
<b>LIABILITIES:</b>					
2201	Non-Federal liabilities: Accounts payable .....	17	26	23	20
2999	Total liabilities .....	17	26	23	20
<b>NET POSITION:</b>					
3100	Appropriated capital .....	735	773	808	850
3200	Invested capital .....	-17	-26	-23	-20
3999	Total net position .....	718	747	785	830
4999	Total liabilities and net position .....	735	773	808	850

**Object Classification (in millions of dollars)**

Identification code 25-4470-0-3-373	1997 actual	1998 est.	1999 est.	
33.0	Investments and loans .....	38	40	42
43.0	Interest and dividends .....	42	44	46
99.9	Total obligations .....	80	84	88

**Personnel Summary**

Identification code 25-4470-0-3-373	1997 actual	1998 est.	1999 est.	
1001	Total compensable workyears: Full-time equivalent employment .....	2	2	2

COMMUNITY DEVELOPMENT CREDIT UNION REVOLVING LOAN FUND

**Program and Financing (in millions of dollars)**

Identification code 25-4472-0-3-373	1997 actual	1998 est.	1999 est.	
<b>Obligations by program activity:</b>				
10.00	Total obligations (object class 33.0) .....	2	2	2
<b>Budgetary resources available for obligation:</b>				
21.41	Unobligated balance available, start of year: U.S. Securities: Par value .....	1	2	2
22.00	New budget authority (gross) .....	3	3	2
23.90	Total budgetary resources available for obligation .....	4	4	4
23.95	New obligations .....	-2	-2	-2
24.41	Unobligated balance available, end of year: U.S. Securities: Par value .....	2	2	2
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	1	1	
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	2	2	2
70.00	Total new budget authority (gross) .....	3	3	2
<b>Change in unpaid obligations:</b>				
73.10	New obligations .....	2	2	2
73.20	Total outlays (gross) .....	-3	-2	-2
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	1	1	
86.93	Outlays from current balances .....	1		
86.97	Outlays from new permanent authority .....	2	2	2
87.00	Total outlays (gross) .....	3	2	2
<b>Offsets:</b> Against gross budget authority and outlays:				
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-2	-2	-2
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	1	1	
90.00	Outlays .....			

**Status of Direct Loans (in millions of dollars)**

Identification code 25-4472-0-3-373	1997 actual	1998 est.	1999 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year .....	6	6	5
1231	Disbursements: Direct loan disbursements .....	2	2	2
1251	Repayments: Repayments and prepayments .....	-2	-3	-4
1290	Outstanding, end of year .....	6	5	3

Public Law 99-609, enacted on November 6, 1986, transferred the Community Development Credit Union Revolving Loan Fund from the Department of Health and Human Services to the National Credit Union Administration. The NCUA disbursed loans of \$2 million in 1997 and plans to disburse \$2 million in 1998.

**NATIONAL EDUCATION GOALS PANEL**

*Federal Funds*

**General and special funds:**

NATIONAL EDUCATION GOALS PANEL

For expenses necessary for the National Education Goals Panel, as authorized by title II, part A of the Goals 2000: Educate America Act, **[\$2,000,000] \$2,100,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)

**General and special funds—Continued**

NATIONAL EDUCATION GOALS PANEL—Continued

Program and Financing (in millions of dollars)

Identification code 95-2650-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 99.5)	1	2	2
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1	2	2
23.95 New obligations	-1	-2	-2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	1	2	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	1	1	1
73.10 New obligations	1	2	2
73.20 Total outlays (gross)	-1	-2	-2
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1	1	1
86.93 Outlays from current balances		1	1
87.00 Total outlays (gross)	1	2	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	1	2	2
90.00 Outlays	1	2	2

**Personnel Summary**

Identification code 95-2650-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	5	9	9

The bipartisan National Education Goals Panel is an independent agency responsible for overseeing the development and implementation of a reporting system for the National Education Goals; monitoring and reporting annual progress toward goal achievement at national and State levels; building a national consensus for the reforms necessary to achieve education improvement; reporting on promising and effective actions; and working with States to develop high academic standards.

**NATIONAL FOUNDATION ON THE ARTS AND THE HUMANITIES**

**Federal Funds**

**General and special funds:**

NATIONAL ENDOWMENT FOR THE ARTS  
GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$81,240,000]** \$120,500,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts through assistance to organizations and individuals pursuant to **[section]** sections 5(c) and 5(g) of the Act, for program support, and for administering the functions of the Act, to remain available until expended.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$16,760,000]** \$15,500,000, to remain available until expended, to the National Endowment for the Arts: *Provided*, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of section 10(a)(2), subsections

11(a)(2)(A) and 11(a)(3)(A) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

Unavailable Collections (in millions of dollars)

Identification code 59-0100-0-1-503	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year			
<b>Receipts:</b>			
02.01 Gifts and donations	1	1	1
<b>Appropriation:</b>			
05.01 Gifts fund	-1	-1	-1
07.99 Total balance, end of year			

Program and Financing (in millions of dollars)

Identification code 59-0100-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Promotion of the arts	93	83	118
00.02 Program Support	1	1	1
00.03 Salaries and Expenses	16	16	17
00.91 Total direct program	110	100	136
01.01 Reimbursable program	1	1	1
01.02 Permanent Authority	1	1	1
01.91 Total permanent authority program	2	2	2
10.00 Total obligations	112	102	138

<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	16	6	3
22.00 New budget authority (gross)	101	100	138
23.90 Total budgetary resources available for obligation	117	106	141
23.95 New obligations	-112	-102	-138
24.40 Unobligated balance available, end of year:			
Uninvested	6	3	3

<b>New budget authority (gross), detail:</b>			
<b>Current:</b>			
40.00 Appropriation	99	98	136
<b>Permanent:</b>			
60.27 Appropriation (trust fund, indefinite)	1	1	1
68.00 Spending authority from offsetting collections: Offsetting collections (cash)	1	1	1
70.00 Total new budget authority (gross)	101	100	138

<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	107	112	96
73.10 New obligations	112	102	138
73.20 Total outlays (gross)	-107	-116	-117
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	112	96	117

<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	28	35	49
86.93 Outlays from current balances	77	79	67
86.97 Outlays from new permanent authority	1	1	1
86.98 Outlays from permanent balances	1	1	
87.00 Total outlays (gross)	107	116	117

<b>Offsets:</b>			
<b>Against gross budget authority and outlays:</b>			
88.00 Offsetting collections (cash) from: Federal sources	-1	-1	-1

<b>Net budget authority and outlays:</b>			
89.00 Budget authority	100	98	136
90.00 Outlays	106	116	117

The National Endowment for the Arts provides grants to, or contracts with, groups, individuals of exceptional talent in specified fields, and State or regional organizations engaged in or concerned with the arts. Programs encourage individual and institutional development of the arts, edu-

cation in the arts, preservation of the American artistic heritage, wider availability and appreciation of the arts, leadership in the arts, and the stimulation of non-Federal sources of support for the Nation's artistic activities.

This presentation includes Gifts and Donations and the Arts and Artifacts Indemnity Fund which previously had been shown separately.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Arts Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. Budget authority in this schedule reflects cash received each year by the Arts Endowment.

The Arts and Artifacts Indemnity Act of 1975, as amended authorizes the Federal Council on the Arts and Humanities to enter into indemnity agreements to cover certain eligible works of art while on traveling exhibition in the United States or abroad. Loss or damage claims certified by the Council are paid from this fund.

Object Classification (in millions of dollars)			
Identification code 59-0100-0-1-503	1997 actual	1998 est.	1999 est.
Personnel compensation:			
11.1 Full-time permanent .....	8	8	8
11.3 Other than full-time permanent .....	2	2	2
11.9 Total personnel compensation .....	10	10	10
12.1 Civilian personnel benefits .....	2	2	2
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	2	2	2
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	2	2	2
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	93	83	118
99.0 Subtotal, direct obligations .....	112	102	136
99.5 Below reporting threshold .....			1
99.9 Total obligations .....	112	102	138

**Personnel Summary**

Identification code 59-0100-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	151	156	160

**Federal Funds**

**General and special funds:**

NATIONAL ENDOWMENT FOR THE HUMANITIES

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$96,800,000]** \$122,000,000, shall be available to the National Endowment for the Humanities for support of activities in the humanities, pursuant to section 7(c) of the Act, and for administering the functions of the Act, to remain available until expended.

MATCHING GRANTS

To carry out the provisions of section 10(a)(2) of the National Foundation on the Arts and the Humanities Act of 1965, as amended, **[\$13,900,000]** \$14,000,000, to remain available until expended, of which **[\$8,000,000]** \$10,000,000 shall be available to the National Endowment for the Humanities for the purposes of section 7(h): *Provided*, That this appropriation shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 59-0200-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Promotion of the humanities .....	95	94	119
00.02 Administration .....	16	18	17
10.00 Total obligations .....	111	112	136
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	1	1	
22.00 New budget authority (gross) .....	110	111	136
23.90 Total budgetary resources available for obligation .....	111	112	136
23.95 New obligations .....	-111	-112	-136
24.40 Unobligated balance available, end of year:			
Uninvested .....	1		
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	110	111	136
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	1		
70.00 Total new budget authority (gross) .....	111	111	136
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	110	95	89
73.10 New obligations .....	111	112	136
73.20 Total outlays (gross) .....	-125	-117	-123
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	95	89	102
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	49	51	61
86.93 Outlays from current balances .....	76	66	62
87.00 Total outlays (gross) .....	125	117	123
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	110	111	136
90.00 Outlays .....	124	117	123

The National Endowment for the Humanities funds activities that are intended to improve the quality of education and teaching in the humanities, to strengthen the scholarly foundation for humanities study and research, to preserve cultural and intellectual resources, and to advance understanding of the humanities among general audiences.

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, schools, higher education institutions, libraries, museums, historical organizations, professional associations, other cultural institutions, and individuals.

This presentation includes the Gifts and Donations account, which previously had been presented separately. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

Identification code 59-0200-0-1-503	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	9	10	10
12.1 Civilian personnel benefits .....	2	2	2
23.1 Rental payments to GSA .....	2	2	2
24.0 Printing and reproduction .....	1		
25.2 Other services .....	2	2	1

**General and special funds—Continued**

NATIONAL ENDOWMENT FOR THE HUMANITIES—Continued

MATCHING GRANTS—Continued

Object Classification (in millions of dollars)—Continued

Identification code 59-0200-0-1-503	1997 actual	1998 est.	1999 est.
41.0 Grants, subsidies, and contributions .....	94	94	119
99.0 Subtotal, direct obligations .....	110	109	134
99.5 Below reporting threshold .....	1	2	2
99.9 Total obligations .....	111	112	136

**Personnel Summary**

Identification code 59-0200-0-1-503	1997 actual	1998 est.	1999 est.
Direct:			
1001 Total compensable workyears: Full-time equivalent employment .....	157	163	163
Reimbursable:			
2001 Total compensable workyears: Full-time equivalent employment .....	2	2	2

**ADMINISTRATIVE PROVISION**

An administrative provision affecting this agency follows the Institute of Museum and Library Services.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

**Federal Funds**

**General and special funds:**

OFFICE OF MUSEUM SERVICES: GRANTS AND ADMINISTRATION

For carrying out subtitle C of the Museum and Library Services Act of 1996, [**\$23,280,000**] as amended, \$26,000,000, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 59-0300-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Assistance for museums .....	20	22	24
00.02 Administration .....	2	2	2
10.00 Total obligations .....	22	24	26
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....		1	
22.00 New budget authority (gross) .....	22	23	26
23.90 Total budgetary resources available for obligation .....	22	24	26
23.95 New obligations .....	-22	-24	-26
24.40 Unobligated balance available, end of year: Uninvested .....	1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	22	23	26
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	31	29	17
73.10 New obligations .....	22	24	26
73.20 Total outlays (gross) .....	-24	-35	-23
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	29	17	20
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	3	7	8
86.93 Outlays from current balances .....	21	28	15
87.00 Total outlays (gross) .....	24	35	23
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	22	23	26

90.00 Outlays .....	24	35	23
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The Office of Museum Services, within the Institute of Museum and Library Services, provides competitive grants to a broad range of museums which exhibit both living and non-living collections and to support collaborative activities between museums and libraries. Its programs help museums improve the quality of their programs and operations to better exhibit, preserve, and teach about our cultural, historic, and scientific heritage. This presentation includes the Gifts and Donations Account.

Object Classification (in millions of dollars)

Identification code 59-0300-0-1-503	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	1	1	1
41.0 Grants, subsidies, and contributions .....	20	22	24
99.0 Subtotal, direct obligations .....	21	23	25
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	22	24	26

**Personnel Summary**

Identification code 59-0300-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	17	19	19

**[INSTITUTE OF MUSEUM AND LIBRARY SERVICES]**

OFFICE OF LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out subtitle B of the Museum and Library Services Act, as amended, \$146,340,000, to remain available until expended. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 59-0301-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Assistance for libraries .....		187	144
00.02 Administration .....		4	3
00.03 Public library services .....	81		
00.04 Public library construction .....	2		
00.05 Interlibrary cooperation .....	12		
00.06 Library education and training .....	2		
00.07 Research and demonstrations .....	5		
10.00 Total obligations .....	102	191	146
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	11	45	
22.00 New budget authority (gross) .....	136	146	146
23.90 Total budgetary resources available for obligation .....	147	191	146
23.95 New obligations .....	-102	-191	-146
24.40 Unobligated balance available, end of year: Uninvested .....	45		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	136	146	146
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	151	113	112
73.10 New obligations .....	102	191	146
73.20 Total outlays (gross) .....	-135	-192	-144
73.40 Adjustments in expired accounts .....	-5		
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	113	112	114
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	29	60	60
86.93 Outlays from current balances .....	106	132	83
87.00 Total outlays (gross) .....	135	192	144

Net budget authority and outlays:				
89.00	Budget authority .....	136	146	146
90.00	Outlays .....	135	192	144

State formula grants are made to assist public libraries in improving library services, promoting access to learning and information resources to users of all ages, to promote wider access to information through technology, and to support collaborative activities between museums and libraries. The account for the Office of Library programs was formerly shown under the Department of Education, Office of Educational Research and Improvement.

Object Classification (in millions of dollars)

Identification code 59-0301-0-1-503				
		1997 actual	1998 est.	1999 est.
11.1	Personnel compensation: Full-time permanent .....		1	1
25.2	Other services .....		2	1
41.0	Grants, subsidies, and contributions .....	102	187	144
99.0	Subtotal, direct obligations .....	102	190	146
99.5	Below reporting threshold .....		1	1
99.9	Total obligations .....	102	191	146

Personnel Summary

Identification code 59-0301-0-1-503				
		1997 actual	1998 est.	1999 est.
1001	Total compensable workyears: Full-time equivalent employment .....		21	22

ADMINISTRATIVE PROVISIONS

Notwithstanding section 214(a)(2) of the Library Services and Technology Act, funds appropriated for the Office of Library Services shall be appropriated directly to the Director of the Institute of Museum and Library Services.

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: *Provided*, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

NATIONAL LABOR RELATIONS BOARD

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, as amended (29 U.S.C. 141-167), and other laws, [\$174,661,000] \$184,451,000: *Provided*, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935 (29 U.S.C. 152), and as amended by the Labor-Management Relations Act, 1947, as amended, and as defined in section 3(f) of the Act of June 25, 1938 (29 U.S.C. 203), and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes[: *Provided further*, That none of the funds made available by this Act shall be used in any way to promulgate a final rule (altering 29 CFR part 103) regarding single location bargaining units in representation cases]. (*Department of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 63-0100-0-1-505				
		1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>				
00.01	Field investigation .....	137	137	145
00.02	Administrative law judge hearing .....	13	13	13
00.03	Board adjudication .....	16	16	17
00.04	Securing compliance with Board orders .....	8	8	8
00.05	Internal Review .....	1	1	1
10.00	Total obligations .....	175	175	184
<b>Budgetary resources available for obligation:</b>				
22.00	New budget authority (gross) .....	175	175	184
22.30	Unobligated balance expiring .....	-1		
23.90	Total budgetary resources available for obligation .....	174	175	184
23.95	New obligations .....	-175	-175	-184
<b>New budget authority (gross), detail:</b>				
40.00	Appropriation .....	175	175	184
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	16	15	14
73.10	New obligations .....	175	175	184
73.20	Total outlays (gross) .....	-175	-176	-184
73.40	Adjustments in expired accounts .....	-1		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	15	14	15
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	163	162	171
86.93	Outlays from current balances .....	12	14	13
87.00	Total outlays (gross) .....	175	176	184
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	175	175	184
90.00	Outlays .....	175	176	184

The Board resolves representation disputes in industry, and remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

PROGRAM STATISTICS

	1997 actual	1998 est.	1999 est.
<b>Case intake:</b>			
Unfair labor practice cases .....	33,427	33,995	33,995
Representation cases .....	6,179	5,870	5,870
<b>Administrative law judges:</b>			
Hearings closed .....	529	481	466
Adjustments after hearings closed .....		1	1
Decisions issued .....	477	442	470
<b>Board adjudication:</b>			
Contested Board decisions issued .....	435	348	633
Regional director decisions .....	786	514	893
<b>Representation election cases:</b>			
Decisions issued .....	145	167	177
Objection rulings .....	151	175	205
Board decisions requiring court enforcement .....	154	144	157

**Field investigation.**—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Ninety percent of the unfair labor practice cases and 85 percent of the representation cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

**Administrative law judge hearing.**—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

**Board adjudication.**—In an unfair labor practice case a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder with exceptions filed require contested Board decision. In rep-

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

resentation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional director's decision. The Board also rules on objection and challenge questions in election cases.

*Securing compliance with Board orders.*—If the parties do not voluntarily comply with the Board's order involving unfair labor practices, the Board must request that the appellate courts enforce its decisions.

**Object Classification (in millions of dollars)**

Identification code 63-0100-0-1-505	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	113	114	119
11.3 Other than full-time permanent .....	4	5	4
11.5 Other personnel compensation .....	1		1
11.9 Total personnel compensation .....	118	119	124
12.1 Civilian personnel benefits .....	21	22	22
21.0 Travel and transportation of persons .....	3	2	3
23.1 Rental payments to GSA .....	20	20	20
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services .....	7	6	8
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	2	2	3
99.9 Total obligations .....	175	175	184

**Personnel Summary**

Identification code 63-0100-0-1-505	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	1,930	1,900	1,915

**NATIONAL MEDIATION BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary to carry out the provisions of the Railway Labor Act, as amended (45 U.S.C. 151-188), including emergency boards appointed by the President, **[\$8,600,000] \$8,400,000. Provided,** That unobligated balances at the end of fiscal year **[1998] 1999** not needed for emergency boards shall remain available for other statutory purposes through September 30, **[1999] 2000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)**

**Program and Financing (in millions of dollars)**

Identification code 95-2400-0-1-505	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Mediatory services .....	6	6	6
00.03 Arbitration services, sections 3 and 7, referees .....	2	2	2
00.04 Arbitration services, sections 3 and 7 administration .....		1	
10.00 Total obligations .....	8	9	8
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	9	8
23.95 New obligations .....	-8	-9	-8
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	9	8
<b>Change in unpaid obligations:</b>			
74.00 Unpaid obligations, start of year: Obligated balance: Uninvested .....	1	1	1
73.10 New obligations .....	8	9	8
73.20 Total outlays (gross) .....	-8	-9	-8

74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	7	8	7
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	8	9	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	9	8
90.00 Outlays .....	8	9	8

*Mediatory services.*—The Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries.

	1997 actual	1998 est.	1999 est.
<b>Mediation cases:</b>			
Pending, start of year .....	148	168	208
Received during year .....	116	130	140
Closed during year .....	82	90	90
Pending, end of year .....	168	208	258

*Employee Representation.*—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	1997 actual	1998 est.	1999 est.
<b>Representation cases:</b>			
Pending, start of year .....	51	56	66
Received during year .....	88	100	100
Closed during year .....	83	90	90
Pending, end of year .....	56	66	76
Freedom of Information Act (FOIA) requests received .....	73	50	50
Investigation cases closed .....	13	15	15

*Emergency disputes.*—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which threaten to seriously interrupt service, may appoint emergency boards to investigate and report on the disputes under section 160 of the Railway Labor Act (RLA). Such reports usually serve as a basis for resolving the disputes.

The Northeast Rail Service Act of 1981 amended the Railway Labor Act by adding a new emergency dispute procedure covering disputes between a publicly funded and operated commuter carrier and its employees. The 1981 Act requires the Board to appoint the public members of factfinding panels on Conrail.

	1997 actual	1998 est.	1999 est.
<b>Boards/panels created:</b>			
Emergency (sec. 160) .....	2	3	2
Emergency (sec. 159a) .....	1	2	2
Arbitration Boards .....	3	10	10
Arbitration Panels (PL 102-29) .....	1		
Airline SBA Panels .....	55	100	100
ICC-LPP Panels .....	6	10	10

*Arbitration under sections 3 and 7 of the RLA.*—Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the Board are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89-456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry otherwise referable to the NRAB.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	1997 actual	1998 est.	1999 est.
<b>Public law boards caseload:</b>			
Pending, start of year	6,608	6,670	6,170
Received during year	3,002	3,000	2,000
Closed during year	<sup>1</sup> 2,940	3,500	2,500
Pending, end of year	6,670	6,170	5,670
<b>Special boards of adjustment caseload:</b>			
Pending, start of year	1,527	1,527	1,327
Received during year	865	1,000	900
Closed during year	<sup>2</sup> 865	1,200	1,000
Pending, end of year	1,527	1,327	1,227
<b>Number of boards created:</b>			
Special boards of adjustment	13	20	15
Public law boards	128	135	125
<b>NRAB caseload:</b>			
Pending, start of year	1,876	2,223	2,047
Received during year	1,227	924	1,005
Closed during year	<sup>3</sup> 880	1,100	880
Pending, end of year	2,223	2,047	2,172

<sup>1</sup> Includes 606 cases withdrawn or decided by the parties.

<sup>2</sup> Includes 59 cases withdrawn or decided by the parties.

<sup>3</sup> Includes 777 awards of referees, 2 awards by NRAB members, and 101 cases withdrawn.

**Object Classification (in millions of dollars)**

Identification code 95-2400-0-1-505	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent	3	4	4
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	5	6	6
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services	1		
99.9 Total obligations	8	9	8

**Personnel Summary**

Identification code 95-2400-0-1-505	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	45	52	52

**NATIONAL TRANSPORTATION SAFETY BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-18; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902) [\$48,371,000] \$47,200,000, of which not to exceed \$2,000 may be used for official reception and representation expenses: *Provided, That beginning in fiscal year 1999 and thereafter, the Chairman shall, under 31 U.S.C. 9701, establish and collect a fee to be levied on all air carriers to fund partially the cost of aviation accident investigations: Provided further, That such fees shall be implemented by publication of an initial fee schedule as an interim final rule in the Federal Register not later than 150 days after enactment of this provision: Provided further, That not to exceed \$6,000,000 of such fees shall be credited to this account as offsetting collections, and be available until expended for authorized purposes. (Department of Transportation and Related Agencies Appropriations Act, 1998.)*

**Program and Financing (in millions of dollars)**

Identification code 95-0310-0-1-407	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Policy and direction	6	10	10

00.02 Aviation safety	45	22	22
00.03 Surface transportation safety	12	12	12
00.04 Research and engineering	6	8	8
00.05 Administration	3		
00.06 Administrative law judges	1	1	1
10.00 Total obligations	73	53	53

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year:			
Uninvested		5	
22.00 New budget authority (gross)	78	48	53
23.90 Total budgetary resources available for obligation	78	53	53
23.95 New obligations	-73	-53	-53
24.40 Unobligated balance available, end of year:			
Uninvested	5		

**New budget authority (gross), detail:**

<b>Current:</b>			
40.00 Appropriation	78	48	47
<b>Permanent:</b>			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)			6
70.00 Total new budget authority (gross)	78	48	53

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	3	32	9
73.10 New obligations	73	53	53
73.20 Total outlays (gross)	-45	-76	-52
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	32	9	10

**Outlays (gross), detail:**

86.90 Outlays from new current authority	42	43	42
86.93 Outlays from current balances	3	33	5
86.97 Outlays from new permanent authority			5
87.00 Total outlays (gross)	45	76	52

**Offsets:**

<b>Against gross budget authority and outlays:</b>			
88.40 Offsetting collections (cash) from: Non-Federal sources			-6

**Net budget authority and outlays:**

89.00 Budget authority	78	48	47
90.00 Outlays	45	76	46

The National Transportation Safety Board (NTSB), as an independent nonregulatory agency, is charged with promoting transportation safety through the investigation of accidents, the conduct of special studies, the development of recommendations to prevent accidents, the evaluation of the effectiveness of other Government agencies in preventing transportation accidents, and the review of appeals of adverse certificate and civil penalty actions taken by the Administrators of agencies of the Department of Transportation involving airman and seaman certificates and licenses.

In 1999, the Administration requests a total funding level of \$53.2 million for NTSB Salaries and Expenses, including \$6 million to be derived from a proposed commercial aviation accident investigation fee. This funding level represents a 5.3 percent increase above the 1998 enacted level of \$48.4 million, excluding one-time costs associated with TWA 800, and will allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

The request provides additional resources to complete the investigation of TWA 800 in the first quarter of 1999.

**SELECTED WORKLOAD DATA**

	1997 actual	1998 est.	1999 est.
Major accident investigation reports	19	22	24
Other accident investigation reports	2,308	2,410	2,532
Safety recommendations	451	465	480
Safety studies and Special investigations	1	2	3
Certificate license and civil penalty appeals	502	505	510

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

Object Classification (in millions of dollars)

Identification code 95-0310-0-1-407	1997 actual	1998 est.	1999 est.
Personnel compensation:			
11.1 Full-time permanent .....	23	27	29
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	1	1	1
11.9 Total personnel compensation .....	25	29	31
12.1 Civilian personnel benefits .....	5	6	7
21.0 Travel and transportation of persons .....	2	3	3
23.1 Rental payments to GSA .....	5	5	6
23.3 Communications, utilities, and miscellaneous charges .....	1	1	1
25.2 Other services .....	13	7	3
25.3 Purchases of goods and services from Government accounts .....	9		
31.0 Equipment .....	1	1	1
41.0 Grants, subsidies, and contributions .....	9		
99.0 Subtotal, direct obligations .....	70	52	52
99.5 Below reporting threshold .....	3	1	1
99.9 Total obligations .....	73	53	53

**Personnel Summary**

Identification code 95-0310-0-1-407	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	368	396	402

**EMERGENCY FUND**

For necessary expenses of the National Transportation Safety Board for accident investigations, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS-18; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901-5902), \$1,000,000, to remain available until expended. (Department of Transportation and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 95-0311-0-1-407	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2) .....	1		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....			1
22.00 New budget authority (gross) .....	1	1	1
23.90 Total budgetary resources available for obligation .....	1	1	2
23.95 New obligations .....	-1		
24.40 Unobligated balance available, end of year: Uninvested .....		1	2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1		
90.00 Outlays .....	1		

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and, therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation

cost fluctuations can be met without delaying critical phases of the investigations. In 1999, the Administration proposes to double the size of the emergency fund to cover unanticipated costs associated with an increased number of accidents.

**NEIGHBORHOOD REINVESTMENT CORPORATION**

**Federal Funds**

**General and special funds:**

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), **[\$60,000,000] \$90,000,000, of which \$25,000,000 shall be for a pilot homeownership initiative, including an evaluation by an independent third party to determine its effectiveness. (Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1998.)**

Program and Financing (in millions of dollars)

Identification code 82-1300-0-1-451	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	50	60	90
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	50	60	90
23.95 New obligations .....	-50	-60	-90
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	50	60	90
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	50	60	90
73.20 Total outlays (gross) .....	-50	-60	-90
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	50	60	90
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	50	60	90
90.00 Outlays .....	50	60	90

The major activities of the Corporation include: establishing neighborhood partnership programs known as NeighborWorks Organizations (NWOs); assisting in the expansion of NeighborWorks organizations to additional neighborhoods; providing training and technical assistance; identifying, evaluating, supporting and replicating successful neighborhood preservation projects that show promise for reversing neighborhood decline; promoting a national secondary market and other financing mechanisms for NWOs; and granting lending and equity capital to promote homeownership and other affordable housing.

The Corporation will undertake a new homeownership initiative which will extend the benefits of homeownership to 10,000 working households which are not currently served through conventional sources.

The Corporation receives both Federal and non-Federal funding to finance its program activities. For FY 1999, a program level of \$90,000,000 is requested. The following tables reflect the total program activity of the Corporation and include all sources of financing, both Federal and non-Federal.

**BUDGET ACTIVITY**

(In millions of dollars)

Neighborworks Programs:	1997 actual	1998 est.	1999 est.
1. Homeownership demonstration .....			25
2. Creation of new programs .....	1	2	2
3. Capacity building .....	18	21	22
4. Preserving affordable housing/equity capital .....	15	16	17
5. Program reviews .....	2	3	3

6. Training and informing .....	9	11	12
7. Secondary market activities .....	6	7	8
8. General administration .....	6	6	7
<b>Total corporate obligations .....</b>	<b>57</b>	<b>66</b>	<b>96</b>
Sources of financing:			
1. Federal appropriation .....	50	60	90
2. Reimbursements for services provided .....	4	2	2
3. Other sources .....	3	3	4
Unused balance, start of year .....	0	1	0
Net obligations incurred .....	57	66	96
Unused balance, end of year .....	1		
Obligated balances, start of year .....	4	5	5
Obligated balances, end of year .....	5	5	5
<b>Net corporate outlay .....</b>	<b>57</b>	<b>66</b>	<b>96</b>

Statement of Operations (in millions of dollars)

Identification code 82-1300-0-1-451	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	43	57	66	96
0102 Expense .....	-43	-57	-66	-96
0109 Net income or loss (-) .....				

Balance Sheet (in millions of dollars)

Identification code 82-1300-0-1-451	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1601 Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable: Direct loans, gross .....	1	2	2	2
Other Federal assets:				
1801 Cash and other monetary assets .....	3	4	4	4
1803 Property, plant and equipment, net .....	2	2	2	2
1999 Total assets .....	6	8	8	8
<b>LIABILITIES:</b>				
Non-Federal liabilities:				
2201 Accounts payable .....	2	4	4	4
2207 Other .....	2	1	1	1
2999 Total liabilities .....	4	5	5	5
<b>NET POSITION:</b>				
3300 Cumulative results of operations .....	3	3	3	3
3999 Total net position .....	3	3	3	3
4999 Total liabilities and net position .....	7	8	8	8

Object Classification of Corporation Obligations (in millions of dollars)

	1997 actual	1998 est.	1999 est.
Salaries and benefits .....	14	17	20
Occupancy .....	2	2	2
Professional services .....	3	3	4
Travel and transportation of persons .....	2	2	3
Conferences and workshops .....	1	1	1
Grants and grant commitments .....	32	37	61
Other operating costs .....	3	4	5
<b>Total obligations .....</b>	<b>57</b>	<b>66</b>	<b>96</b>

Personnel Summary

	1997 actual	1998 est.	1999 est.
Non-Federal employees: Total compensable workyears:			
Full-time equivalent employment .....	213	250	265
Full-time equivalent of overtime and holiday hours .....	7	7	8

NUCLEAR REGULATORY COMMISSION

Federal Funds

General and special funds:

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including the employment of aliens; services authorized by 5 U.S.C. 3109; publication and dissemination of atomic information; purchase, repair, and cleaning of uniforms; official representation expenses (not to exceed \$20,000); reimbursements to the General Services Administration for security guard services; hire of passenger motor vehicles and aircraft, **[\$468,000,000] \$483,340,000**, to remain available until expended: *Provided*, That of the amount appropriated herein, **[\$15,000,000] \$18,500,000** shall be derived from the Nuclear Waste Fund: *Provided further*, That from this appropriation, transfers of sums may be made to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred: *Provided further*, That moneys received by the Commission for the cooperative nuclear safety research program, services rendered to State governments, foreign governments and international organizations, and the material and information access authorization programs, including criminal history checks under section 149 of the Atomic Energy Act may be retained and used for salaries and expenses associated with those activities, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That revenues from licensing fees, inspection services, and other services and collections estimated at \$450,000,000 in fiscal year 1998 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: *Provided further*, That **[\$3,000,000] \$3,200,000** of the funds herein appropriated for regulatory reviews and other assistance provided to the Department of Energy and other Federal agencies shall be excluded from license fee revenues, notwithstanding 42 U.S.C. 2214: *Provided further*, That **[the sum herein appropriated shall be reduced by the amount of] not to exceed \$152,341,000** of revenues received during fiscal year **[1998] 1999** from licensing fees, inspection services and other services and collections, *authorized by 42 U.S.C. 2213*, excluding those moneys received for the cooperative nuclear safety research program, services rendered to State governments, foreign governments and international organizations, and the material and information access authorization programs, **[so as to result in a final fiscal year 1998 appropriation estimated at not more than \$18,000,000] shall become available on October 1, 1999, for necessary salaries and expenses of this account, notwithstanding 31 U.S.C. 3302, and to remain available until expended. (Energy and Water Development Appropriations Act, 1998.)**

Unavailable Collections (in millions of dollars)

Identification code 31-0200-0-1-276	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....			
Receipts:			
02.01 Nuclear facility fees, Nuclear Regulatory Commission .....	459	455	154
02.02 Nuclear facility fees, legislative proposal not subject to PAYGO .....			313
02.99 Total receipts .....	459	455	467
Appropriation:			
05.01 Salaries and expenses .....	-454	-450	
05.03 Office of Inspector General .....	-5	-6	-2
05.04 Office of Inspector General, legislative proposal not subject to PAYGO .....			-3
05.99 Subtotal appropriation .....	-459	-455	-5
07.99 Total balance, end of year .....			462

Program and Financing (in millions of dollars)

Identification code 31-0200-0-1-276	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
Direct program:			
00.01 Nuclear Reactor Safety .....	229	214	71

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**(INCLUDING TRANSFER OF FUNDS)—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 31-0200-0-1-276	1997 actual	1998 est.	1999 est.
00.02 Nuclear Materials Safety .....	45	50	16
00.03 Nuclear Waste Safety .....	22	24	23
00.04 Common Defense and Security and International Involvement .....	9	9	3
00.05 Protecting the Environment .....	16	13	5
00.06 Management and Support .....	170	168	56
00.91 Total direct program .....	491	478	174
09.01 Reimbursable program .....	7	8	8
10.00 Total obligations .....	498	486	182
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	35	24	14
22.00 New budget authority (gross) .....	477	476	182
22.10 Resources available from recoveries of prior year obli- gations .....	10		
23.90 Total budgetary resources available for obligation .....	522	500	196
23.95 New obligations .....	-498	-486	-182
24.40 Unobligated balance available, end of year: Uninvested .....	24	14	14
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	7	3	155
Appropriation (special fund, definite):			
40.20 Appropriation (special fund, definite) .....	454	450	
40.20 Appropriation (special fund, definite) .....	11	15	19
43.00 Appropriation (total) .....	472	468	174
Permanent:			
68.00 Spending authority from offsetting collections: Off- setting collections (cash) .....	5	8	8
70.00 Total new budget authority (gross) .....	477	476	182
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	164	141	151
73.10 New obligations .....	498	486	182
73.20 Total outlays (gross) .....	-510	-476	-256
73.45 Adjustments in unexpired accounts .....	-10		
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	141	151	77
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	342	351	131
86.93 Outlays from current balances .....	163	117	117
86.97 Outlays from new permanent authority .....	5	8	8
87.00 Total outlays (gross) .....	510	476	256
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-5	-8	-8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	472	468	174
Outlays:			
90.00 Outlays .....	494	454	230
90.00 Outlays .....	11	14	18
<b>Summary of Budget Authority and Outlays</b> (in millions of dollars)			
Enacted/requested:			
Budget Authority .....	472	468	174
Outlays .....	505	468	248
Legislative proposal, not subject to PAYGO:			
Budget Authority .....			310
Outlays .....			233
<b>Total:</b>			
Budget Authority .....	472	468	484
Outlays .....	505	468	481

*Nuclear Reactor Safety.*—A major part of the NRC’s mission is to ensure that its licensees design, construct, and operate civilian reactor facilities safely. The Atomic Energy Act and the Energy Reorganization Act provide the foundation for regulating the Nation’s commercial nuclear power industry. Reactor safety encompasses all NRC efforts to ensure that civilian nuclear reactor facilities are operated in a manner that provides adequate protection of public health and safety. These efforts include reactor licensing, inspection, performance assessment, identification and resolution of safety issues, reactor regulatory research, regulation development, independent assessment of reactor operational events and experience, investigations of alleged wrongdoing by licensees, applicants, contractors, or vendors, and imposition of enforcement sanctions for violations of NRC requirements.

*Nuclear Materials Safety.*—Nuclear materials safety encompasses all NRC efforts to ensure that NRC-regulation aspects of nuclear fuel cycle facilities and nuclear materials activities are handled in a manner that provides adequate protection of public health and safety. These efforts include licensing, inspection, and related regulatory activities for fuel cycle facilities and nuclear materials users, and uranium recovery. This program provides regulatory assistance to the Department of Energy, including activities related to the anticipated commercial vitrification of high-level waste in Hanford tanks, the evaluation of tritium production using commercial reactors, and the external regulation pilot program.

*Nuclear Waste Safety.*—Nuclear waste safety encompasses the NRC’s high-level waste regulatory activities associated with high-level waste disposal at Yucca Mountain as mandated by the Nuclear Waste Policy Act, the Nuclear Waste Policy Amendments Act and the Energy Policy Act. This program also encompasses all NRC low-level radioactive waste activities associated with the disposal of waste in accordance with the Low-Level Radioactive Waste Policy Act.

*Common Defense and Security and International Involvement.*—Common Defense and Security and International Involvement encompasses NRC international activities, some of which support the agency’s domestic mission and many of which support broader U.S. national interests. These activities include international policy formulation, export-import licensing of nuclear materials and equipment, treaty implementation, international information exchange activities, and international safety and safeguards assistance. NRC’s domestic safeguards responsibility involves the control of and accounting for nuclear materials, the protection of nuclear materials to prevent theft or diversion, and contingency plans for responding to threatening situations.

*Protecting the Environment.*—Protecting the environment encompasses the NRC’s activities related to protecting the environment from potential hazards associated with the civilian use of source, byproduct, and special nuclear materials and involves actions to mitigate environmental impacts, both during the conduct of licensed activities and afterward. These NRC activities ensure that potential environmental impacts of such licensed activities are assessed and overseen, prior and during licensing, consistent with the requirements of the National Environmental Policy Act as implemented by applicable NRC regulations. NRC regulatory and oversight activities also encompass decommissioning, which involves safely removing a facility from service and reducing residual radiation to a level that permits the property to be released for unrestricted use.

*Management and Support.*—Management and support encompasses NRC central policy direction, resources management, and all administrative and logistical support.

Beginning in FY 2000, the Nuclear Regulatory Commission’s proposed program funding level, except where noted, will be dependent upon the actual collection of fees, and the request for general or special fund appropriations will be lim-

ited to nonfee-based activities of the Commission. To facilitate the Commission's transition to this new method of operation, the Commission will be allowed to use fees collected in FY 1999 to cover the costs of operation in the following fiscal year.

Object Classification (in millions of dollars)

Identification code 31-0200-0-1-276	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	212	205	71
11.3 Other than full-time permanent .....	5	5	2
11.5 Other personnel compensation .....	5	5	2
11.8 Special personal services payments .....	1	1	.....
11.9 Total personnel compensation .....	223	216	75
12.1 Civilian personnel benefits .....	45	43	15
21.0 Travel and transportation of persons .....	14	14	5
22.0 Transportation of things .....	1	1	.....
23.1 Rental payments to GSA .....	20	19	7
23.3 Communications, utilities, and miscellaneous charges .....	7	7	2
24.0 Printing and reproduction .....	2	2	1
25.1 Advisory and assistance services .....	1	1	.....
25.2 Other services .....	71	72	34
25.3 Purchases of goods and services from Government accounts .....	87	83	28
25.4 Operation and maintenance of facilities .....	3	3	1
25.7 Operation and maintenance of equipment .....	3	3	1
26.0 Supplies and materials .....	2	2	1
31.0 Equipment .....	10	10	3
41.0 Grants, subsidies, and contributions .....	2	2	1
99.0 Subtotal, direct obligations .....	491	478	174
99.0 Reimbursable obligations .....	7	8	8
99.9 Total obligations .....	498	486	182

Personnel Summary

Identification code 31-0200-0-1-276	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,981	2,942	1,018

SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

Upon enactment of authorization to extend the requirements of 42 U.S.C. 2214, additional fees so extended may be collected and credited to this account as offsetting collections: Provided, That not to exceed \$309,299,000 of such fees shall become available on October 1, 1999 for necessary salaries and expenses of this account, notwithstanding 31 U.S.C. 3302, and remain available until expended.

Program and Financing (in millions of dollars)

Identification code 31-0200-2-1-276	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
<b>Direct program:</b>			
00.01 Nuclear Reactor Safety .....	.....	.....	141
00.02 Nuclear Materials Safety .....	.....	.....	33
00.03 Nuclear Waste Safety .....	.....	.....	6
00.04 Common Defense and Security and International Involvement .....	.....	.....	7
00.05 Protecting the Environment .....	.....	.....	10
00.06 Management and Support .....	.....	.....	113
10.00 Total obligations .....	.....	.....	310
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	.....	.....	310
23.95 New obligations .....	.....	.....	-310
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	.....	.....	310
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	.....	.....	310
73.20 Total outlays (gross) .....	.....	.....	-233
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	.....	.....	77

<b>Outlays (gross), detail:</b>		
86.90 Outlays from new current authority .....	.....	233
<b>Net budget authority and outlays:</b>		
89.00 Budget authority .....	.....	310
90.00 Outlays .....	.....	233

The appropriations request for Salaries and Expenses assumes the enactment of authorization legislation extending the authority for the Nuclear Regulatory Commission to collect fees that approximate 100 percent of the Nuclear Regulatory Commission's FY 1999 budget for carrying out its responsibilities under the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended.

Object Classification (in millions of dollars)

Identification code 31-0200-2-1-276	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	.....	.....	138
11.3 Other than full-time permanent .....	.....	.....	3
11.5 Other personnel compensation .....	.....	.....	3
11.8 Special personal services payments .....	.....	.....	1
11.9 Total personnel compensation .....	.....	.....	145
12.1 Civilian personnel benefits .....	.....	.....	29
21.0 Travel and transportation of persons .....	.....	.....	9
22.0 Transportation of things .....	.....	.....	1
23.1 Rental payments to GSA .....	.....	.....	13
23.3 Communications, utilities, and miscellaneous charges .....	.....	.....	5
24.0 Printing and reproduction .....	.....	.....	1
25.1 Advisory and assistance services .....	.....	.....	1
25.2 Other services .....	.....	.....	36
25.3 Purchases of goods and services from Government accounts .....	.....	.....	57
25.4 Operation and maintenance of facilities .....	.....	.....	2
25.7 Operation and maintenance of equipment .....	.....	.....	2
26.0 Supplies and materials .....	.....	.....	1
31.0 Equipment .....	.....	.....	7
41.0 Grants, subsidies, and contributions .....	.....	.....	1
99.9 Total obligations .....	.....	.....	310

Personnel Summary

Identification code 31-0200-2-1-276	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	.....	.....	1,901

OFFICE OF INSPECTOR GENERAL

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, including services authorized by 5 U.S.C. 3109, [\$4,800,000] \$5,300,000, to remain available until expended; and in addition, an amount not to exceed 5 percent of this sum may be transferred from Salaries and Expenses, Nuclear Regulatory Commission: Provided, That notice of such transfers shall be given to the Committees on Appropriations of the House of Representatives and Senate: Provided further, That from this appropriation, transfers of sums may be made to other agencies of the Government for the performance of the work for which this appropriation is made, and in such cases the sums so transferred may be merged with the appropriation to which transferred: Provided further, That not to exceed \$1,749,000 of revenues received during fiscal year 1999 from licensing fees, inspection services, and other services and collections authorized by 42 U.S.C. 2213 shall [be retained and used] become available on October 1, 1999 for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 1998 from licensing fees, inspection services, and other services and collections, so as to result in a final fiscal year 1998 appropriation estimated at not more than \$0]. (Energy and Water Development Appropriations Act, 1998.)

**General and special funds—Continued**

**OFFICE OF INSPECTOR GENERAL—Continued**

**(INCLUDING TRANSFER OF FUNDS)—Continued**

**Program and Financing (in millions of dollars)**

Identification code 31-0300-0-1-276	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	5	6	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	2	2	2
22.00 New budget authority (gross) .....	5	6	2
23.90 Total budgetary resources available for obligation	7	8	4
23.95 New obligations .....	-5	-6	-2
24.40 Unobligated balance available, end of year:			
Uninvested .....	2	2	2
<b>New budget authority (gross), detail:</b>			
40.20 Appropriation (special fund, definite) .....	5	6	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	
73.10 New obligations .....	5	6	2
73.20 Total outlays (gross) .....	-5	-5	-2
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	4	5	1
86.93 Outlays from current balances .....	1		1
87.00 Total outlays (gross) .....	5	5	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	5	6	2
90.00 Outlays .....	5	5	2

**Summary of Budget Authority and Outlays**

(in millions of dollars)

	1997 actual	1998 est.	1999 est.
<b>Enacted/requested:</b>			
Budget Authority .....	5	6	2
Outlays .....	5	5	2
<b>Legislative proposal, not subject to PAYGO:</b>			
Budget Authority .....			3
Outlays .....			3
<b>Total:</b>			
Budget Authority .....	5	6	5
Outlays .....	5	5	5

The Inspector General Act Amendments of 1988 established a statutory Office of the Inspector General within the NRC that provides the Commission and Congress with an independent review and appraisal of the integrity of NRC programs and operations. The function of the Office of the Inspector General is to conduct and supervise audits and investigations relating to all facets of agency programs and operations.

**Object Classification (in millions of dollars)**

Identification code 31-0300-0-1-276	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	3	4	1
12.1 Civilian personnel benefits .....	1	1	
25.2 Other services .....	1	1	1
99.9 Total obligations .....	5	6	2

**Personnel Summary**

Identification code 31-0300-0-1-276	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	41	43	15

**OFFICE OF INSPECTOR GENERAL**

(Legislative proposal, not subject to PAYGO)

Upon enactment of authorization to extend the requirements of 42 U.S.C. 2214, additional fees so extended may be collected and credited to this account as offsetting collections: Provided, That not to exceed \$3,551,000 of such fees shall become available on October 1, 1999 for necessary salaries and expenses of this account, notwithstanding 31 U.S.C. 3302, and remain available until expended.

**Program and Financing (in millions of dollars)**

Identification code 31-0300-2-1-276	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....			3
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			3
23.95 New obligations .....			-3
<b>New budget authority (gross), detail:</b>			
40.20 Appropriation (special fund, definite) .....			3
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			3
73.20 Total outlays (gross) .....			-3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....			3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			3
90.00 Outlays .....			3

The appropriations request for the Office of Inspector General assumes the enactment of authorization legislation extending the authority for the Nuclear Regulatory Commission to collect fees that approximate 100 percent of the Nuclear Regulatory Commission's FY 1999 budget for carrying out its responsibilities under the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, and the Inspector General Act of 1976, as amended.

**Object Classification (in millions of dollars)**

Identification code 31-0300-2-1-276	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....			2
12.1 Civilian personnel benefits .....			1
99.9 Total obligations .....			3

**Personnel Summary**

Identification code 31-0300-2-1-276	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....			29

**NUCLEAR WASTE TECHNICAL REVIEW BOARD**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, [\$2,600,000] \$2,950,000, to be derived from the Nuclear Waste Fund, and to remain available until expended. (Energy and Water Development Appropriations Act, 1998.)

Program and Financing (in millions of dollars)			
Identification code 48-0500-0-1-271	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	3	3	3
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	1		
22.00 New budget authority (gross) .....	3	3	3
23.90 Total budgetary resources available for obligation	4	3	3
23.95 New obligations .....	-3	-3	-3
<b>New budget authority (gross), detail:</b>			
40.20 Appropriation (special fund, definite) .....	3	3	3
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	3	3	3
73.20 Total outlays (gross) .....	-3	-3	-3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	3	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3	3	3
90.00 Outlays .....	3	3	3

The Nuclear Waste Technical Review Board is directed to evaluate the technical and scientific validity of the activities of the Department of Energy's nuclear waste disposal program undertaken after the enactment of the Nuclear Waste Policy Amendments Act of 1987. The Board must report its findings not less than two times a year to the Congress and the Secretary of Energy.

Object Classification (in millions of dollars)			
Identification code 48-0500-0-1-271	1997 actual	1998 est.	1999 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent .....	1	1	1
99.5 Below reporting threshold .....	2	2	2
99.9 Total obligations .....	3	3	3

**Personnel Summary**

Identification code 48-0500-0-1-271	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	18	20	19

**OCCUPATIONAL SAFETY AND HEALTH  
REVIEW COMMISSION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For expenses necessary for the Occupational Safety and Health Review Commission (29 U.S.C. 661), **[\$7,900,000] \$8,050,000.** (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)			
Identification code 95-2100-0-1-554	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Commission review .....	3	3	3
00.02 Administrative law judge determinations .....	4	4	4
00.03 Executive direction .....	1	1	1
10.00 Total obligations .....	8	8	8
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	8	8

23.95 New obligations .....	-8	-8	-8
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	8	8
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	8	8	8
73.20 Total outlays (gross) .....	-8	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	7	7	7
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	8	8	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	8	8
90.00 Outlays .....	8	8	8

The Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds factfinding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

**SELECTED WORKLOAD DATA**

Commission review activities:	1997 actual	1998 est.	1999 est.
Cases pending beginning of year .....	56	38	45
New cases received .....	32	62	62
Cases decided .....	50	55	60
<b>Administrative law judge activities:</b>			
Cases pending beginning of year .....	747	1,021	1,181
New cases received .....	2,072	2,500	2,500
<b>Case dispositions:</b>			
After assignment but without hearing .....	1,692	2,200	2,300
Heard and decided by Judge .....	106	140	150

**Object Classification (in millions of dollars)**

Identification code 95-2100-0-1-554	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	5	5	5
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.0 Subtotal, direct obligations .....	7	7	7
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	8	8	8

**Personnel Summary**

Identification code 95-2100-0-1-554	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	67	72	70

**OFFICE OF GOVERNMENT ETHICS**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, as amended [by Public Law 100-598], and the Ethics Reform Act of 1989[Public Law 101-194], including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses; **[\$8,265,000] \$8,492,000.** (Independent Agencies Appropriations Act, 1998.)

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Program and Financing (in millions of dollars)**

Identification code 95-1100-0-1-805	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	8	9	9
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	9	9
22.30 Unobligated balance expiring .....	-1		
23.90 Total budgetary resources available for obligation .....	8	9	9
23.95 New obligations .....	-8	-9	-9
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	8	8
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	8	8	9
73.20 Total outlays (gross) .....	-8	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	7	7	7
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	8	8	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	9	8
90.00 Outlays .....	8	8	8

The Office of Government Ethics (OGE) is charged by law to provide overall direction of executive branch policies designed to prevent conflicts of interest and insure high ethical standards. The OGE discharges its responsibilities to preserve and promote public confidence in the integrity of executive branch officials by developing rules and regulations pertaining to conflicts of interest, post employment restrictions, standards of conduct, and public and confidential financial disclosure in the executive branch; by monitoring compliance with the public and confidential financial disclosure requirements of the Ethics in Government Act of 1978 and the Ethics Reform Act of 1989, to determine possible violations of applicable laws or regulations and recommending appropriate corrective action; by consulting with and assisting various officials in evaluating the effectiveness of applicable laws and the resolution of individual problems; by preparing formal advisory opinions, informal letter opinions, policy memoranda, and Federal Register entries on how to interpret and comply with the requirements on conflicts of interest, post employment, standards of conduct, and financial disclosure; and by issuing and amending regulations implementing the procurement integrity provisions relating to negotiating for employment, post employment, and gratuities in the Office of Federal Procurement Policy Act Amendments of 1988, P.L. 100-679.

**Object Classification (in millions of dollars)**

Identification code 95-1100-0-1-805	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	5	6	6
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.0 Subtotal, direct obligations .....	7	8	8
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	8	9	9

**Personnel Summary**

Identification code 95-1100-0-1-805	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	77	84	84

**OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93-531, \$15,000,000, to remain available until expended: *Provided*, That funds provided in this or any other appropriations Act are to be used to relocate eligible individuals and groups including evictees from District 6, Hopi-partitioned lands residents, those in significantly substandard housing, and all others certified as eligible and not included in the preceding categories: *Provided further*, That none of the funds contained in this or any other Act may be used by the Office of Navajo and Hopi Indian Relocation to evict any single Navajo or Navajo family who, as of November 30, 1985, was physically domiciled on the lands partitioned to the Hopi Tribe unless a new or replacement home is provided for such household: *Provided further*, That no relocatee will be provided with more than one new or replacement home: *Provided further*, That the Office shall relocate any certified eligible relocatees who have selected and received an approved homesite on the Navajo reservation or selected a replacement residence off the Navajo reservation or on the land acquired pursuant to 25 U.S.C. 640d-10. (*Department of Interior and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 48-1100-0-1-808	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Operation of relocation office .....	6	6	6
00.03 Relocation payments (housing) .....	10	10	14
00.04 Discretionary fund payments .....	5	1	1
10.00 Total obligations .....	21	17	21
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	17	17	15
22.00 New budget authority (gross) .....	19	15	15
23.90 Total budgetary resources available for obligation .....	36	32	30
23.95 New obligations .....	-21	-17	-21
24.40 Unobligated balance available, end of year:			
Uninvested .....	17	15	9
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	19	15	15
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	6	7	8
73.10 New obligations .....	21	17	21
73.20 Total outlays (gross) .....	-20	-16	-21
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	7	8	8
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	16	12	11
86.93 Outlays from current balances .....	4	4	10
87.00 Total outlays (gross) .....	20	16	21
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	19	15	15
90.00 Outlays .....	20	16	21

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93-531 to plan and conduct relocation

activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

Identification code 48-1100-0-1-808	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	4	4	4
12.1 Civilian personnel benefits .....	1	1	1
25.2 Other services .....	1	1	1
32.0 Land and structures .....	10	10	14
41.0 Grants, subsidies, and contributions .....	5	1	1
99.9 Total obligations .....	21	17	21

Personnel Summary

Identification code 48-1100-0-1-808	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	81	81	81

OFFICE OF SPECIAL COUNSEL

Federal Funds

General and special funds:

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95-454), the Whistleblower Protection Act of 1989 (Public Law 101-12), Public Law 103-424, and the Uniformed Services Employment and Reemployment Act of 1994 (Public Law 103-353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; **[\$8,450,000] \$8,720,000. (Independent Agencies Appropriations Act, 1998.)**

Program and Financing (in millions of dollars)

Identification code 62-0100-0-1-805	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Investigation and prosecution of reprisals for whistle blowing .....	8	8	9
10.00 Total obligations .....	8	8	9
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	8	8	9
23.95 New obligations .....	-8	-8	-9
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	8	8	9
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	8	8	9
73.20 Total outlays (gross) .....	-8	-8	-9
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	7	7	8
86.93 Outlays from current balances .....	1	1	1
87.00 Total outlays (gross) .....	8	8	9
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	8	8	9
90.00 Outlays .....	8	8	9

The Office of Special Counsel (OSC) (1) investigates Federal employee allegations of prohibited personnel practices (including reprisal for whistleblowing) and when appropriate prosecutes before the Merit Systems Protection Board (MSPB); (2) provides a channel for whistleblowing by Federal employees; and (3) enforces the Hatch Act. The OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation and a report to the Congress and the President when appropriate.

Overall in FY 1997, there were more than 5,762 instances in which the assistance or action of the OSC was sought by federal employees and other persons. Many prohibited personnel practice and Hatch Act cases investigated by the OSC are resolved without recourse to formal proceedings before the MSPB. In Fiscal Year 1997 the OSC obtained 82 corrective or other favorable actions, and efforts to obtain such negotiated resolutions will continue. In Fiscal Year 1997 the OSC also filed 4 enforcement actions before the MSPB in prohibited personnel practice and Hatch Act matters. The OSC also issued 1,700 Hatch Act advisory opinions (both written and oral) to people who sought advice. During FY 1997, the OSC's Disclosure Unit received 306 disclosure matters for possible referral and completed 303 of them. Fourteen Disclosure Unit matters were referred to agency heads for their review.

In FY 1998, the Office of Special Counsel (OSC) will continue to review its operations and procedures. The aim of these efforts will be to make the OSC more responsive to those individuals who seek the agency's assistance, to improve the productivity of the OSC's employees, and to ensure that the OSC is an easily accessible source of information about the rights of government employees.

In furtherance of its responsibilities, and the goals and objectives set forth in the agency's strategic plan, the OSC performance goals in FY 1999 will be to: (1) design and initiate a pilot project to test the impact of alternative dispute resolution on the disposition of prohibited personnel practice matters, (2) acquire data on compliance by federal agencies with the statutory informational program requirement, (3) implement electronic filing of complaints and disclosures with the OSC (subject to FY 1998 feasibility review and assessment), and (4) replace 20% of the agency's information technology infrastructure.

The following tables display the anticipated workloads:

ALLEGATIONS RECEIVED

	1997 actual	1998 est.	1999 est.
Reprisal for whistleblowing .....	814	977	1,172
Other personnel practices .....	3,190	3,200	3,200
Hatch Act .....	58	60	60

ALLEGATIONS CLOSED

	1997 actual	1998 est.	1999 est.
Reprisal for whistleblowing .....	861	1,033	1,240
Other personnel practices .....	3,811	4,000	4,100
Hatch Act .....	73	80	85

Object Classification (in millions of dollars)

Identification code 62-0100-0-1-805	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	5	5	6
12.1 Civilian personnel benefits .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
99.0 Subtotal, direct obligations .....	7	7	8
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	8	8	9

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

**Personnel Summary**

Identification code 62-0100-0-1-805	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	85	91	91

**OTHER COMMISSIONS AND BOARDS**

**Federal Funds**

**General and special funds:**

**COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD**

**SALARIES AND EXPENSES**

For expenses for the Commission for the Preservation of America's Heritage Abroad, \$250,000, as authorized by Public Law 99-83, section 1303. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1998.*)

**Unavailable Collections (in millions of dollars)**

Identification code 95-9911-0-1-808	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....	2	3	5
Receipts:			
02.01 Miscellaneous deposits, Miscellaneous trust funds, Independent agencies .....	1	1	1
02.02 Interest, Miscellaneous trust funds, Independent agencies .....		1	1
02.99 Total receipts .....	1	2	2
04.00 Total: Balances and collections .....	3	5	7
07.99 Total balance, end of year .....	3	5	7

**Program and Financing (in millions of dollars)**

Identification code 95-9911-0-1-808	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2) .....	1	1	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	2	2	1
22.00 New budget authority (gross) .....	1		
23.90 Total budgetary resources available for obligation	3	2	1
23.95 New obligations .....	-1	-1	
24.40 Unobligated balance available, end of year: Uninvested .....	2	1	1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	1		
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	1	1	
73.20 Total outlays (gross) .....	-1	-1	
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	1		
86.93 Outlays from current balances .....		1	
87.00 Total outlays (gross) .....	1	1	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	1		
90.00 Outlays .....	1	1	

The "Other commissions and boards" account presents data on small independent commissions and other entities on a consolidated basis. Individual commissions, where all transactions fall below the threshold (i.e., transactions do not round to \$1 million), are included. This consolidated account

includes the \$250 thousand request for the Commission for the Preservation of America's Heritage Abroad, which works to encourage the preservation of cemeteries, monuments, and historic buildings associated with the foreign heritage of the United States.

**OUNCE OF PREVENTION COUNCIL**

**Federal Funds**

**Program and Financing (in millions of dollars)**

Identification code 95-0100-0-1-754	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	2		
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	2		
23.95 New obligations .....	-2		
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	2		
73.20 Total outlays (gross) .....	-2		
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	2		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	2		

For activities authorized by sections 30101 and 30102 of P.L. 103-322, and pursuant to P.L. 104-208, Title II, the funds enabled the Council to coordinate the Violent Crime Control and Law Enforcement Act programs; publish a crime prevention catalog of comprehensive planning techniques, models, programs and resources; assist communities in obtaining information about prevention programs, publications and technical assistance; develop strategies for program integration and grant simplification across agencies; and award grants to communities as specified by the authorizing legislation.

**Object Classification (in millions of dollars)**

Identification code 95-0100-0-1-754	1997 actual	1998 est.	1999 est.
41.0 Direct obligations: Grants, subsidies, and contributions .....	1		
99.5 Below reporting threshold .....	1		
99.9 Total obligations .....	2		

**Personnel Summary**

Identification code 95-0100-0-1-754	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	5	2	

**PANAMA CANAL COMMISSION**

**Federal Funds**

**Public enterprise funds:**

**PANAMA CANAL REVOLVING FUND**

**Program and Financing (in millions of dollars)**

Identification code 95-4061-0-3-403	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Transit operations .....	411	433	439
09.02 Supporting services .....	64	62	59
09.03 General Corporate Expenses .....	93	109	107

09.09	Total operating expenses .....	568	604	605
	Capital investment:			
09.10	Transit operation projects .....	84	82	86
09.11	General support projects .....	10	14	13
09.12	Utilities projects .....	3	6	3
09.13	Accomplishment of prior year slippage .....	5	11	13
09.14	Unanticipated delays/slippage .....	-11	-13	
09.19	Total capital investment .....	91	100	115
10.00	Total obligations .....	659	704	720
<b>Budgetary resources available for obligation:</b>				
21.40	Unobligated balance available, start of year:			
	Uninvested .....	3	8	10
22.00	New budget authority (gross) .....	664	706	718
23.90	Total budgetary resources available for obligation	667	714	728
23.95	New obligations .....	-659	-704	-720
24.40	Unobligated balance available, end of year:			
	Uninvested .....	8	10	9
<b>New budget authority (gross), detail:</b>				
68.00	Spending authority from offsetting collections (gross):			
	Offsetting collections (cash) .....	664	706	718
<b>Change in unpaid obligations:</b>				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	249	245	252
73.10	New obligations .....	659	704	720
73.20	Total outlays (gross) .....	-663	-696	-718
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	245	252	254
<b>Outlays (gross), detail:</b>				
86.97	Outlays from new permanent authority .....	556	680	663
86.98	Outlays from permanent balances .....	107	16	55
87.00	Total outlays (gross) .....	663	696	718
<b>Offsets:</b>				
	Against gross budget authority and outlays:			
88.40	Offsetting collections (cash) from: Non-Federal sources .....	-664	-706	-718
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....			
90.00	Outlays .....	-1	-10	

Note.—Authority to borrow is available to the Panama Canal Commission on a permanent indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$100 million.

The Panama Canal Act of 1979 established the Panama Canal Commission to operate and maintain the interoceanic waterway. The Commission is self-sufficient in its operations and makes payments to the Republic of Panama as specified in the Panama Canal Treaty of 1977. Pursuant to Public Law 104-106, the Commission is a wholly-owned government corporation and is funded by a revolving fund.

**Budget program—Transit operations.**—The services performed by this activity are (in millions of dollars):

	1997 actual	1998 est.	1999 est.
Maintenance of channels and dams .....	52	50	43
Navigation service and control .....	111	119	121
Lock operations and maintenance .....	64	85	87
General repairs, engineering, and maintenance services .....	30	31	33
Fire and facility protection services .....	17	17	15
Public service payments to Panama .....	20	20	20
Payments to Panama .....	85	89	95
General canal expense .....	21	23	23
Net operating expenses .....	400	434	437

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Payments to Panama include a public service payment of \$10 million, a fixed annuity of \$10 million, and an annuity based on net tonnage of vessels transiting the Canal. These payments are prescribed in paragraph 5 of article III and paragraphs 4(a) and 4(b) of article XIII of the Panama Canal Treaty of 1977.

Vessel traffic volume and other indices of workload are as follows:

	1997 actual	1998 est.	1999 est.
Ship transits (over 300 net Panama Canal tons) .....	13,158	12,991	13,049
Tolls (in millions of dollars) .....	494	552	570

Capital obligations for 1999 include the following major projects: continuation of the Gaillard Cut widening/straightening program, addition and rehabilitation of towing locomotives, rehabilitation of tow track, and improvement of vessel traffic management system, locks machinery control system, and other transit facilities and equipment.

**Supporting services.**—The services performed by these support activities are (in millions of dollars):

	1997 actual	1998 est.	1999 est.
Supply and logistical .....	23	22	23
Utilities .....	25	26	23
Other supporting services .....	15	15	15
Operating expenses .....	63	63	61

Note.—These numbers are based on standard business accounting techniques and therefore do not necessarily tie with the Program and Financing schedule.

Capital obligations for 1999 include several projects for information systems infrastructure, financial management system modernization, the replacement of overaged motor vehicles, and the procurement of small equipment items.

**General Corporate expenses.**—General Corporate expenses provide for the salaries and related expenses for the overall direction and administration of the Commission, including Financial Management, Personnel Administration, and the Office of Inspector General. It also provides for non-administrative expenses which are general in nature and not associated with any specific function. Included in these costs are: the amortization of the expense of the special retirement provisions of the treaty implementation legislation; the interest expense on the investment of the U.S. Government in the Canal which is paid into the miscellaneous receipts of the U.S. Treasury; the health and education services provided to Commission employees and their dependents at Department of Defense facilities; the compensation benefits for work injuries (FECA); the premiums for the Federal employees health benefits program (FEHBA); certain other statutory costs required by the U.S. Government; and miscellaneous expenses of a general nature.

(In millions of dollars)

	1997 actual	1998 est.	1999 est.
General corporate expenses .....	139	145	130

**Financing.**—The Commission has two Treasury accounts: the Revolving Fund, which covers operations, and the Dissolution Fund, which provides for costs associated with the dissolution of the Panama Canal Commission and will not be available for obligation until October 1, 1998. The operation of the Canal is conducted on a commercial basis with revenues derived from tolls collected from vessels and other essential supporting services. Revenues collected are deposited in an account in the Panama Canal Revolving Fund. Operating and capital expenditures are then funded from this account. The Commission may borrow from the U.S. Treasury not more than \$100 million outstanding at any time. No cash withdrawals against these funds are planned.

The amount set aside from toll receipts for a capital advance in 1999 is \$80.4 million. There is a provision of \$2.0 million for working capital in FY 1999.

The budget reflects a two phase toll rate increase of 8.2 percent effective January 1, 1997, and 7.5 percent effective January 1, 1998. The Commission incurred an operating loss of \$2.0 million in 1997 and is budgeting a modest loss of \$2.6 million for 1998. Both losses will be carried forward and fully recovered in 1999.

**Public enterprise funds—Continued**

**PANAMA CANAL REVOLVING FUND—Continued**

**Statement of Operations (in millions of dollars)**

Identification code 95-4061-0-3-403	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue	581	555	595	594
0102 Expense	-450	-428	-465	-469
0109 Net income or loss (-)	131	127	130	125
0111 Revenue	44	44	43	40
0112 Expense	-83	-70	-70	-68
0119 Net income or loss (-)	-39	-26	-27	-28
0121 Revenue		1	1	1
0122 Expense	-94	-104	-107	-91
0129 Net income or loss (-)	-94	-103	-106	-90
0191 Total revenues	625	600	639	635
0192 Total expenses	-627	-602	-642	-628
0199 Net income or loss	-2	-2	-3	7

**Balance Sheet (in millions of dollars)**

Identification code 95-4061-0-3-403	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury	252	254	263	263
Investments in US securities:				
1106 Receivables, net	5	5	5	5
1206 Non-Federal assets: Receivables, net	5	7	5	5
Other Federal assets:				
1802 Inventories and related properties	33	33	33	32
1803 Property, plant and equipment, net	512	564	619	678
1901 Other assets	61	41	25	
1999 Total assets	868	904	950	983
<b>LIABILITIES:</b>				
2101 Federal liabilities: Accounts payable	4	4	3	3
Non-Federal liabilities:				
2201 Accounts payable	36	46	44	44
2206 Pension and other actuarial liabilities	76	53	33	
2207 Other	148	143	166	169
2999 Total liabilities	264	246	246	216
<b>NET POSITION:</b>				
3300 Cumulative results of operations	604	658	704	768
3999 Total net position	604	658	704	768
4999 Total liabilities and net position	868	904	950	984

**Object Classification (in millions of dollars)**

Identification code 95-4061-0-3-403	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent	223	257	263
11.3 Other than full-time permanent	25	26	26
11.5 Other personnel compensation	53	50	50
11.9 Total personnel compensation	301	333	339
12.1 Civilian personnel benefits	45	48	46
13.0 Benefits for former personnel	15	15	15
21.0 Travel and transportation of persons	4	7	6
22.0 Transportation of things	1	2	2
23.3 Communications, utilities, and miscellaneous charges	5	4	4
25.1 Advisory and assistance services	2	1	1
25.2 Other services	13	9	4
25.6 Medical care	3	2	2
26.0 Supplies and materials	70	70	67
31.0 Equipment	40	52	64
32.0 Land and structures	58	53	57
41.0 Grants, subsidies, and contributions	95	99	105
42.0 Insurance claims and indemnities	7	9	8
99.9 Total obligations	659	704	720

**Personnel Summary**

Identification code 95-4061-0-3-403	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment	9,499	10,035	9,991

**PANAMA CANAL COMMISSION DISSOLUTION FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-4073-0-3-403	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year	2	4	6
03.00 Offsetting Collections	2	2	1
04.00 Total: Balances and collections	4	6	7
07.99 Total balance, end of year	4	6	7

**Program and Financing (in millions of dollars)**

Identification code 95-4073-0-3-403	1997 actual	1998 est.	1999 est.
<b>New budget authority (gross), detail:</b>			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash)	2	2	1
68.45 Portion not available for obligation (limitation on obligations)	-2	-2	-1
68.90 Spending authority from offsetting collections (total)			
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources	-2	-2	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	-2	-2	-1
90.00 Outlays	-2	-2	-1

Pursuant to 22 USC 3714a., Sec. 1305., there is established in the Treasury of the United States a fund known as the "Panama Canal Commission Dissolution Fund". The Fund shall be managed by the Commission and will be available after September 30, 1998, to pay the operating costs associated with the dissolution of the Panama Canal Commission.

**Balance Sheet (in millions of dollars)**

Identification code 95-4073-0-3-403	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury	2			
Investments in US securities:				
1102 Treasury securities, par		4	6	7
1901 Other Federal assets: Other assets		3	1	
1999 Total assets	2	7	7	7
<b>LIABILITIES:</b>				
2207 Non-Federal liabilities: Other		3	1	
2999 Total liabilities		3	1	
<b>NET POSITION:</b>				
3200 Invested capital	2			
3300 Cumulative results of operations		4	6	7
3999 Total net position	2	4	6	7
4999 Total liabilities and net position	2	7	7	7

**POSTAL SERVICE**

**Federal Funds**

**General and special funds:**

**PAYMENT TO THE POSTAL SERVICE FUND**

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of

section 2401 of title 39, United States Code, [86,274,000] \$99,816,000: *Provided*, That mail for overseas voting and mail for the blind shall continue to be free: *Provided further*, That 6-day delivery and rural delivery of mail shall continue at not less than the 1983 level: *Provided further*, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an address of a postal customer: *Provided further*, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices in the fiscal year ending on September 30, [1998] 1999. (*Treasury, Postal Service, and General Government Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 18-1001-0-1-372	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Current year .....	61	55	69
00.02 Reconciliation adjustment .....	2	2	2
00.03 Prior years' liabilities .....	29	29	29
10.00 Total obligations (object class 41.0) .....	90	86	100
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	90	86	100
23.95 New obligations .....	-90	-86	-100
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	90	86	100
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	90	86	100
73.20 Total outlays (gross) .....	-90	-86	-100
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	90	86	100
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	90	86	100
90.00 Outlays .....	90	86	100

Pursuant to Public Law 93-328, the FY 1999 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$99,816,000.

PAYMENT TO THE POSTAL SERVICE FUND FOR NONFUNDED  
LIABILITIES

## Program and Financing (in millions of dollars)

Identification code 18-1004-0-1-372	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	36		
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	36		
23.95 New obligations .....	-36		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	36		
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	36		
73.20 Total outlays (gross) .....	-36		
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	36		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	36		
90.00 Outlays .....	36		

Public Law 105-33 repealed authorization of the appropriation for Payment to the Postal Service Fund for Nonfunded

Liabilities. This payment was made to the U.S. Postal Service to meet the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these liabilities became liabilities of the U.S. Postal Service payable out of the Postal Service Fund.

## Public enterprise funds:

## POSTAL SERVICE FUND

## Program and Financing (in millions of dollars)

Identification code 18-4020-0-3-372	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Reimbursable Program:			
09.01 Postal field operations .....	41,039	42,527	44,394
09.02 Transportation .....	4,214	4,413	4,531
09.03 Building occupancy .....	1,428	1,814	1,990
09.04 Supplies and services .....	2,147	3,298	3,225
09.05 Research and development .....	68	56	59
09.06 Administration and area operations .....	5,797	4,877	4,955
09.07 Interest .....	1,959	1,840	1,935
09.08 Servicewide expenses .....	634	285	285
09.09 Subtotal .....	57,286	59,110	61,374
09.10 Capital Investment .....	3,050	3,452	3,962
09.11 Post Office Dept. Workers' Compensation .....	36		
09.19 Subtotal .....	3,086	3,452	3,962
10.00 Total obligations .....	60,372	62,562	65,336
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	62,380	65,743	66,419
22.60 Redemption of debt .....	-2,008	-3,181	-1,083
23.90 Total budgetary resources available for obligation .....	60,372	62,562	65,336
23.95 New obligations .....	-60,372	-62,562	-65,336
<b>New budget authority (gross), detail:</b>			
67.15 Authority to borrow (indefinite) .....	3,725	4,607	1,869
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	58,655	61,136	64,550
70.00 Total new budget authority (gross) .....	62,380	65,743	66,419
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Uninvested .....	18,391	20,157	20,222
72.41 U.S. Securities: Par value .....	860	860	500
72.99 Total unpaid obligations, start of year .....	19,251	21,017	20,722
73.10 New obligations .....	60,372	62,562	65,336
73.20 Total outlays (gross) .....	-58,606	-62,857	-65,396
Unpaid obligations, end of year:			
Obligated balance:			
74.40 Uninvested .....	20,157	20,222	20,162
74.41 U.S. Securities: Par value .....	860	500	500
74.99 Total unpaid obligations, end of year .....	21,017	20,722	20,662
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	58,606	62,857	65,396
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-1,133	-1,117	-1,169
88.20 Interest on U.S. securities .....	-115	-33	-32
88.40 Non-Federal sources .....	-57,407	-59,986	-63,349
88.90 Total, offsetting collections (cash) .....	-58,655	-61,136	-64,550
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	3,725	4,607	1,869
90.00 Outlays .....	-49	1,721	846

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service, an independent establishment within the executive branch. The Postal Service commenced operations July 1,

**Public enterprise funds—Continued**

POSTAL SERVICE FUND—Continued

1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including 9 Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Decisions on changes in domestic rates of postage and fees for postal services are recommended to the Governors of the Postal Service by the independent Postal Rate Commission after a hearing on the record under the Administrative Procedure Act. The Commission also recommends decisions on changes in the domestic mail classification schedule to the Governors. Decisions of the Governors on rates of postage, fees for postal services, and mail classification are final, subject to judicial review.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

*Programs.*—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and financing.

The rapid development of electronic messaging systems promises to increase the effectiveness of the Nation's communications infrastructure and U.S. competitiveness in the future. As the provider of a universally available hard copy delivery system, the United States Postal Service is encouraged to examine these emerging communications technologies and to cooperate with the private sector on issues of integration, directory service, and strategic alliances that will facilitate the development of secure and reliable electronic messaging networks.

The transition from hard copy to electronic messaging already has begun. The Postal Service should assist in developing future messaging systems. The Postal Service's participation should recognize the changing needs of its business, governmental, and individual customers; should focus on determining an appropriate means for public and private sector cooperation; and should be consistent with the agency's vision of evolving into a premier provider of 21st century postal communications. The Postal Service should seek to leverage its comprehensive delivery, messaging security, and addressing directory management capabilities in a manner that promotes universal access to the benefits of these new technologies for all citizens who desire them.

*Financing.*—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005

of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total net increase in amounts outstanding in any one fiscal year were also increased and now may not exceed \$2.0 billion in obligations issued for the purpose of capital improvements and \$1.0 billion for the purpose of paying operating expenses. As of September 30, 1999, it is expected that the total debt instruments issued and outstanding pursuant to this authority will amount to \$8.331 billion.

*Operating.*—Estimated revenue will total \$64.246 billion in 1999. This includes \$64.142 billion from mail and services revenue, \$33 million from investment income, and \$71 million accrued for revenue foregone appropriations in 1999. Total expenses are estimated at \$63.621 billion in 1999.

The Postal Reorganization Act of 1970 established the Postal Service as a fully self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, Congress has taken steps over time to reduce these subsidies. Under the 1974 Civil Service Retirement Fund—Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under postal labor contracts. These costs are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from OPM to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989 and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of postal annuitants. Effective October 1, 1990, the Postal Service is required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employee Health Benefit Program (FEHBP) premiums for postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service is required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service is required to make certain payments for past COLAs and health benefits, over and above any other payments required by law, of \$693 million to the Civil Service Retirement and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two payments are to be made in three equal annual installments, beginning in fiscal year 1996.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these liabilities became liabilities of the Postal Service payable out of the Postal Service Fund.

Statement of Operations (in millions of dollars)

Identification code 18-4020-0-3-372	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	56,544	58,331	60,833	64,246

0102	Expense .....	-54,977	-57,067	-61,061	-63,621
0109	Net income or loss (-) .....	1,567	1,264	-228	625

Balance Sheet (in millions of dollars)

Identification code 18-4020-0-3-372	1996 actual	1997 actual	1998 est.	1999 est.	
<b>ASSETS:</b>					
Federal assets:					
1101	Fund balances with Treasury .....	21	-445	-425	-425
Investments in US securities:					
1102	Treasury securities, par .....	860	860	860	860
1106	Receivables, net .....	471	480	532	559
1107	Advances and prepayments .....	24	22	20	20
Non-Federal assets:					
1206	Receivables, net .....	618	571	577	602
1207	Advances and prepayments .....	160	148	149	147
Other Federal assets:					
1801	Cash and other monetary assets .....	-580	-20	-32	-32
1802	Inventories and related properties .....	228	219	219	219
1803	Property, plant and equipment, net .....	17,857	19,374	21,004	22,837
1901	Other assets .....	31,944	31,929	34,338	36,332
1999	Total assets .....	51,603	53,138	57,242	61,119
<b>LIABILITIES:</b>					
Federal liabilities:					
2101	Accounts payable .....	2,428	2,726	2,850	2,925
2102	Interest payable .....	71	63	75	82
2103	Debt .....	5,906	5,862	7,533	8,331
2104	Resources payable to Treasury .....	5	5	5	5
Non-Federal liabilities:					
2201	Accounts payable .....	8,838	9,119	10,121	10,450
2203	Debt .....	12	10	8	6
2206	Pension and other actuarial liabilities .....	36,529	36,024	37,681	39,726
2207	Other .....	438	689	557	557
2999	Total liabilities .....	54,227	54,498	58,830	62,082
<b>NET POSITION:</b>					
3200	Invested capital .....	3,034	3,034	3,034	3,034
3300	Cumulative results of operations .....	-5,658	-4,394	-4,622	-3,997
3999	Total net position .....	-2,624	-1,360	-1,588	-963
4999	Total liabilities and net position .....	51,603	53,138	57,242	61,119

Object Classification (in millions of dollars)

Identification code 18-4020-0-3-372	1997 actual	1998 est.	1999 est.	
<b>Personnel compensation:</b>				
11.1	Full-time permanent .....	24,554	25,519	26,388
11.3	Other than full-time permanent .....	4,419	4,705	4,910
11.5	Other personnel compensation .....	4,992	4,686	5,061
11.9	Total personnel compensation .....	33,965	34,910	36,359
12.1	Civilian personnel benefits .....	8,978	9,601	9,922
13.0	Benefits for former personnel .....	1,189	1,278	1,427
21.0	Travel and transportation of persons .....	228	261	253
22.0	Transportation of things .....	4,574	4,791	4,934
23.1	Rental payments to GSA .....	34	32	31
23.2	Rental payments to others .....	672	741	786
23.3	Communications, utilities, and miscellaneous charges .....	710	719	730
24.0	Printing and reproduction .....	116	309	312
25.2	Other services .....	3,655	3,268	3,305
26.0	Supplies and materials .....	1,261	1,157	1,175
31.0	Equipment .....	1,360	2,189	2,587
32.0	Land and structures .....	1,595	1,243	1,355
42.0	Insurance claims and indemnities .....	71	223	225
<b>Interest and dividends:</b>				
43.0	Interest and dividends .....	362	244	306
43.0	Interest and dividends .....	1,602	1,596	1,629
99.9	Total obligations .....	60,372	62,562	65,336

Personnel Summary

Identification code 18-4020-0-3-372	1997 actual	1998 est.	1999 est.	
2011	Total compensable workyears: Exempt Full-time equivalent employment .....	826,178	837,754	844,297

PRESIDIO TRUST

Federal Funds

General and special funds:

PRESIDIO TRUST

For necessary expenses to carry out title I of the Omnibus Parks and Public Lands Management Act of 1996, \$14,913,000 shall be available to the Presidio Trust, to remain available until expended. The Trust is authorized to issue obligations to the Secretary of the Treasury pursuant to section 104(d)(3) of the Act, in an amount not to exceed \$25,000,000.

Program and Financing (in millions of dollars)

Identification code 95-8410-0-4-304	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00	Total obligations .....		42
<b>Budgetary resources available for obligation:</b>			
22.00	New budget authority (gross) .....		42
23.95	New obligations .....		-42
<b>New budget authority (gross), detail:</b>			
Current:			
40.00	Appropriation .....		15
47.00	Authority to borrow .....		25
Permanent:			
Spending authority from offsetting collections:			
68.00	Offsetting collections (cash) .....		3
68.27	Capital transfer to general fund .....		-1
68.90	Spending authority from offsetting collections (total) .....		2
70.00	Total new budget authority (gross) .....		42
<b>Change in unpaid obligations:</b>			
73.10	New obligations .....		42
73.20	Total outlays (gross) .....		-18
74.40	Unpaid obligations, end of year: Obligated balance: Uninvested .....		24
<b>Outlays (gross), detail:</b>			
86.90	Outlays from new current authority .....		16
86.97	Outlays from new permanent authority .....		2
87.00	Total outlays (gross) .....		18
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00	Federal sources .....		-2
88.40	Non-Federal sources .....		-1
88.90	Total, offsetting collections (cash) .....		-3
<b>Net budget authority and outlays:</b>			
89.00	Budget authority .....		39
90.00	Outlays .....		15

The Presidio Trust is a wholly owned government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to maintain and lease property in the Presidio of San Francisco. After this former military base was transferred to the National Park Service (NPS), the Trust was created to take over responsibility for the hundreds of houses, office buildings, and other facilities in an innovative manner that uses private-sector resources, but is consistent with surrounding NPS lands. This appropriation funds the operation of the Trust. It also authorizes the Trust to borrow up to \$25 million from the U.S. Treasury in 1999 to rehabilitate and prepare facilities for leasing. And additional \$25 million loan is proposed for 2000.

Object Classification (in millions of dollars)

Identification code 95-8410-0-4-304	1997 actual	1998 est.	1999 est.
11.1	Personnel compensation: Full-time permanent .....		2

**General and special funds—Continued**

**PRESIDIO TRUST—Continued**

Object Classification (in millions of dollars)—Continued

Identification code 95-8410-0-4-304	1997 actual	1998 est.	1999 est.
12.1 Civilian personnel benefits .....			1
25.1 Advisory and assistance services .....			1
25.2 Other services .....			2
25.3 Purchases of goods and services from Government accounts .....			10
32.0 Land and structures .....			25
43.0 Interest and dividends .....			1
99.9 Total obligations .....			42

**Personnel Summary**

Identification code 95-8410-0-4-304	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....			40

**RAILROAD RETIREMENT BOARD**

**Federal Funds**

**General and special funds:**

**[DUAL BENEFITS PAYMENTS ACCOUNT] FEDERAL WINDFALL SUBSIDY**

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, **[\$205,500,000] \$191,000,000**, which shall include amounts becoming available in fiscal year **[1998] 1999** pursuant to section 224(c)(1)(B) of Public Law 98-76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds **[\$205,500,000] \$191,000,000**. *Provided*, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 60-0111-0-1-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	216	206	191
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	223	206	191
22.30 Unobligated balance expiring .....	-7		
23.90 Total budgetary resources available for obligation .....	216	206	191
23.95 New obligations .....	-216	-206	-191
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	223	206	191
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	216	206	191
73.20 Total outlays (gross) .....	-216	-206	-191
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	216	206	191
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	223	206	191
90.00 Outlays .....	216	206	191

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

**FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS**

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest

earned on unnegotiated checks, **[\$50,000] \$150,000**, to remain available through September 30, **[1999] 2000**, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98-76. (*Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 60-0113-0-1-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....	238	254	254
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	238	254	254
23.95 New obligations .....	-238	-254	-254
<b>New budget authority (gross), detail:</b>			
60.05 Appropriation (indefinite) .....	238	254	254
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	238	254	254
73.20 Total outlays (gross) .....	-238	-254	-254
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	238	254	254
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	238	254	254
90.00 Outlays .....	238	254	254

This account funds interest on uncashed checks and income taxes on Tier I and Tier II railroad retirement benefits.

**Trust Funds**

**RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND**

Program and Financing (in millions of dollars)

Identification code 60-8051-0-7-603	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Benefit payments .....	74	75	73
10.00 Total obligations (object class 42.0) .....	74	75	73
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	74	75	73
23.95 New obligations .....	-74	-75	-73
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	74	75	73
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	2	2	2
73.10 New obligations .....	74	75	73
73.20 Total outlays (gross) .....	-74	-75	-73
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	2	2	2
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	2	2	2
86.97 Outlays from new permanent authority .....	72	73	71
87.00 Total outlays (gross) .....	74	75	73
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	74	75	73
90.00 Outlays .....	74	75	73

Note.—Appropriations language for the 1999 request for administrative expenses is included with the limitation on administration of the Rail Industry Pension Fund.

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

## WORKLOAD

	1983 actual	1990 actual	1997 actual	1998 est.	1999 est.
Unemployment claims .....	2,131,751	300,351	120,136	126,000	126,000
Cumulative workload decline (%) .....		-86	-94	-94	-94
Sickness claims .....	411,002	269,926	180,962	179,000	178,000
Cumulative workload decline (%) .....		-34	-56	-56	-57

## RAIL INDUSTRY PENSION FUND

## Unavailable Collections (in millions of dollars)

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year .....	11,406	12,060	12,704
Receipts:			
02.01 Interest and profits on investments in public debt securities .....	1,144	1,085	968
02.02 Refunds .....	-7	-7	-7
02.03 Taxes .....	2,343	2,398	2,418
02.05 Federal payments to railroad retirement trust funds .....	182	192	197
02.99 Total receipts .....	3,662	3,668	3,576
04.00 Total: Balances and collections .....	15,068	15,728	16,280
Appropriation:			
05.01 Rail industry pension fund .....	-3,008	-3,024	-3,053
05.02 Rail industry pension fund, legislative proposal .....			7
05.99 Subtotal appropriation .....	-3,008	-3,024	-3,046
07.99 Total balance, end of year .....	12,060	12,704	13,234

## Program and Financing (in millions of dollars)

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....	3,008	3,024	3,053
09.01 RRA-administrative reimbursement .....	4	4	4
10.00 Total obligations .....	3,012	3,028	3,057
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value .....		945	970
22.00 New budget authority (gross) .....	3,012	3,028	3,057
22.22 Unobligated balance transferred from other accounts .....	945	25	9
23.90 Total budgetary resources available for obligation .....	3,957	3,998	4,036
23.95 New obligations .....	-3,012	-3,028	-3,057
24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	945	970	979

## New budget authority (gross), detail:

Current:			
40.26 Appropriation (trust fund, definite) .....	93	93	91
Permanent:			
60.27 Appropriation (trust fund, indefinite) .....	3,573	3,621	3,529
60.45 Portion precluded from obligation .....	-658	-690	-567
63.00 Appropriation (total) .....	2,915	2,931	2,962
68.00 Spending authority from offsetting collections: Offsetting collections (cash) .....	4	4	4
70.00 Total new budget authority (gross) .....	3,012	3,028	3,057

## Change in unpaid obligations:

72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	254	268	270
73.10 New obligations .....	3,012	3,028	3,057
73.20 Total obligations (gross) .....	-2,998	-3,026	-3,055
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	268	270	272

## Outlays (gross), detail:

86.90 Outlays from new current authority .....	93	93	91
86.97 Outlays from new permanent authority .....	2,905	2,933	2,964
87.00 Total outlays (gross) .....	2,998	3,026	3,055

## Offsets:

Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources .....	-4	-4	-4

## Net budget authority and outlays:

89.00 Budget authority .....	3,008	3,024	3,053
90.00 Outlays .....	2,994	3,022	3,051

## Summary of Budget Authority and Outlays

(in millions of dollars)

Enacted/requested:			
Budget Authority .....	1997 actual	1998 est.	1999 est.
Budget Authority .....	3,008	3,024	3,053
Outlays .....	2,994	3,022	3,051
Legislative proposal, subject to PAYGO:			
Budget Authority .....			-7
Outlays .....			-6
Total:			
Budget Authority .....	3,008	3,024	3,046
Outlays .....	2,994	3,022	3,045

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 130,000 individuals also receive a "windfall" benefit.

## Status of Funds (in millions of dollars)

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
Unexpended balance, start of year:			
0100 Uninvested balance .....	39		
U.S. Securities:			
0101 Par value .....	14,763	13,273	13,944
0102 Unrealized discounts .....	-3,142		
0199 Total balance, start of year .....	11,660	13,273	13,944
Cash income during the year:			
Governmental receipts:			
0200 Refunds, Rail Industry Pension Fund .....	-7	-7	-7
0201 Taxes, Rail Industry Pension Fund .....	2,343	2,398	2,418
Intragovernmental transactions:			
0240 Interest and profits on investments in public debt securities, Rail Industry Pension Fund .....	1,144	1,085	968
0242 Federal payments to railroad retirement trust funds, Rail Industry Pension Fund .....	182	192	197
Offsetting collections:			
0280 Rail Industry Pension Fund .....	4	4	4
0299 Total cash income .....	3,666	3,672	3,580
Cash outgo during year:			
0500 Rail Industry Pension Fund .....	-2,998	-3,026	-3,055
0501 Proposed legislation (-) .....			6
0597 Outgo under present law (-) .....	-2,998	-3,026	-3,055
0598 Outgo under proposed legislation (-) .....			6
0599 Total cash outgo (-) .....	-2,998	-3,026	-3,049
0645 Balance transferred, net .....	945	25	9
Unexpended balance, end of year:			
0700 Uninvested balance .....			
U.S. Securities:			
0701 Par value .....	13,273	13,944	14,484
0702 Unrealized discounts .....			
0799 Total balance, end of year .....	13,273	13,944	14,484

## Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
Direct obligations:			
42.0 Benefit payments .....	2,913	2,928	2,960
43.0 Interest and dividends .....	2	2	2
93.0 Administrative expenses (see separate schedule) .....	93	93	90
99.0 Subtotal, direct obligations .....	3,008	3,024	3,053
99.0 Reimbursable obligations .....	4	4	4
99.5 Below reporting threshold .....		1	1
99.9 Total obligations .....	3,012	3,028	3,057

## LIMITATION ON ADMINISTRATION

For necessary expenses for the Railroad Retirement Board for administration of the Railroad Retirement Act and the Railroad Unem-

LIMITATION ON ADMINISTRATION—Continued

ployment Insurance Act, **[\$87,228,000]** *\$86,000,000*, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund, *of which not less than \$1,800,000 shall be for investment in automation initiatives, including related equipment and non-payroll administrative expenses associated solely with these initiatives, to improve productivity and customer service. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)*

Program and Financing (In millions of dollars)

	1997 actual	1998 est.	1999 est.
<b>Program by activities:</b>			
Direct program:			
Rail Industry Pension Fund:			
Subtotal, Rail Industry Pension Fund .....	48	47	46
Railroad Social Security Equivalent Benefit:			
Subtotal, Railroad Social Security Equivalent Benefit .....	24	24	24
Supplemental Annuity Pension Fund:			
Subtotal, Supplemental Annuity Pension Fund .....	2	2	2
Railroad Unemployment Insurance Trust Fund:			
Subtotal, Railroad Unemployment Insurance Trust Fund .....	14	14	14
Total, direct program .....	88	87	86
Reimbursable program .....	4	4	4
Total obligations .....	92	91	90
<b>Financing:</b>			
Offsetting collections from: Trust funds .....	-4	-4	-4
Unobligated balance expiring .....			
<b>Limitation .....</b>	<b>88</b>	<b>87</b>	<b>86</b>
<b>Relation of obligations to outlays:</b>			
Obligations incurred, net .....	88	87	86
Obligated balance, start of year .....		8	8
Obligated balance, end of year .....	-8	-8	-8
Outlays from limitation .....	80	87	86

The table below shows the continued decline anticipated in major workloads.

	1995 actual	1996 actual	1997 actual	1998 est.	1999 est.
Pending, start of year .....	11,937	9,615	8,767	8,038	7,838
New Railroad Retirement applications ....	52,665	49,012	48,068	48,000	47,000
New Social Security certifications .....	6,215	5,440	5,980	6,000	6,000
Total dispositions (excluding partial awards) .....	61,202	55,300	54,777	54,200	53,500
Pending, end of year .....	9,615	8,767	8,038	7,838	7,338

As shown below, the Board projects this workload will continue to decline, as the number of beneficiaries on the rolls continues to decline.

	1980 actual	1990 actual	1996 actual	1997 actual	1998 est.	1999 est.
Total beneficiaries .....	1,009,500	894,196	775,387	751,558	730,300	707,100

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
<b>Limitation Acct—Direct Obligations:</b>			
<b>Personnel compensation:</b>			
Full-time permanent .....	56	54	54
Other than full-time permanent .....	1	1	1
Other personnel compensation .....	1	1	1
Total personnel compensation .....	58	56	56
Civilian personnel benefits .....	11	11	11
Benefits for former personnel .....	2	1	1
Travel and transportation of persons .....	1	1	1
Rental payments to GSA .....	3	3	3
Communications, utilities, and miscellaneous charges .....	3	3	3

25.2	Other services .....	8	8	7
26.0	Supplies and materials .....	1	1	1
31.0	Equipment .....	1	2	2
93.0	Limitation on expenses .....	-88	-86	-85
99.0	Subtotal, limitation acct—direct obligations .....			
	<b>Limitation Acct—Reimbursable Obligations:</b>			
11.1	Personnel compensation: Full-time permanent .....	3	3	3
12.1	Civilian personnel benefits .....	1	1	1
93.0	Limitation on expenses .....	-4	-4	-4
99.0	Subtotal, limitation acct—reimbursable obligations .....			

Personnel Summary

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
<b>Limitation account—direct:</b>			
6001 Total compensable workyears: Full-time equivalent employment .....	1,253	1,186	1,101
<b>Limitation account—reimbursable:</b>			
7001 Total compensable workyears: Full-time equivalent employment .....	44	44	44

LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, as amended, not more than **[\$5,794,000]** *\$5,400,000*, to be derived from the railroad retirement accounts and railroad unemployment insurance account: *Provided*, That none of the funds made available in any other paragraph of this Act may be transferred to the Office; used to carry out any such transfer; used to provide any office space, equipment, office supplies, communications facilities or services, maintenance services, or administrative services for the Office; used to pay any salary, benefit, or award for any personnel of the Office; used to pay any other operating expense of the Office; or used to reimburse the Office for any service provided, or expense incurred, by the Office: *Provided further*, That none of the funds made available in this paragraph may be used for any audit, investigation, or review of the Medicare Program]. *(Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)*

Program and Financing (in millions of dollars)

	1997 actual	1998 est.	1999 est.
<b>Program by activities:</b>			
Operations (total obligations) .....	5	6	5
<b>Financing:</b>			
Offsetting collections from trust funds .....			
Unobligated balance expiring .....			
<b>Limitation .....</b>	<b>5</b>	<b>6</b>	<b>5</b>
<b>Relation of obligations to outlays:</b>			
Obligations incurred, net .....	5	6	5
Obligated balance, start of year .....			
Obligated balance, end of year .....			
Outlays from limitation .....	5	6	5

Object Classification (in millions of dollars)

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	4	5	4
12.1 Civilian personnel benefits .....	1	1	1
93.0 Limitation on expenses .....	-5	-6	-5
99.0 Subtotal, limitation account—allocation .....			

Personnel Summary

Identification code 60-8011-0-7-601	1997 actual	1998 est.	1999 est.
8001 Total compensable workyears: Full-time equivalent employment .....	62	62	61

**RAIL INDUSTRY PENSION FUND**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

Identification code 60-8011-4-7-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Direct program .....			-7
10.00 Total obligations (object class 42.0) .....			-7
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....			-7
23.95 New obligations .....			7
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....			-7
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....			-7
73.20 Total outlays (gross) .....			6
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....			-1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....			-6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			-7
90.00 Outlays .....			-6

This schedule reflects the Administration's proposal to change the law so that social security benefits paid under the Railroad Retirement Board's system would in no instance be less generous than the social security benefits that the Social Security Administration would pay. The main beneficiaries of the change would be spouses and children of rail employees.

**SUPPLEMENTAL ANNUITY PENSION FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 60-8012-0-7-601	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	35	59	84
<b>Receipts:</b>			
02.01 Interest and profits on investments in public debt securities .....	2	2	2
02.03 Supplemental annuity taxes .....	104	102	84
02.99 Total receipts .....	106	104	86
04.00 Total: Balances and collections .....	141	163	170
<b>Appropriation:</b>			
05.01 Supplemental Annuity Pension Fund .....	-82	-79	-76
05.99 Subtotal appropriation .....	-82	-79	-76
07.99 Total balance, end of year .....	59	84	94

**Program and Financing (in millions of dollars)**

Identification code 60-8012-0-7-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....	82	79	76
<b>Budgetary resources available for obligation:</b>			
<b>Unobligated balance available, start of year:</b>			
21.40 Uninvested .....	19		
21.41 U.S. Securities: Par value .....	41	33	8
21.99 Total unobligated balance, start of year .....	60	33	8
22.00 New budget authority (gross) .....	82	79	76
22.21 Unobligated balance transferred to other accounts .....	-27	-25	-9
23.90 Total budgetary resources available for obligation .....	115	87	75
23.95 New obligations .....	-82	-79	-76

24.41 Unobligated balance available, end of year: U.S. Securities: Par value .....	33	8	-1
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	106	104	86
60.45 Portion precluded from obligation .....	-24	-25	-10
63.00 Appropriation (total) .....	82	79	76
70.00 Total new budget authority (gross) .....	82	79	76
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1	1	1
73.10 New obligations .....	82	79	76
73.20 Total outlays (gross) .....	-82	-79	-76
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	1	1	1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	82	79	76
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	82	79	76
90.00 Outlays .....	82	79	76

In addition to rail social security, rail industry pensions, and special windfalls, the Railroad Retirement Board pays supplemental annuities to rail workers retiring at age 60 with 30 years of creditable rail service or at age 65 with 25-29 years of creditable service. Monthly benefit amounts are calculated from a base of \$23, adding \$4 for every year of service over 25, up to a maximum monthly benefit of \$43. Employers finance benefits on a pay-as-you-go basis by a cents-per-hour tax, currently established at 35 cents per hour.

**Status of Funds (in millions of dollars)**

Identification code 60-8012-0-7-601	1997 actual	1998 est.	1999 est.
<b>Unexpended balance, start of year:</b>			
0100 Treasury balance .....	55		
0101 U.S. Securities: Par value .....	41	93	93
0199 Total balance, start of year .....	96	93	93
<b>Cash income during the year:</b>			
<b>Governmental receipts:</b>			
0200 Supplemental annuity taxes, Supplemental Annuity Pension Fund, RRB .....	104	102	84
<b>Intragovernmental transactions:</b>			
0240 Interest and profits on investments in public debt securities, Supplemental Annuity Pension Fund, RRB .....	2	2	2
0299 Total cash income .....	106	104	86
<b>Cash outgo during year:</b>			
0500 Supplemental Annuity Pension Fund .....	-82	-79	-76
0645 Balance transferred, net .....	-27	-25	-9
<b>Unexpended balance, end of year:</b>			
0700 Uninvested balance .....			
0701 U.S. Securities: Par value .....	93	93	94
0799 Total balance, end of year .....	93	93	94

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT**

**Unavailable Collections (in millions of dollars)**

Identification code 60-8010-0-7-601	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	1,376	1,639	1,779
<b>Receipts:</b>			
02.01 Interest and profits on investments in public debt securities .....	127	100	107
02.02 Income tax credits .....	56	62	57
02.03 Interest transferred to Federal hospital insurance trust fund .....	-39	-38	-36
02.04 Taxes .....	1,996	2,009	2,018
02.05 Receipts transferred to Federal hospital insurance trust fund .....	-380	-393	-394
02.06 Receipts from Federal old-age survivors insurance trust fund .....	3,688	3,708	3,690

**RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—  
Continued**

**Unavailable Collections (in millions of dollars)—Continued**

Identification code 60-8010-0-7-601	1997 actual	1998 est.	1999 est.
02.07 Receipts from Federal disability insurance trust fund	59	76	95
02.10 Refunds, railroad social security equivalent benefit account	-5	-5	-5
02.99 Total receipts	5,502	5,519	5,532
04.00 Total: Balances and collections	6,878	7,158	7,311
Appropriation:			
05.01 Rail industry social security equivalent benefit account	-5,239	-5,379	-5,335
05.02 Rail industry social security equivalent benefit account, legislative proposal			-43
05.99 Subtotal appropriation	-5,239	-5,379	-5,378
07.99 Total balance, end of year	1,639	1,779	1,933

**Program and Financing (in millions of dollars)**

Identification code 60-8010-0-7-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	5,268	5,326	5,354
<b>Budgetary resources available for obligation:</b>			
21.41 Unobligated balance available, start of year: U.S. Securities: Par value	918		
22.00 New budget authority (gross)	5,268	5,326	5,354
22.21 Unobligated balance transferred to other accounts	-918		
23.90 Total budgetary resources available for obligation	5,268	5,326	5,354
23.95 New obligations	-5,268	-5,326	-5,354
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	5,554	5,519	5,539
60.45 Portion precluded from obligation	-315	-140	-204
60.47 Portion applied to debt reduction	-3,155	-3,184	-3,118
63.00 Appropriation (total)	2,084	2,195	2,217
67.15 Authority to borrow (indefinite)	3,184	3,131	3,137
70.00 Total new budget authority (gross)	5,268	5,326	5,354
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	48	66	67
73.10 New obligations	5,268	5,326	5,354
73.20 Total outlays (gross)	-5,250	-5,325	-5,338
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	66	67	83
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	5,202	5,259	5,271
86.98 Outlays from permanent balances	48	66	67
87.00 Total outlays (gross)	5,250	5,325	5,338
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	5,268	5,326	5,354
90.00 Outlays	5,250	5,325	5,338

**Summary of Budget Authority and Outlays**

(in millions of dollars)

Enacted/requested:	1997 actual	1998 est.	1999 est.
Budget Authority	5,268	5,326	5,354
Outlays	5,250	5,325	5,338
Legislative proposal, subject to PAYGO:			
Budget Authority			43
Outlays			38
<b>Total:</b>			
Budget Authority	5,268	5,326	5,397

Outlays	5,250	5,325	5,376
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All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. The SSEB receives monthly advances from the general fund equal to an estimate of the transfer the SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 1997, \$3,184 million was advanced and \$3,155 million was repaid.

**Status of Funds (in millions of dollars)**

Identification code 60-8010-0-7-601	1997 actual	1998 est.	1999 est.
<b>Unexpended balance, start of year:</b>			
0100 Treasury balance	24	40	
0101 U.S. Securities: Par value	2,318	1,665	1,846
0105 Outstanding debt to Treasury		-29	24
0199 Total balance, start of year	2,342	1,676	1,870
<b>Cash income during the year:</b>			
<b>Governmental receipts:</b>			
0200 Railroad Soc. Sec. equivalent ben. acct., Taxes	1,996	2,009	2,018
0201 Railroad Soc. Sec. equivalent ben. acct., Receipts transferred to Federal hospital insurance trust fund	-380	-393	-394
0202 Railroad Soc. Sec. Equivalent Ben. Acct., Refunds	-5	-5	-5
<b>Intragovernmental transactions:</b>			
0240 Railroad Soc. Sec. equivalent ben. acct., Interest and profits on investments in public debt securities	127	100	107
0241 Railroad Soc. Sec. equivalent ben. acct., Income tax credits	56	62	57
0242 Railroad Soc. Sec. equivalent ben. acct., Interest transferred to Federal hospital insurance trust fund	-39	-38	-36
0243 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal old-age survivors ins. trust fund	3,688	3,708	3,690
0244 Railroad Soc. Sec. equivalent ben. acct., Receipts from Federal disability ins. trust fund	59	76	95
0299 Total cash income	5,502	5,519	5,532
<b>Cash outgo during year:</b>			
0500 Railroad social security equivalent benefit account	-5,250	-5,325	-5,338
0501 Proposed legislation (-)			-38
0597 Outgo under present law (-)	-5,250	-5,325	-5,338
0598 Outgo under proposed legislation (-)			-38
0599 Total cash outgo (-)	-5,250	-5,325	-5,376
0645 Balance transferred, net	-918		
<b>Unexpended balance, end of year:</b>			
0700 Uninvested balance	40		
0701 U.S. Securities: Par value	1,665	1,846	2,021
0705 Outstanding debt to Treasury	-29	24	5
0799 Total balance, end of year	1,676	1,870	2,026

**Object Classification (in millions of dollars)**

Identification code 60-8010-0-7-601	1997 actual	1998 est.	1999 est.
42.0 Benefit payments	5,022	5,080	5,097
43.0 Interest and dividends	2	2	2
92.0 Repayment of interest on benefit advances	244	244	255
99.9 Total obligations	5,268	5,326	5,354

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 60-8010-4-7-601	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0)			43
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)			43
23.95 New obligations			-43
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)			43
<b>Change in unpaid obligations:</b>			
73.10 New obligations			43
73.20 Total outlays (gross)			-38
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested			5
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority			38
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			43
90.00 Outlays			38

This schedule reflects the Administration's proposal to change the law so that social security benefits paid under the Railroad Retirement Board's system would in no instance be less generous than the social security benefits that the Social Security Administration would pay. The main beneficiaries of the change would be spouses and children of rail employees.

**RESOLUTION TRUST CORPORATION***Federal Funds***Public enterprise funds:**

## RTC REVOLVING FUND

## Program and Financing (in millions of dollars)

Identification code 22-4055-0-3-373	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	13,763	13,763	3
22.30 Unobligated balance expiring		-13,760	
23.90 Total budgetary resources available for obligation	13,763	3	3
24.40 Unobligated balance available, end of year:			
Uninvested	13,763	3	3
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	1		

The Financial Institutions Reform, Recovery, and Enforcement Act (FIRREA) of 1989 established the Resolution Trust Corporation (RTC) as a temporary agency to dispose of insolvent thrift institutions. The Savings Association Insurance Fund took over responsibility for resolving failed thrifts on July 1, 1995, and the RTC's assets and liabilities were transferred to the FSLIC Resolution Fund on December 31, 1995.

Of \$18.3 billion appropriated to RTC in 1994 by the RTC Completion Act, the Thrift Depositor Protection Oversight Board determined that only \$4.6 billion was required and the excess was returned to Treasury on December 31, 1997. When the RTC terminated, the Oversight Board's primary function ceased. However, approximately \$3 million remains for the Board's continuing oversight of the Resolution Funding

## Corporation (REFCORP), which provided financing for the RTC.

## Balance Sheet (in millions of dollars)

Identification code 22-4055-0-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury	13,763	13,762	3	3
1999 Total assets	13,763	13,762	3	3
<b>LIABILITIES:</b>				
2999 Total liabilities				
<b>NET POSITION:</b>				
3100 Appropriated capital	13,763	13,762	3	3
3999 Total net position	13,763	13,762	3	3
4999 Total liabilities and net position	13,763	13,762	3	3

## RTC REVOLVING FUND

## (Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

Identification code 22-4055-2-3-373	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested			-3
22.30 Unobligated balance expiring		-3	
23.90 Total budgetary resources available for obligation		-3	-3
24.40 Unobligated balance available, end of year:			
Uninvested		-3	-3
<b>Outlays (gross), detail:</b>			
87.00 Total outlays (gross)			
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays			

The Administration has transmitted legislation that would abolish the Oversight Board and transfer its REFCORP oversight responsibilities to the Secretary of the Treasury. As long as the Board exists, it continues to have administrative and reporting functions until about 2030.

## Balance Sheet (in millions of dollars)

Identification code 22-4055-2-3-373	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
1101 Federal assets: Fund balances with Treasury			-3	-3
1999 Total assets			-3	-3
<b>LIABILITIES:</b>				
2999 Total liabilities				
<b>NET POSITION:</b>				
3100 Appropriated capital			-3	-3
3999 Total net position			-3	-3
4999 Total liabilities and net position			-3	-3

**SECURITIES AND EXCHANGE COMMISSION***Federal Funds***General and special funds:**

## SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,000 for official reception and representation expenses, [\$283,000,000.] \$118,098,000; and in addition, to remain available until expended, from fees collected in fiscal

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

year 1998, \$18,000,000, and from fees collected in fiscal year 1999, \$205,000,000; of which not to exceed \$10,000 may be used toward funding a permanent secretariat for the International Organization of Securities Commissions, and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations, appropriate representatives and staff to exchange views concerning developments relating to securities matters, development and implementation of cooperation agreements concerning securities matters and provision of technical assistance for the development of foreign securities markets, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance at such consultations and meetings including: (1) such incidental expenses as meals taken in the course of such attendance, (2) any travel and transportation to or from such meetings, and (3) any other related lodging or subsistence: *Provided*, That fees and charges authorized by sections 6(b)(4) of the Securities Act of 1933 (15 U.S.C. 77f(b)(4)) and 31(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78ee(d)) shall be credited to this account as offsetting collections: *Provided further*, [That not to exceed \$249,523,000 of such offsetting collections shall be available until expended for necessary expenses of this account: *Provided further*, That the total amount appropriated from the General Fund for fiscal year 1998 under this heading shall be reduced as all such offsetting fees are deposited to this appropriation so as to result in a final total fiscal year 1998 appropriation from the General Fund estimated at not more than \$33,477,000] *That any such fees collected in fiscal year 1999 in excess of \$205,000,000 shall remain available until expended, but shall not be available for obligation until October 1, 1999. (Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act of 1998.)*

**Unavailable Collections (in millions of dollars)**

Identification code 50-0100-0-1-376	1997 actual	1998 est.	1999 est.
Balance, start of year:			
01.99 Balance, start of year		100	183
03.00 Offsetting Collections	100	115	141
04.00 Total: Balances and collections	100	215	324
Appropriation:			
05.01 Salaries and expenses		-32	-18
07.99 Total balance, end of year	100	183	306

**Program and Financing (in millions of dollars)**

Identification code 50-0100-0-1-376	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Full disclosure	58	60	66
00.02 Prevention and suppression of fraud	107	104	114
00.03 Supervision and regulation of securities markets	39	43	47
00.04 Investment management regulation	55	59	65
00.05 Legal and economic services	20	21	23
00.07 Program direction	36	33	35
00.91 Total direct program	315	320	350
09.01 Reimbursable program	1	2	2
10.00 Total obligations	316	322	352
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	73	23	18
New budget authority (gross)	262	317	343
22.10 Resources available from recoveries of prior year obligations	4		
23.90 Total budgetary resources available for obligation	339	340	361
23.95 New obligations	-316	-322	-352
24.40 Unobligated balance available, end of year:			
Uninvested	23	18	9
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation	38	33	118

Permanent:				
Spending authority from offsetting collections:				
68.00	Offsetting governmental collections (cash)	324	367	348
68.26	Offsetting collections (unavailable balances)		32	18
68.45	Portion not available for obligation (limitation on obligations)	-100	-115	-141
68.90	Spending authority from offsetting collections (total)	224	284	225
70.00	Total new budget authority (gross)	262	317	343
Change in unpaid obligations:				
72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested	55	65	82
73.10	New obligations	316	322	352
73.20	Total outlays (gross)	-302	-305	-336
73.45	Adjustments in unexpired accounts	-4		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested	65	82	98
Outlays (gross), detail:				
86.90	Outlays from new current authority	38	28	101
86.93	Outlays from current balances	4	4	4
86.97	Outlays from new permanent authority	193	244	194
86.98	Outlays from permanent balances	67	29	37
87.00	Total outlays (gross)	302	305	336
Offsets:				
Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources	-1	-2	-2
88.45	Offsetting governmental collections	-323	-365	-346
88.90	Total, offsetting collections (cash)	-324	-367	-348
Net budget authority and outlays:				
89.00	Budget authority	-62	-50	-5
90.00	Outlays	-20	-62	-12

The primary mission of the Securities and Exchange Commission (the Commission) is to administer and enforce the federal securities laws in order to protect investors, and to maintain fair, honest, and efficient markets.

**Full disclosure.**—This program ensures that investors will be provided with material facts in the public offering, trading, voting and tendering of securities. Issuers that have conducted public offerings, have securities traded in the public markets, or have total assets and security holder populations of specified sizes, are required to furnish management, financial, and business information to the Commission on a continuing basis in proxy materials and in annual and other periodic reports. The staff reviews these documents on a selected basis for compliance with the disclosure requirements. In addition, all registration statements of issuers that are making their initial public offerings of securities and all third party tender offer filings are reviewed by the staff. As a result of the review process, the staff may issue comments to issuers to elicit better compliance or, where appropriate, refer matters for enforcement action.

**Electronic filing (EDGAR).**—The Commission's EDGAR system provides the agency with the capability for electronic receipt, analysis, and dissemination of virtually all of its full disclosure filings. Since becoming operational in 1993, EDGAR has received and successfully processed over 1.3 million documents submitted in approximately 491,000 separate submissions from over 28,000 companies and funds registered with the SEC.

Although EDGAR has proven to be a success, the system is in need of modernization in order to take advantage of changes in technology and respond to the demands of filers and investors. The SEC has submitted reprogramming requests to both the House and Senate Appropriations Subcommittees to set aside a specified portion of excess 1997 fees (previously paid by filers) for the sole purpose of financing EDGAR modernization over a three-year period.

## SELECTED WORKLOAD DATA

	1997 actual	1998 est.	1999 est.
Filings of initial 1933 Act registration statements—other than investment companies .....	1,370	1,370	1,370
Filings of repeat 1933 Act registration statements and post-effective amendments—other than investment companies ..	5,685	5,685	5,685
Filings of definitive proxy and information statements (uncontested)—other than investment companies .....	9,840	9,840	9,840
Filings of annual and periodic reports—other than investment companies .....	77,600	77,600	77,600
Filings of Director and Officer ownership and transaction reports .....	279,846	279,850	279,850

**Prevention and suppression of fraud.**—This program evaluates information indicating possible violations of the federal securities laws. Possible violations include, among other things, the illegal distribution of unregistered securities; fraud in the offer, purchase, and sale of securities; insider trading, market manipulation; and illegal conduct by broker-dealers, investment advisers, and other regulated entities. Investigations of possible violations are conducted and, if appropriate, enforcement actions are initiated. Actions include civil proceedings seeking injunctive and other relief and administrative proceedings. The Commission is now authorized to seek court orders imposing civil monetary penalties for any securities law violation as well as to seek such penalties against regulated entities in administrative proceedings. Under appropriate circumstances matters are referred for criminal prosecution.

## SELECTED WORKLOAD DATA

	1997 actual	1998 est.	1999 est.
Investigations opened .....	408	410	410
Administrative proceedings opened .....	286	285	285
Civil actions opened .....	205	205	205

**Supervision and regulation of securities markets.**—Trading in the securities markets is regulated to protect investors against fraud and manipulation and to ensure the maintenance of fair, orderly, efficient, and competitive markets. The Commission oversees the work of self-regulatory organizations, monitors securities markets and broker-dealer operations, and develops regulatory strategies for coping with market stress, promoting compliance, and meeting changing domestic and international conditions. The Commission also conducts examinations of broker-dealers and inspections of transfer agents, clearing agencies, and self-regulatory organizations.

## SELECTED WORKLOAD DATA

	1997 actual	1998 est.	1999 est.
Review of changes in the rules and procedures of self-regulatory organizations .....	552	700	700
Inspections of self-regulatory organizations .....	36	38	38
Broker-dealer registration applications .....	862	900	900
Broker-dealer oversight and cause examinations .....	659	630	630
Transfer agent and clearing agency examinations .....	174	184	193

**Investment management regulation.**—This program administers the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The staff reviews disclosure documents filed by investment companies and investment advisers and regulates and inspects investment companies and investment advisers to protect investors against fraud, self-dealing, inadequate disclosure, and other abuse. The staff refers serious violations for enforcement action. This program also is responsible for administering the Public Utility Holding Company Act of 1935.

## SELECTED WORKLOAD DATA

	1997 actual	1998 est.	1999 est.
Investment company assets inspected (\$ trillions) .....	1.1	1.2	1.0
Investment company portfolios and amendments filed .....	17,898	17,950	18,550
Investment company proxy statements filed .....	809	885	890
Investment advisers inspected .....	1,609	1,260	1,310
Investment adviser registration statements filed .....	2,266	1,300	1,200
Exemptive applications closed .....	364	380	405

Public utility filings processed .....	128	130	140
Public utility annual and periodic reports examined .....	1,400	1,500	1,500

**Legal and economic services.**—This program provides a range of legal services and economic analyses to the Commission concerning its law enforcement, regulatory, and legislative activities, including: (i) prosecution of enforcement actions in appellate courts; (ii) representation of the Commission in all other appellate litigation, in private litigation where the Commission appears as *amicus curiae*, and in corporate reorganizations; (iii) representation of the Commission in actions brought against the Commission and its employees; (iv) preparation of Congressional testimony and comments and advice concerning proposed securities legislation; (v) advice to the Commission concerning issues arising from its law enforcement and regulatory activities; (vi) preparation of draft opinions of adjudicatory decisions and advice to the Commission regarding its adjudicatory decisions; (vii) advice to the Commission regarding compliance with government-wide statutes and the statutes and rules applicable to the agency's activities; and (viii) economic analyses of proposed regulations and legislation, litigation support in enforcement cases, and independent studies of issues affecting the securities markets. In addition, the administrative law judges conduct hearings and issue initial decisions in formal administrative proceedings where the Commission has determined that hearings are appropriate in the public interest and for the protection of public investors.

## SELECTED WORKLOAD DATA

	1997 actual	1998 est.	1999 est.
Litigation matters opened .....	283	275	275
Adjudicatory matters received .....	74	80	80
Adjudicatory matters completed .....	79	80	80
Legislative matters .....	245	235	245
Chapter 11 disclosure statements commented on .....	109	100	100
Administrative proceedings disposed by Administrative Law Judges .....	55	67	67

**Program direction.**—This program assists the Commission in fulfilling its statutory requirements and in responding to changes in the securities industry by carefully evaluating priorities, formulating and implementing policies, and managing agency resources. The staff provides management direction and analysis, internal control, financial management, personnel management, data processing, public affairs, records management, information dissemination, general administrative services, and processing of equal employment opportunity complaints.

Due to the timing of fee collections, a general fund appropriation of \$118,098,000 is requested in 1999. This one-time increase in the general fund appropriation will enable the Commission to accommodate the elimination of appropriated budget authority which provides a guaranteed funding level that is later reduced as actual collections are received. The current schedule of fee collections is set forth in the "National Securities Markets Improvement Act of 1996" (P.L. 104-290), which was signed by the President on October 11, 1996. Title IV of this law amends fee language found in Section 6(b) of the Securities Act of 1933 and Section 31 of the Securities and Exchange Act of 1934. Under this law, the Section 6(b) fee rate paid by corporations to register securities with the Commission was reduced from \$303 per \$1,000,000 of the aggregate price of securities offered in 1997 to \$295 per \$1,000,000 in 1998, and will be further reduced in 1999 to \$278 per \$1,000,000 of the offering amount. The first \$200 per \$1,000,000 of this fee shall be deposited in the general fund of the U.S. Treasury, and the remaining increment will be made available for use by the Commission. In addition, to promote equity across securities markets, the "National Securities Markets Improvement Act of 1996" extended Section 31 transaction fees to the over-the-counter market at a rate of 1/300 of one percent of the aggregate dollar amount

**General and special funds—Continued**

**SALARIES AND EXPENSES—Continued**

of securities transacted, the rate currently paid by all national and regional exchanges. These transaction fees are also made available for use by the Commission.

**Object Classification (in millions of dollars)**

Identification code 50-0100-0-1-376	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	168	177	192
11.5 Other personnel compensation .....	3	3	3
11.9 Total personnel compensation .....	171	180	195
12.1 Civilian personnel benefits .....	39	42	45
21.0 Travel and transportation of persons .....	7	7	7
23.2 Rental payments to others .....	28	26	27
23.3 Communications, utilities, and miscellaneous charges .....	5	6	6
24.0 Printing and reproduction .....	2	2	2
25.2 Other services .....	45	47	56
26.0 Supplies and materials .....	7	7	7
31.0 Equipment .....	11	3	5
99.0 Subtotal, direct obligations .....	315	320	350
99.0 Reimbursable obligations .....	1	2	2
99.9 Total obligations .....	316	322	352

**Personnel Summary**

Identification code 50-0100-0-1-376	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	2,771	2,797	2,827
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	6	6	6

**Public enterprise funds:**

**INVESTMENT IN SECURITIES INVESTOR PROTECTION CORPORATION**

**Program and Financing (in millions of dollars)**

Identification code 50-4068-0-3-376	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	1,000	1,000	1,000
24.40 Unobligated balance available, end of year: Uninvested .....	1,000	1,000	1,000
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....			

The Securities Investor Protection Corporation (SIPC) may borrow up to \$1 billion from the U.S. Department of the Treasury, through the Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. To date, SIPC has not needed these loans.

**SMITHSONIAN INSTITUTION**

**Federal Funds**

**General and special funds:**

**SALARIES AND EXPENSES**

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances;

collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease (for terms not to exceed 30 years), and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; up to 5 replacement passenger vehicles; purchase, rental, repair, and cleaning of uniforms for employees; **[\$333,408,000] \$357,300,000**, of which not to exceed **[\$32,718,000] \$48,076,000** for the instrumentation program, collections acquisition, Museum Support Center equipment and move, exhibition reinstallation, the National Museum of the American Indian, the repatriation of skeletal remains program, research equipment, information management, and Latino programming shall remain available until expended, and including such funds as may be necessary to support American overseas research centers and a total of \$125,000 for the Council of American Overseas Research Centers: *Provided*, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 33-0100-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Research and collections management .....	125	130	139
00.02 Education, public programs, and exhibitions .....	42	43	47
00.03 Administration .....	51	53	57
00.04 Facilities and security .....	102	107	114
10.00 Total obligations .....	320	333	357

**Budgetary resources available for obligation:**

21.40 Unobligated balance available, start of year: Uninvested .....	10	7	7
22.00 New budget authority (gross) .....	317	333	357
23.90 Total budgetary resources available for obligation .....	327	340	364
23.95 New obligations .....	-320	-333	-357
24.40 Unobligated balance available, end of year: Uninvested .....	7	7	7

**New budget authority (gross), detail:**

40.00 Appropriation .....	317	333	357
40.15 Appropriation (emergency) .....	1		
43.00 Appropriation (total) .....	318	333	357
70.00 Total new budget authority (gross) .....	318	333	357

**Change in unpaid obligations:**

72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	48	44	63
73.10 New obligations .....	320	333	357
73.20 Total outlays (gross) .....	-325	-314	-348
73.40 Adjustments in expired accounts .....	1		
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	44	63	72

**Outlays (gross), detail:**

86.90 Outlays from new current authority .....	290	290	293
86.93 Outlays from current balances .....	35	24	55
87.00 Total outlays (gross) .....	325	314	348

**Net budget authority and outlays:**

89.00 Budget authority .....	317	333	357
90.00 Outlays .....	325	314	348

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves for reference and study purposes over one hundred million items of scientific, cultural, and historic importance. It maintains public exhibits in a variety of fields.

The Institution operates and maintains 16 museums; a zoological park and animal conservation and research center; research facilities; and supporting facilities.

Included in the presentation of the Salaries and expenses account are data for the Canal Zone biological area fund.

Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 33-0100-0-1-503	1997 actual	1998 est.	1999 est.
Personnel compensation:			
11.1 Full-time permanent .....	170	184	193
11.3 Other than full-time permanent .....	4	4	5
11.5 Other personnel compensation .....	4	4	4
11.9 Total personnel compensation .....	178	192	202
12.1 Civilian personnel benefits .....	42	46	50
21.0 Travel and transportation of persons .....	3	3	3
22.0 Transportation of things .....	1	1	1
23.2 Rental payments to others .....	8	8	8
23.3 Communications, utilities, and miscellaneous charges .....	27	27	28
24.0 Printing and reproduction .....	2	2	2
25.2 Other services .....	32	28	33
25.3 Purchases of goods and services from Government accounts .....	1	1	1
26.0 Supplies and materials .....	13	12	14
31.0 Equipment .....	13	12	14
32.0 Land and structures .....		1	1
99.9 Total obligations .....	320	333	357

Personnel Summary

Identification code 33-0100-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	4,119	4,269	4,378

MUSEUM PROGRAMS AND RELATED RESEARCH (SPECIAL FOREIGN CURRENCY PROGRAM)

Program and Financing (in millions of dollars)

Identification code 33-0102-0-1-503	1997 actual	1998 est.	1999 est.
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested .....	1		1
24.40 Unobligated balance available, end of year: Uninvested .....		1	1
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	2	2	
73.20 Total outlays (gross) .....	-1	-1	
73.40 Adjustments in expired accounts .....	1	-1	
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	2		
Outlays (gross), detail:			
86.93 Outlays from current balances .....	1	1	
Net budget authority and outlays:			
89.00 Budget authority .....			
90.00 Outlays .....		1	

This account supports a program of grants payable in excess U.S.-owned foreign currencies to U.S. universities, museums, and other institutions of higher learning. Areas of research include archeology and related disciplines, systematic and environmental biology, astrophysics and Earth sciences, and museum programs.

CONSTRUCTION AND IMPROVEMENTS, NATIONAL ZOOLOGICAL PARK

For necessary expenses of planning, construction, remodeling, and equipping of buildings and facilities at the National Zoological Park, by contract or otherwise, [\$3,850,000] \$4,500,000, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 33-0129-0-1-503	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations .....	6	6	6
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year: Uninvested .....	5	3	1
22.00 New budget authority (gross) .....	4	4	5
23.90 Total budgetary resources available for obligation .....	9	7	6
23.95 New obligations .....	-6	-6	-6
24.40 Unobligated balance available, end of year: Uninvested .....	3	1	
New budget authority (gross), detail:			
40.00 Appropriation .....	4	4	5
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	3	3	6
73.10 New obligations .....	6	6	6
73.20 Total outlays (gross) .....	-5	-3	-5
73.40 Adjustments in expired accounts .....	-1		
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	3	6	7
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	2	2	2
86.93 Outlays from current balances .....	3	1	2
87.00 Total outlays (gross) .....	5	3	5
Net budget authority and outlays:			
89.00 Budget authority .....	4	4	5
90.00 Outlays .....	5	3	5

This account is used to finance repairs, alterations, and improvements to existing National Zoological Park facilities, including exhibits, located in Rock Creek Park; to prepare plans and specifications for construction; to perform renovations, restorations, and new construction implementing the master plan approved by the Commission of Fine Arts and the National Capital Planning Commission in 1973; and to make repairs, modifications, and improvements to the animal conservation and research center at Front Royal, VA. Funds requested in 1999 will continue major facility renovations and improvements at the Rock Creek Park location, and support essential programs for renovation, repair and preventive maintenance of existing facilities at Rock Creek and Front Royal.

Object Classification (in millions of dollars)

Identification code 33-0129-0-1-503	1997 actual	1998 est.	1999 est.
25.2 Other services .....	5	5	5
26.0 Supplies and materials .....	1	1	1
99.9 Total obligations .....	6	6	6

REPAIR AND RESTORATION OF BUILDINGS

For necessary expenses of repair and restoration of buildings owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), including not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, [\$32,000,000] \$40,000,000, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or restoration of buildings of the Smithsonian Institution may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

**General and special funds—Continued**

**REPAIR AND RESTORATION OF BUILDINGS—Continued**

**Program and Financing (in millions of dollars)**

Identification code 33-0132-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 25.2)	29	64	46
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	28	38	6
22.00 New budget authority (gross)	39	32	40
23.90 Total budgetary resources available for obligation	67	70	46
23.95 New obligations	-29	-64	-46
24.40 Unobligated balance available, end of year:			
Uninvested	38	6	
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	39	32	40
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	22	23	64
73.10 New obligations	29	64	46
73.20 Total outlays (gross)	-28	-23	-35
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	23	64	75
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	16	13	16
86.93 Outlays from current balances	12	10	19
87.00 Total outlays (gross)	28	23	35
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	39	32	40
90.00 Outlays	28	23	35

This account encompasses repairs, restorations, code compliance changes, and building system renewals of Smithsonian museum buildings, and facilities for storage and conservation of collections, research, and support.

**CONSTRUCTION**

For necessary expenses for construction, **[\$33,000,000]** \$18,000,000, to remain available until expended[: *Provided, That notwithstanding any other provision of law, a single procurement for the construction of the National Museum of the American Indian may be issued which includes the full scope of the project: *Provided further, That the solicitation and the contract shall contain the clause "availability of funds" found at 48 CFR 52.232.18]. In addition, to complete construction of the National Museum of the American Indian, \$19,000,000 to become available on October 1, 1999 and remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 1998.)**

**Program and Financing (in millions of dollars)**

Identification code 33-0133-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.06 National Museum of the American Indian	4	3	45
00.07 Natural History East Court building	1	3	
00.08 Air and Space Museum Extension	3	4	
00.09 Alterations and modifications	3	4	2
10.00 Total obligations (object class 25.2)	11	14	47
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	11	10	29
22.00 New budget authority (gross)	10	33	18
23.90 Total budgetary resources available for obligation	21	43	47
23.95 New obligations	-11	-14	-47
24.40 Unobligated balance available, end of year:			
Uninvested	10	29	

<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	10	33	18
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	55	34	27
73.10 New obligations	11	14	47
73.20 Total outlays (gross)	-32	-21	-18
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	34	27	56
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	10	13	7
86.93 Outlays from current balances	22	8	11
87.00 Total outlays (gross)	32	21	18
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	10	33	18
90.00 Outlays	32	21	18

This account provides funding for major new construction projects and minor construction, alterations, and modifications to existing facilities required to support the Smithsonian's existing and future programs in research, collections management, public exhibitions and education. The 1999 budget request provides funds for the construction of the Mall Museum building of the National Museum of the American Indian, including funds to become available October 1, 1999 to complete that project.

**JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS  
OPERATIONS AND MAINTENANCE**

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, **[\$11,375,000]** \$13,000,000. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 33-0302-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	12	11	13
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	12	11	13
23.95 New obligations	-12	-11	-13
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	12	11	13
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	3	5	5
73.10 New obligations	12	11	13
73.20 Total outlays (gross)	-10	-11	-11
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	5	5	7
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	8	9	10
86.93 Outlays from current balances	2		3
87.00 Total outlays (gross)	10	11	11
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	12	11	13
90.00 Outlays	10	11	11

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services. Legislation will be proposed to Congress to reauthorize the Kennedy Center for the period 1999-2009, including \$13.0 million for 1999 for this account, consistent with the budget

request. The increase will provide for increases in costs of operations.

Object Classification (in millions of dollars)

Identification code 33-0302-0-1-503	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	2	2	3
23.3 Communications, utilities, and miscellaneous charges .....	3	3	3
25.2 Other services .....	6	5	6
99.0 Subtotal, direct obligations .....	11	10	12
99.5 Below reporting threshold .....	1	1	1
99.9 Total obligations .....	12	11	13

Personnel Summary

Identification code 33-0302-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	42	52	55

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS  
CONSTRUCTION

For necessary expenses for capital repair and rehabilitation of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, **[\$9,000,000]** \$20,000,000, to remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 33-0303-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 Construction .....	15	9	20
10.00 Total obligations (object class 25.2) .....	15	9	20
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	7	4	4
22.00 New budget authority (gross) .....	12	9	20
23.90 Total budgetary resources available for obligation .....	19	13	24
23.95 New obligations .....	-15	-9	-20
24.40 Unobligated balance available, end of year:			
Uninvested .....	4	4	4
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	12	9	20
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	25	17	19
73.10 New obligations .....	15	9	20
73.20 Total outlays (gross) .....	-23	-7	-12
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	17	19	27
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	9	3	7
86.93 Outlays from current balances .....	14	4	5
87.00 Total outlays (gross) .....	23	7	12
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	12	9	20
90.00 Outlays .....	23	7	10

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including major projects related to plumbing and electrical systems, air handling systems, and major repair of interior spaces, including access for persons with disabilities. The reauthorization will include \$20 million for this account consistent with the budget request. The Kennedy Center plans to initiate Phase II of the renovation of the interior of the presidential memorial.

NATIONAL GALLERY OF ART  
SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, **[\$55,837,000]** \$57,938,000 of which not to exceed \$3,026,000 for the special exhibition program shall remain available until expended. (Department of the Interior and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 33-0200-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	55	56	58
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	2	1	1
22.00 New budget authority (gross) .....	54	56	58
23.90 Total budgetary resources available for obligation .....	56	57	59
23.95 New obligations .....	-55	-56	-58
24.40 Unobligated balance available, end of year:			
Uninvested .....	1	1	1
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	54	56	58
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	5	5	5
73.10 New obligations .....	55	56	58
73.20 Total outlays (gross) .....	-54	-56	-58
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	5	5	5
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	48	51	53
86.93 Outlays from current balances .....	6	5	3
87.00 Total outlays (gross) .....	54	56	58
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	54	56	58
90.00 Outlays .....	54	56	58

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 33-0200-0-1-503	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	28	31	31
11.3 Other than full-time permanent .....	1	1	1
11.5 Other personnel compensation .....	2	2	2
11.9 Total personnel compensation .....	31	34	34
12.1 Civilian personnel benefits .....	7	7	8
22.0 Transportation of things .....	1	1	1

**General and special funds—Continued**

**NATIONAL GALLERY OF ART—Continued**

**SALARIES AND EXPENSES—Continued**

**Object Classification (in millions of dollars)—Continued**

Identification code 33-0200-0-1-503	1997 actual	1998 est.	1999 est.
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services	6	5	6
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	1	1
99.0 Subtotal, direct obligations	54	55	57
99.5 Below reporting threshold	1	1	1
99.9 Total obligations	55	56	58

**Personnel Summary**

Identification code 33-0200-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	751	833	833

**NATIONAL GALLERY OF ART**

**REPAIR, RESTORATION AND RENOVATION OF BUILDINGS**

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, as authorized, **[\$6,192,000] \$6,311,000**, to remain available until expended: *Provided*, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 33-0201-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	7	7	7
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	2	1	
22.00 New budget authority (gross)	6	6	6
23.90 Total budgetary resources available for obligation	8	8	7
23.95 New obligations	-7	-7	-7
24.40 Unobligated balance available, end of year:			
Uninvested	1		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	6	6	6
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	9	8	8
73.10 New obligations	7	7	7
73.20 Total outlays (gross)	-8	-8	-8
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	8	8	7
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances	8	8	8
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	6	6	6
90.00 Outlays	7	8	8

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; and facilities planning and study. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

**Object Classification (in millions of dollars)**

Identification code 33-0201-0-1-503	1997 actual	1998 est.	1999 est.
25.2 Other services	1	1	1
32.0 Land and structures	6	6	5
99.0 Subtotal, direct obligations	7	7	6
99.5 Below reporting threshold			1
99.9 Total obligations	7	7	7

**Personnel Summary**

Identification code 33-0201-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment	1	3	3

**WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS**

**SALARIES AND EXPENSES**

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, **[\$5,840,000] \$6,040,000**. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

**Program and Financing (in millions of dollars)**

Identification code 33-0400-0-1-503	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	6	6	6
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	6	6	6
23.95 New obligations	-6	-6	-6
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	6	6	6
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	3	3	3
73.10 New obligations	6	6	6
73.20 Total outlays (gross)	-6	-6	-6
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	3	3	3
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	4	4	4
86.93 Outlays from current balances	2		2
87.00 Total outlays (gross)	6	6	6
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	6	6	6
90.00 Outlays	6	6	6

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

**Object Classification (in millions of dollars)**

Identification code 33-0400-0-1-503	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent	2	2	2
12.1 Civilian personnel benefits	1	1	1
25.2 Other services	1	2	2
41.0 Grants, subsidies, and contributions	2	1	1
99.9 Total obligations	6	6	6

Personnel Summary

Identification code 33-0400-0-1-503	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	37	41	44

**STATE JUSTICE INSTITUTE**

**Federal Funds**

**General and special funds:**

SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1992 (Public Law 102-572 (106 Stat. 4515-4516)), [\$6,850,000] \$6,000,000, to remain available until expended: *Provided*, That not to exceed \$2,500 shall be available for official reception and representation expenses. (*Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act of 1998.*)

Program and Financing (in millions of dollars)

Identification code 48-0052-0-1-752	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.02 Grants .....	6	7	6
10.00 Total obligations (object class 41.0) .....	6	7	6
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	4	4	4
22.00 New budget authority (gross) .....	6	7	6
23.90 Total budgetary resources available for obligation	10	11	10
23.95 New obligations .....	-6	-7	-6
24.40 Unobligated balance available, end of year:			
Uninvested .....	4	4	4
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	6	7	6
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	12	10	9
73.10 New obligations .....	6	7	6
73.20 Total outlays (gross) .....	-8	-9	-9
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	10	9	6
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	2	3	3
86.93 Outlays from current balances .....	6	6	6
87.00 Total outlays (gross) .....	8	9	9
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	6	7	6
90.00 Outlays .....	8	9	9

The State Justice Institute was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. Appropriations in 1999 are intended to provide for continuation of Institute operations at a reduced level. In addition to the \$6 million requested for State Justice Institute, the President's Budget requests \$60 million in the Office of Justice Programs' (Department of Justice) Violent crime reduction trust fund for assistance to Violent Youth Courts.

**TENNESSEE VALLEY AUTHORITY**

**Federal Funds**

**Public enterprise funds:**

TENNESSEE VALLEY AUTHORITY FUND

For the purpose of carrying out the provisions of the Tennessee Valley Authority Act of 1933, as amended (16 U.S.C. ch. 12A), including hire, maintenance, and operation of aircraft, and purchase and hire of passenger motor vehicles, [\$70,000,000] \$76,800,000, to remain available until expended, of which \$6,900,000 shall be available for operation, maintenance, surveillance, and improvement of Land Between the Lakes; [and for essential stewardship activities for which appropriations were provided to the Tennessee Valley Authority in Public Law 104-206, such sums as are necessary in fiscal year 1999 and thereafter, to be derived only from one or more of the following sources: nonpower fund balances and collections; investment returns of the nonpower program; applied programmatic savings in the power and nonpower programs; savings from the suspension of bonuses and awards; savings from reductions in memberships and contributions; increases in collections resulting from nonpower activities, including user fees; or increases in charges to private and public utilities both investor and cooperatively owned, as well as to direct load customers: *Provided*, That such funds are available to fund the stewardship activities under this paragraph, notwithstanding sections 11, 14, 15, 29, or other provisions of the Tennessee Valley Authority Act, as amended, or provisions of the TVA power bond covenants: *Provided further*, That the savings from, and revenue adjustments to, the TVA budget in fiscal year 1999 and thereafter shall be sufficient to fund the aforementioned stewardship activities such that the net spending authority and resulting outlays for these activities shall not exceed \$0 in fiscal year 1999 and thereafter] and of which \$6,800,000 shall be for the study, design and preconstruction stages of a project to replace the lock at Chickamauga Dam. (*Energy and Water Development Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 64-4110-0-3-999	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Operating expenses:			
00.01 Water and Land Stewardship .....	68	58	55
00.03 Land Between the Lakes .....	10	10	10
00.04 Economic development .....	17	3	1
00.05 Environmental research .....	13	11	5
00.91 Total operating expenses .....	108	82	71
Capital investment:			
01.01 Water and land management .....	7	5	5
01.03 Land Between the Lakes .....	1	1	
01.04 Chickamauga Lock .....			7
01.91 Total capital investment .....	8	6	12
Power program:			
09.01 Power program: Operating expenses .....	4,509	4,700	4,854
09.02 Power program: Capital expenditures .....	625	667	609
09.03 Power program: Defeasance trust .....	70		
09.09 Total power program .....	5,204	5,367	5,463
10.00 Total obligations .....	5,320	5,455	5,546
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	23	24	13
22.00 New budget authority (gross) .....	5,321	5,444	5,547
23.90 Total budgetary resources available for obligation	5,344	5,468	5,560
23.95 New obligations .....	-5,320	-5,455	-5,546
24.40 Unobligated balance available, end of year:			
Uninvested .....	24	13	15
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation .....	106	70	77
Permanent:			
Spending authority from offsetting collections:			
68.00 Offsetting collections (cash) .....	5,612	6,285	6,493
68.27 Capital transfer to general fund .....	-61	-59	-58
68.47 Portion applied to debt reduction .....	-336	-852	-965

**Public enterprise funds—Continued**

**TENNESSEE VALLEY AUTHORITY FUND—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 64-4110-0-3-999	1997 actual	1998 est.	1999 est.
68.90 Spending authority from offsetting collections (total) .....	5,215	5,374	5,470
70.00 Total new budget authority (gross) .....	5,321	5,444	5,547
<b>Change in unpaid obligations:</b>			
Unpaid obligations, start of year:			
Obligated balance:			
72.40 Uninvested .....	3,583	4,587	4,596
72.41 U.S. Securities: Par value .....	959		
72.99 Total unpaid obligations, start of year .....	4,542	4,587	4,596
73.10 New obligations .....	5,320	5,455	5,546
73.20 Total outlays (gross) .....	-5,275	-5,446	-5,546
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	4,587	4,596	4,595
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	9	8	18
86.93 Outlays from current balances .....	102	65	55
86.97 Outlays from new permanent authority .....	5,092	5,304	5,399
86.98 Outlays from permanent balances .....	72	69	74
87.00 Total outlays (gross) .....	5,275	5,446	5,546
<b>Offsets:</b>			
Against gross budget authority and outlays:			
Offsetting collections (cash) from:			
88.00 Federal sources .....	-181	-158	-151
88.40 Non-Federal sources .....	-5,431	-6,127	-6,342
88.90 Total, offsetting collections (cash) .....	-5,612	-6,285	-6,493
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	-291	-841	-946
90.00 Outlays .....	-337	-839	-947

Note.—Authority to borrow available to the Tennessee Valley Authority continues to be available on a permanent, indefinite basis. This authority is limited only in that the amount of borrowing outstanding at any time cannot exceed \$30 billion.

**Status of Direct Loans (in millions of dollars)**

Identification code 64-4110-0-3-999	1997 actual	1998 est.	1999 est.
Position with respect to appropriations act limitation on obligations:			
1111 Limitation on direct loans .....			
1131 Direct loan obligations exempt from limitation .....	49	50	38
1150 Total direct loan obligations .....	49	50	38
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year .....	150	41	77
1231 Disbursements: Direct loan disbursements .....	49	50	38
1251 Repayments: Repayments and prepayments .....	-159	-14	-11
1263 Write-offs for default: Direct loans .....	1		
1290 Outstanding, end of year .....	41	77	104

The Tennessee Valley Authority (TVA) was created in 1933 as a Government-owned corporation for the unified development of a river basin comprised of parts of seven States. The President's budget proposes that the agency's program in 1999 be financed from three sources: (1) appropriations by the Congress; (2) proceeds available from current power operations and borrowings against future power revenues; and (3) proceeds available from nonpower activities.

The President's Budget includes \$76.8 million to be appropriated for the agency's non-power programs in 1999. This will ensure that these programs continue to be funded and operated as the Administration and Congress consider alternatives for the agency in both the power and non-power areas. The following table provides detailed information on programs

financed by power proceeds and borrowings and programs financed by appropriations and nonpower proceeds.

**POWER PROGRAM**

[In millions of dollars]

	Power proceeds and borrowings		
	1997 actual	1998 est.	1999 est.
<b>Program by activities:</b>			
Operating expenses:			
Power program: Power supply and use (total operating expenses) .....	4,509	4,700	4,854
Capital investment:			
Power program: Power supply and use (total capital investment) .....	625	667	609
Total obligations .....	5,134	5,367	5,463
Budget authority (gross) .....	5,134	5,367	5,463
<b>Budget Authority:</b>			
Permanent:			
Spending authority from offsetting collections (new) .....	5,608	6,337	6,544
Capital transfer to general fund .....	-61	-59	-58
Portion applied to debt reduction .....	-336	-852	-965
Spending authority from offsetting collections (total) .....	5,211	5,426	5,521
Relation of obligations to outlays:			
Total obligations .....	5,134	5,367	5,463
Obligated balance, start of year:			
Authority to borrow .....	3,530	3,582	3,582
Obligated balance, end of year .....	-3,582	-3,582	-3,582
Outlays (gross) .....	5,082	5,367	5,463
Adjustments to budget authority and outlays			
Deductions for offsetting collections:			
Federal funds .....	-180	-158	-151
Non-federal sources .....	-5,367	-6,120	-6,335
Total, offsetting collections .....	-5,547	-6,278	-6,486
Budget Authority (net) .....	-413	-911	-1,023
Outlays (net) .....	-465	-911	-1,023

**TVA's program paid for by appropriations.**—As a federal corporation, TVA serves national interests by operating infrastructure services for the production of electricity, economic development and the stewardship of natural resources in 201 counties in seven states.

Appropriations provide for public services to maintain and operate public resources—navigable channels, flood control, recreation, and non-regulatory, community-based programs that protect the water quality of the Tennessee River system. Federal appropriations do not support TVA's power program. The Budget proposes that \$76.8 million be appropriated for these purposes in 1999.

**Water and Land Stewardship.**—Funds TVA's statutory obligation to operate 54 dams and reservoirs to regulate stream-flow for the multi-purpose objectives of navigation, flood control, recreation and aquatic habitat conservation; perform cyclic maintenance and repair of 14 navigation locks, maintain dam machinery and spillway gates; perform channel, lock and mooring modifications to maintain safety and passability for increasingly larger cargo vessels; conserve and improve water quality and supply in 12 watersheds and dam tailwaters for fisheries and potable supply for 4 million people; control mosquitoes and plant pests; prevent shoreline erosion and manage residential development in riparian zones; plan for and manage 630,000 hectares (1.7 million acres) of land; provide services and education to watershed communities; operate public recreation areas; and meet federal regulatory law requirements.

**Land Between the Lakes.**—Partially funds Land Between The Lakes as the hub of a tourism and recreation industry that annually generates \$400 million in economic activity in nine contiguous counties.

*Environmental Research Center.*—Partially funds the cost of remediation and cleanup of TVA's Muscle Shoals Reservation site in accordance with the Resource Conservation and Recovery Act (RCRA) and other State and Federal regulations. Federal funding at ERC will be phased out over four years (1996–1999).

*Chickamauga Lock and Dam.*—Funds initial stages of a construction project for replacement of the navigation lock which has irreparably deteriorated and is inadequate for existing and projected river traffic.

*TVA's Power Program.*—TVA's role as the sole supplier of electric power to an area of 80,000 square miles in the seven Tennessee Valley States is being reviewed as the Nation considers ways to restructure the electric power industry. Income from power operations, net of interest charges and depreciation, and other operating expenses is estimated at \$272,000,000 in 1999. Power generating facilities are financed from power proceeds and borrowings. The Budget reflects specific cost-cutting measures the agency is taking to implement its 10-Year Business plan and improve its ability to supply power at competitive prices. For example, TVA will cut costs and reduce its outstanding debt by \$2 billion by the end of 1999.

## APPROPRIATIONS AND NONPOWER PROCEEDS

	[In millions of dollars]		
	1997 actual	1998 est.	1999 est.
Program by activities:			
Operating expenses:			
1. Water and land stewardship .....	68	58	55
2. Land Between the Lakes .....	10	10	10
3. Economic development .....	17	3	1
4. Environmental research .....	13	11	5
Total operating expenses .....	108	83	71
Capital investment:			
1. Water and land stewardship .....	7	5	5
2. Chickamauga lock .....			7
3. Land Between the Lakes .....	1	1	
Total capital investment .....	8	6	12
Total obligations .....	116	88	83
Unobligated balance available, start of year, Fund balance .....	-23	-24	-13
Unobligated balance available, end of year: Fund balance .....	24	13	14
Budget authority (gross) .....	117	77	84
Budget authority:			
Current: appropriation .....	106	70	77
Permanent:			
Spending authority from offsetting collections (new) .....	11	7	7
Relation of obligations to outlays:			
Total obligations .....	116	88	83
Obligated balance, start of year: Fund balance .....	53	46	55
Obligated balance, end of year: Fund balance .....	-46	-55	-55
Outlays (gross) .....	123	79	83
Adjustments to budget authority and outlays:			
Deductions for offsetting collections:			
Federal funds .....	-1		
Non-federal sources .....	-10	-7	-7
Total, offsetting collections .....	-11	-7	-7
Budget authority (net) .....	106	70	77
Outlays (net) .....	112	72	76

## DEFEASANCE TRUST

	[In millions of dollars]		
	1997 actual	1998 est.	1999 est.
Program by activities:			
Capital investment .....	70		
Total obligations .....	70		
Budget authority (gross) .....	70		
Budget authority:			
Permanent:			
Spending authority from offsetting collections (total) .....	70		

Relation of obligations to outlays:			
Total obligations .....	70		
Outlays (gross) .....	70		
Adjustments to budget authority and outlays:			
Budget authority (net) .....	16		
Outlays (net) .....	16		

*Financing.*—Amounts estimated to become available in 1999 are to be derived from (1) the requested appropriation of \$76,800,000; (2) nonpower revenues and receipts of \$6,885,000; and (3) power revenues and receipts of \$6,486,000,000. A summary of the application of appropriations follows:

## APPLICATION OF APPROPRIATIONS

	[In millions of dollars]		
	1997 actual	1998 est.	1999 est.
Operations:			
1. Water and Land Stewardship .....	63	56	53
2. Land Between the Lakes .....	5	6	7
3. Economic development .....	15		
4. Environmental research .....	15	3	5
Total operations .....	98	65	65
Capital investment:			
1. Water and Land Stewardship .....	7	4	5
2. Chickamauga Lock .....			7
3. Land Between the Lakes .....	1	1	
Total capital investment .....	8	5	12
Total appropriations .....	106	70	77
Unobligated balance brought forward .....	8	9	
Unobligated balance carried forward .....	-9		
Obligations, appropriated funds .....	105	79	77

*Operating results and financial conditions.*—Payments to the Treasury from power proceeds in 1999 are estimated at \$58,000,000—\$38,000,000 as a dividend (return on the appropriation investment in the power program) and \$20,000,000 as a reduction in the appropriation investment in the power program. Outstanding borrowings for the power program are expected to decrease by \$965,000,000 during 1999.

Total assets are estimated to decrease by \$747,000,000 during 1999 as depreciation of existing assets exceeds expenditures for new assets. The estimate of liabilities at September 30, 1999, is \$962,000,000 less than the estimate at September 30, 1998. Total Government equity at September 30, 1999, is estimated to be \$215,000,000 greater than that at September 1998. This change includes the requested appropriation for 1999 and the net income from power operations, less payments to the Treasury and the net expense of nonpower programs.

## Statement of Operations (in millions of dollars)

Identification code 64-4110-0-3-999	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	5,693	5,552	6,302	6,510
0102 Expense .....	-5,632	-5,544	-6,037	-6,238
0109 Net income or loss (-) .....	61	8	265	272

## Balance Sheet (in millions of dollars)

Identification code 64-4110-0-3-999	1996 actual	1997 actual	1998 est.	1999 est.
ASSETS:				
Federal assets:				
1101 Fund balances with Treasury .....	279	235	208	230
Investments in US securities:				
1106 Receivables, net .....	25	23	17	22
Non-Federal assets:				
1201 Investments in non-Federal securities, net .....	440	561	613	613
1206 Receivables, net .....	663	684	747	761

**Public enterprise funds—Continued**

**TENNESSEE VALLEY AUTHORITY FUND—Continued**

**Balance Sheet (in millions of dollars)—Continued**

Identification code 64-4110-0-3-999	1996 actual	1997 actual	1998 est.	1999 est.
1207 Advances and prepayments .....	3	3	3	3
Net value of assets related to pre-1992 direct loans receivable and acquired defaulted guaranteed loans receivable:				
1601 Direct loans, gross .....	379	169	192	233
1603 Allowance for estimated uncollectible loans and interest (-) .....	-7	-2	-2	-3
1604 Direct loans and interest receivable, net .....	372	167	190	230
1699 Value of assets related to direct loans .....	372	167	190	230
Other Federal assets:				
1801 Cash and other monetary assets .....	3,442	2,452	2,672	2,182
1802 Inventories and related properties .....	379	391	398	400
1803 Property, plant and equipment, net .....	30,354	30,128	29,874	29,534
1999 Total assets .....	35,957	34,644	34,722	33,975
<b>LIABILITIES:</b>				
Federal liabilities:				
2101 Accounts payable .....	18	12	22	25
2102 Interest payable .....	70	69	68	68
2104 Resources payable to Treasury .....	4,150	3,200	3,200	3,200
Non-Federal liabilities:				
2201 Accounts payable .....	399	475	533	545
2202 Interest payable .....	428	430	383	367
2203 Debt .....	24,538	24,179	22,813	22,362
2207 Other .....	1,382	1,375	2,672	2,162
2999 Total liabilities .....	30,985	29,740	29,691	28,729
<b>NET POSITION:</b>				
3200 Invested capital .....	608	588	568	548
3300 Cumulative results of operations .....	4,364	4,316	4,463	4,698
3999 Total net position .....	4,972	4,904	5,031	5,246
4999 Total liabilities and net position .....	35,957	34,644	34,722	33,975

Note.—Not included in these figures are the following undelivered orders (in millions of dollars):

	1996 actual	1997 actual	1998 est.	1999 est.
Coal .....	2,440	2,350	2,300	2,300
Nuclear fuel .....	72	81	183	183
Total .....	2,512	2,431	2,483	2,483

**Object Classification (in millions of dollars)**

Identification code 64-4110-0-3-999	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	66	48	49
12.1 Civilian personnel benefits .....	14	11	11
25.1 Advisory and assistance services .....	2	2	2
25.2 Other services .....	14	11	10
25.7 Operation and maintenance of equipment .....	8	6	4
26.0 Supplies and materials .....	10	8	6
31.0 Equipment .....	2	2	1
99.0 Subtotal, direct obligations .....	116	88	83
<b>Reimbursable obligations:</b>			
Personnel compensation:			
11.1 Full-time permanent .....	737	760	773
11.5 Other personnel compensation .....	84	87	88
11.9 Total personnel compensation .....	821	847	861
12.1 Civilian personnel benefits .....	97	100	102
21.0 Travel and transportation of persons .....	20	21	21
22.0 Transportation of things .....	229	236	240
23.2 Rental payments to others .....	73	75	77
24.0 Printing and reproduction .....	1	1	1
25.1 Advisory and assistance services .....	104	107	109
25.2 Other services .....	498	513	522
25.7 Operation and maintenance of equipment .....	36	37	38
26.0 Supplies and materials .....	785	786	794
31.0 Equipment .....	259	267	272
32.0 Land and structures .....	12	12	13
33.0 Investments and loans .....	134	142	146
41.0 Grants, subsidies, and contributions .....	272	303	314
42.0 Insurance claims and indemnities .....	-1	-1	-1

43.0 Interest and dividends .....	1,864	1,921	1,954
99.0 Subtotal, reimbursable obligations .....	5,204	5,367	5,463
99.9 Total obligations .....	5,320	5,455	5,546

**Personnel Summary**

Identification code 64-4110-0-3-999	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	918	677	697
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	14,014	13,738	13,058

**UNITED MINE WORKERS OF AMERICA  
BENEFIT FUNDS**

**Trust Funds**

**UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND**

**Unavailable Collections (in millions of dollars)**

Identification code 95-8295-0-7-551	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....			
<b>Receipts:</b>			
02.01 Premiums, combined benefit fund & 1992 pension plan, UMWA .....	339	323	282
02.03 Transfers from abandoned mine reclamation fund .....	31	36	70
02.99 Total receipts .....	370	359	352
<b>Appropriation:</b>			
05.01 United mine workers of America 1992 benefit plan .....	-30	-30	-31
05.02 United mine workers of America combined benefit fund .....	-340	-329	-321
05.99 Subtotal appropriation .....	-370	-359	-352
07.99 Total balance, end of year .....			

Note.—The unavailable collections table (above) includes entries that pertain both to the Combined benefit fund and the 1992 benefit plan.

**Program and Financing (in millions of dollars)**

Identification code 95-8295-0-7-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0) .....	340	329	321
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	340	329	321
23.95 New obligations .....	-340	-329	-321
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite) .....	340	329	321
<b>Change in unpaid obligations:</b>			
73.10 New obligations .....	340	329	321
73.20 Total outlays (gross) .....	-340	-329	-321
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority .....	340	329	321
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	340	329	321
90.00 Outlays .....	340	329	321

The Combined benefit fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over-

funded United Mine Workers pension fund; and transfers from the Abandoned mine land reclamation fund.

### UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

#### Program and Financing (in millions of dollars)

Identification code 95-8260-0-7-551	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0)	30	30	31
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	30	30	31
23.95 New obligations	-30	-30	-31
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	30	30	31
<b>Change in unpaid obligations:</b>			
73.10 New obligations	30	30	31
73.20 Total outlays (gross)	-30	-30	-31
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	30	30	31
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	30	30	31
90.00 Outlays	30	30	31

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care of those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signatories to the 1988 labor agreement with the United Mine Workers of America.

## UNITED STATES ENRICHMENT CORPORATION

### Federal Funds

#### Public enterprise funds:

#### UNITED STATES ENRICHMENT CORPORATION FUND

#### Program and Financing (in millions of dollars)

Identification code 95-4054-0-3-271	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
09.01 Operating expenses	1,895	591	
09.02 Capital expenses	20	16	
10.00 Total obligations	1,915	607	
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested	612	188	
22.00 New budget authority (gross)	1,611	756	
22.10 Resources available from recoveries of prior year obligations		998	
22.40 Capital transfer to general fund	-120	-1,335	
23.90 Total budgetary resources available for obligation	2,103	607	
23.95 New obligations	-1,915	-607	
24.40 Unobligated balance available, end of year:			
Uninvested	188		
<b>New budget authority (gross), detail:</b>			
68.00 Spending authority from offsetting collections (gross):			
Offsetting collections (cash)	1,611	756	

<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	748	1,154	
73.10 New obligations	1,915	607	
73.20 Total outlays (gross)	-1,509	-763	
73.45 Adjustments in unexpired accounts		-998	
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	1,154		
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	1,509	756	
86.98 Outlays from permanent balances		7	
87.00 Total outlays (gross)	1,509	763	
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.40 Offsetting collections (cash) from: Non-Federal sources	-1,611	-756	
<b>Net budget authority and outlays:</b>			
89.00 Budget authority			
90.00 Outlays	-102	7	

The United States Enrichment Corporation (the Corporation or USEC) is the world leader in production and sales of uranium enrichment services for commercial nuclear power plants. As a wholly owned U.S. government corporation established by the Energy Policy Act of 1992 (Energy Policy Act), all common stock issued and outstanding is held by the Department of the Treasury. USEC began operations July 1, 1993, and was created as an initial step in privatizing the government's uranium enrichment activities. The Corporation provides uranium enrichment services to electric utilities operating nuclear reactors in 14 countries, including the U.S.

As directed by the Energy Policy Act, the Corporation submitted a privatization plan to the President in 1995. In April 1996, the USEC Privatization Act was signed into law. In July 1997, the President approved the implementation of USEC's privatization. USEC is working with the Department of the Treasury and other government agencies to design and implement the details of the final privatization transaction. The USEC Board of Directors, with the approval of the Secretary of the Treasury, must determine that the privatization of USEC will satisfy certain criteria set forth in the Energy Policy Act and the USEC Privatization Act. The budget reflects a projected transaction date of May 1, 1998.

By moving out of the government and applying private-sector discipline, USEC will remain a viable competitor in the global market for uranium enrichment services, and preserve a reliable source of domestic enrichment capacity. As a private corporation, USEC will be able to make the financial investment necessary to more efficiently commercialize the Atomic Vapor Laser Isotope Separation (AVLIS) process, the next generation of enrichment technology.

**Budget program.**—During 1997, USEC maintained its position as the world leader in uranium enrichment by negotiating new sales agreements and working to improve plant operations. In March, as regulatory oversight responsibility was transferred from the Department of Energy to the Nuclear Regulatory Commission (NRC), the Corporation introduced initiatives to reinforce safety and efficiency as top priorities at enrichment facilities that were more than 40 years old. In 1998, USEC is cooperating with the Department of Energy to continue the demonstration of plant-scale AVLIS components at Lawrence Livermore National Laboratory, with emphasis shifting to integrated operation of the laser and separator systems to verify enrichment production economics. Progress on plant design continues in support of a license application submittal to the NRC.

USEC, in its third year as Executive Agent for the U.S. Government, continued to receive shipments of low enriched uranium (LEU) blended down from highly enriched uranium taken from former Soviet nuclear weapons. To date, USEC

**Public enterprise funds—Continued**

UNITED STATES ENRICHMENT CORPORATION FUND—Continued

has purchased over 4.6 million separative work units (SWU) in the form of LEU, and has committed to purchase 22.9 million SWU through 2001. These purchases comprise nearly one third of the 20-year ageement's LEU, and will result in the dismantling of approximately 7,000 Soviet-era nuclear warheads.

*Operating results.*—USEC's net income for 1997 was \$237 million and is expected to be approximately \$101 million through the anticipated privatization date of May 1, 1998. USEC paid a \$120 million dividend to the Treasury in 1997, and expects to pay a dividend in 1998. Net proceeds from the sale of the Corporation are expected to be approximately \$1,600 million.

Statement of Operations (in millions of dollars)

Identification code 95-4054-0-3-271	1996 actual	1997 actual	1998 est.	1999 est.
0101 Revenue .....	1,609	1,595	767	.....
0102 Expense .....	-1,296	-1,358	-666	.....
0109 Net income or loss (-) .....	313	237	101	.....

Balance Sheet (in millions of dollars)

Identification code 95-4054-0-3-271	1996 actual	1997 actual	1998 est.	1999 est.
<b>ASSETS:</b>				
Federal assets:				
1101 Fund balances with Treasury .....	1,361	1,343	.....	.....
Investments in US securities:				
1106 Receivables, net .....	129	61	.....	.....
1107 Advances and prepayments .....	27	61	.....	.....
Non-Federal assets:				
1206 Receivables, net .....	263	272	.....	.....
1207 Advances and prepayments .....	53	114	.....	.....
Other Federal assets:				
1802 Inventories and related properties .....	1,513	1,409	.....	.....
1803 Property, plant and equipment, net .....	103	114	.....	.....
1999 Total assets .....	3,449	3,374	.....	.....
<b>LIABILITIES:</b>				
Non-Federal liabilities:				
2201 Accounts payable .....	164	149	.....	.....
2207 Other .....	1,090	1,146	.....	.....
2999 Total liabilities .....	1,254	1,295	.....	.....
<b>NET POSITION:</b>				
3200 Invested capital .....	1,225	992	.....	.....
3300 Cumulative results of operations .....	970	1,087	.....	.....
3999 Total net position .....	2,195	2,079	.....	.....
4999 Total liabilities and net position .....	3,449	3,374	.....	.....

Object Classification (in millions of dollars)

Identification code 95-4054-0-3-271	1997 actual	1998 est.	1999 est.
11.1 Personnel compensation: Full-time permanent .....	12	7	.....
12.1 Civilian personnel benefits .....	3	2	.....
21.0 Travel and transportation of persons .....	1	1	.....
22.0 Transportation of things .....	1	4	.....
23.2 Rental payments to others .....	2	1	.....
23.3 Communications, utilities, and miscellaneous charges .....	485	270	.....
25.1 Advisory and assistance services .....	14	8	.....
25.2 Other services .....	515	314	.....
26.0 Supplies and materials .....	881	.....	.....
31.0 Equipment .....	1	.....	.....
99.9 Total obligations .....	1,915	607	.....

Personnel Summary

Identification code 95-4054-0-3-271	1997 actual	1998 est.	1999 est.
2001 Total compensable workyears: Full-time equivalent employment .....	165	113	.....

**UNITED STATES HOLOCAUST MEMORIAL COUNCIL**

**Federal Funds**

**General and special funds:**

HOLOCAUST MEMORIAL COUNCIL

For expenses of the Holocaust Memorial Council, as authorized by Public Law 96-388 (36 U.S.C. 1401), as amended, [\$31,707,000] \$32,607,000, of which [\$1,575,000] \$2,075,000 for the museum's repair and rehabilitation program and \$1,264,000 for the museum's exhibitions program shall remain available until expended. (*Department of the Interior and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 95-3300-0-1-808	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	32	32	33
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	2	2	2
22.00 New budget authority (gross) .....	32	32	33
23.90 Total budgetary resources available for obligation .....	34	34	35
23.95 New obligations .....	-32	-32	-33
24.40 Unobligated balance available, end of year:			
Uninvested .....	2	2	2
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	32	32	33
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	9	12	14
73.10 New obligations .....	32	32	33
73.20 Total outlays (gross) .....	-31	-30	-34
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	12	14	13
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	24	25	26
86.93 Outlays from current balances .....	6	5	8
87.00 Total outlays (gross) .....	31	30	34
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	32	32	33
90.00 Outlays .....	29	30	34

The Council operates a permanent living memorial museum to the victims of the Holocaust. The memorial, which opened in April 1993, also provides for appropriate ways for the Nation to commemorate the Days of Remembrance.

Object Classification (in millions of dollars)

Identification code 95-3300-0-1-808	1997 actual	1998 est.	1999 est.
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	8	9	10
11.3 Other than full-time permanent .....	1	1	1
11.9 Total personnel compensation .....	9	10	11
12.1 Civilian personnel benefits .....	2	3	3
21.0 Travel and transportation of persons .....	1	1	1
23.1 Rental payments to GSA .....	1	1	1
23.3 Communications, utilities, and miscellaneous charges .....	2	2	2
25.2 Other services .....	9	7	6
25.4 Operation and maintenance of facilities .....	3	5	6
26.0 Supplies and materials .....	1	1	1
31.0 Equipment .....	3	2	2
99.0 Subtotal, direct obligations .....	31	32	33
99.5 Below reporting threshold .....	1	.....	.....
99.9 Total obligations .....	32	32	33

## Personnel Summary

Identification code 95-3300-0-1-808	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	199	246	246

## UNITED STATES INFORMATION AGENCY

## Federal Funds

## General and special funds:

## INTERNATIONAL INFORMATION PROGRAMS

For expenses, not otherwise provided for, necessary to enable the United States Information Agency, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), to carry out international communication, educational and cultural activities; and to carry out related activities authorized by law, including employment, without regard to civil service and classification laws, of persons on a temporary basis (not to exceed \$700,000 of this appropriation), as authorized by section 801 of such Act of 1948 (22 U.S.C. 1471), and entertainment, including official receptions, within the United States, not to exceed \$25,000 as authorized by section 804(3) of such Act of 1948 (22 U.S.C. 1474(3)); **[\$427,097,000] \$461,728,000. Provided,** That not to exceed \$1,400,000 may be used for representation abroad as authorized by section 302 of such Act of 1948 (22 U.S.C. 1452) and section 905 of the Foreign Service Act of 1980 (22 U.S.C. 4085); *Provided further,* That not to exceed \$6,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with English teaching, library, motion pictures, and publication programs as authorized by section 810 of such Act of 1948 (22 U.S.C. 1475e) and, notwithstanding any other law, fees from educational advising and counseling, and exchange visitor program services: *Provided further,* That not to exceed \$920,000 to remain available until expended may be used to carry out projects involving security construction and related improvements for agency facilities not physically located together with Department of State facilities abroad. (*The Department of State and Related Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 67-0201-0-1-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 East Asian and Pacific Program .....	39	38	38
00.02 African Program .....	27	30	30
00.03 North African, Near Eastern, and South Asia Program .....	31	32	32
00.04 Inter-American Affairs Program .....	37	39	39
00.05 West European and Canadian Program .....	52	50	50
00.06 East European and NIS Program .....	35	36	33
00.07 World-wide Mission Costs .....	34	36	38
01.00 Subtotal, Overseas Missions .....	255	261	260
01.01 Educational and Cultural Affairs .....	12		
01.02 Bureau of Information .....	36	34	35
01.03 Agency Direction and Management .....	68	67	67
01.04 Administrative Support from Other Agencies .....	70	97	100
01.92 Subtotal, Direct Program .....	441	459	462
09.00 Reimbursable program .....	9	11	11
10.00 Total obligations .....	450	470	473
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	7	7	
22.00 New budget authority (gross) .....	451	463	473
22.22 Unobligated balance transferred from other accounts .....	2		
22.30 Unobligated balance expiring .....	-3		
23.90 Total budgetary resources available for obligation .....	457	470	473
23.95 New obligations .....	-450	-470	-473
24.40 Unobligated balance available, end of year:			
Uninvested .....	7		

## New budget authority (gross), detail:

Current:				
40.00	Appropriation .....	441	427	462
41.00	Transferred to other accounts .....	-5		
42.00	Transferred from other accounts .....	6	25	
43.00	Appropriation (total) .....	442	452	462
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	9	11	11
70.00	Total new budget authority (gross) .....	451	463	473

## Change in unpaid obligations:

72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	123	108	116
73.10	New obligations .....	450	470	473
73.20	Total outlays (gross) .....	-468	-463	-471
73.40	Adjustments in expired accounts .....	3		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	108	116	118

## Outlays (gross), detail:

86.90	Outlays from new current authority .....	377	380	388
86.93	Outlays from current balances .....	82	71	72
86.97	Outlays from new permanent authority .....	9	11	11
87.00	Total outlays (gross) .....	468	463	471

## Offsets:

Against gross budget authority and outlays:				
Offsetting collections (cash) from:				
88.00	Federal sources .....	-5	-7	-7
88.40	Non-Federal sources .....	-4	-4	-4
88.90	Total, offsetting collections (cash) .....	-9	-11	-11

## Net budget authority and outlays:

89.00	Budget authority .....	442	452	462
90.00	Outlays .....	459	452	460

Note.—In 1998 and 1999, all staff and associated support costs for Educational and Cultural Affairs will be funded in the Exchanges programs account.

The United States Information Agency conducts the international informational, educational, cultural and exchange programs of the United States and advises the President, the National Security Council, and the Secretary of State on these matters. The Agency defines, explains, and advocates U.S. policies abroad and seeks to increase knowledge and understanding among foreign audiences of U.S. society and its values.

The USIA Strategic Plan for 1997-2002 was submitted to Congress in September 1997 in compliance with the 1993 Government Performance and Results Act (GPRA). The Agency expects to submit its FY 1999 Performance Plan to the Congress in February 1998.

USIA's efforts to realize the benefits of a well-functioning performance and results management system are underway. Agency elements are collecting data and beginning to make management and program decisions based on the findings. Overseas field posts are submitting more concise and focused reports for data collection and identifying their objectives more clearly. These objectives are closely linked to and aligned with the strategic goals of the International Affairs Strategic Plan through continuing collaboration with our counterparts and colleagues in the State Department and other foreign affairs agencies in developing a strategic framework for the mission and goals of the foreign affairs community as a whole.

Using the Strategic and Performance Plans as a guide, the Agency is focusing its resources more directly on the strategic goals and performance objectives and, to this end, is reviewing management and budget systems to achieve more visible accountability.

Agency overseas information and cultural program operations and support functions are financed from this appropriation and consist of the following major elements.

General and special funds—Continued

INTERNATIONAL INFORMATION PROGRAMS—Continued

*Overseas missions.*—The Agency currently operates 192 U.S. Information Service (USIS) posts in 141 countries. These USIS posts administer exchange-of-persons programs and conduct informational and cultural activities using, primarily, materials and programs provided by support offices in Washington, D.C.

*Bureau of Information.*—This technologically advanced Bureau supports U.S. foreign policy by means of instant and in-depth communications with international opinion leaders and policy makers. The Bureau provides information electronically through the Agency's World Wide Web home pages, its daily Washington File and biweekly thematic journals. It supports the Agency's U.S. foreign press centers and overseas Information Resource Centers, which draw on databases and other electronically delivered information. The Bureau supports U.S. speakers and specialists at U.S. Information Service posts not only through overseas travel, but also through interactive dialogues via telephone and digitized video conferences. It also publishes pamphlets and other printed materials for distribution to those who influence international opinion.

*Agency direction and management.*—This activity includes managerial staffs, research and centralized servicing functions for the Agency.

*Administrative support from other agencies.*—This activity includes payment to the Department of State for USIA's share of the costs of services provided for overseas operations. The Department was reimbursed for the Distributed Administrative Support (DAS) services by participating agencies under the Foreign Affairs Administrative Support System (FAAS) in 1997. In 1998, the FAAS system is being replaced with the International Cooperative Administrative Support Services (ICASS) system. This activity also covers payments to the General Services Administration for space and services provided under the Federal building rent system, and payments to other agencies for services provided.

Object Classification (in millions of dollars)

Identification code 67-0201-0-1-154	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	168	164	171
11.3 Other than full-time permanent .....	3	3	3
11.5 Other personnel compensation .....	10	9	10
11.9 Total personnel compensation .....	181	176	184
12.1 Civilian personnel benefits .....	43	44	46
13.0 Benefits for former personnel .....	2	3	3
21.0 Travel and transportation of persons .....	14	14	15
22.0 Transportation of things .....	9	9	8
23.1 Rental payments to GSA .....	33	35	35
23.2 Rental payments to others .....	12	11	10
23.3 Communications, utilities, and miscellaneous charges .....	20	17	16
24.0 Printing and reproduction .....	1	1	1
25.2 Other services .....	32	34	31
25.3 Purchases of goods and services from Government accounts .....	37	61	64
26.0 Supplies and materials .....	18	17	15
31.0 Equipment .....	18	17	16
41.0 Grants, subsidies, and contributions .....	19	18	16
42.0 Insurance claims and indemnities .....	2	2	2
99.0 Subtotal, direct obligations .....	441	459	462
99.0 Reimbursable obligations .....	9	11	11
99.9 Total obligations .....	450	470	473

Personnel Summary

Identification code 67-0201-0-1-154	1997 actual	1998 est.	1999 est.
<b>Direct:</b>			
1001 Total compensable workyears: Full-time equivalent employment .....	3,826	3,747	3,764
<b>Reimbursable:</b>			
2001 Total compensable workyears: Full-time equivalent employment .....	17	20	20

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 67-0301-0-1-154	1997 actual	1998 est.	1999 est.
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	5	19	19
22.00 New budget authority (gross) .....	14		
23.90 Total budgetary resources available for obligation	19	19	19
24.40 Unobligated balance available, end of year:			
Uninvested .....	19	19	19
<b>New budget authority (gross), detail:</b>			
42.00 Transferred from other accounts .....	5		
50.00 Reappropriation .....	9		
70.00 Total new budget authority (gross) .....	14		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	14		
90.00 Outlays .....			

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the budget. As authorized, gains due to fluctuations will be deposited into this account to be available to offset future losses.

TECHNOLOGY FUND

For expenses necessary to enable the United States Information Agency to provide for the procurement of information technology improvements, as authorized by the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1431 et seq.), the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), \$5,050,000, to remain available until expended. (*The Department of State and Related Agencies Appropriations Act, 1998.*)

Program and Financing (in millions of dollars)

Identification code 67-0400-0-1-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	6	7	5
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
Uninvested .....	3	2	
22.00 New budget authority (gross) .....	5	5	5
23.90 Total budgetary resources available for obligation	8	7	5
23.95 New obligations .....	-6	-7	-5
24.40 Unobligated balance available, end of year:			
Uninvested .....	2		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	5	5	5
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	2	4	1
73.10 New obligations .....	6	7	5
73.20 Total outlays (gross) .....	-4	-10	-5

74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	4	1	1
<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority .....	4	4	4
86.93	Outlays from current balances .....		6	1
87.00	Total outlays (gross) .....	4	10	5
<b>Net budget authority and outlays:</b>				
89.00	Budget authority .....	5	5	5
90.00	Outlays .....	4	10	5

This appropriation provides funding for non-broadcasting information technology improvements for USIA, including purchases and development of hardware, software, contractual services, and training.

In 1999, USIA will continue with development of mission-oriented technology innovations overseas; train our staff to gain maximum productivity from our investment in technology; and continue the implementation of an improved core Financial Management System, using the State Department's Central Financial Management System. In addition, USIA will complete its initiative to ensure agency systems meet year 2000 compliance standards.

Object Classification (in millions of dollars)

Identification code 67-0400-0-1-154	1997 actual	1998 est.	1999 est.
25.2 Other services .....	5	5	5
26.0 Supplies and materials .....	1	1	
31.0 Equipment .....		1	
99.9 Total obligations .....	6	7	5

EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS

For expenses of educational and cultural exchange programs, as authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended (22 U.S.C. 2451 et seq.), and Reorganization Plan No. 2 of 1977 (91 Stat. 1636), [S197,731,000] \$199,024,000, to remain available until expended as authorized by section 105 of such Act of 1961 (22 U.S.C. 2455): *Provided*, That not to exceed \$800,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from or in connection with English teaching and publication programs as authorized by section 810 of the United States Information and Educational Exchange Act of 1948 (22 U.S.C. 1475e) and, notwithstanding any other provision of law, fees from educational advising and counseling. (The Department of State and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 67-0209-0-1-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
Direct program:			
00.01 Academic Programs .....	124	119	120
00.02 Professional/Cultural Exchanges .....	66	64	57
00.03 Freedom Support Act Exchanges .....	32	6	
00.04 SEED Exchanges .....	4	2	
00.05 Exchanges Support .....	11	22	22
01.00 Subtotal, Direct Obligations .....	237	213	199
09.00 Reimbursable program .....	4	2	2
10.00 Total obligations .....	241	215	201
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year:			
	Uninvested .....	17	15
22.00 New budget authority (gross) .....	223	200	201
22.10 Resources available from recoveries of prior year obligations .....	9		
22.22 Unobligated balance transferred from other accounts .....	7		
23.90 Total budgetary resources available for obligation .....	256	215	201
23.95 New obligations .....	-241	-215	-201

24.40	Unobligated balance available, end of year:			
	Uninvested .....	15		
<b>New budget authority (gross), detail:</b>				
Current:				
40.00	Appropriation .....	185	198	199
42.00	Transferred from other accounts .....	34		
43.00	Appropriation (total) .....	219	198	199
Permanent:				
68.00	Spending authority from offsetting collections: Offsetting collections (cash) .....	4	2	2
70.00	Total new budget authority (gross) .....	223	200	201

Change in unpaid obligations:

72.40	Unpaid obligations, start of year: Obligated balance:			
	Uninvested .....	228	210	210
73.10	New obligations .....	241	215	201
73.20	Total outlays (gross) .....	-250	-215	-203
73.45	Adjustments in unexpired accounts .....	-9		
74.40	Unpaid obligations, end of year: Obligated balance:			
	Uninvested .....	210	210	208

Outlays (gross), detail:

86.90	Outlays from new current authority .....	113	99	100
86.93	Outlays from current balances .....	133	114	100
86.97	Outlays from new permanent authority .....	4	2	2
87.00	Total outlays (gross) .....	250	215	203

Offsets:

Against gross budget authority and outlays:				
88.00	Offsetting collections (cash) from: Federal sources .....	-4	-2	-2

Net budget authority and outlays:

89.00	Budget authority .....	219	198	199
90.00	Outlays .....	246	213	201

This appropriation provides funding for programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic and security policy objectives and to assist in the development of peaceful relations between the United States and other countries. These goals are addressed by fostering increased mutual understanding through international exchange and training activities. Programs under this appropriation include:

**Academic programs.**—Includes the J. William Fulbright Educational Exchange Program for the exchange of students, scholars and teachers between the United States and foreign countries as well as Fulbright's Hubert H. Humphrey program; the Edmund S. Muskie fellowship program of academic study and internships for mid-career professionals from developing countries and the Newly Independent States of the former Soviet Union (NIS); graduate- and postdoctoral-level Near and Middle East studies and research by U.S. students and scholars; and programs in support of the study of the United States in other countries designed to promote better foreign understanding of the United States.

**Professional/Cultural exchanges.**—Includes the International Visitor Program which supports travel in the United States by emerging foreign political leaders, professionals and educators to obtain firsthand knowledge about the United States, its people, politics and culture; cooperative programs with non-governmental organizations, such as the Citizen Exchanges Program which awards grants to U.S. non-profit organizations for professional, cultural, institutional, and grassroots community exchanges with foreign counterparts; and other programs.

**NIS and SEED exchanges.**—Includes democracy and free-market development programs for the exchange of students, scholars and professionals between the United States and the NIS and Central and Eastern Europe under the FREEDOM Support Act of 1992 and the Support for East European Democracy Act of 1989.

**Exchanges support.**—Includes all funding for domestic staff and support costs related to exchange programs managed by

**General and special funds—Continued**

**EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS—Continued**

the Bureau of Educational and Cultural Affairs. USIS overseas posts provide support to these programs abroad.

The Agency has developed a framework for strategic planning and performance measurement of programs in accordance with the Government Performance and Results Act of 1993. In 1999, performance measurement data will be collected, analyzed, and used in Educational and Cultural Exchanges Programs budgeting and decision making.

The Bureau has established the Office of U.S. Government Exchanges Coordination to support the work of the Interagency Working Group on United States Government-sponsored International Exchanges and Training. This Office will establish an information clearinghouse for government-wide exchanges, and will collect data and conduct analysis of U.S. Government-funded and private-sector exchanges. In 1999, the Bureau will continue to develop the exchanges coordination function as an instrument of policy support, working closely with dozens of Federal departments and agencies which are striving with USIA to achieve such coordination.

**Object Classification (in millions of dollars)**

Identification code 67-0209-0-1-154	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
11.1 Personnel compensation: Full-time permanent .....	9	15	16
12.1 Civilian personnel benefits .....	2	4	4
21.0 Travel and transportation of persons .....	10	9	8
25.2 Other services .....	10	9	8
41.0 Grants, subsidies, and contributions .....	206	176	163
99.0 Subtotal, direct obligations .....	237	213	199
99.0 Reimbursable obligations .....	4	2	2
99.9 Total obligations .....	241	215	201

**Personnel Summary**

Identification code 67-0209-0-1-154	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	194	304	304

**NATIONAL ENDOWMENT FOR DEMOCRACY**

For grants made by the United States Information Agency to the National Endowment for Democracy as authorized by the National Endowment for Democracy Act, **[\$30,000,000] \$31,000,000**, to remain available until expended. *(The Department of State and Related Agencies Appropriations Act, 1998.)*

**Program and Financing (in millions of dollars)**

Identification code 67-0210-0-1-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0) .....	30	30	31
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross) .....	30	30	31
23.95 New obligations .....	-30	-30	-31
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	30	30	31
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	27	28	27
73.10 New obligations .....	30	30	31
73.20 Total outlays (gross) .....	-29	-31	-31
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	28	27	27
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	14	14	15

86.93 Outlays from current balances .....	15	17	16
87.00 Total outlays (gross) .....	29	31	31
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	30	30	31
90.00 Outlays .....	29	31	31

The National Endowment for Democracy (NED) is a private, nonprofit corporation established in the District of Columbia to encourage and strengthen the development of democratic institutions and processes internationally. NED supports democratic initiatives in six regions of the world: Africa, Asia, Central and Eastern Europe, Latin America, the Middle East and the NIS.

The National Endowment for Democracy Act provides that the U.S. Information Agency will make an annual grant to the Endowment to enable the Endowment to fulfill the purposes of the Act. The Endowment does not carry out programs directly but its Board approves annual grants to the American Center for International Solidarity, the Center for International Private Enterprise, the International Republican Institute, the National Democratic Institute for International Affairs, and scores of indigenous organizations working to promote civic education, human rights, independent media, and other democratic processes and values.

**[BROADCASTING TO CUBA]**

**[For expenses necessary to enable the United States Information Agency to carry out the Radio Broadcasting to Cuba Act, as amended, the Television Broadcasting to Cuba Act, and the International Broadcasting Act of 1994, including the purchase, rent, construction, and improvement of facilities for radio and television transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception, \$22,095,000, to remain available until expended.]** *(The Department of State and Related Agencies Appropriations Act, 1998.)*

**Program and Financing (in millions of dollars)**

Identification code 67-0208-0-1-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations .....	26	26	.....
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested .....	4	4	.....
22.00 New budget authority (gross) .....	25	22	.....
23.90 Total budgetary resources available for obligation	29	26	.....
23.95 New obligations .....	-26	-26	.....
24.40 Unobligated balance available, end of year: Uninvested .....	4	.....	.....
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation .....	25	22	.....
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested .....	1	2	4
73.10 New obligations .....	26	26	.....
73.20 Total outlays (gross) .....	-25	-23	-4
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested .....	2	4	.....
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority .....	20	18	.....
86.93 Outlays from current balances .....	5	5	4
87.00 Total outlays (gross) .....	25	23	4
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....	25	22	.....
90.00 Outlays .....	25	23	4

Beginning in 1999, the Administration proposes to fund Radio and TV Marti through the International Broadcasting Operations account.

## Object Classification (in millions of dollars)

Identification code 67-0208-0-1-154	1997 actual	1998 est.	1999 est.
Personnel compensation:			
11.1 Full-time permanent .....	11	11	.....
11.5 Other personnel compensation .....	1	1	.....
11.9 Total personnel compensation .....	12	12	.....
12.1 Civilian personnel benefits .....	3	3	.....
23.1 Rental payments to GSA .....	2	2	.....
23.3 Communications, utilities, and miscellaneous charges .....	1	1	.....
25.2 Other services .....	7	8	.....
31.0 Equipment .....	1	.....	.....
99.9 Total obligations .....	26	26	.....

## Personnel Summary

Identification code 67-0208-0-1-154	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	195	187	.....

## EAST-WEST CENTER

To enable the Director of the United States Information Agency to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960 (22 U.S.C. 2054-2057), by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, **[\$12,000,000] \$5,000,000**. *Provided*, That none of the funds appropriated herein shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376. (*The Department of State and Related Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 67-0202-0-1-154	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0) .....	10	12	5
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	10	12	5
23.95 New obligations .....	-10	-12	-5
New budget authority (gross), detail:			
40.00 Appropriation .....	10	12	5
Change in unpaid obligations:			
73.10 New obligations .....	10	12	5
73.20 Total outlays (gross) .....	-10	-12	-5
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	10	12	5
Net budget authority and outlays:			
89.00 Budget authority .....	10	12	5
90.00 Outlays .....	10	12	5

The Center for Cultural and Technical Interchange Between East and West (East-West Center) is a national educational institution administered by a public, nonprofit educational corporation. The Center promotes better relations and understanding between the United States and the nations of Asia and the Pacific through cooperative programs of research, study, and training, which bring qualified persons from the countries of the area to work jointly on problems of mutual concern.

## NORTH/SOUTH CENTER

To enable the Director of the United States Information Agency to provide for carrying out the provisions of the North/South Center Act of 1991 (22 U.S.C. 2075), by grant to an educational institution in Florida known as the North/South Center, **[\$1,500,000] \$2,500,000**, to remain available until expended. (*The Department of State and Related Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 67-0203-0-1-154	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
10.00 Total obligations (object class 41.0) .....	2	2	2
Budgetary resources available for obligation:			
22.00 New budget authority (gross) .....	2	2	2
23.95 New obligations .....	-2	-2	-2
New budget authority (gross), detail:			
40.00 Appropriation .....	2	2	2
Change in unpaid obligations:			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	3	2	2
73.10 New obligations .....	2	2	2
73.20 Total outlays (gross) .....	-3	-2	-2
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested .....	2	2	2
Outlays (gross), detail:			
86.90 Outlays from new current authority .....	1	1	1
86.93 Outlays from current balances .....	2	1	1
87.00 Total outlays (gross) .....	3	2	2
Net budget authority and outlays:			
89.00 Budget authority .....	2	2	2
90.00 Outlays .....	3	2	2

The Center for Cultural and Technical Interchange Between North and South (North/South Center) is a national educational institution that promotes better relations between the U.S. and the nations of Latin America, the Caribbean, and Canada by bringing together scholars and students from nations of the hemisphere for cooperative study, training, and research.

## RADIO CONSTRUCTION

For the purchase, rent, construction, and improvement of facilities for radio transmission and reception, and purchase and installation of necessary equipment for radio and television transmission and reception as authorized by section 801 of the United States Information and Educational Exchange Act of 1948 (22 U.S.C. 1471), **[\$40,000,000] \$25,308,000**, to remain available until expended, as authorized by section 704(a) of such Act of 1948 (22 U.S.C. 1477b(a)). (*The Department of State and Related Agencies Appropriations Act, 1998.*)

## Program and Financing (in millions of dollars)

Identification code 67-0204-0-1-154	1997 actual	1998 est.	1999 est.
Obligations by program activity:			
00.01 New construction .....	6	17	2
00.02 Upgrade of existing relay station capabilities .....	8	8	6
00.03 Maintenance, improvements, replacement and repair .....	11	25	16
00.04 Broadcast facility leases and rentals .....	1	1	.....
00.05 Satellite and terrestrial feed systems .....	1	1	1
00.06 Construction Facility Support Costs .....	5	.....	.....
10.00 Total obligations .....	32	52	25
Budgetary resources available for obligation:			
21.40 Unobligated balance available, start of year:			
Uninvested .....	6	12	.....
22.00 New budget authority (gross) .....	35	40	25
22.10 Resources available from recoveries of prior year obligations .....	3	.....	.....

**General and special funds—Continued**

**RADIO CONSTRUCTION—Continued**

**Program and Financing (in millions of dollars)—Continued**

Identification code 67-0204-0-1-154	1997 actual	1998 est.	1999 est.
23.90 Total budgetary resources available for obligation	44	52	25
23.95 New obligations	-32	-52	-25
24.40 Unobligated balance available, end of year:			
Uninvested	12		
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	35	40	25
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	95	63	78
73.10 New obligations	32	52	25
73.20 Total outlays (gross)	-61	-37	-34
73.45 Adjustments in unexpired accounts	-3		
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	63	78	69
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	11	12	8
86.93 Outlays from current balances	50	25	26
87.00 Total outlays (gross)	61	37	34
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	35	40	25
90.00 Outlays	61	37	34

This account provides funding for maintenance and improvement of the International Broadcasting Bureau's worldwide transmission network.

**New construction.**—In 1999, the agency will continue the Administration-approved streamlined modernization plan to expand the transmission capability of the International Broadcasting Bureau (IBB).

**Upgrade of existing relay station capabilities.**—This activity funds the upgrade of our existing relay stations to improve transmission quality and avoid the need for future new construction.

**Major improvements, replacements and repairs.**—This activity funds the continuing repairs and improvements required to maintain existing global radio and television network, including the conversion of program production and operations from an analog to a digital domain.

**Broadcast leases and land rentals.**—This activity primarily funds the placement of IBB products with regional affiliates.

**Satellite and terrestrial feed systems.**—This activity provides funding for the construction and maintenance of the Satellite Interconnect System (SIS) and Television Receive Only (TVRO) earth stations.

**Object Classification (in millions of dollars)**

Identification code 67-0204-0-1-154	1997 actual	1998 est.	1999 est.
25.2 Other services	14	24	23
31.0 Equipment	15	25	1
41.0 Grants, subsidies, and contributions	3	3	1
99.9 Total obligations	32	52	25

**INTERNATIONAL BROADCASTING OPERATIONS**

For expenses necessary to enable the United States Information Agency, as authorized by the United States Information and Educational Exchange Act of 1948, as amended, *the Radio Broadcasting to Cuba Act, as amended, the Television Broadcasting to Cuba Act, the United States International Broadcasting Act of 1994, as amended, and Reorganization Plan No. 2 of 1977*, to carry out international communication activities, including the purchase, installation, rent, construction, and improvement of facilities for radio and television

*transmission and reception to Cuba: [\$364,415,000] \$388,690,000, of which [\$12,100,000 shall remain available until expended,] not to exceed \$16,000 may be used for official receptions within the United States as authorized by section 804(3) of such Act of 1948 (22 U.S.C. 1747(3)), not to exceed \$35,000 may be used for representation abroad as authorized by section 302 of such Act of 1948 (22 U.S.C. 1452) and section 905 of the Foreign Service Act of 1980 (22 U.S.C. 4085), and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty; and in addition, notwithstanding any other provision of law, not to exceed \$2,000,000 in receipts from advertising and revenue from business ventures, not to exceed \$500,000 in receipts from cooperating international organizations, and not to exceed \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau, to remain available until expended for carrying out authorized purposes: *Provided, That funds may be used to purchase or lease, maintain, and operate such aircraft (including aerostats) as may be required to house and operate necessary television broadcasting equipment. (The Department of State and Related Agencies Appropriations Act, 1998.)**

**Program and Financing (in millions of dollars)**

Identification code 67-0206-0-1-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
00.01 International Broadcasting Bureau	247	271	276
00.02 Office of Cuba Broadcasting			23
00.03 Radio Free Europe/Radio Liberty	68	69	70
00.04 Radio Free Asia	10	24	20
01.00 Subtotal, Direct Obligations	325	364	389
09.00 Reimbursable program		1	1
10.00 Total obligations	325	365	390
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	325	365	390
23.95 New obligations	-325	-365	-390
<b>New budget authority (gross), detail:</b>			
Current:			
40.00 Appropriation	325	364	389
Permanent:			
68.00 Spending authority from offsetting collections: Offsetting collections (cash)		1	1
70.00 Total new budget authority (gross)	325	365	390
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	55	56	62
73.10 New obligations	325	365	390
73.20 Total outlays (gross)	-324	-359	-385
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	56	62	67
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	273	306	327
86.93 Outlays from current balances	51	52	58
86.97 Outlays from new permanent authority		1	1
87.00 Total outlays (gross)	324	359	385
<b>Offsets:</b>			
Against gross budget authority and outlays:			
88.00 Offsetting collections (cash) from: Federal sources		-1	-1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	325	364	389
90.00 Outlays	324	358	384

This appropriation provides operational funding for all United States non-military international broadcasting. The account reflects the requirements of the International Broadcasting Act of 1994 (the Act) to consolidate all non-military international broadcasting activities. Specifically, the appropriation will fund the Broadcasting Board of Governors (BBG), the Voice of America, Radio Free Europe/Radio Liberty (RFE/RL), Radio Free Asia, the WORLDNET Television and Film Service, Radio and Television Broadcasting to Cuba, and

the necessary engineering, technical, and administrative support activities.

Pursuant to the Foreign Affairs Authorization Act, Fiscal Years 1994–95, RFE/RL continues to seek and explore opportunities for private sector funding. Significant steps have been taken over the past three years.

The RFE/RL Research Institute was privatized in 1994 by founding, together with the Open Society Institute, the Open Media Research Institute (OMRI). In 1997, Open Society Institute ended its support for OMRI. RFE/RL took back a small part of OMRI operations essential to support broadcasting. All other RFE/RL research operations were terminated.

Since passage of the Act, RFE/RL has placed priority on privatizing its Polish and Czech language services. In 1994, both services were reconstituted as separate non-profit corporations. RFE/RL explored private funding of its Warsaw-based Polish service spin-off, RWE, with several media companies over the past three years. These discussions were ultimately unsuccessful. RFE/RL's Polish-language broadcasting ceased at the end of 1997. RFE/RL entered into a joint venture with Czech Public Radio for Czech-language broadcasting in 1995. The Czech host government, which provides RFE/RL's rent-free headquarters in Prague, has asked RFE/RL to ensure that these broadcasts continue. Czech Public Radio pays most of the costs, and minor underwriting support from Czech companies has been obtained.

RFE/RL's efforts to privatize to date suggest severe limits on the potential for advertising or underwriting revenue for news and public affairs programming in the former Soviet bloc. In much of this area, significant advertising markets have yet to develop. Where advertising markets do exist, they are often barely able to sustain small, emerging local broadcasters, who concentrate mainly on popular music and other entertainment programming.

A special office at RFE/RL headquarters has been established to continue to explore privatization opportunities.

In compliance with the Government Performance and Results Act, International Broadcasting has developed five performance measures. Baselines for 1997 and 1998, and targets for 1999 have been developed. Research methodology is in place for some of the measures, while under development for others.

In 1998 and prior years, funding for Radio and Television Broadcasting to Cuba was provided in a separate appropriation.

Object Classification (in millions of dollars)

Identification code 67-0206-0-1-154	1997 actual	1998 est.	1999 est.
<b>Direct obligations:</b>			
<b>Personnel compensation:</b>			
11.1 Full-time permanent .....	111	120	133
11.3 Other than full-time permanent .....	4	4	4
11.5 Other personnel compensation .....	9	7	8
11.9 Total personnel compensation .....	124	131	145
12.1 Civilian personnel benefits .....	27	26	30
13.0 Benefits for former personnel .....	1	1	1
21.0 Travel and transportation of persons .....	4	4	5
22.0 Transportation of things .....	2	2	2
23.1 Rental payments to GSA .....			2
23.2 Rental payments to others .....	11	12	12
23.3 Communications, utilities, and miscellaneous charges .....	30	48	50
25.1 Advisory and assistance services .....	1	1	1
25.2 Other services .....	15	20	25
25.4 Operation and maintenance of facilities .....	5	5	5
25.5 Research and development contracts .....	1	1	1
25.7 Operation and maintenance of equipment .....	1	1	1
26.0 Supplies and materials .....	14	15	16
31.0 Equipment .....	8	2	2
41.0 Grants, subsidies, and contributions .....	81	95	91
99.0 Subtotal, direct obligations .....	325	364	389
99.0 Reimbursable obligations .....		1	1

99.9 Total obligations .....	325	365	390
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Personnel Summary

Identification code 67-0206-0-1-154	1997 actual	1998 est.	1999 est.
1001 Total compensable workyears: Full-time equivalent employment .....	2,351	2,491	2,661

AMERICAN STUDIES COLLECTIONS ENDOWMENT FUND

Program and Financing (in millions of dollars)

Identification code 67-8166-0-7-154	1997 actual	1998 est.	1999 est.
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested .....	1		
73.20 Total outlays (gross) .....	-1		
<b>Outlays (gross), detail:</b>			
86.93 Outlays from current balances .....	1		
<b>Net budget authority and outlays:</b>			
89.00 Budget authority .....			
90.00 Outlays .....	1		

This program, established by section 235 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995, provides for the establishment of collections of American studies materials at university libraries abroad. Remaining balances were used in 1997 to complete the purchase and shipment of these collections. No additional funding is requested for this activity.

Trust Funds

ISRAELI ARAB AND EISENHOWER EXCHANGE FELLOWSHIP PROGRAMS

EISENHOWER EXCHANGE FELLOWSHIP PROGRAM TRUST FUND

For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204–5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, [1998] 1999, to remain available until expended: *Provided*, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A-110 (Uniform Administrative Requirements) and A-122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personal services. (*The Department of State and Related Agencies Appropriations Act, 1998.*)

ISRAELI ARAB SCHOLARSHIP PROGRAM

For necessary expenses of the Israeli Arab Scholarship Program as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, [1998] 1999, to remain available until expended. (*The Department of State and Related Agencies Appropriations Act, 1998.*)

Unavailable Collections (in millions of dollars)

Identification code 95-8276-0-7-154	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year .....	12	11	10
<b>Appropriation:</b>			
05.01 Israeli Arab and Eisenhower exchange fellowship program .....	-1	-1	-1
07.99 Total balance, end of year .....	11	10	9

ISRAELI ARAB AND EISENHOWER EXCHANGE FELLOWSHIP PROGRAMS—Continued

ISRAELI ARAB SCHOLARSHIP PROGRAM—Continued

Program and Financing (in millions of dollars)

Identification code 95-8276-0-7-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	1	1	1
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	1	1	1
23.95 New obligations	-1	-1	-1
<b>New budget authority (gross), detail:</b>			
40.27 Appropriation (trust fund, indefinite)	1	1	1
<b>Change in unpaid obligations:</b>			
73.10 New obligations	1	1	1
73.20 Total outlays (gross)	-1	-1	-1
<b>Outlays (gross), detail:</b>			
86.90 Outlays from new current authority	1	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	1	1	1
90.00 Outlays	1	1	1

This presentation includes interest and earnings from the Eisenhower Exchange Fellowship Trust Fund and the Israeli-Arab Scholarship Trust Fund.

The Eisenhower Exchange Fellowship Trust fund was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli-Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arabs to attend institutions of higher learning in the United States.

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 67-8341-0-7-602	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 42.0)	3	2	2
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested	1	6	6
22.00 New budget authority (gross)	8	2	2
23.90 Total budgetary resources available for obligation	9	8	8
23.95 New obligations	-3	-2	-2
24.40 Unobligated balance available, end of year: Uninvested	6	6	6
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	8	2	2
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested	9	2	1
73.10 New obligations	3	2	2
73.20 Total outlays (gross)	-10	-3	-2
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested	2	1	1
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	7	2	2
86.98 Outlays from permanent balances	3	1	1

87.00 Total outlays (gross)	10	3	2
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	8	2	2
90.00 Outlays	10	3	2

This fund is maintained to pay separation costs for Foreign Service National employees of the United States Information Agency in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102-138, is maintained by annual government contributions which are appropriated in the Agency's International information programs and International broadcasting operations accounts.

MISCELLANEOUS TRUST FUNDS

Unavailable Collections (in millions of dollars)

Identification code 67-9971-0-7-154	1997 actual	1998 est.	1999 est.
<b>Balance, start of year:</b>			
01.99 Balance, start of year	2	3	4
<b>Receipts:</b>			
02.02 Contributions, Educational and Cultural Exchange, USIA	2	1	1
02.03 Interest, Miscellaneous trust funds, USIA	1	1	1
02.99 Total receipts	3	2	2
04.00 Total: Balances and collections	5	5	6
<b>Appropriation:</b>			
05.01 Miscellaneous trust funds	-2	-1	-1
07.99 Total balance, end of year	3	4	5

Program and Financing (in millions of dollars)

Identification code 67-9971-0-7-154	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations (object class 41.0)	2	1	1
<b>Budgetary resources available for obligation:</b>			
21.40 Unobligated balance available, start of year: Uninvested	3	3	3
22.00 New budget authority (gross)	2	1	1
23.90 Total budgetary resources available for obligation	5	4	4
23.95 New obligations	-2	-1	-1
24.40 Unobligated balance available, end of year: Uninvested	3	3	3
<b>New budget authority (gross), detail:</b>			
60.27 Appropriation (trust fund, indefinite)	2	1	1
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance: Uninvested	3	3	3
73.10 New obligations	2	1	1
73.20 Total outlays (gross)	-2	-1	-1
74.40 Unpaid obligations, end of year: Obligated balance: Uninvested	3	3	3
<b>Outlays (gross), detail:</b>			
86.97 Outlays from new permanent authority	2	1	1
<b>Net budget authority and outlays:</b>			
89.00 Budget authority	2	1	1
90.00 Outlays	2	1	1

Funds advanced by other governments, business concerns, and private organizations are used to send experts abroad to perform requested services; to give foreign nationals scientific, technical, or other training; to purchase films and other products owned or controlled by the United States Information Agency; to replace damaged or destroyed United States Information Agency property; and for international exhibitions (22 U.S.C. 1431-1479; 70 Stat. 778).

Funds contributed by private individuals and concerns, foreign governments, and international organizations are used

for the purposes of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455) and for carrying out other functions of the Agency (22 U.S.C. 809a and 22 U.S.C. 2697).

**UNITED STATES INSTITUTE OF PEACE**

**Federal Funds**

**General and special funds:**

OPERATING EXPENSES

For necessary expenses of the United States Institute of Peace as authorized in the United States Institute of Peace Act, [S11,160,000] \$11,495,000. (Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 1998.)

Program and Financing (in millions of dollars)

Identification code 95-1300-0-1-153	1997 actual	1998 est.	1999 est.
<b>Obligations by program activity:</b>			
10.00 Total obligations	11	11	11
<b>Budgetary resources available for obligation:</b>			
22.00 New budget authority (gross)	11	11	11
23.95 New obligations	-11	-11	-11
<b>New budget authority (gross), detail:</b>			
40.00 Appropriation	11	11	11
<b>Change in unpaid obligations:</b>			
72.40 Unpaid obligations, start of year: Obligated balance:			
Uninvested	1	1	
73.10 New obligations	11	11	11
73.20 Total outlays (gross)	-11	-12	-11
74.40 Unpaid obligations, end of year: Obligated balance:			
Uninvested	1		

<b>Outlays (gross), detail:</b>				
86.90	Outlays from new current authority	10	11	11
86.93	Outlays from current balances	1	1	
87.00	Total outlays (gross)	11	12	11

<b>Net budget authority and outlays:</b>				
89.00	Budget authority	11	11	11
90.00	Outlays	11	12	11

The United States Institute of Peace (USIP) was established by Congress to help strengthen the Nation's capacity to promote peaceful resolution of international conflicts. Program activity includes policy assessments for the executive and legislative branches; conflict resolution training for foreign affairs professionals; facilitation of dialogues among parties to conflicts; summer institutes and educational materials for teachers at high school and undergraduate levels; grants and fellowships; publications; a research library; a national student essay contest; and other programs to increase public understanding about the nature of international conflicts.

Object Classification (in millions of dollars)

Identification code 95-1300-0-1-153	1997 actual	1998 est.	1999 est.	
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
21.0	Travel and transportation of persons	1	1	1
25.2	Other services	1	2	2
41.0	Grants, subsidies, and contributions	5	4	4
99.9	Total obligations	11	11	11

Personnel Summary

Identification code 95-1300-0-1-153	1997 actual	1998 est.	1999 est.	
1001	Total compensable workyears: Full-time equivalent employment	55	56	56